



City of Rochester, NY

2022 - 23
DRAFT ANNUAL
ACTION PLAN

Malik D. Evans, Mayor
City of Rochester

#roctheplan
Rochester
2023-24

 Malik D. Evans, Mayor



City of Rochester, NY
Rochester City Council

Disclaimer: Draft 2022-23 Annual Action Plan

The following document is a draft and is subject to change based on public comment received during the required 30-day public display and comment period.

Change log:

1. 5/9/2022: Initial Draft Published Based on Estimated Grant Amounts
2. 5/18/2022: Revised Draft Published
 - a. All references to grant amounts were updated to reflect actual grant awards as announced by HUD on 5/13/2022
 - b. All individual projects proposed to be funded in the Plan were proportionately increased or decreased so that each project's budget maintained the same proportional makeup of the total annual grant (including program income) from which it was to be funded. Estimates of scale of impact/benefit were also adjusted accordingly.
 - c. Deviations from the adjustment methodology in b. included:
 - i. Maintained the same budget amount for the Street Liaisons project
 - ii. Allocated all additional HOME grant funding to the Affordable Housing Fund project in lieu of adjusting the other HOME funded projects
 - iii. Special calculations were made for the Program Administration, Program Delivery, and CHDO Reserve projects to maintain compliance with HUD's regulations.

Executive Summary

AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

The City of Rochester, New York, submitted a Five Year Strategic Plan for the program years 2020-21 through 2024-25. This is the 2022-23 Annual Action Plan as part of the Consolidated Plan that guides the allocation of federal entitlement funds available through the Community Development Block Grant (CDBG) Program, Home Investment Partnerships (HOME) Program, Emergency Solutions Grants (ESG) Program, and Housing Opportunities for Persons with AIDS (HOPWA) programs. The dates covered in this Annual Action Plan are July 1, 2022 through June 30, 2023.

The Annual Action Plan serves as:

- A planning document that enables the City to view its HUD funding, not in isolation, but as one tool in a comprehensive strategy to address housing, community development, and economic development needs.
- An application for CDBG, HOME, ESG, and HOPWA Program funds under HUD's formula grant.
- A strategy document to be followed in carrying out HUD programs.
- An action plan that provides a basis for assessing performance in carrying out use of CDBG Program funds.

The Consolidated Plan is guided by three overarching goals that are applied according to the community's needs as follows:

- Providing decent housing by preserving affordable housing stock, increasing the availability of affordable housing, reducing discriminatory barriers, increasing the supply of supportive housing for those with special needs, and transitioning homeless persons and families into housing.
- Providing a suitable living environment through safer, more livable neighborhoods, greater integration of low- and moderate-income residents throughout the city, increased housing opportunities, and reinvestment in deteriorating neighborhoods.
- Expanding economic opportunities through more jobs paying self-sufficient wages, homeownership opportunities, development activities that promote long-term community viability, and the empowerment of low- and moderate-income persons to achieve self-sufficiency.

2. Summarize the objectives and outcomes identified in the Plan

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

The Needs Assessment conducted during the 2020-24 Consolidated Plan development process identified the need for:

- Safe, decent housing that is affordable to low income households;
- Housing rehabilitation programs;
- code enforcement and demolition of blighted structures that pose a health and safety threat to the community;
- Economic development to increase household incomes throughout the City;
- Workforce development and job training;
- safe neighborhoods;
- Youth programming, services and training;
- Housing and services for persons experiencing homelessness or who are at risk of becoming homeless;
- Housing and services for persons with non-homeless special needs;
- Public infrastructure improvements;
- Public facility improvements;
- Health, mental health and other public services; and
- Transportation.

The projects included in the 2022-23 Annual Action Plan each address one or more of these community needs.

3. Evaluation of past performance

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

The City prepares a Consolidated Annual Performance Evaluation Report (CAPER) each year. For the 2020-21 program year, the following are some of the CDBG funded accomplishments: Persons assisted via Public Facility or Infrastructure Activities: 3,400; Persons assisted via Public Service Activities not housing related: 16,414; Persons assisted via Public Service Activities housing benefit: 345; 154 housing units were rehabilitated, and 138 buildings were demolished.

0 rental units were rehabilitated utilizing HOME funding. 0 homeowner housing units were added.

3,652 persons were served utilizing Emergency Solutions Grant funding. 138 households were served utilizing Housing Opportunities for Persons with Aids funds.

4. Summary of Citizen Participation Process and consultation process

Summary from citizen participation section of plan.

The citizen participation and consultation process included the following components for the 2020-24 Five Year Strategic Plan:

- Four public meetings, one in each quadrant of the City, to obtain input from residents, neighborhood representatives and stakeholders
- A public survey, available on-line in both English and Spanish, and distributed by mail to more than 900 residents and stakeholders

- A survey of business needs, available on-line and distributed by mail to more than 900 residents and business representatives
- Ten focus groups with representatives of agencies, organizations and stakeholders on the following topics: Public Housing; Fair Housing; Affordable Housing Development/ Homeownership; Housing Rehabilitation; Homelessness Prevention and Services/ Supportive Housing; Youth and Health Services; Seniors and Persons with Disabilities; Public infrastructure and facilities; Large employers and manufacturers; Small business

The Housing Division regularly consults with program partners, sub-recipients, housing providers, and prospective partners to inform the Housing strategy and programs.

For the development of the 2022-23 Annual Action Plan, Citizen Participation included two virtual public meetings held on March 3, 2022 and June 22, 2022. Notifications of the public meetings were made to the public via: public notices, advertising on the City's website, and through emails to a curated listserv of residents who have expressed interest in staying informed of the City's HUD grant activities and strategy. A public hearing of the Rochester City Council was held on July 14th, 2022. Notes with public comments from these meetings are attached.

5. Summary of public comments

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

For the 2020-24 Consolidated Plan public engagement, the needs mentioned most were:

- Safe, decent affordable housing;
- Economic development to increase the number of jobs that pay a living wage;
- Public facilities;
- Public infrastructure;
- Public safety;
- Health and mental health services;
- Homeless services;
- Youth programming and training initiatives;
- Other public services;
- Transportation;
- Poverty reduction.

For the 2022-23 Annual Action Plan, the needs mentioned most were:

- Safe, decent, affordable housing;
- Home ownership;
- Housing rehabilitation, including universal design requirements for property rehabilitation;
- Street liaison program expansion;

- Other public services, including broadband access; and
- Reporting by census tract on past projects and programs funded by HUD

6. Summary of comments or views not accepted and the reasons for not accepting them

All comments received were accepted.

7. Summary

In summary, the five-year 2020-2024 Consolidated Plan and the third year Annual Action Plan for 2022 have been developed with community input and support the implementation of Rochester 2034. It is expected that the City will continue to fulfill the intent of the CDBG, HOME, ESG, and HOPWA programs by facilitating the: affordability of safe, decent housing; availability, accessibility, and sustainability of suitable living environments; accessibility of economic opportunities; provision of housing and services for those experiencing homelessness; and meeting the housing and services needs of persons with HIV/AIDS and their families.

1. **Agency/entity responsible for preparing/administering the Consolidated Plan**

Describe the agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Table 1 – Responsible Agencies

Agency Role	Name	Department/Agency
CDBG Administrator	ROCHESTER	NBD/Strategic Initiatives
HOPWA Administrator	ROCHESTER	NBD/Business and Housing Development
HOME Administrator	ROCHESTER	NBD/Business and Housing Development
ESG Administrator	ROCHESTER	NBD/Business and Housing Development

Narrative (optional)

Consolidated Plan Public Contact Information

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1. Introduction

Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(l))

Rochester staff meets regularly with representatives of the Rochester Housing Authority to coordinate in planning housing projects and providing employment training to public housing residents. Rochester staff are engaged with the Continuum of Care; the City collaborated with Monroe County on homelessness initiatives. Through the Enterprise Anti-displacement Learning Network, City staff regularly meet and plan activities to address housing displacement with Catholic Charities, Anthony Jordan Health Center, and the Rochester City-wide Tenant Union. The City is very involved with the CoC and local advocacy efforts, including the Homeless Services Network (HSN). Representatives from the City serve on the CoC's Board and HSN's Steering Committee.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.

Rochester participates in the Rochester/ Monroe County Continuum of Care (CoC), a multi-jurisdictional, community-wide group which oversees the local system to determine if the needs of the homeless are being met. The CoC continues to stress the need for permanent housing while maintaining existing successful emergency shelters through permanent supportive housing and supportive services. The City also participates in the annual CoC funding application review process, and helps monitor the projects as part of the reviewer team. This provides the City with insight into the demand and availability of particular programs, unit types, or services, and improves communication between parties.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS

City staff work closely with the CoC and other agencies to develop funding applications and determine the best use of ESG funds. A representative from the CoC also serves on the ESG proposal review committee. By working with the CoC, City staff are involved in improving coordination among agencies, facilitating data collection through HMIS, and allocating funds **effectively**.

2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction's consultations with housing, social service agencies and other entities

Table 2 – Agencies, groups, organizations who participated

1	Agency/Group/Organization	Catholic Family Center
	Agency/Group/Organization Type	Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Health
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in virtual planning meetings to address and combat homelessness, eviction, rental relief access concerns, and other displacement issues.
2	Agency/Group/Organization	Reach Advocacy
	Agency/Group/Organization Type	Services-homeless
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless Homelessness Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated with other stakeholders in several virtual planning meetings.
3	Agency/Group/Organization	CAMERON COMMUNITY MINISTRIES
	Agency/Group/Organization Type	Services-Children
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Non-Homeless Special Needs

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in stakeholder workshops.
4	Agency/Group/Organization	PROVIDENCE HOUSING DEVELOPMENT CORPORATION
	Agency/Group/Organization Type	Services-Persons with Disabilities Services-homeless
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in stakeholder workshops.
5	Agency/Group/Organization	Flower City Habitat for Humanity
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated with other stakeholders in several virtual planning meetings.
6	Agency/Group/Organization	COORDINATED CARE SERVICES, INC.
	Agency/Group/Organization Type	Services-Children Services-Persons with Disabilities Services-homeless
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Anti-poverty Strategy

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated with other stakeholders in several virtual planning meetings.
7	Agency/Group/Organization	EMPIRE JUSTICE CENTER
	Agency/Group/Organization Type	Legal Services
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in virtual planning meetings to plan for and address foreclosure and eviction concerns.
8	Agency/Group/Organization	SOUTH WEDGE PLANNING COMMITTEE
	Agency/Group/Organization Type	Planning organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Economic Development
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in stakeholder workshops.
9	Agency/Group/Organization	PathStone Corp
	Agency/Group/Organization Type	Housing Services - Housing Services-Health Services-Education Community Development Financial Institution
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Economic Development

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in virtual stakeholder workshops and planning meetings to address and combat foreclosure, homelessness, and eviction concerns.
10	Agency/Group/Organization	Youth Voice One Vision
	Agency/Group/Organization Type	Services-Children
	What section of the Plan was addressed by Consultation?	Homeless Needs - Chronically homeless Homelessness Strategy Non-Homeless Special Needs Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in stakeholder workshops.
11	Agency/Group/Organization	Ibero American Development Corp.
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Economic Development
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in stakeholder workshops.
12	Agency/Group/Organization	Excellus
	Agency/Group/Organization Type	Services-Health
	What section of the Plan was addressed by Consultation?	Homelessness Strategy Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in stakeholder workshops.

13	Agency/Group/Organization	Samaritan Center of Excellence, Inc.
	Agency/Group/Organization Type	Services-Health
	What section of the Plan was addressed by Consultation?	Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in stakeholder workshops.
14	Agency/Group/Organization	THE CENTER FOR YOUTH
	Agency/Group/Organization Type	Services - Housing Services-Children Services-homeless
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Needs - Unaccompanied youth Homelessness Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated with other stakeholders in several virtual planning meetings
15	Agency/Group/Organization	House of Mercy
	Agency/Group/Organization Type	Housing Services-homeless
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated with other stakeholders in several virtual planning meetings.

16	Agency/Group/Organization	Finger Lakes Performing Provider System
	Agency/Group/Organization Type	Services-Health
	What section of the Plan was addressed by Consultation?	Homeless Needs - Chronically homeless Homelessness Strategy Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in stakeholder workshops.
17	Agency/Group/Organization	East House
	Agency/Group/Organization Type	Services - Housing Services-Health
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless Homelessness Strategy Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated with other stakeholders in several virtual planning meetings.
18	Agency/Group/Organization	2-1-1 Lifeline
	Agency/Group/Organization Type	Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Victims of Domestic Violence Services-homeless Services-Education Services-Employment

	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Non-Homeless Special Needs Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in virtual stakeholder workshops, planning meetings, and debrief meetings to address and combat homelessness, eviction, access to rental relief funds, and other areas.
19	Agency/Group/Organization	RECOVERY HOUSES OF ROCHESTER
	Agency/Group/Organization Type	Services - Housing Services-Persons with Disabilities
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated with other stakeholders in several virtual planning meetings.
20	Agency/Group/Organization	Person Centered Housing Options, Inc
	Agency/Group/Organization Type	Housing Services - Housing Services-homeless
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated with other stakeholders in several virtual planning meetings.

21	Agency/Group/Organization	HUTHER DOYLE MEMORIAL INSTITUTE
	Agency/Group/Organization Type	Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-Victims of Domestic Violence Services-homeless Services-Health Services-Employment Services - Victims Health Agency Child Welfare Agency Other government - County
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Non-Homeless Special Needs Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated with other stakeholders in several virtual planning meetings.

22	Agency/Group/Organization	Monroe County Department of Human Services
	Agency/Group/Organization Type	Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-Victims of Domestic Violence Services-homeless Services-Health Services-Employment Services - Victims Health Agency Child Welfare Agency Other government - County
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Non-Homeless Special Needs Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated with other stakeholders in several virtual planning meetings.
23	Agency/Group/Organization	Monroe Housing Collaborative
	Agency/Group/Organization Type	Services - Housing Services-Persons with Disabilities
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless Homelessness Strategy Non-Homeless Special Needs

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated with other stakeholders in several virtual planning meetings.
24	Agency/Group/Organization	Mark IV Enterprises
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Economic Development Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in stakeholder workshops.
25	Agency/Group/Organization	JustCause, Inc. (formerly LEGAL ASSISTANCE OF WESTERN NEW YORK)
	Agency/Group/Organization Type	Service-Fair Housing Legal Services
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Non-Homeless Special Needs Fair Housing
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in virtual stakeholder workshops and planning meetings to address and combat homelessness, eviction, rental relief access concerns, and other displacement issues.
26	Agency/Group/Organization	LEGAL AID SOCIETY OF ROCHESTER, NEW YORK INC
	Agency/Group/Organization Type	Service-Fair Housing Legal Services
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Fair Housing

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in stakeholder workshops.
27	Agency/Group/Organization	PLEX
	Agency/Group/Organization Type	Neighborhood Organization Neighborhood Organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in stakeholder workshops.
28	Agency/Group/Organization	Rochester's Cornerstone Group
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in stakeholder workshops.
29	Agency/Group/Organization	Rochester Housing Authority
	Agency/Group/Organization Type	PHA
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in stakeholder workshops as well as in teleconference meetings.

30	Agency/Group/Organization	Partners Ending Homelessness
	Agency/Group/Organization Type	Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-Victims of Domestic Violence Services-homeless Services-Health Services-Education Services-Employment Service-Fair Housing Services - Victims
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs Economic Development Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated with other stakeholders in several virtual planning meetings, and partnered with City/County on ESG program strategy and awards.

31	Agency/Group/Organization	City of Rochester
	Agency/Group/Organization Type	Services - Broadband Internet Service Providers Agency - Emergency Management Other government - Local
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Non-Homeless Special Needs HOPWA Strategy Market Analysis Economic Development Anti-poverty Strategy Lead-based Paint Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in stakeholder workshops.
32	Agency/Group/Organization	CATHOLIC CHARITIES COMMUNITY SERVICES
	Agency/Group/Organization Type	Services-Persons with HIV/AIDS Services-Families of Persons with HIV/AIDS
	What section of the Plan was addressed by Consultation?	Housing Need Assessment
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency was in regular contact via phone discussing plans
33	Agency/Group/Organization	Trillium Health
	Agency/Group/Organization Type	Services-Persons with HIV/AIDS
	What section of the Plan was addressed by Consultation?	Housing Need Assessment

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency was in regular contact via phone discussing plans
34	Agency/Group/Organization	Volunteer Legal Services
	Agency/Group/Organization Type	Services-Elderly Persons Legal assistance for Elderly
	What section of the Plan was addressed by Consultation?	Assisting with preparation of legal documents (wills, POA etc)
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency was in regular contact via phone discussing plans
35	Agency/Group/Organization	LIFESPAN OF GREATER ROCHESTER, INC.
	Agency/Group/Organization Type	Services-Elderly Persons Services-Aging in Place
	What section of the Plan was addressed by Consultation?	Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in stakeholder workshops as well as frequent phone contact.

Identify any Agency Types not consulted and provide rationale for not consulting

No agencies or organizations were deliberately excluded from the consultation process.

Table 3 – Other local / regional / federal planning efforts consulted

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	Partners Ending Homelessness	The goals of the Strategic Plan are in alignment with the goals of the CoC in ending homelessness and the Strategic Plan was developed after consultation with the CoC
Rochester 2034	City of Rochester	The City undertook extensive public outreach in the writing of the Comprehensive Plan. The Con Plan and Annual Plan are implantation tools of Rochester 2034. The strategic plan of the Con Plan and Rochester 2034 are in alignment.
2018 Citywide Housing Market Study	City of Rochester	The Strategic Plan priorities and goals are in alignment with the findings of the housing study.

Narrative (optional)

AP-12 Participation – 91.105, 91.200(c)

1. Summary of citizen participation process/Efforts made to broaden citizen participation
Summarize citizen participation process and how it impacted goal-setting

The City participated in a variety of virtual meetings throughout the year with numerous community groups and not-for-profits. These are included in the consultation section. In addition, the City held virtual public hearings on March 3, 2022 and on June 22, 2022. Members of the public were encouraged to submit comments in two separate online forms. From March 3 through May 6, the public was invited to submit their input on how the City should allocate and prioritize HUD funding for the 2022 program year. From June 13 through July 14, the public was invited to provide feedback on the published draft 2022 Annual Action Plan document and the spending plan within it. An online public input form has been available for the public to submit comments, input, and feedback on how the City should allocate HUD funding. Two additional public hearings on the plan were then held on June 22 and July 14.

Table 4. Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of Response, Attendance	Summary of Comments Received	Summary of Comments not Accepted and Reasons	URL (if applicable)
1	Public Meeting	Non-targeted, broad community	See attachments – Public Input Meeting notes	See attachments – Public Input Meeting	All comments accepted	https://www.cityofrochester.gov/ConsolidatedPlan2020 https://www.youtube.com/watch?v=Rjcb45NSE04&ab_channel=CityofRochester
2	Internet Outreach	Non-targeted, broad community	See attachment – Online Public Input Form Responses	See attachment – Online Public Input Form Responses	All comments accepted	https://forms.microsoft.com/Pages/ResponsePage.aspx?id=LtonvrSU2kGUffYC1g6AfBJpKY5b1ZHos0uTpSbJNFUNEUxOVILTzNUMDgwN1MyTjIOUTJDMFFTNyQIQCN0PWcu

Expected Resources

AP-15 Expected Resources – 91.220(c)(1,2) Introduction

This section describes the federal resources that the City expects to utilize in furthering the goals set forth in this Consolidated Plan.

Table 5. Expected Resources- Priority Table

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 3				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	8,175,063	450,000	0	8,625,063	16,350,126	The expected amount available for the remainder of the Consolidated Plan is two times the FY2022 annual allocation. Program Income is unknown.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 3				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOME	public - federal	Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA	2,755,981	200,000	0	2,955,981	5,511,962	The expected amount available for the remainder of the Consolidated Plan is two times the FY2022 annual allocation. Program Income is unknown.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 3				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOPWA	public - federal	Permanent housing in facilities Permanent housing placement Short term or transitional housing facilities STRMU Supportive services TBRA	1,028,806	0	0	1,028,806	2,057,612	The expected amount available for the remainder of the Consolidated Plan is two times the FY2022 annual allocation.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 3				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
ESG	public - federal	Conversion and rehab for transitional housing Financial Assistance Overnight shelter Rapid re-housing (rental assistance) Rental Assistance Services Transitional housing	704,815	0	0	704,815	1,409,630	The expected amount available for the remainder of the Consolidated Plan is two times the FY2022 annual allocation.

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

The City does not require all sub-recipients to match allocations to sub-recipients. However, sub-recipients typically have additional funding sources and fund-raising activities that allows their agencies and organizations to effectively leverage federal funds awarded. Rochester will provide matching funds as required and will continue to seek funding from other federal sources as well as State, County and private

funding.

In addition, the City will use projected City Development Funding (CDF) in support of the goals of the Consolidated Plan as follows:

Project/Program	CDF Funding Budgeted
Business Development	\$1,300,000
Project Development (Real Estate Development, Housing and Mixed Use)	\$275,000
Homebuyer Assistance	\$150,000
Homebuyer Training	\$50,000
Celebrate City Living	\$25,000
<u>TOTAL</u>	<u>\$1,800,000</u>

If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

The City has a robust program to monitor the status and physical condition of vacant structures located throughout the City. If a structure is vacant, it could be acquired by the City, demolished, and the land used to meet the needs identified in the plan. Or, the City partners with the Rochester Land Bank and the Rochester Housing Development Fund Corporation (RHDFC), an affiliate of the Greater Rochester Housing Partnership (GRHP's), for the HOME Rochester program, or other development partners, to rehabilitate formerly vacant structures and make them available for affordable homeownership and rental opportunities.

The City also has a robust program for monitoring, maintaining, and strategically disposing of City-owned vacant land, which is often offered for sale through Requests For Proposals processes to identify development partners for strategic scattered site as well as larger scale affordable and mixed income housing and mixed-use development.

This program is in alignment with the goals and needs identified in the plan.

Discussion

These much needed federal funds will be utilized to support a variety of vital projects and programs in the city of Rochester.

Annual Goals and Objectives

AP-20 Annual Goals and Objectives

Table 6. Goals Summary

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Increase supply of affordable housing	2020	2024	Affordable Housing	City-wide	Increase Supply and Access To and Quality of Affordable Housing	CDBG: \$230,401 HOME: \$2,180,383	Rental units constructed: 16 Household Housing Unit Homeowner Housing Added: 7 Homeowner Housing Rehabilitated: 14
2	Improve quality of housing stock	2020	2024	Affordable Housing	City-wide	Increase Supply and Access To and Quality of Affordable Housing	CDBG: \$879,159	Homeowner Housing Rehabilitated: 40 Housing Units Rental Housing Rehabilitated: 19 Housing Units
3	Increase access to homeownership	2020	2024	Affordable Housing	City-wide	Increase Supply and Access To and Quality of Affordable Housing	HOME: \$500,000	Direct Financial Assistance to Homebuyers: 57 Households Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
4	Provide Homelessness prevention and homeless housing	2020	2024	Homeless	City-wide	Provide Housing and Services to Homeless and At-Risk	ESG: \$704,815	Tenant-based rental assistance / Rapid Rehousing: 42 Households Assisted Homeless Person Overnight Shelter: 1020 Persons Assisted Overnight/Emergency Shelter/Transitional Housing Beds added: 50 Beds Other: 48 Other
5	Housing and services for persons with HIV/AIDS and	2020	2024	Non-Homeless Special Needs	City-wide	Provide Housing and Services for Persons with Spec	HOPWA: \$997,942	Housing for People with HIV/AIDS added: 193 Household Housing Units
6	Improve Public Infrastructure	2020	2024	Non-Housing Community Development	City-wide	Improve Public Infrastructure and Facilities	CDBG: \$390,511	Other: 0 Other
7	Improve Public Facilities	2020	2024	Non-Housing Community Development	City-wide	Improve Public Infrastructure and Facilities	CDBG: \$781,023	Other: 0 Other

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
8	Provide public services	2020	2024	Non-Housing Community Development	City-wide	Provide Public Services	CDBG: \$1,279,591	Public service activities other than Low/Moderate Income Housing Benefit: 26,361 Persons Assisted Public service activities for Low/Moderate Income Housing Benefit: 446 Households Assisted
9	Demolish dilapidated structures	2020	2024	Non-Housing Community Development	City-wide	Eliminate Slum/Blighting Influences	CDBG: \$1,269,163	Buildings Demolished: 50 Buildings
10	Provide economic and workforce development	2020	2024	Non-Housing Community Development	CDBG Eligible	Economic and Workforce Development	CDBG: \$439,325	Jobs created/retained: 100 Jobs Businesses assisted: 10
11	Revitalize business/commercial areas	2020	2024	Non-Housing Community Development	City-wide	Economic and Workforce Development	CDBG: \$195,255	Businesses assisted: 12

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
12	Provide City planning	2020	2024	Affordable Housing Homeless Non-Homeless Special Needs Non-Housing Community Development	CDBG Eligible	Planning	CDBG: \$243,093	Other: 0 Other
13	Program administration	2020	2024	Affordable Housing Homeless Non-Homeless Special Needs Non-Housing Community Development	CDBG Eligible		CDBG: \$916,071 HOPWA: \$30,864 HOME: \$275,598	Other: 3 Other

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
14	Program delivery	2020	2024	Affordable Housing Homeless Non-Homeless Special Needs Non-Housing Community Development	CDBG Eligible	Program Delivery	CDBG: \$2,001,471	Other: 1 Other

Goal Descriptions

1	Goal Name	Increase supply of affordable housing
	Goal Description	The City may utilize HOME and CDBG dollars to increase supply of and access to affordable rental units and affordable homeownership opportunities in the City.
2	Goal Name	Improve quality of housing stock
	Goal Description	Funding can also provide for making improvements to the quality and safety of units to ensure the health of occupants.
3	Goal Name	Increase access to homeownership
	Goal Description	The City may utilize HOME and CDBG dollars to increase access to homeownership opportunities in the City.

4	Goal Name	Provide Homelessness prevention and homeless housing
	Goal Description	City of Rochester uses ESG funds to address a number of homeless issues including emergency shelter operations, transitional housing, essential services, rapid rehousing, street outreach, and permanent supportive housing; shelter and assistance for victims of domestic violence, unaccompanied youth, persons with health and mental health conditions who are homeless, chronically homeless, veterans, families and individuals; homelessness prevention; and rental and financial assistance for households that are literally homeless or at-risk of homelessness.
5	Goal Name	Housing and services for persons with HIV/AIDS and
	Goal Description	HOPWA dollars may be used for affordable housing, medical and other essential supportive services, and accessibility modifications for persons with HIV/AIDS and their families.
6	Goal Name	Improve Public Infrastructure
	Goal Description	Public infrastructure and facilities in various income-eligible areas of the City are inadequate for current demands. Priority projects in the infrastructure category include the reconstruction or rehabilitation of streets (including installation of bicycle, multimodal elements, and streetscaping amenities, as recommended) and the installation/reconstruction of sidewalks to be brought into compliance with ADA standards to create “complete streets”; the reconstruction or installation of trail, pathways, and pedestrian gateways to parks, playgrounds, recreation centers, or other open spaces; water and sewer improvements and/or storm water management improvements; and broadband improvements to bridge the digital divide. Infrastructure improvement projects will contribute to the safety and quality of life of neighborhoods and municipalities throughout the City and will benefit LMI persons. Commercial corridor improvement projects are also public improvements that would also be a priority in this category. When necessary, interim maintenance may also be conducted on streets to prevent further deterioration until a full scale reconstruction is practicable.

7	Goal Name	Improve Public Facilities
	Goal Description	Public facilities in various income-eligible areas of the City are inadequate for current demands. Priority projects in the public facilities category includes design and construction of recreation center facilities, as well as play apparatus, spray parks, and recreation or athletic amenities in parks and playgrounds, including the installation of updated equipment and safety surfaces, where needed, to achieve compliance with current Consumer Product Safety Commission and ADA guidelines. Additional projects that would improve access to broadband service and close the digital divide are also a priority. Facility improvement projects will contribute to the safety and quality of life of neighborhoods and municipalities throughout the City and will benefit LMI persons.
8	Goal Name	Provide public services
	Goal Description	Providing public services is a high priority for the City. Dollars may be used for a wide array of activities that support community development, business development, fair housing, legal services, housing services, healthy housing, neighborhood development, senior services, youth development, parks and recreation, arts and culture, public safety, community health, health services, financial empowerment, family self-sufficiency, education, childcare, transportation, food access, or other community supportive services.
9	Goal Name	Demolish dilapidated structures
	Goal Description	To provide safe, livable communities for its residents, the City may use federal funds to acquire, demolish, and dispose of blighted properties that present safety hazards in various communities throughout the City.
10	Goal Name	Provide economic and workforce development
	Goal Description	The City may use federal dollars for activities that promote employment, self-sufficiency, education, job training, technical assistance, business attraction and retention, façade grants, commercial corridor development/revitalization, and economic empowerment of low- and moderate-income persons.
11	Goal Name	Revitalize business/commercial areas
	Goal Description	The City may use federal dollars for activities that support the revitalization of business and commercial areas. Potential programs may provide loans, grants, grant-to-loans/loans-to-grants and interest rate subsidies to community organizations, city businesses, or businesses which are relocating to the City which are expanding and creating jobs within identified commercial corridor areas.

12	Goal Name	Provide City planning
	Goal Description	The City will provide comprehensive and strategic planning, including community engagement, to guide the implementation of federal, state and local programs and policy.
13	Goal Name	Program administration
	Goal Description	Effective administration of CDBG, HOME, ESG and HOPWA programs.
14	Goal Name	Program delivery
	Goal Description	Program delivery covers the development of overall economic and community development policies and provides support services, coordination of real estate development, management of feasibility and consultant studies, monitoring of legal and professional service agreements, reparation of site and financial analyses, performing market research, outreach to businesses, preparation of loan packages and support, pre-development services, outreach, financial, technical and site selection assistance to businesses, organization of neighborhood commercial business assistance programs. It also includes staff costs for the planning, coordinating, and monitoring of HUD-funded housing initiatives.

Projects

AP-35 Projects – 91.220(d)

Introduction

The projects included in the 2022-23 Annual Action Plan are listed below. Funds will be used for a variety of activities that work towards promoting economic stability, improving the housing stock, providing for community needs, and other activities such as program delivery, as well as planning and administration of funds.

Table 4 - Project Information

#	Project Name
1	Business Development Financial Assistance Program
2	Neighborhood Commercial Assistance Program
3	Street Liaison
4	HOME Rochester Program Management
5	Aging in Place
6	Legal Services for Seniors
7	Tenant and Landlord Services
8	Foreclosure Prevention
9	Demolition Program
10	Lead Hazard Control Program
11	Housing Rehabilitation Program
12	Emergency Assistance Program (EAP)
13	Project Phoenix
14	Fire Department Small Equipment
15	Residential Street Rehabilitation
16	STEAM Engine
17	DRHS Play Apparatus
18	Job Creation/Youth Development
19	Connecting Children to Nature
20	Employment Opportunity Job Training Support
21	Youth Leadership and Civic Engagement (YVOV)
22	International Plaza
23	Emergency Solutions Grants (ESG)
24	Housing Opportunities for Persons with AIDS (HOPWA)
25	Community Housing Development Organization (CHDO) Reserve
26	Affordable Housing Fund
27	Homebuyer Assistance
28	Homebuyer Training
29	Planning
30	Administration
31	Neighborhood and Business Program Delivery

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

CDBG and HOME funds are intended to provide low- and moderate-income households with viable communities, including decent housing, a suitable livable environment, and expanded economic opportunities. Eligible activities include community facilities and improvements, removal of blighting conditions, housing rehabilitation and preservation, affordable housing development activities, public services, economic development, planning, and administration. ESG and HOPWA funds are intended to assist persons and households experiencing homelessness and homelessness prevention and housing and services for persons with HIV/AIDS and their families, respectively.

The system for establishing the priority for the selection of FY 2022 projects is predicated upon the following criteria:

- Meeting the statutory requirements of the CDBG, HOME, ESG and HOPWA programs
- Meeting the needs of very low-, low-, and moderate-income residents
- Focusing on low-to-moderate income areas or neighborhoods
- Coordination and leveraging of resources
- Response to expressed needs
- Sustainability and/or long-term impact
- Ability to measure or demonstrate progress and success
- Existence of blighting conditions
- Focusing on the needs of persons and households experiencing homelessness and the need for homeless prevention
- Focusing on the needs of persons with HIV/AIDS and their families

The primary obstacle to meeting underserved needs is the limited funding resources available to address identified priorities. In addition, the gap in what households can afford to pay for housing and the price of housing is another obstacle to meeting the needs of the underserved. The City has a significant affordable housing stock, yet the income level for individual households such as single parent, elderly, disabled, or others of limited economic means, is not sufficient to afford even the lowest priced of the market-rate units.

The effects of greater community needs in the form of homelessness, risk of homelessness, the increased demand for supportive services (particularly for persons with multi-system contact) paired with slightly rising costs of rent and declining wages and an aging housing stock in need of revitalization puts added pressures on the need for affordable housing within the City.

The City will continue to collaborate with other public agencies and non-profit organizations, when feasible, to leverage resources, and maximize outcomes in housing and community development.

AP-38 Project Summary
Project Summary Information

1	Project Name	Business Development Financial Assistance Program
	Target Area	City-wide
	Goals Supported	Provide economic and workforce development
	Needs Addressed	Economic and Workforce Development
	Funding	CDBG: \$439,325
	Description	The program provides loans, grants, grant-to-loans/loans-to-grants and interest rate subsidies to city businesses or businesses which are relocating to the City which are expanding and creating jobs. Funding can be used for purchase and renovation (interior and exterior) of real estate, purchase of machinery and equipment (including security equipment), predevelopment services including environmental testing, feasibility & planning studies and architectural assistance, targeted support to MWBEs, and working capital including payroll, insurance, utilities, lease/mortgage, inventory, supplies, architectural and accounting services. Funds may also be used in environmental remediation associated with projects in development.
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	12 businesses; 150 jobs created/retained
	Location Description	City-wide
	Planned Activities	All activities focus on facilitating business growth for established and new businesses which are creating and retaining jobs. Funds for building construction, expansions and facades improve the appearance of neighborhood commercial corridors and industrial parks and areas. Funds are utilized to recruit a diverse set of new businesses and increase the number of entrepreneurial firms.
2	Project Name	Neighborhood Commercial Assistance Program
	Target Area	City-wide
	Goals Supported	Revitalize business/commercial areas
	Needs Addressed	Economic and Workforce Development
	Funding	CDBG: \$195,255

	Description	This program supports the Small Business Grant program for essential neighborhood service businesses and micro-enterprises and businesses that create jobs for low and moderate income individuals.
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	27 businesses assisted
	Location Description	City-wide
	Planned Activities	All activities focus on facilitation of business growth for established and new businesses which are creating and retaining jobs. Funding for this program is aligned with the strategic plan to facilitate business growth and enhance neighborhoods by retaining anchor businesses, growing existing businesses, increasing the capacity of business associations, and creating and retaining jobs.
3	Project Name	Street Liaison
	Target Area	City-wide
	Goals Supported	Provide public services
	Needs Addressed	Economic and Workforce Development
	Funding	CDBG: \$90,000
	Description	Function as a liaison between the City of Rochester Business Development Team, Neighborhood Service Center Administrator and neighborhood businesses; Provide detailed reports of business activities and concerns faced by businesses to City of Rochester staff; Work collaboratively with business associations, and City of Rochester staff to attract and populate business corridors with products and services that meet the consumer needs of the local and greater community.
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	200 businesses
	Location Description	City-wide

	Planned Activities	Street liaisons function as a liaison between the City of Rochester Business Development Team, Neighborhood Service Center Administrator and neighborhood businesses; Provide detailed reports of business activities and concerns faced by businesses to City of Rochester staff; Work collaboratively with business associations, and City of Rochester staff to attract and populate business corridors with products and services that meet the consumer needs of the local and greater community.
4	Project Name	Home Rochester Program Management
	Target Area	City-wide
	Goals Supported	Increase supply of affordable housing
	Needs Addressed	Increase Supply and Access To Quality of Affordable Housing
	Funding	CDBG: \$181,588
	Description	Funds provide operating support to facilitate development from a City-designated Community Housing Development Organization (CHDO), resulting in reduced blight and increased homeownership opportunities for low-moderate income households.
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	To be determined
	Location Description	City-wide
	Planned Activities	Complete high-quality rehab of vacant properties and sell to income-qualified owner occupants.
5	Project Name	Aging in Place
	Target Area	City-wide
	Goals Supported	Provide Public Services
	Needs Addressed	Provide Public Services
	Funding	CDBG: \$39,051

	Description	This program provides support services to keep elders productive in the community. This project offers a safety assessment of the home environment and makes a variety of minor improvements and repairs such as bathroom grab bars, stairway hand rails and door grips, all designed to avoid accidents and maintain household safety. In addition, Lifespan provides consumer education sessions and if necessary, consumer support case management around home repairs.
	Target Date	06/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	126 Households Assisted
	Location Description	City-wide
	Planned Activities	Households for elderly are surveyed and minor modifications are made. Consumer education sessions are held and cases involving consumer support for home repair problems are conducted and closed.
6	Project Name	Legal Services for Seniors
	Target Area	City-wide
	Goals Supported	Provide public services
	Needs Addressed	Provide Public Services
	Funding	CDBG: \$29,288
	Description	Provides assistance with legal services for the elderly that would improve their quality of life. This includes but is not limited to wills and estates, elder abuse, consumer fraud, home repair complaints, accessing medical services, and foreclosure prevention.
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	39 Persons Assisted
	Location Description	City-wide

	Planned Activities	Provides assistance with legal services for the elderly that would improve their quality of life. This includes but is not limited to wills and estates, elder abuse, consumer fraud, home repair complaints, accessing medical services, and foreclosure prevention.
7	Project Name	Tenant and Landlord Services
	Target Area	City-wide
	Goals Supported	Provide public services
	Needs Addressed	Provide Public Services
	Funding	CDBG: \$78,102
	Description	Services to low/mod income tenants who face eviction due to improperly issued eviction notices, loss of income, or warrant habitability issues receive legal representation, workshops proactively educate tenants on rights and responsibilities. Landlords seeking immediate assistance regarding their rental property and tenant management receiving counseling on a one-one-one basis to maintain their properties and businesses to the highest standards.
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	174 Households Assisted
	Location Description	City-wide
	Planned Activities	Legal advocacy, assistance in avoidance of immediate eviction, alternative dispute resolution and counseling to landlords and tenants, tenant workshops, landlord assistance including the housing hotline.
8	Project Name	Foreclosure Prevention
	Target Area	City-wide
	Goals Supported	Provide public services
	Needs Addressed	Provide Public Services
	Funding	CDBG: \$122,327

	Description	Two projects work interdependently to assist low to moderate income households to avoid foreclosures due to mortgage default, predatory loans, or tax default. The Housing Council program analyzes the financial and household situation and work with the client, mortgage lender, realtors, lawyers, and other appropriate parties to prevent the loss of the property to foreclosure. Strategies include budget restructuring, forbearance options, reinstatement, sale and bankruptcy, and assistance related to New York State mandated settlement conferences. Empire Justice helps households avoid foreclosure with direct legal services and/or representation for mandatory settlement conferences or to combat predatory/unfair lending and servicing practices. Additional households receive expert advice on loans and referrals to appropriate agencies.
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	107 Households Assisted
	Location Description	City-wide
	Planned Activities	Funds go to a HUD-certified counseling agency (The Housing Council) or statewide legal agency (Empire Justice), both with decades of experience, to provide direct counseling services to households at risk of mortgage or tax default.
9	Project Name	Demolition Program
	Target Area	City-wide
	Goals Supported	Demolish dilapidated structures
	Needs Addressed	Eliminate Slum/Blighting Influences
	Funding	CDBG: \$1,269,163
	Description	Funds will be used for demolition of residential, industrial and/or commercial properties. Includes implementation of a Clean Demo Strategy, which requires the removal of all subsurface concrete structures and utilizing clean virgin soil for backfill focused on targeted areas of past and future development.
	Target Date	6/30/2023

	Estimate the number and type of families that will benefit from the proposed activities	50 Buildings
	Location Description	City-wide
	Planned Activities	Demolish approximately 50 vacant and blighted buildings.
10	Project Name	Lead Hazard Control Program
	Target Area	City-wide
	Goals Supported	Improve quality of housing stock
	Needs Addressed	Increase Supply and Access To and Quality of Affordable Housing
	Funding	CDBG: \$219,662
	Description	Funds will be used for rehab costs to mitigate lead hazards in residential units
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	19 Household housing units
	Location Description	City-wide
11	Planned Activities	Rehab 19 units
	Project Name	Housing Rehabilitation Program
	Target Area	City-wide
	Goals Supported	Improve quality of housing stock
	Needs Addressed	Increase Supply and Access To and Quality of Affordable Housing
	Funding	CDBG: \$561,870
	Description	This program will provide financial assistance for rehabilitation to eligible property owners to address blight and hazards. The funds will be distributed through a variety of efforts including to: enhance targeted areas of development, address city-wide blight and health and safety hazards.
	Target Date	6/30/2023

	Estimate the number and type of families that will benefit from the proposed activities	21 Household Housing Units
	Location Description	City-wide
	Planned Activities	Rehabilitation grants
12	Project Name	Emergency Assistance Program (EAP)
	Target Area	City-wide
	Goals Supported	Improve quality of housing stock
	Needs Addressed	Increase Supply and Access To and Quality of Affordable Housing
	Funding	CDBG: \$97,627
	Description	This program will provide financial assistance to address emergencies including, but not limited to, heat and/or hot water tanks for income eligible owner-occupants.
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	19 Household Housing Units
	Location Description	City-wide
13	Planned Activities	Emergencies including, but not limited to, replace furnaces and/or hot water heaters for low/mod owner occupants
	Project Name	Project Phoenix Program
	Target Area	City-wide
	Goals Supported	Increase Supply of Affordable Housing
	Needs Addressed	Increase Supply and Access To and Quality of Affordable Housing
	Funding	CDBG: \$48,813
	Description	This program will provide construction industry workforce development training via a non-profit that rehabilitates vacant homes for future residential occupancy.
	Target Date	6/30/2023

	Estimate the number and type of families that will benefit from the proposed activities	15 Persons 3 household housing units
	Location Description	City-wide
	Planned Activities	Rehabilitation of vacant structures.
14	Project Name	Fire Department Small Equipment
	Target Area	City-wide
	Goals Supported	Provide public services
	Needs Addressed	Provide Public Services
	Funding	CDBG: \$53,695
	Description	The Rochester Fire Department (RFD) provides and installs free smoke and carbon monoxide detectors to families living in residential properties. The RFD coordinates and implements fire prevention and education programs to reach at-risk target populations.
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	1,659 Households Assisted
	Location Description	City-wide
15	Planned Activities	Distribution of smoke detectors and carbon monoxide detectors
	Project Name	Residential Street Rehabilitation
	Target Area	City-wide
	Goals Supported	Improve Public Infrastructure
	Needs Addressed	Improve Public Infrastructure and Facilities
	Funding	CDBG: \$390,511
	Description	This project will support residential street maintenance and rehabilitation including milling and resurfacing, new curbs, sidewalks, water, receiving basins, and street lighting improvements for residential streets.
	Target Date	6/30/2023

	Estimate the number and type of families that will benefit from the proposed activities	To be determined
	Location Description	City-wide
	Planned Activities	Residential street maintenance and rehabilitation including milling and resurfacing, new curbs, sidewalks, water, receiving basins, and street lighting improvements for residential streets.
16	Project Name	STEAM Engine
	Target Area	City-wide
	Goals Supported	Provide public services
	Needs Addressed	Provide Public Services
	Funding	CDBG: \$165,966
	Description	<p>The S.T.E.A.M. (Science, Technology, Engineering, Arts and Math) Engine is a mobile education and recreation program that will engage youth in free, high quality STEM and visual/performing arts activities in parks, playgrounds, recreation centers, and cultural art institutions. The program will be delivered using the Rec on the Move model, which brings activities, equipment, staff, and all of the benefits of R Centers right to youth and families in their neighborhoods.</p> <p>A portion of the STEAM Engine funds will continue to support the delivery of community-based music instruction through the ROCmusic program, an after-school and summer music education program that offers tuition-free instrumental music instruction, small and Large group lessons, and performance opportunities at R-Centers</p> <p>This allocation will cover \$25,000 of supplies and public services, and \$145,000 of staff program delivery costs</p>
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	2,928 Persons Assisted

	Location Description	City-wide
	Planned Activities	Deliver weekly neighborhood-based mobile arts engagement & STEM program in R-Centers.. Deliver weekly classical music instruction, instrument lessons, and performance opportunities to Rochester youth in grades 1-12 at R-Centers.
17	Project Name	DRHS Play Apparatus
	Target Area	City-wide
	Goals Supported	Improve Public Facilities
	Needs Addressed	Improve Public Infrastructure and Facilities
	Funding	CDBG: \$781,023
	Description	Includes design and construction of play apparatus at several parks and playgrounds, including the installation of updated equipment and safety surface as needed and to maintain compliance with current Consumer Product Safety Commission and ADA guidelines.
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	To be determined
	Location Description	City-wide
	Planned Activities	Play apparatus at various City parks and playgrounds.
18	Project Name	Job Creation/Youth Development
	Target Area	City-wide
	Goals Supported	Provide public services Provide economic and workforce development
	Needs Addressed	Provide Public Services Economic and Workforce Development
	Funding	CDBG: \$488,139

	Description	This project will support City of Rochester Department of Recreation and Human Services programs and activities, including the Summer of Opportunity program, that provide year-round job readiness, work awareness, and basic occupational skills development for low/mod income youth seeking employment or career exploration services. The funds will be used to lend support to programs or activities that are identified as providing an integrated environment for the provision of job readiness, work awareness, and basic occupational skills development for low/mod income city residents seeking employment or vocational or career exploration services. It will also provide asset development opportunities for youth and skills development for adults.
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	781 Persons Assisted
	Location Description	City-wide
	Planned Activities	The activity will include programs and activities that provide job readiness, work readiness, basic occupational skills development, asset development opportunities and skills development. Both youth and adults may be served by the activity.
19	Project Name	Connecting Children to Nature
	Target Area	City-wide
	Goals Supported	Provide public services
	Needs Addressed	Provide Public Services
	Funding	CDBG: \$42,175
	Description	This project will expand on the Mayor's 10-Minute Walk to Parks pledge and the City's commitment to the Cities Connecting Children to Nature initiative by providing park activation and environmental education programming for City of Rochester residents.
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	976 Persons Assisted

	Location Description	City-wide
	Planned Activities	Coordinate and implement a wide array of environmental and parks programming tailored to diverse audiences, including parks stewardship, environmental education, volunteer activities, family-friendly fitness activities in parks, and nature-based play activities.
20	Project Name	Employment Opportunity Job Training Support
	Target Area	City-wide
	Goals Supported	Provide public services Provide economic and workforce development
	Needs Addressed	Provide Public Services Economic and Workforce Development
	Funding	CDBG: \$55,355
	Description	This program will fund staff to coordinate employment readiness and career awareness training to city students, ages 14-20, as well as leadership skills development including social and interpersonal skills, conflict resolution, team building, and decision making. Additionally, students will participate in internships with the City and/or local employers. Funding will provide workforce development support to low/mod income city youth participating in the program in the form of resume consultation and development, interview skills development, and job placement assistance.
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	97 Persons Assisted
	Location Description	City-wide
	Planned Activities	The focus of the program is to train youth ages 14-20 on the soft and hard skills needed to obtain and maintain a job, exposure to community members who can talk to the importance of education and how it is a direct link to picking a career, and moving out of poverty and giving back to the community through community service. The youth who participate in the program move on to apply for the Summer of Opportunity Program for job placement.
21	Project Name	Youth Leadership and Civic Engagement (YVOV)

	Target Area	City-wide
	Goals Supported	Provide public services
	Needs Addressed	Provide Public Services
	Funding	CDBG: \$70,585
	Description	Provide youth-led civic engagement support to low/mod income youth ages 10-19 participating in City of Rochester leadership training and youth development programs. Funding will support staff to coordinate youth civic engagement and entrepreneurship opportunities and leadership skills development including social and interpersonal skills, conflict resolution, event planning, service learning, team building, decision making, and work readiness. It will support the Youth Voice, One Vision – the Mayor's Youth Advisory Council, Roc My Brother's Keeper, and other youth development programming. Each R-Center will have its own leadership group of at least 10 members. Programming will take place in school and community-based settings.
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	195 Persons Assisted
	Location Description	City-wide
	Planned Activities	Youth engaged in this program will work to alleviate problems within their neighborhoods, R-Centers, and schools. Youth learn life skills by attending workshops and by developing positive peer relationships and mentoring relationships with adults. Youth members learn concrete skills related to civic engagement and advocacy, politics and government, and producing community events that prepare them for planning for future life events, college and careers. They see real improvements to their communities as they carry out community service projects thus gaining confidence in their personal efficacy.
22	Project Name	International Plaza
	Target Area	City-wide
	Goals Supported	Provide public services
	Needs Addressed	Provide Public Services
	Funding	CDBG: \$44,908

	Description	CDBG funding will be used to support the management and daily operations of the La Marketa at the International Plaza. La Marketa is a public gathering and event space for community and culturally relevant programming as well as a market space that will offer a variety of vending options ranging from pop-up tents and carts to more permanent retail locations housed in converted shipping containers. Local entrepreneurs can sell their products and services in a low risk, low cost, flexible environment that is envisioned to become an incubator for neighborhood economic development.
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	19,525 Persons Assisted
	Location Description	City-wide
	Planned Activities	Oversee International Plaza operations including vendor recruitment and management, special event & promotional development activities, site maintenance, community engagement activities, nutrition education, and culturally relevant experiential learning opportunities.
23	Project Name	Emergency Solutions Grants (ESG)
	Target Area	City-wide
	Goals Supported	Provide Homelessness prevention and homeless housing
	Needs Addressed	Provide Housing and Services to Homeless and At-Risk
	Funding	ESG: \$704,815
	Description	The program will support homelessness prevention, emergency shelter operations, coordinated entry, rapid re-housing, street outreach, and planning and administration efforts.
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	42 Households Assisted Tenant-based Rental Assistance/Rapid Rehousing 1020 Persons Assisted Homeless Person Overnight Shelter 50 Persons Assisted Homelessness Prevention 48 Persons Assisted Other (Street Outreach programs with case management and housing search as 2 main components)
	Location Description	City-wide

	Planned Activities	Street outreach, coordinated access/entry, rapid rehousing, homelessness prevention, emergency shelter operations and support services to qualified households that meet the appropriate definition of "homeless" or, for homelessness prevention activities, households that meet the HUD definition of "at risk of homelessness." \$52,861 of the funds (7.5% of the allocation) will be used for the City's administration of the ESG Program. That amount is included in this project as only one activity can be listed for this funding source.
24	Project Name	Housing Opportunities for Persons with AIDS (HOPWA)
	Target Area	City-wide
	Goals Supported	Housing and services for persons with HIV/AIDS
	Needs Addressed	Provide Housing and Services for Persons with Special Needs
	Funding	HOPWA: \$997,942
	Description	Provides rental assistance and other supportive services to low income households where a member of the household has been diagnosed with HIV/AIDS.
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	193 Households Assisted
	Location Description	City-wide
	Planned Activities	Rental assistance and other supportive services to those with HIV/AIDS.
25	Project Name	Community Housing Development Organization (CHDO) Reserve
	Target Area	City-wide
	Goals Supported	Increase supply of affordable housing
	Needs Addressed	Increase Supply and Access To and Quality of Affordable Housing
	Funding	HOME: \$413,398
	Description	Subsidy funds to facilitate development from City-designated Community Housing Development Organizations (CHDOs), resulting in reduced blight and increased homeownership and rental housing opportunities for low-moderate income households.
	Target Date	6/30/2023

	Estimate the number and type of families that will benefit from the proposed activities	7 Quality Housing Units coming online.
	Location Description	City-wide
	Planned Activities	Development and rehabilitation assistance to complete high-quality rehab of vacant properties and sell to income-qualified owner occupants and/or the development of high quality affordable rental units.
26	Project Name	Affordable Housing Fund
	Target Area	City-wide
	Goals Supported	Increase supply of affordable housing
	Needs Addressed	Increase Supply and Access To and Quality of Affordable Housing
	Funding	HOME: \$1,766,985
	Description	Provides gap financing for the development and/or rehabilitation of affordable housing. Funds may be for costs associated with new and/or rehabilitated housing; energy efficiency and sustainability features, enhancements, and/or improvements; approved soft costs or limited pre-development costs necessary to create or continue the availability of affordable rental and homeownership housing.
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	16 Rental Units Constructed. 7 Homeowner Housing Units Added 4 Homeowner Housing Units Rehabilitated
	Location Description	City-wide
	Planned Activities	Gap financing for the development of affordable housing.
27	Project Name	Homebuyer Assistance
	Target Area	City-wide
	Goals Supported	Increase access to homeownership
	Needs Addressed	Increase Supply and Access To and Quality of Affordable Housing
	Funding	HOME: \$450,000
	Description	Provides down payment and closing cost assistance of up to \$8,000.
	Target Date	6/30/2023

	Estimate the number and type of families that will benefit from the proposed activities	57 Households Assisted
	Location Description	City-wide
	Planned Activities	Down payment and closing cost assistance
28	Project Name	Homebuyer Training
	Target Area	City-wide
	Goals Supported	Increase access to homeownership
	Needs Addressed	Increase Supply and Access To and Quality of Affordable Housing
	Funding	HOME: \$50,000
	Description	Provide pre and post-purchase training for first time homebuyers to the city.
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	The households that are assisted with HOME and CDF funds from this program are already counted in the Homebuyer Assistance program.
	Location Description	City-wide
29	Planned Activities	Pre and post-purchase training for first time homebuyers in the city.
	Project Name	Planning
	Target Area	City-wide
	Goals Supported	Provide City planning
	Needs Addressed	Planning
	Funding	CDBG: \$243,093
	Description	Funds are used to reimburse salary and fringe of City staff in the Office of Planning.
	Target Date	6/30/2023

	Estimate the number and type of families that will benefit from the proposed activities	Other 1
	Location Description	City-wide
	Planned Activities	Planning staff
30	Project Name	Administration
	Target Area	City-wide
	Goals Supported	Program administration
	Needs Addressed	Administration
	Funding	CDBG: \$916,071 HOPWA: \$30,864 HOME: \$275,598
	Description	This project provides funds to cover the overall administration and monitoring of the City's HUD programs, including CDBG Program Management (\$478,865), CDBG Indirect Costs like legal and financial services (\$437,206), HOPWA administration (\$30,864), and HOME administration (\$275,598).
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	Other 3
	Location Description	City-wide
	Planned Activities	Program management, monitoring, legal and financial services, contracting, coordination, and administration of federal programs.
31	Project Name	Neighborhood and Business Program Delivery

	Target Area	City-wide
	Goals Supported	Program delivery
	Needs Addressed	Program Delivery
	Funding	CDBG: \$2,001,471
	Description	The allocation provides funds to cover the direct staff costs of delivering the specific projects listed above (not including projects 29 or 30) including but not limited to: the provision of technical and support services, coordination of real estate development, management of feasibility and consultant studies, monitoring of legal and professional service agreements, preparation of site and financial analyses, performing market research, outreach to businesses, preparation of loan packages and support, pre-development services, outreach, financial, technical and site selection assistance to businesses, organization of neighborhood commercial business assistance programs. Funds are used for staff involved in the planning, coordinating, and monitoring of the City's housing initiatives.
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	Other 1
	Location Description	Not applicable

	Planned Activities	Development of overall economic and community development policies and provide support services, coordination of real estate development, management of feasibility and consultant studies, monitoring of legal and professional service agreements, preparation of site and financial analyses, performing market research, outreach to businesses, preparation of loan packages and support, pre-development services, outreach, financial, technical and site selection assistance to businesses, organization of neighborhood commercial business assistance programs. Funds are also used for staff involved in the planning, coordinating, and monitoring of the City's housing initiatives.
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AP-50 Geographic Distribution – 91.220(f)

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

Assistance will be directed throughout the City based on income eligibility and areas in which the percentage of households with incomes at or below 80% AMI is at least 51%. The selected projects are largely based on an applicant household's income more than being placed-based investments.

Geographic Distribution

Table 5 - Geographic Distribution

Target Area	Percentage of Funds
City-wide	99
CDBG Eligible	1

Rationale for the priorities for allocating investments geographically

Not all of the housing and community development needs identified in this plan can be addressed over the next five years, due primarily to the limited resources available. Therefore, the City has established priorities to ensure that scarce funds are directed to the most pressing needs. The selection of non-housing community development priorities reflects the City's desire to create appreciable and lasting living environment improvements throughout the City. Specific geographic targeting of housing investment reflects the need to affirmatively further fair housing by directing development to a broader range of neighborhoods and communities and broadening the supply of affordable housing outside of historically saturated areas. HOPWA funds are allocated based in part on the agencies' abilities to effectively serve clients who are eligible for housing and services under HOPWA.

Discussion

The funding priorities and decisions for the City of Rochester are based in a process of neighborhood engagement that provides the basis for funding. The Quadrant Team approach was developed, in part, to assist in the process of identifying neighborhood issues and responding through a cross-functional team approach. The Quad Team approach is threefold: communication, short-term problem-solving, and prioritization of longer-term projects. The long-term goal is to create a process of ongoing issue identification, resource allocation, and prioritization that continually informs the City on economic development, housing, and social issues. The City consults with community groups, nonprofit groups and City department staff on an ongoing basis in the preparation of the Annual Action Plan. The City used statistical data compiled from a variety of sources to prepare a community profile, housing market analysis, and housing needs assessment that is included in the Five Year Consolidated Plan. The City's federal funding priorities will also adhere to the following guidelines: At least 70% of CDBG expenditures will be for activities that benefit low- and moderate-income families.

The amount of funds proposed for public service activities will not exceed 15% of the annual CDBG amount, including program income. The amount of funds proposed for planning and administration activities will not exceed 20% of the annual CDBG amount, including program income. The City's federal CDBG funds are intended to provide low- and moderate-income households with viable communities, including decent housing, a suitable living environment, and expanded economic opportunities. Eligible activities include community facilities and improvements, housing rehabilitation and preservation, affordable housing development activities, public services, economic development, planning, and administration. The system for establishing the priority for the selection of these projects is predicated upon the following criteria: Meeting the statutory requirements of the CDBG Program; Meeting the needs of low- and moderate-income residents; Focusing on low- and moderate-income areas or neighborhoods; Coordination and leveraging of resources; Response to expressed needs; Sustainability and/or long-term impact, and the Ability to demonstrate measurable progress and success. The City of Rochester also utilizes economic development tools to promote revitalization and growth. These include the Empire Zone Tax Incentive. Although this program expired June 30, 2010 for new entrants into the program, the current zone certified businesses must comply with program requirements to assure continuation of their benefits.

Affordable Housing

AP-55 Affordable Housing – 91.220(g)

Introduction

The City is committed to creating and preserving affordable housing for homeless, non-homeless and special needs populations through a variety of methods including rental assistance, production of new units, rehabilitation of existing units and acquisition.

The City will use HOME funds and a portion of CDBG funds for new affordable housing units and to rehabilitate existing housing units. Both the special needs population and the homeless population will be served through grants to local service providers. There are no CDBG or HOME supported affordable housing programs targeted to special needs populations at this time. In Program Year 2022-23, the City of Rochester will administer the following programs to preserve and increase access to affordable housing:

- Single and Multifamily Housing Program – new construction, rehabilitation, and homebuyer assistance
- CHDO – supporting community organizations to develop affordable housing
- ESG – homelessness prevention and rapid rehousing
- HOPWA – Tenant-based Rental Assistance (TBRA) and Short-term rent, mortgage, and utility (STRMU) assistance

Table 6 - One Year Goals for Affordable Housing by Support

One Year Goals for the Number of Households to be Supported	
Homeless	42
Non-Homeless	159
Special-Needs	193
Total	394

Table 7 - One Year Goals for Affordable Housing by Support Type

One Year Goals for the Number of Households Supported Through	
Rental Assistance	235
The Production of New Units	20
Rehab of Existing Units	82
Acquisition of Existing Units	57
Total	394

Discussion

Acquisition of units in the above table includes financial assistance to homebuyers in acquiring a home through down payment and closing cost assistance programs.

Introduction

RHA currently has a copy of its Five-Year Plan (October 2020 – September 2024), Annual Plan and Capital Fund Program Plan available on the RHA website. This section outlines plans and actions that will be undertaken by RHA in the coming year to address the needs of those in public housing as well as the physical needs of the units. RHA updates the plan annually and submits it to HUD for approval. Once HUD approval is received the new plan is posted on RHA's website.

Actions planned during the next year to address the needs to public housing

RHA will continue to provide quality affordable housing and services for its residents. RHA meets with our resident councils, resident Commissioners, staff and neighborhood associations to address needs and discuss upcoming projects. We take all suggestions and recommendations from these sources into consideration when preparing the five-year Capital Improvement plan. Due to ever changing conditions, items are prioritized and can fluctuate within the plan from year to year. Some planned activities that make use of capital funds include interior and exterior renovations, weatherization, systems upgrades, site work to increase security (lighting, sidewalks, etc.) as well as parking improvements, renovation of laundry facilities and common areas and new windows, roofing, gutters and siding. RHA will identify sites through a physical needs assessment process and prioritize the most strategic use of its Capital Funds to preserve its Public Housing stock. Capital Funds may also be used for development activities and will be used to renovate Scattered Site properties and prepare identified properties for homeownership. RHA will participate in task forces and initiatives to address the housing quality, homelessness, and emergency housing needs in the community.

RHA is continuing its initiative to "Change the Face of Public Housing" by renovating and constructing new units that residents will be proud to call home. RHA will continue its "Beautification Initiative" for all its public housing developments that will not only enhance curb appeal but the rest of the property as well. RHA has been awarded a NYS Preservation Opportunity Program grant to develop plans for the preservation of public housing and is currently working with consultants. Strategies are being developed to preserve Harriet Tubman Estates, Lena Gantt, Holland Townhouses, Bay-Zimmer Apts, and scattered sites in the CONEA and Market View Heights neighborhoods. Physical needs assessments and environmental testing of other public housing properties is also being performed with the grant funds. RHA is advancing the redevelopment of its public housing sites; Federal Street Townhouses/Scattered Sites Rental Assistance Demonstration (RAD) project, Parliament Arms, Fairfield Village and Glenwood/Fernwood. These redevelopment projects may increase or decrease the number of available public housing units with the goal of increasing the number of quality affordable housing units. RHA has room in its Faircloth limit to add public housing units to its portfolio. RHA was awarded Low Income Housing Tax Credits (LIHTC) and other sources of funding to redevelop Federal St. Townhouses/Scattered Sites with construction beginning later this year. RHA may also issue its

own bonds for development activities and acquiring property. RHA intends to apply for NYS funding opportunities for development and capital improvement activities. RHA will be applying to New York State Housing and Community Renewal's Public Housing Preservation Program (PHP) when the NOFA is available. PHP is a partnership among HCR, HUD, Federal Public Housing Authorities (PHAs) outside New York City and collaborating with private for profit and non-profit developers to address the needs of these properties and assist RHA in completing their plans to ensure the long-term sustainability of existing public housing units. HCR will coordinate with RHA and HUD to develop and implement a five-year strategy to preserve public housing units, address their need for capital improvements, and ensure their continued affordability. RHA intends on using Capital Funds to further its mission of "Changing the Face of Public Housing." RHA will identify sites through a physical needs assessment process and prioritize the most strategic use of its Capital Funds to preserve its Public Housing stock. Capital Funds may also be used for development activities and will be used to renovate Scattered Site properties and prepare identified properties for homeownership. RHA will participate in task forces and initiatives to address the housing quality, homelessness, and emergency housing needs in the community.

The RHA Resident Service Department is also working hard to partner with other agencies that can assist our residents in self-sufficiency initiatives and goals. Training, employment, and life skills are a focus in the upcoming year.

Actions to encourage public housing residents to become more involved in management and participate in homeownership

RHA will continue to administer a Family Self-Sufficiency (FSS) program. Under this program, families set goals and a case manager works with them to achieve the goals over a 5-year period. Some families' goals include homeownership. FSS funds four case manager positions. Per HUD guidelines, one case manager position is funded for the first 25 families and an additional case manager for each additional 50 families. There is a waiting list to participate among Section 8 families; open slots are currently available for public housing residents. Outreach to those PH residents consists of management referrals, brochures, and door to door outreach.

RHA will continue to assist participants in the Family Self Sufficiency (FSS) program to achieve their homeownership goals by providing participants with homeownership activities such as credit counseling, building escrow savings accounts, linking them with local grant opportunities and other local homeownership programs. Since 2017 RHA has assisted 92 families in purchasing a home. In 2021, there were 21 graduates of the FSS program who collectively saved over \$100,000 in escrow savings over the five-year period. RHA is implementing HUD's Section 32 Homeownership program and is applying to HUD's Special Application Center (SAC) for the first seven homes that will be participating. RHA surveyed its single family scattered site residents and has received 39 responses from residents wishing to purchase their public housing home. RHA's Resident Services department

staff meets one on one with each resident to develop a path to successful homeownership. RHA's goal is to create a pipeline of potential homeowners.

RHA will also continue to utilize funding from a ROSS (Resident Opportunities and Self-Sufficiency) Grant. This grant funds Service Coordinators who assess the needs of residents of conventional Public Housing and coordinate available resources in the community to meet those needs. This program promotes local strategies to coordinate the use of assistance under the Public Housing program with public and private resources, for supportive services and resident empowerment activities. These services should enable participating families to increase earned income, reduce or eliminate the need for welfare assistance, make progress toward achieving economic independence and reach housing self-sufficiency.

RHA is committed to developing a successful Section 3 program that will provide training, apprenticeship, and employment opportunities for Section 3 candidates. Outreach efforts are ongoing. RHA's Resident Services department works with candidates to determine skill sets, training needs, career paths and desired job placements. RHA has developed a maintenance training program and is utilizing that program to train Section 3 participants. RHA is working with community partners to provide additional training and apprenticeship opportunities for candidates. RHA actively works with contractors to hire Section 3 candidates for federally funded projects throughout the authority.

The Rochester Housing Authority (RHA) provides various opportunities for resident participation. RHA Participant Activities include Resident Programs, Resident Council, Jurisdiction Wide Resident Council, Mobile Food Markets with Foodlink, Youth Programs, Summer Camp through Community Place of Greater Rochester, Boy Scouts of America, Family Food and Fun Program through University of Rochester Medical Center, Center for Community Health, Adult Programs, Community Service, Eviction prevention counseling, Resident Worker Program, Elderly/disabled service coordination for Senior/Disabled residents meetings with the Resident Advisory Board (RAB) to gather input on the agency annual plan and capital/physical needs at their sites.

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

Rochester Housing Authority is not designated as a troubled agency.

Discussion

Introduction

Rochester will continue to work with the Continuum of Care to coordinate services to the homeless and to provide outreach and other services, emergency shelter, transitional housing and permanent supportive housing to persons with special needs.

The CoC has incorporated the goals of Opening Doors into its strategic planning. To end chronic homelessness, the CoC is obtaining commitment from PSH providers to give chronically homeless persons priority when a unit vacancy occurs. It is also supporting the Housing First model. To end veteran homelessness, the CoC is partnering with the Veterans Administration and supporting housing targeted to veterans. To end homelessness for families and dependent children, the CoC is emphasizing a rapid rehousing approach. To end homelessness for unaccompanied youth, the CoC is stressing outreach and rapid re-housing for transition age youth.

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The Rochester/Monroe County Continuum of Care fully implemented a Coordinated Entry System (CES) in 2018. The CES provides a strategy to quickly move people from homelessness to permanent housing by establishing a streamlined and uniform method of serving clients in need of housing crisis services, using a single point of entry model; reducing burden on both client and provider by having a unified systemic approach to quickly identify, assess, and refer clients to the best intervention to meet clients' specific needs at first contact; increasing collaboration between agencies in serving client needs more effectively and efficiently; and collecting data on community trends of housing needs to better target limited resources. When households or individuals present to the Continuum in an emergency shelter, the household is assessed using a vulnerability index to determine the type of housing intervention that will most adequately address their need.

Addressing the emergency shelter and transitional housing needs of homeless persons

As discussed above, all persons presenting to the continuum are assessed using a vulnerability index to determine the type of housing intervention that will most adequately address their need. Potential interventions include transitional housing, rapid rehousing or permanent supportive housing. There are currently emergency shelters available for homeless individuals and families, including victims of domestic violence. Transitional housing programs serve youth, veterans and re-entry populations. The CoC has adopted housing first, which seeks to place persons in permanent housing as quickly as possible, then provide supportive services to promote stability in housing.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families

experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

To end homelessness among households with dependent children, the CoC is investing in Rapid Rehousing so that those who enter emergency shelters exit quickly. It is also providing diversion assistance for those who have a viable alternative to a shelter but require some support. In 2011, Rochester Housing Authority implemented a "Moving On" preference for its Housing Choice Voucher (HCV) program. This allowed families that no longer need case management and services but who still face an economic barrier to transition to the HCV program, freeing up PSH units for families experiencing homelessness who need supportive services. The CoC will be intensifying its outreach efforts to identify and provide support for unsheltered families with dependent children.

ESG funding supports a Rapid Rehousing partnership that includes several housing and service providers. Rapid Rehousing responds quickly and uses an array of mainstream benefits and supports to maximize resources.

The needs of domestic violence victims, including their families, will continue to be broadly addressed. Willow Domestic Violence Center serves women who are victims of domestic violence (or at risk) along with their children, offering counseling, education, and a secure shelter, whose location is not publicly identified. A 24-hour hotline provides information on housing and services for domestic violence victims. Willow Center has stringent policies to ensure the safety and privacy of its clients, and names and other identifying data are not entered into HMIS. In addition, staff of housing providers who serve domestic violence victims are trauma trained.

The Center for Youth Services, Hillside Children's Center, Salvation Army, and Monroe County Youth Bureau will continue to provide housing and services for unaccompanied homeless youth. CoC will continue to offer a range of outreach, emergency and transitional housing and support services are available through CoC and other funding resources. Outreach and drop-in center activities focus on diverting youth from the homeless system. Youth providers have a common intake form, work together closely, and meet on a monthly basis to ensure that homeless youth have access to safe housing and services. In all cases, before a youth leaves a program, he/she is linked to a family member or other responsible, supportive adult. Youth providers will continue to work with Monroe County OMH to ensure access to mental health services and ease transition from the youth to the adult mental health system. Youth ages 16-17 and 18-24 will be targeted separately and offered age-appropriate services, while youth as young as 12 will also be served.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private

agencies that address housing, health, social services, employment, education, or youth needs.

Youth being discharged from foster care or other institutional settings typically return to their family of origin or another responsible family member or adult. When no such adult can be located, the youth is placed in a community program specifically designed to prepare youth for independent living. The CoC will continue to educate homeless providers about the importance of ensuring that youth are not discharged into homelessness. Shelters have been instructed to immediately contact the Monroe County Department of Human Services to report the failed discharge plan if a youth is attempting to access homeless services. The youth is then connected to a caseworker who attempts to re-unite the youth with his/her family or other responsible adult and link him/her to appropriate services. If such a re-uniting is not possible, the youth is referred to a community program that prepares youth to transition to independent living.

Through the Homeless Services Network (HSN), the CoC will continue to work with hospital staff to develop protocols for those occasional times when appropriate stable housing cannot be located at discharge from the hospital. Hospital social work staff have been provided with information on local emergency shelters (e.g., physical layouts, staffing, hours open) so a referral is made to the shelter that can best meet the person's needs. Hospital staff calls the shelter to confirm a bed is available, any required follow-up care is communicated to shelter staff, and the patient is provided with an inpatient facility licensed or operated by the New York State Office of Mental Health. Hospital staff are encouraged to refer individuals to housing consistent with the level of care required by the patient and to not discharge patients until a comprehensive discharge plan is in place. Prior to discharge, individuals in need of supervised housing, and who agree to a referral, are referred to the Monroe County Single Point of Access (SPOA). The SPOA facilitates housing assistance for eligible individuals and connects persons to mental health care coordination services and a sufficient supply of medication.

The Veterans Administration (VA) and the Veterans Outreach Center (VOC) will continue to exclusively serve veterans in the CoC geography. The VA and Rochester Housing Authority (RHA) are partners for the HUD VASH program. VASH referrals come directly from VA facilities or through Coordinated Entry.

Discussion

One year goals for the number of households to be provided housing through the use of HOPWA for:	
Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family	44
Tenant-based rental assistance	100
Units provided in permanent housing facilities developed, leased, or operated with HOPWA funds	0
Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds	0
Total	144

Please note: HOPWA also is projected to serve an additional 52 households with Permanent Housing Placement Services. This chart is prescribed by HUD and does not include the Permanent Housing Placement Services outcome category.

Introduction:

Local barriers to affordable housing include:

- Insufficient income to purchase or rent a safe and decent housing unit;
- Cost of safe, decent housing may not be affordable;
- Housing that is affordable may require significant rehabilitation;
- Poor credit, lack of financial literacy, and lack of savings for down payment and closing costs for house purchase due to generally low incomes in the city;
- Current status of Rochester as a 'hot' **home purchase** market, means many households are out-bid by investors, those with cash offers, or otherwise able to pay more for a home. In addition, many sellers do not understand or dislike the idea of a grant process, and refuse to sell to households who have grant or other assistance.
- Expensive and complex process faced by affordable housing developers, as well as lack of land available for affordable housing development in Areas of Opportunity;
- Discriminatory practices from lenders and providers in the housing market on the basis of race, ethnicity, or familial status;
- High rates of home purchase loan denials for Black and Hispanic applicants, despite documented instances of Black and Hispanic applicants having similar financial qualifications to non-minority applicants that were approved.

In addition, the following impediments were identified in the analysis and writing of the AI:

1. Persons with lower incomes, who are disproportionately members of the protected classes, are less able to afford safe, decent affordable housing.
2. More fair housing education, outreach, investigation, and enforcement is needed.
3. Group homes are not clearly defined in the City's Zoning Code. This makes it unclear whether group homes are allowed by right in low-density residential districts, which could be discriminatory.
4. The City of Rochester needs to update some of its policies and procedures related to fair housing.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

The City of Rochester will:

- expand the supply of affordable rental and home ownership housing;
- increase homeownership among low and moderate income prospective home buyers;
- preserve and improve the existing stock of affordable housing; and
- Improve equitable access to housing.

To implement these objectives, the City will continue to offer:

- first time homebuyer assistance;
- housing rehabilitation;
- tenant-landlord counseling;
- fair housing programs; and
- development of new affordable housing.

And the City will pursue the following non-housing actions to ameliorate barriers to affordable housing:

- job training/employment assistance;
- financial literacy, counseling, and training

In addition, the City will make progress over the next five years in the Fair Housing Action Plan as identified in the AI.

Discussion:

Introduction:

The City plans a variety of initiatives to address obstacles to meeting underserved needs, to foster and maintain affordable housing, to reduce lead-based paint hazards, reduce the number of poverty level families, develop institutional structure, and enhance coordination between public and private housing and social service agencies.

Actions planned to address obstacles to meeting underserved needs

The primary obstacle to meeting underserved needs for affordable housing in the city of Rochester is the gap in what households can afford to pay for housing and the price of housing. The City has significant affordable housing stock, yet the income level for individual households such as single parent, elderly, disabled, or others of limited economic means, is often insufficient to afford even the lowest of the market rate units. The City will continue to work on economic development to provide better job opportunities and with social service providers to assist such households. In addition, the City will work with for-profit and non-profit developers to create more affordable housing.

Another obstacle to meeting the needs of underserved households is the limited amount of funding received by the City. The City of Rochester will partner with other public agencies and nonprofit organizations, when feasible, to leverage resources and maximize outcomes in housing and community development.

A primary obstacle to meeting underserved economic development needs is the ability of developers to secure the necessary financing to undertake and complete projects. It is typically the role of the public sector to fill the gaps of these financing needs. However, on occasion, these gaps exceed the assistance capabilities of the City. Another obstacle is the ability to secure inexpensive and convenient parking options for Center City businesses and development projects.

Actions planned to foster and maintain affordable housing

As stated in the Needs Assessment sections, the primary housing problem is cost burden. Cost burden is caused when a household spends more than 30% of its income on housing costs. This can happen because housing costs are high and/or household income is low. In Rochester, the latter reason is the largest driver of cost burden. To address the need for housing that is affordable to residents, the City will undertake activities that will increase the supply of affordable housing, increase the quality of housing through various rehabilitation programs as well as address economic development and capacity building to attract and retain jobs that pay living wages to increase household incomes.

Actions planned to reduce lead-based paint hazards

The City will continue to implement its proactive Lead Based Paint Poisoning Prevention Law and

strategically implement Lead Hazard Control activities. It will continue to apply to HUD for lead hazard control funding and seek non-traditional funding for these activities as well. As at-risk children primarily reside in rental housing built before 1978, the City will continue to target this housing stock as it carries out its lead hazard control efforts. The City will continue its partnership with the Monroe County Department of Public Health to serve children and families at risk of lead-poisoning.

Actions planned to reduce the number of poverty-level families

Rochester will focus on economic development, job creation, youth service and supportive services to reduce the number of families in poverty. Programs to ensure safe neighborhoods and improve public facilities will help to create economic opportunities for residents.

Consistent with the work groups formed to carry out the Rochester-Monroe County Anti-Poverty Initiative, Rochester's Anti-Poverty Strategy will address:

- Education and training, particularly for youth
- Job retention and creation
- Decent, affordable housing
- Health and nutrition
- Safe neighborhoods
- Improving public policy and the systems dealing with poverty

Actions planned to develop institutional structure

To enhance the City's housing systems, staff will work with internal departments to streamline and increase efficiencies by reviewing current procedures compared to best practices and implement changes where necessary.

The primary gap in the service delivery system is currently a lack of funding that would allow agencies to scale up to meet the needs of persons experiencing homelessness or who are at risk of homelessness. Because of this, the City and County award funding to agencies that demonstrate the ability to effectively and efficiently carry out the activities in support of the CoC's identified priorities.

Actions planned to enhance coordination between public and private housing and social service agencies

Plans to coordinate between public and private housing social services agencies is a high priority. To this end, Housing staff will participate with the County, local and state housing and social service agency networks to ensure housing programs for the homeless and non-homeless populations are well coordinated to help ensure their success.

Discussion:

Program Specific Requirements

AP-90 Program Specific Requirements – 91.220(l)(1,2,4)

Introduction:

Community Development Block Grant Program (CDBG)

Reference 24 CFR 91.220(l)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	450,000
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
Total Program Income:	450,000

Other CDBG Requirements

1. The amount of urgent need activities	0%
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	70.0%

HOME Investment Partnership Program (HOME)

Reference 24 CFR 91.220(l)(2)

1. A description of other forms of investment being used beyond those identified in Section 92.205 is as follows:

The City's investment of HOME funds is consistent with the forms of assistance included in 24 CFR 92.205(b). The City leverages its HOME funds with other funding secured by its housing partners to develop affordable homeownership and rental housing. Community Development Housing Development Organizations (CHDOs) and other non-profit housing developers apply for and receive funding from state and local resources to support the City's housing programs, projects and goals.

2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

Resale/Recapture Updated Policies

HOME PROGRAM AFFIRMATIVE MARKETING REQUIREMENTS AND PROCEDURES FOR MULTIPLE FAMILY HOUSING

The City of Rochester will require that owners of all HOME-assisted housing containing five (5) or more units shall affirmatively market said units to attract eligible persons from all racial, ethnic, and gender groups in the housing market area. The City will annually assess the affirmative marketing program to determine the success of the affirmative marketing activities and the need for any necessary corrective measures.

The owner will make good faith efforts to affirmatively market the units in accordance with the following procedures:

Fair Housing Information

1. The owner and the City shall agree upon an initial affirmative marketing strategy.
2. The owner shall prominently display a federal Fair Housing poster in his/her rental office.
3. The owner shall direct all Fair Housing questions from applicants to the City.

Marketing Strategy

1. The owner and the City shall agree upon an initial affirmative marketing strategy.
2. The strategy shall establish occupancy goals for the units based upon the demographic characteristics of the market area.
3. The strategy shall describe the specific marketing and outreach activities to be employed by the owners.
4. The marketing strategy may be amended, upon the written approval of the City, to reflect changed market conditions.

Marketing Contacts

1. The owner may utilize printed and/or electronic (radio and television) news media to advertise the availability of the units.
2. The owner may utilize local newspapers of general circulation, local magazines, newsletters or neighborhood organizations and housing advocacy organizations, or other approved publications which are known to circulate among low-income populations for the placement of written advertisements.
3. The owner shall contact and provide information to any groups or individuals known or believed to be interested in the availability of the affordable rental units.

Targeted Outreach

1. The owner shall undertake specific efforts to inform and solicit rental applications from persons in the housing market area who are not likely to apply for the housing without specified outreach. These efforts may be directed toward non-English speaking populations, the developmentally or physically disabled, or other low-income populations unlikely to become informed about the availability of the units.
2. Specialized outreach efforts may be undertaken through direct contact or contacts with organizations known to represent the interests of the population of special concern, e.g. community or advocacy organizations, places of worship, employment centers, fair housing groups, housing counseling agencies, neighborhood health centers, and government agencies delivering services to the populations of special concerns.

Recordkeeping

1. The owner shall maintain a copy of the approved marketing strategy.
2. The owner shall maintain records documenting specific efforts taken in accordance with the approved marketing strategy.
3. The owner shall annually report to the City on the activities and results of this affirmative marketing effort and shall make all affirmative marketing records available to the City at its request.
4. Affirmative marketing files shall include copies of advertisements; the racial, ethnic, and gender characteristics of potential tenants (applicants) who respond to the ad; and the characteristics of the tenants who actually rented the units.

Annual Assessment

1. The City will independently evaluate and assess the adequacy of the owner's affirmative marketing efforts on an annual basis.
2. The annual assessment will be based upon a number of factors, including but not limited to: consistency with the approved marketing strategy; the owner's success in meeting occupancy goals; the cumulative number of vacancy days; the number of rental applications generated from targeted groups; and the extent to which handicap-accessible units, if any, are occupied by the people for whom they were designed to serve.
3. When it can be determined that affirmative marketing efforts have been limited or unsuccessful, the City will require changes to improve their effectiveness.

In furtherance of the City's commitment to non-discrimination and equal opportunity in housing, it is the City's affirmative marketing goal to assure that individuals who normally might not apply for vacant rental units because of their race, color, ethnicity, religion, sex, handicap, or familial status be made aware of vacancies in housing units funded through the HOME program; feel welcome to apply; and have the opportunity to rent the units.

This policy will be carried out through the affirmative marketing procedures through the following

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direct actions:

The City will inform the public about its affirmative marketing policy under the HOME program; the Fair Housing Act; Title VI of the Civil Rights Act of 1964; Executive Order 11063, as amended; and Section 504 of the Rehabilitation Act of 1973. The City will place public notices in local newspapers of general circulation; publish public service announcements through electronic media; distribute printed materials; and utilize community contacts.

HOME PROGRAM-HOMEBUYER RESALE AND HOME SUBSIDY RECAPTURE GUIDELINES:

The City of Rochester will comply with the following HOME regulations (24 CFR Part 92.254(a)(5) regarding resale and recapture of HOME subsidies. In addition, prescribed language for both resale and recapture provisions is also provided below. It should be noted that the City of Rochester operations regarding Resale and Recapture are as follows: The chart below delineates the programs for Resale and Recapture and provides the tools to be used including the mechanisms for affordability.

Resale:

1. Home Rochester: Write down subsidy for the acquisition/rehabilitation for owner occupants
2. Community Development Organization (CHDO) - Write down subsidy for the acquisition/rehabilitation for owner occupants
3. New Construction development subsidy for Habitat for Humanity and other developers of affordable homeowner-housing

Recapture:

4. Employer Assisted Housing Initiative: Provides a match of down payment and closing cost assistance to employees of participating employers purchasing homes
5. Home Purchase Assistance: Provides down payment and closing cost assistance to buyers purchasing homes on the private market
6. Homebuyer Assistance: Provides up to \$8,000 of down payment and closing cost assistance to buyers purchasing CHDO/Home Rochester homes and other home ownership initiatives.

Resale: The City shall use the Resale option for the Home Rochester and Affordable New Construction (Homeownership) programs. The City shall not use a presumption of affordability but instead use an enforcement mechanism to meet the resale requirement. The following deed restrictive covenant below shall be used, and a lien may be recorded. Also, see attached copy of deed restriction that is recorded.

Restrictive Covenant-Title to this property (the "Property") is conveyed subject to the following restrictions: The Grantee has acquired this property with the assistance of funds from the City of Rochester provided under 24 CFR Part 92, the HOME Investment Partnership Program (the "Regulations"). As a condition of the subsidy, the Grantee agrees: The Property must be the

Grantee's principal residence for ten (10) or fifteen (15) years dependent on HOME investment from the date of this deed; If the above ten (10) or fifteen (15) year period has not expired and the Grantee sells the Property, the Grantee agrees to sell the Property to a low-income family as defined in the Regulations that will use the Property as its principal residence for the duration of the ten (10) or fifteen (15) year period. Documentation must be provided to the City of Rochester verifying the prospective buyer's income eligibility, and the City of Rochester must approve the buyer's eligibility. The price at resale must: (1) ensure that the Property will remain affordable (as defined in the Regulations) to a reasonable range of low-income homebuyers, and (2) provide the Grantee a fair return on investment (including the Grantee's investment and any capital improvement). Notwithstanding the aforementioned provisions, the affordability restrictions and residency requirement shall terminate upon occurrence of any of the following termination events: Foreclosure; Transfer in lieu of foreclosure; or Assignment of an FHA insured mortgage to HUD. However, the affordability restriction and residency requirement shall be revived according to their original terms if, during the original residency period of ten years, the Grantee before the termination event, or any other entity that includes the Grantee or those with whom the Grantee has or had family or business ties, obtains an ownership in the project or property. In the event that the HOME-assisted Grantee wishes to sell the assisted home, the City of Rochester shall be afforded the right of first refusal to purchase the subject property.

Fair Rate of Return: The rate of return will be based upon the percentage change in the Consumer Price Index over the period of ownership plus the owner's down payment and any capital improvements. Capital improvements would include window replacement, major system replacement such as roofs, plumbing, updated electrical, bathrooms and kitchens, additions and porches and central air conditioning. If the property depreciates in value there may not be a return on investment. **Reasonable Range of Low-Income Buyers:** The City will continue make the home affordable to buyers within 48 to 80% of buyers the Median Family Income (MFI), or up to 120% MFI as appropriate, since some houses were designated as available to slightly higher incomes. **Affordability:** During the affordability period the City would help market the home through the affordable housing network which includes pre purchase counseling agencies, housing maintenance organizations and local associations dedicated to promoting affordable housing. The City will also make available down payment and closing cost assistance to new, eligible, homebuyers of HOME assisted houses to assist with affordability. **Recapture:** The City uses the Recapture option for all of its down payment and closing cost assistance programs; Employer Assisted Housing Initiative, Home Purchase Assistance Program and the Homebuyer Assistance Program. The homeowner shall be required to repay a portion of the HOME funds used to assist the homeowner with the original purchase of the home on a pro-rated basis in accordance with the following schedule, per the Note and Mortgage securing the HOME subsidy:

Time Elapsed-Repayment % 5 Yr 1st month through 24th month 100%; 25th month - 36th month 75 %; 37th month - 48th month 50%; 49th month -60th month 25%; 61st month- 0%

Repayment terms are subject to the terms of 24 CFR Part 92, specifically if the recapture requirement is triggered by a sale (voluntary or involuntary) of the Property, and there are no net

proceeds or the net proceeds are insufficient to repay the HOME investment due, the City of Rochester may recapture an amount less than or equal to the net proceeds. The net proceeds are defined as the sales price minus loan repayment (other than HOME funds) and any closing costs.

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

HOME funds may be used toward the costs of rehabilitating existing affordable multifamily housing communities for the purpose of sustaining affordable rental housing.

Such projects may be located anywhere within the City of Rochester's boundaries, to include the following objectives: maintaining the affordability of existing rental housing, the creation of additional affordable rental units, or both as part of the redevelopment plan.

The primary objective of such projects is to rehabilitate the existing units and sustain affordability over time, though such projects may include refinance of existing debt. Creation of new units is acceptable, in addition to rehabilitation of existing units.

For projects to be eligible for HOME investment as part of the project, related rehabilitation will be required to meet or exceed a minimum level of \$50,000 per unit, to be sufficient to meet the City's requirements to demonstrate that rehabilitation is the primary project intention. Minimum rehabilitation may include work done to the units themselves, as well as a pro-rata share of building mechanical upgrades, and related soft costs. The City's HOME funds would be contributed only toward the rehabilitation costs of the project and not be used toward refinance of any existing debt.

The investment of HOME funds will be used to ensure that the existing affordable rental housing will be maintained as affordable for a minimum of 15 years, though the City of Rochester will retain the right to assign, at its discretion, an additional local affordability period consistent with the terms of the project's permanent financing and/or payment-in-lieu-of-tax (PILOT) agreement.

Projects will be reviewed to confirm the following: disinvestment in the property has not occurred, feasibility is demonstrated that the project will be able to service the target population over an extended affordability period.

The City's HOME funds cannot be used to refinance multifamily loans made by any other federal program, including Community Development Block Grant funding.

Emergency Solutions Grant (ESG)

Reference 91.220(l)(4)

1. Include written standards for providing ESG assistance (may include as attachment)

The City, Continuum of Care (CoC) and HSN (a collaboration of more than 60 local homeless services legal, primary care, mental health, substance abuse, re-entry providers and community stakeholders) have met throughout each program year to jointly plan for ESG. In keeping with the City's past practice of providing the Emergency Shelter Grants (ESG) Program Desk Guide March 2001 to all sub-recipients, the City has provided sub-recipients and community providers that coordinate with them the Emergency Solutions Grant Rules and Regulations, and tools available to date including Definition of Homelessness (Criteria for Defining Homeless and Record Keeping Requirements), and Emergency Solutions Grants (ESG) Program Components and Activities. The City provided sub-recipients with information about the homeless, at-risk of homelessness, and chronic homeless definitions and eligibility, documentation and recordkeeping requirements and HMIS participation. The CoC provides HMIS licenses and HMIS training to the City's ESG sub-recipients.

Written standards are included for Street Outreach, Emergency Shelter, Transitional Housing and Rapid Rehousing are attached. These programs are eligible for ESG funding.

2. If the Continuum of Care has established a centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system.

Coordinated Entry is defined by HUD as a consistent and streamlined process for accessing the resources available in the homeless crisis response system...ensuring that those with the highest need, most vulnerable households in the community are prioritized for services and that the housing and supportive services in the system are used as efficiently and effectively as possible.

The intended target population for Coordinated Entry is all households or person(s) who are experiencing homelessness or at-risk of experiencing homelessness in Rochester and Monroe County and seeking assistance from the local crisis response system, which includes prevention assistance and a full spectrum of homeless housing and services. This includes single adults, adults accompanied by children, families, unaccompanied youth, parenting youth, person(s) fleeing domestic violence, and veterans.

The community has agreed upon the use of a common assessment tool, known as the V-SPDAT, to help identify the vulnerability of the household and prioritize them for the appropriate permanent housing intervention based on those needs. Interventions include Rapid Re-Housing for those who have moderate needs and Permanent Supportive Housing, which will be reserved for those with the highest needs. Households scoring low on the assessment are identified as not needing housing intervention and are likely able to resolve their homelessness without ongoing assistance. The V-SPDAT score will be used as one of the determinants in the community-wide

prioritization model. While it is important to have an efficient and effective process by which households can access the homeless system, it is equally important for households to be quickly exited into the appropriate permanent housing that will best meet their needs and minimize their likelihood of returning to homelessness.

Prioritization is a critical component of a Coordinated Entry system to appropriately exit households to permanent housing and to ensure those with the greatest needs have timely access to services. CE has developed a prioritization model based on input from community stakeholders to establish a process to effectively identify those with the highest needs and connect them to the appropriate permanent housing more quickly. CE Workgroup meets regularly to determine how households are prioritized and what information will be used to determine the way in which the list is ordered. A by name prioritization list is now in place and all TH, PSH and RRH housing providers are only permitted to accept households that are referred through the Coordinated Entry prioritization process. The prioritization list began being used by all providers in December 2017. The work group continues to meet to make sure CE is functioning efficiently and revises policies and procedures as needed.

3. Identify the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations (including community and faith-based organizations).

Awards for 2021-22 were based upon a 2021 Request for Proposal (RFP) process in which the City and County jointly published by Legal Notice in The Daily Record; announced availability on its established website for proposals; distributed via networks including those of the CoC, HSN and Housing Choice listservs. Awardees were chosen by a committee of City, County, CoC administrative board, and a formerly homeless community member. Services under this proposal cycle will run through June 30, 2022. The 2022-23 ESG RFP will be publicized and distributed following the same procedure.

4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.

The City of Rochester is in compliance with Section 576.405(a) of the Interim Rule, the City has engaged persons who are currently or formerly homeless in their planning process.

5. Describe performance standards for evaluating ESG.

The City utilizes the Integrated Disbursement and Information System (IDIS) to document program progress and monitor program performance on an ongoing basis. The City requires all ESG sub-recipients to enter data into HMIS as required by HUD. A Consolidated Annual

Performance and Evaluation Report (CAPER) is prepared annually by the City. Each ESG funded project now uses to run their CAPER and download it directly into SAGE for the City and County to produce that report. The CoC will assist the project as needed to do the submission. The consolidated CAPER contains a summary of resources and programmatic accomplishments, the status of actions taken in concert with the CoC to implement the strategies contained in the Consolidated Plan, and evaluation of progress made in addressing identified priority needs and objectives.

Performance Standards are in alignment with the HUD required measures including reducing the duration of homelessness; reducing recidivism; reducing the number of people who become homeless; increasing employment and income; and stability in permanent housing. Additional local standards have also been established and are included in the community written standards; occupancy rate, number of days to respond to referrals, number of days from program entry to move-in date into RRH or PSH, etc.

ATTACHMENTS

March 3, 2022, 2022-23 Annual Action Plan Public Input Meeting

Presentation, Video, Written Q&A and Comments

Presentation: <https://www.cityofrochester.gov/ConsolidatedPlan2020>

Video: https://www.youtube.com/watch?v=Rjcb45NSE04&ab_channel=CityofRochester

Questions

Transcript is currently being compiled

Written Public Comments Received as of 4/28/2022

First Name	Last Name	Please provide any input on how you believe the City of Rochester should allocate HUD funding in the 2022-23 Annual Action Plan. Your name and response may be summarized or included in full in th...	List any business, neighborhood, or community organization(s) to which you belong.
Silvano D.	Orsi	Funding should be allocated for street signage and light pole banners in the Lyell area. Neighborhoods like the Little Italy Neighborhood and Lyell Otis Neighborhood should be identifiable as they are assets of the city. We receive calls and emails everyday by people who want to visit Rochester and who say they can't find our Little Italy Neighborhood. There should be signs identifying the neighborhood at its entrance points (Lyell and Broad St, Jay St and Lyell and State St). This is common in most cities that have a historic Little Italy neighborhood. Rochester's Little Italy was recognized at the national level as a historic Italian enclave. Rochester needs to do more to include the Italian American community in its Civic and social affairs. Identifying the neighborhood with proper signage is a good first step.	Little Italy Association of Rochester, Inc.
Silvano	ORSI	HUD funding should be allocated towards neighborhood signage. Many if not most Lyell area neighborhoods are not identifiable by visitors to the area. Neighborhoods need light pole banners and aluminum signs that indicate neighborhood borders so visitors to the neighborhoods know where they are. This is important as neighborhood beautification and for revitalization efforts. For example the city has a La Marketa neighborhood area but there is little signage leading people to it from other areas, the same for Rochester's historic Little Italy Neighborhood. No one knows where it's designated borders are or how to find it. Thanks	Little Italy Association of Rochester, Inc.
Karen	Emerson	We need to have housing at all levels in the City of Rochester. I believe we have enough high end housing. Where we have a lack is low and medium income housing especially for elders.	19th Ward Community Association
William	Collins	Easy access to transportation is a necessity for quality housing. Housing projects funded should: 1) Be placed on, or very near, public transit corridors; 2) If housing is adjacent to a bus stop, funding should include construction of a bus shelter at that stop; 3) If multi-family, contain facilities for secure bicycle parking	Maplewood Neighborhood Association, Reconnect Rochester

First Name	Last Name	Please provide any input on how you believe the City of Rochester should allocate HUD funding in the 2022-23 Annual Action Plan. Your name and response may be summarized or included in full in th...	List any business, neighborhood, or community organization(s) to which you belong.
Gabriele	Vogt	I am a provider of affordable housing in the City of Rochester (14605, 14613, and 14621). I would appeal to the City to use funds to create more affordable housing - especially scatter-site housing - like Ibero and Josana are providing. I would also love it, if reasonable grants or loans were available to housing providers. I have fully rehabbed a good number of Zombie houses without any support. Today they all have CofOs, rents are kept affordable (including to all subsidies), and property taxes are always paid.	Pearl-Meigs-Monroe Neighborhood Association - Vice President