



City of Rochester, NY

2024 - 25 ANNUAL ACTION PLAN

Malik D. Evans, Mayor
City of Rochester

Rochester
2034



Malik D. Evans, Mayor
City of Rochester, NY • Rochester City Council

Executive Summary

AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

The City of Rochester, New York, submitted a Five Year Strategic Plan for the program years 2020-21 through 2024-25. This is the 2024-25 Annual Action Plan as part of the Consolidated Plan that guides the allocation of federal entitlement funds available through the Community Development Block Grant (CDBG) Program, Home Investment Partnerships (HOME) Program, Emergency Solutions Grants (ESG) Program, and Housing Opportunities for Persons with AIDS (HOPWA) programs. The dates covered in this Annual Action Plan are July 1, 2024 through June 30, 2025.

The Annual Action Plan serves as:

- A planning document that enables the City to view its HUD funding, not in isolation, but as one tool in a comprehensive strategy to address housing, community development, and economic development needs.
- An application for CDBG, HOME, ESG, and HOPWA Program funds under HUD's formula grant.
- A strategy document to be followed in carrying out HUD programs.
- An action plan that provides a basis for assessing performance in carrying out use of CDBG Program funds

The Consolidated Plan is guided by three overarching goals that are applied according to the community's needs as follows:

- Providing decent housing by preserving affordable housing stock, increasing the availability of affordable housing, reducing discriminatory barriers, increasing the supply of supportive housing for those with special needs, and transitioning homeless persons and families experiencing homelessness into housing.
- Providing a quality living environment through safer, more livable and accessible neighborhoods, greater supports and opportunities for low- and moderate-income residents throughout the city, improved public infrastructure and facilities, increased housing choices, and neighborhood reinvestment.
- Expanding economic opportunities through job creation, homeownership opportunities, façade improvement, development activities that promote long-term community viability, and the empowerment of low- and moderate-income persons to achieve self-sufficiency.

2. Summarize the objectives and outcomes identified in the Plan

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

The Needs Assessment conducted during the 2020-24 Consolidated Plan development process identified the need for:

- Safe, decent housing that is affordable to low-income households;
- Housing rehabilitation programs;
- Code enforcement and demolition of blighted structures that pose a health and safety threat to the community;
- Economic development to increase household incomes throughout the City;
- Workforce development and job training;
- Safe neighborhoods;
- Youth programming, services and training;
- Housing and services for persons experiencing homelessness or who are at risk of becoming homeless;
- Housing and services for persons with non-homeless special needs;
- Public infrastructure improvements;
- Public facility improvements;
- Health, mental health and other public services; and
- Transportation.

The projects included in the 2024-25 Annual Action Plan each address one or more of these community needs.

3. Evaluation of past performance

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

The City prepares a Consolidated Annual Performance Evaluation Report (CAPER) each year. For the 2022-23 program year, the following are some of the CDBG funded accomplishments: Persons assisted via Public Service Activities not housing related: 117,147; Persons assisted via Public Service Activities housing benefit: 381; 293 housing units were rehabilitated, and 67 structures were demolished.

With HOME funding from the City, 64 new rental units were constructed, and 60 households received direct assistance to purchase a home. Additionally 15 single family houses were rehabilitated for sale for homeownership.

2,315 persons were served utilizing Emergency Solutions Grant funding. 159 households were served utilizing Housing Opportunities for Persons with Aids funds.

The full version of all recent CAPER reports can be accessed at:
www.CityofRochester.gov/ConsolidatedPlan2020

4. Summary of Citizen Participation Process and consultation process

Summary from citizen participation section of plan.

The citizen participation and consultation process included the following components for the 2020-24 Five Year Strategic Plan:

- Five topic-based stakeholder sessions, all of which were also open to the general public, with affordable/special needs housing and service providers, business associations, homeless service agencies, community building organizations, economic development officials, neighborhood groups, health and human service providers, and other interested parties to discuss the following topics: Homelessness, Youth and Health Services, Economic Development and Business Development, and Housing and Community Development.
- Two general public meetings and hearings to gather input from residents and stakeholders.
- Analysis of the significant community input that had been recently completed just prior to preparation of the 2020-24 Consolidated Plan during development the City's then new Comprehensive Plan, Rochester 2034, which had garnered input from several thousand community members and over 100 stakeholder groups.

The Housing Division regularly consults with program partners, sub-recipients, housing providers, and prospective partners to inform the Housing strategy and programs.

To inform the development of the 2024-25 Annual Action Plan, Citizen Participation included a public hearing held on March 18th, 2024, as well as the ability to submit written comments from March 4, 2024 to May 13, 2024. A public notice announcing the 30-day public review and comment period was issued on May 13, 2024, including information on how to participate in public hearings and submit comments. Public notices of hearings and comment opportunities were communicated to the public via: City issued press release, City social media, advertising on the City's consolidated plan webpage, and through emails to a curated listserv of over 650 residents, stakeholders, agency partners, and service providers who have expressed interest in staying informed of the City's HUD grant activities and strategy. A second public hearing to review the draft plan was held May 22, 2024. A final public hearing overseen by Rochester City Council was held on June 13, 2024. Notes with public comments from these meetings are attached near the end of the plan document.

5. Summary of public comments

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

For the 2020-24 Consolidated Plan public engagement, the needs mentioned most were:

- Safe, decent affordable housing;
- Economic development to increase the number of jobs that pay a living wage;
- Public facilities;
- Public infrastructure;
- Public safety;
- Health and mental health services;
- Homeless services;
- Youth programming and training initiatives;
- Other public services;
- Transportation;
- Poverty reduction.

For the 2024-25 Annual Action Plan, the needs mentioned most were:

- Increase supply of, Access to, and Quality of Affordable housing
- Home ownership;
- Services for the Homeless and At-Risk of Homelessness
- Senior services

6. Summary of comments or views not accepted and the reasons for not accepting them

All comments were received and accepted.

7. Summary

In summary, the five-year 2020-2024 Consolidated Plan and the fifth year Annual Action Plan for 2024-25 have been developed with community input and support the implementation of Rochester 2034. It is expected that the City will continue to fulfill the intent of the CDBG, HOME, ESG, and HOPWA programs by facilitating the: affordability of safe, decent housing; availability, accessibility, and sustainability of suitable living environments; accessibility of economic opportunities; provision of housing and services for those experiencing homelessness; and meeting the housing and services needs of persons with HIV/AIDS and their families.

PR-05 Lead & Responsible Agencies – 91.200(b)

1. Agency/entity responsible for preparing/administering the Consolidated Plan

Describe the agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
CDBG Administrator	ROCHESTER	NBD/Strategic Initiatives
HOPWA Administrator	ROCHESTER	NBD/Business and Housing Development
HOME Administrator	ROCHESTER	NBD/Business and Housing Development
ESG Administrator	ROCHESTER	NBD/Business and Housing Development

Table 1 – Responsible Agencies

Narrative (optional)

Consolidated Plan Public Contact Information

Attention: Henry Fitts and Rita Rajca
 City of Rochester, Department of Neighborhood and Business Development
 30 Church Street, 224B
 Rochester, NY 14614
 HUDFunding@cityofrochester.gov

AP-10 Consultation – 91.100, 91.200(b), 91.215(I)

1. Introduction

Provide a concise summary of the jurisdiction’s activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(I))

Rochester staff meets regularly with representatives of the Rochester Housing Authority to coordinate in planning housing projects and providing employment training to public housing residents. Rochester staff are engaged with the Continuum of Care; the City collaborated with Monroe County on homelessness initiatives. Through the Enterprise Anti-displacement Learning Network, City staff and the City Council President regularly meet and plan activities to address housing displacement with Catholic Charities Family and Community Service, the Rochester City-Wide Tenant Union, and Volunteers of America. The City is very involved with the CoC and local advocacy efforts, including the Homeless Services Network (HSN). Representatives from the City serve on the CoC's Board and HSN's Steering Committee.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.

Rochester participates in the Rochester/ Monroe County Continuum of Care (CoC), a multi-jurisdictional, community-wide group which oversees the local system to determine if the needs of the homeless are being met. The CoC continues to stress the need for permanent housing while maintaining existing successful emergency shelters through permanent supportive housing and supportive services. The City also participates in the annual CoC funding application review process, and helps monitor the projects as part of the reviewer team. This provides the City with insight into the demand and availability of particular programs, unit types, or services, and improves communication between parties.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS

Rochester participates in the Rochester/ Monroe County Continuum of Care (CoC), a multi-jurisdictional, community-wide group which oversees the local system to determine if the needs of the homeless are being met. The CoC continues to stress the need for permanent housing while maintaining existing successful emergency shelters through permanent supportive housing and supportive services. The City

also participates in the annual CoC funding application review process, and helps monitor the projects as part of the reviewer team. This provides the City with insight into the demand and availability of particular programs, unit types, or services, and improves communication between parties.

2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction’s consultations with housing, social service agencies and other entities

Table 2 – Agencies, groups, organizations who participated

1	Agency/Group/Organization	CATHOLIC FAMILY CENTER
	Agency/Group/Organization Type	Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Health
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in virtual planning meetings to address and combat homelessness, eviction, rental relief access concerns, and other displacement issues.
2	Agency/Group/Organization	Reach Advocacy
	Agency/Group/Organization Type	Services-homeless
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless Homelessness Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated with other stakeholders in several virtual planning meetings.
3	Agency/Group/Organization	CAMERON COMMUNITY MINISTRIES
	Agency/Group/Organization Type	Services-Children
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Non-Homeless Special Needs

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in stakeholder workshops.
4	Agency/Group/Organization	PROVIDENCE HOUSING DEVELOPMENT CORP.
	Agency/Group/Organization Type	Services-Persons with Disabilities Services-homeless
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in stakeholder workshops.
5	Agency/Group/Organization	Greater Rochester Habitat for Humanity
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated with other stakeholders in several virtual planning meetings.
6	Agency/Group/Organization	COORDINATED CARE SERVICES, INC.
	Agency/Group/Organization Type	Services-Children Services-Persons with Disabilities Services-homeless
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Anti-poverty Strategy

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated with other stakeholders in several virtual planning meetings.
7	Agency/Group/Organization	EMPIRE JUSTICE CENTER
	Agency/Group/Organization Type	Legal Services
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in virtual planning meetings to plan for and address foreclosure and eviction concerns.
8	Agency/Group/Organization	SOUTH WEDGE PLANNING COMMITTEE
	Agency/Group/Organization Type	Planning organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Economic Development
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in stakeholder workshops.
9	Agency/Group/Organization	PathStone Corp
	Agency/Group/Organization Type	Housing Services - Housing Services-Health Services-Education Community Development Financial Institution
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Economic Development

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in virtual stakeholder workshops and planning meetings to address and combat foreclosure, homelessness, and eviction concerns.
10	Agency/Group/Organization	Youth Voice One Vision
	Agency/Group/Organization Type	Services-Children
	What section of the Plan was addressed by Consultation?	Homeless Needs - Chronically homeless Homelessness Strategy Non-Homeless Special Needs Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in stakeholder workshops.
11	Agency/Group/Organization	IBERO-AMERICAN DEVELOPMENT CORPORATION
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Economic Development
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in stakeholder workshops.
12	Agency/Group/Organization	Excellus
	Agency/Group/Organization Type	Services-Health
	What section of the Plan was addressed by Consultation?	Homelessness Strategy Non-Homeless Special Needs

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in stakeholder workshops.
13	Agency/Group/Organization	Samaritan Center of Excellence, Inc.
	Agency/Group/Organization Type	Services-Health
	What section of the Plan was addressed by Consultation?	Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in stakeholder workshops.
14	Agency/Group/Organization	THE CENTER FOR YOUTH
	Agency/Group/Organization Type	Services - Housing Services-Children Services-homeless
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Needs - Unaccompanied youth Homelessness Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated with other stakeholders in several virtual planning meetings.
15	Agency/Group/Organization	House of Mercy
	Agency/Group/Organization Type	Housing Services-homeless
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Anti-poverty Strategy

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated with other stakeholders in several virtual planning
16	Agency/Group/Organization	Finger Lakes Performing Provider System
	Agency/Group/Organization Type	Services-Health
	What section of the Plan was addressed by Consultation?	Homeless Needs - Chronically homeless Homelessness Strategy Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in stakeholder workshops.
17	Agency/Group/Organization	East House
	Agency/Group/Organization Type	Services - Housing Services-Health
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless Homelessness Strategy Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated with other stakeholders in several virtual planning meetings.

18	Agency/Group/Organization	2-1-1 Lifeline
	Agency/Group/Organization Type	Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Victims of Domestic Violence Services-homeless Services-Education Services-Employment
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Non-Homeless Special Needs Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in virtual stakeholder workshops, planning meetings, and debrief meetings to address and combat homelessness, eviction, access to rental relief funds, and other areas.
19	Agency/Group/Organization	RECOVERY HOUSES OF ROCHESTER
	Agency/Group/Organization Type	Services - Housing Services-Persons with Disabilities
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated with other stakeholders in several virtual planning meetings.

20	Agency/Group/Organization	Person Centered Housing Options, Inc
	Agency/Group/Organization Type	Housing Services - Housing Services-homeless
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated with other stakeholders in several virtual planning meetings.
21	Agency/Group/Organization	HUTHER DOYLE MEMORIAL INSTITUTE
	Agency/Group/Organization Type	Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-Victims of Domestic Violence Services-homeless Services-Health Services-Employment Services - Victims Health Agency Child Welfare Agency Other government - County

	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Non-Homeless Special Needs Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated with other stakeholders in several virtual planning meetings.
22	Agency/Group/Organization	Monroe County Department of Human Services
	Agency/Group/Organization Type	Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-Victims of Domestic Violence Services-homeless Services-Health Services-Employment Services - Victims Health Agency Child Welfare Agency Other government - County
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Non-Homeless Special Needs Anti-poverty Strategy

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated with other stakeholders in several virtual planning meetings.
23	Agency/Group/Organization	Monroe Housing Collaborative
	Agency/Group/Organization Type	Services - Housing Services-Persons with Disabilities
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless Homelessness Strategy Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated with other stakeholders in several virtual planning meetings.
24	Agency/Group/Organization	Mark IV Enterprises
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Economic Development Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in stakeholder workshops.
25	Agency/Group/Organization	LEGAL ASSISTANCE OF WESTERN NEW YORK
	Agency/Group/Organization Type	Service-Fair Housing Legal Services

	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Non-Homeless Special Needs Fair Housing
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in virtual stakeholder workshops and planning meetings to address and combat homelessness, eviction, rental relief access concerns, and other displacement issues.
26	Agency/Group/Organization	LEGAL AID SOCIETY OF ROCHESTER, NEW YORK INC
	Agency/Group/Organization Type	Service-Fair Housing Legal Services
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Fair Housing
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in stakeholder workshops.
27	Agency/Group/Organization	PLEX
	Agency/Group/Organization Type	Neighborhood Organization Neighborhood Organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in stakeholder workshops.
28	Agency/Group/Organization	Rochester's Cornerstone Group
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in stakeholder workshops.
29	Agency/Group/Organization	Rochester Housing Authority
	Agency/Group/Organization Type	PHA
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in stakeholder workshops as well as in teleconference meetings.
30	Agency/Group/Organization	Partners Ending Homelessness
	Agency/Group/Organization Type	Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-Victims of Domestic Violence Services-homeless Services-Health Services-Education Services-Employment Service-Fair Housing Services - Victims

	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs Economic Development Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated with other stakeholders in several virtual planning meetings, and partnered with City/County on ESG program strategy and awards.
31	Agency/Group/Organization	City of Rochester
	Agency/Group/Organization Type	Services - Broadband Internet Service Providers Agency - Emergency Management Other government - Local
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Non-Homeless Special Needs HOPWA Strategy Market Analysis Economic Development Anti-poverty Strategy Lead-based Paint Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in stakeholder workshops.

32	Agency/Group/Organization	CATHOLIC CHARITIES COMMUNITY SERVICES
	Agency/Group/Organization Type	Services-Persons with HIV/AIDS Services - Families of Persons with HIV/AIDS
	What section of the Plan was addressed by Consultation?	Housing Need Assessment
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency was in regular contact via phone and zoom calls discussing plans.
33	Agency/Group/Organization	Trillium Health
	Agency/Group/Organization Type	Services-Persons with HIV/AIDS
	What section of the Plan was addressed by Consultation?	Housing Need Assessment
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency was in regular contact via phone and zoom calls discussing plans.
34	Agency/Group/Organization	JustCause, Inc (Formerly Volunteer Legal Services Project)
	Agency/Group/Organization Type	Services - Housing Services-Elderly Persons Service-Fair Housing Legal Assistance for Elderly
	What section of the Plan was addressed by Consultation?	Homelessness Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency was in regular contact via phone discussing plans. Also, put agency in touch with other agencies that serve the same demographic so that they could work together.

35	Agency/Group/Organization	LIFESPAN OF GREATER ROCHESTER, INC.
	Agency/Group/Organization Type	Services-Elderly Persons Services - Aging in Place
	What section of the Plan was addressed by Consultation?	Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in stakeholder workshops as well as frequent phone and zoom call contact.
36	Agency/Group/Organization	Rochester City School District
	Agency/Group/Organization Type	Services-Education Services - Youth
	What section of the Plan was addressed by Consultation?	Non-Housing Community Development Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in partnership and stakeholder workshops.
37	Agency/Group/Organization	Common Ground Health - Healthi Kids Coalition
	Agency/Group/Organization Type	Services-Health Services-Education Services - Youth
	What section of the Plan was addressed by Consultation?	Youth Engagement & STEAM Programs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency and associated community members participated in partnership and stakeholder meetings.

38	Agency/Group/Organization	Hochstein
	Agency/Group/Organization Type	Services-Education Services - Youth
	What section of the Plan was addressed by Consultation?	Non-housing Community Development Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	These groups were engaged in partnership and input meetings.
39	Agency/Group/Organization	IBERO AMERICAN ACTION LEAGUE, INC
	Agency/Group/Organization Type	Community Development Organization
	What section of the Plan was addressed by Consultation?	Services - Community Development
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participates in stakeholder and partnership meetings.

Identify any Agency Types not consulted and provide rationale for not consulting

No agencies or organizations were deliberately excluded from the consultation process.

Other local/regional/state/federal planning efforts considered when preparing the Plan

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	Partners Ending Homelessness	The goals in the Strategic Plan are in alignment with the goals of the CoC in ending homelessness and the Strategic Plan was developed after consultation with the CoC.

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Rochester 2034	City of Rochester	The City undertook extensive public outreach in the writing of the Comprehensive Plan. The Con Plan and Annual Plan are implantation tools of Rochester 2034. The Strategic Plan of the Con Plan and Rochester 2034 are in alignment.
2018 Citywide Housing Market Study	City of Rochester	The Strategic Plan priorities and goals are in alignment with the findings of the housing study.

Table 3 – Other local / regional / federal planning efforts

Narrative (optional)

AP-12 Participation – 91.105, 91.200(c)

**1. Summary of citizen participation process/Efforts made to broaden citizen participation
Summarize citizen participation process and how it impacted goal-setting**

The City participated in a variety of virtual and in-person meetings throughout the year with numerous community groups and not-for-profits. These are included in the consultation section. In addition, the City held public hearings on March 18, 2024 and on May 22, 2024. Members of the public were encouraged to submit comments in two separate online forms. From March 4 through May 13, the public was invited to submit their input on how the City should allocate and prioritize HUD funding for the 2023 program year. From May 13 through June 13, the public was invited to provide feedback on the published draft 2024 Annual Action Plan document and the spending plan within it. An online public input form has been available for the public to submit comments, input, and feedback on how the City should allocate HUD funding. An additional public hearing was held by City Council on June 13 to conclude the 30-day comment period.

Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
1	Public Hearing	Non-targeted/broad community	See attachments - Public Hearing notes	See attachments - Public Hearing notes	All comments accepted	https://www.cityofrochester.gov/ConsolidatedPlan2020 ; Public Notices https://www.cityofrochester.gov/WorkArea/DownloadAsset.aspx?id=21474854219 h

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
2	Internet Outreach	Non-targeted/broad community	See attachment - Written Comments Received	See attachment - Written Comments Received	All comments accepted	https://www.cityofrochester.gov/ConsolidatedPlan2020 City Press Releases https://www.cityofrochester.gov/WorkArea/DownloadAsset.aspx?id=2147485490

Table 4 – Citizen Participation Outreach

Expected Resources

AP-15 Expected Resources – 91.220(c)(1,2)

Introduction

This section describes the federal resources that the City expects to utilize in furthering the goals set forth in this Consolidated Plan.

Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	8,201,087	250,000	0	8,451,087	0	The 2024-25 allocation is the final allocation of the current Five-year Consolidated Plan. Fiscal year 2025-26 allocation will fall under the next Five-year Consolidated Plan covering 2025-2029.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOME	public - federal	Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA	2,340,323	225,000	0	2,565,323	0	The 2024-25 allocation is the final allocation of the current Five-year Consolidated Plan. Fiscal year 2025-26 allocation will fall under the next Five-year Consolidated Plan covering 2025-2029.
HOPWA	public - federal	Permanent housing in facilities Permanent housing placement Short term or transitional housing facilities STRMU Supportive services TBRA	1,132,150	0	0	1,132,150	0	The 2024-25 allocation is the final allocation of the current Five-year Consolidated Plan. Fiscal year 2025-26 allocation will fall under the next Five-year Consolidated Plan covering 2025-2029.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
ESG	public - federal	Conversion and rehab for transitional housing Financial Assistance Overnight shelter Rapid re-housing (rental assistance) Rental Assistance Services Transitional housing	714,761	0	0	714,761	0	The 2024-25 allocation is the final allocation of the current Five-year Consolidated Plan. Fiscal year 2025-26 allocation will fall under the next Five-year Consolidated Plan covering 2025-2029.

Table 5 - Expected Resources – Priority Table

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

The City does not require all sub-recipients to match allocations to sub-recipients. However, sub-recipients typically have additional funding sources and fund-raising activities that allows their agencies and organizations to effectively leverage federal funds awarded. Rochester will provide matching funds as required and will continue to seek funding from other federal sources as well as State, County and private funding.

In conjunction with the Annual Action Plan, the City will present to City Council for approval the budget for use of anticipated Urban Development Action Grant (UDAG) loan interest and principal repayments (program income). Contingent upon approval of said budget by City Council, these funds will be placed into the City Development Fund (CDF) in support of the goals of the Consolidated Plan as follows:

Project/Program **CDF Budgeted**

Business Development \$310,000

Project Development \$150,000

Homebuyer Assistance \$65,000

Affordable Housing Development

\$100,000

TOTAL

\$625,000

If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

The City has a robust program overseen by the Division of Code Enforcement to monitor the status and physical condition of vacant structures located throughout the city. If a structure is vacant, it could be acquired by the City, demolished, and the land used to meet the needs identified in the plan. Or, the City partners with the Rochester Land Bank Corporation and the Rochester Housing Development Fund Corporation (RHDFC), an affiliate of the Greater Rochester Housing Partnership (GRHP), for the HOME Rochester program, or other development partners, to rehabilitate formerly vacant structures and make them available for affordable homeownership and rental opportunities.

The City also has a robust program for monitoring, maintaining, and strategically disposing of City-owned vacant land, which is often offered for sale through Requests for Proposal processes to identify development partners for strategic scattered site as well as larger scale affordable and mixed income housing and mixed-use development.

This program is in alignment with the goals and needs identified in the plan.

Discussion

These much-needed federal funds will be utilized to support a variety of vital projects and programs in the city of Rochester.

Annual Goals and Objectives

AP-20 Annual Goals and Objectives

Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Increase supply of affordable housing	2020	2024	Affordable Housing	City-wide	Increase Supply and Access To and Quality of Affor	CDBG: \$187,522 HOME: \$2,331,291	Rental units constructed: 14 Household Housing Unit Rental units rehabilitated: 15 Household Housing Unit Homeowner Housing Added: 21 Household Housing Unit Homeowner Housing Rehabilitated: 3 Household Housing Unit
2	Improve quality of housing stock	2020	2024	Affordable Housing	City-wide	Increase Supply and Access To and Quality of Affor	CDBG: \$1,555,107	Homeowner Housing Rehabilitated: 121 Household Housing Unit
3	Increase access to homeownership	2020	2024	Affordable Housing	City-wide	Increase Supply and Access To and Quality of Affor	HOME: \$0	Direct Financial Assistance to Homebuyers: 35 Households Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
4	Provide Homelessness prevention and homeless housi	2020	2024	Homeless	City-wide	Provide Housing and Services to Homeless and At-Ri	ESG: \$714,761	Tenant-based rental assistance / Rapid Rehousing: 96 Households Assisted Homeless Person Overnight Shelter: 1140 Persons Assisted Overnight/Emergency Shelter/Transitional Housing Beds added: 27 Beds Other: 50 Other
5	Housing and services for persons with HIV/AIDS and	2020	2024	Non-Homeless Special Needs	City-wide	Provide Housing and Services for Persons with Spec	HOPWA: \$1,098,186	Housing for People with HIV/AIDS added: 170 Household Housing Unit
6	Improve Public Infrastructure	2020	2024	Non-Housing Community Development	City-wide	Improve Public Infrastructure and Facilities	CDBG: \$80,655	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 20000 Persons Assisted
7	Improve Public Facilities	2020	2024	Non-Housing Community Development	CDBG Eligible	Improve Public Infrastructure and Facilities	CDBG: \$0	Other: 0 Other

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
8	Provide public services	2020	2024	Non-Housing Community Development	City-wide	Provide Public Services	CDBG: \$1,207,378	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 106275 Persons Assisted Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit: 2389 Households Assisted
9	Demolish dilapidated structures	2020	2024	Non-Housing Community Development	City-wide	Eliminate Slum/Blighting Influences	CDBG: \$1,822,452	Buildings Demolished: 52 Buildings
10	Provide economic and workforce development	2020	2024	Non-Housing Community Development	CDBG Eligible	Economic and Workforce Development	CDBG: \$302,455	Jobs created/retained: 70 Jobs Businesses assisted: 6 Businesses Assisted
11	Revitalize business/commercial areas	2020	2024	Non-Housing Community Development	City-wide	Economic and Workforce Development	CDBG: \$25,205	Jobs created/retained: 16 Jobs Businesses assisted: 4 Businesses Assisted
12	Provide City planning	2020	2024	Affordable Housing Homeless Non-Homeless Special Needs Non-Housing Community Development	CDBG Eligible	Planning	CDBG: \$484,022	Other: 0 Other

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
13	Program administration	2020	2024	Affordable Housing Homeless Non-Homeless Special Needs Non-Housing Community Development	CDBG Eligible	Administration	CDBG: \$869,262 HOPWA: \$33,964 HOME: \$234,032	Other: 3 Other
14	Program delivery	2020	2024	Affordable Housing Homeless Non-Homeless Special Needs Non-Housing Community Development	CDBG Eligible	Program Delivery	CDBG: \$1,917,029	Other: 1 Other

Table 6 – Goals Summary

Goal Descriptions

1	Goal Name	Increase supply of affordable housing
	Goal Description	The City may utilize HOME and CDBG dollars to increase supply of and access to affordable rental units and affordable homeownership opportunities in the City.

2	Goal Name	Improve quality of housing stock
	Goal Description	Funding can also provide for making improvements to the quality and safety of units to ensure the health of occupants.
3	Goal Name	Increase access to homeownership
	Goal Description	The City may utilize HOME and CDBG dollars to increase access to homeownership opportunities in the City.
4	Goal Name	Provide Homelessness prevention and homeless housi
	Goal Description	City of Rochester uses ESG funds to address a number of homeless issues including emergency shelter operations, transitional housing, essential services, rapid rehousing, street outreach, and permanent supportive housing; shelter and assistance for victims of domestic violence, unaccompanied youth, persons with health and mental health conditions who are homeless, chronically homeless, veterans, families and individuals; homelessness prevention; and rental and financial assistance for households that are literally homeless or at-risk of homelessness.
5	Goal Name	Housing and services for persons with HIV/AIDS and
	Goal Description	HOPWA dollars may be used for affordable housing, medical and other essential supportive services, and accessibility modifications for persons with HIV/AIDS and their families.
6	Goal Name	Improve Public Infrastructure
	Goal Description	Public facilities in various income-eligible areas of the City are inadequate for current demands. Priority projects in the public facilities category includes design and construction of recreation center facilities, as well as play apparatus, spray parks, and recreation or athletic amenities in parks and playgrounds, including the installation of updated equipment and safety surfaces, where needed, to achieve compliance with current Consumer Product Safety Commission and ADA guidelines. Additional projects that would improve access to broadband service and close the digital divide are also a priority. Facility improvement projects will contribute to the safety and quality of life of neighborhoods and municipalities throughout the City and will benefit LMI persons.

7	Goal Name	Improve Public Facilities
	Goal Description	Public facilities in various income-eligible areas of the City are inadequate for current demands. Priority projects in the public facilities category includes design and construction of recreation center facilities, as well as play apparatus, spray parks, and recreation or athletic amenities in parks and playgrounds, including the installation of updated equipment and safety surfaces, where needed, to achieve compliance with current Consumer Product Safety Commission and ADA guidelines. Additional projects that would improve access to broadband service and close the digital divide are also a priority. Facility improvement projects will contribute to the safety and quality of life of neighborhoods and municipalities throughout the City and will benefit LMI persons.
8	Goal Name	Provide public services
	Goal Description	Providing public services is a high priority for the City. Dollars may be used for a wide array of activities that support community development, business development, fair housing, legal services, housing services, healthy housing, neighborhood development, senior services, youth development, parks and recreation, arts and culture, public safety, community health, health services, financial empowerment, family self-sufficiency, education, childcare, transportation, food access, or other community supportive services.
9	Goal Name	Demolish dilapidated structures
	Goal Description	To provide safe, livable communities for its residents, the City may use federal funds to acquire, demolish, and dispose of blighted structures that present safety hazards in various communities throughout the City.
10	Goal Name	Provide economic and workforce development
	Goal Description	The City may use federal dollars for activities that promote employment, self-sufficiency, education, job training, technical assistance, business attraction and retention, façade grants, commercial corridor development/revitalization, and economic empowerment of low- and moderate-income persons.
11	Goal Name	Revitalize business/commercial areas
	Goal Description	The City may use federal dollars for activities that support the revitalization of business and commercial areas. Potential programs may provide loans, grants, grant-to-loans/loans-to-grants and interest rate subsidies to community organizations, city businesses, or businesses which are relocating to the City which are expanding and creating jobs within identified commercial corridor areas.

12	Goal Name	Provide City planning
	Goal Description	The City will provide comprehensive and strategic planning, including community engagement, to guide the implementation of federal, state and local programs and policy.
13	Goal Name	Program administration
	Goal Description	Effective administration of CDBG, HOME, ESG and HOPWA programs.
14	Goal Name	Program delivery
	Goal Description	Program delivery covers the development of overall economic and community development policies and provides support services, coordination of real estate development, management of feasibility and consultant studies, monitoring of legal and professional service agreements, reparation of site and financial analyses, performing market research, outreach to businesses, preparation of loan packages and support, pre-development services, outreach, financial, technical and site selection assistance to businesses, organization of neighborhood commercial business assistance programs. It also includes staff costs for the planning, coordinating, and monitoring of HUD-funded housing initiatives.

Projects

AP-35 Projects – 91.220(d)

Introduction

The projects included in the 2024-25 Annual Action Plan are listed below. Funds will be used for a variety of activities that work towards promoting economic stability, improving the housing stock, providing for community needs, and other activities such as program delivery, as well as planning and administration of funds.

Projects

#	Project Name
1	Business Development Financial Assistance Program
2	Neighborhood Commercial Assistance Program
3	Street Liaison
4	Home Rochester Program Management
5	Aging in Place
6	Senior Legal Services
7	Tenant and Landlord Services
8	Foreclosure Prevention
9	Demolition Program
10	Lead Hazard Control Program
11	Owner-Occupant Housing Rehabilitation Program
12	Emergency Assistance Program (EAP)
13	Neighborhood Public Improvement and Beautification Program
14	Fire Department Small Equipment
15	STEAM Engine
16	Job Creation/Youth Development
17	Connecting Children to Nature
18	Employment Opportunity Job Training Support
19	Youth Leadership and Civic Engagement (YVOV)
20	International Plaza
21	Financial Empowerment Center
22	Emergency Solutions Grants (ESG)
23	Housing Opportunities for Persons with AIDS (HOPWA) - TH
24	Housing Opportunities for Persons with AIDS (HOPWA) - CCFCS
25	Community Housing Development Organization (CHDO) Reserve
26	Affordable Housing Development Fund

#	Project Name
27	Program Administration - Housing Opportunities for Persons with AIDS (HOPWA)
28	Planning
29	Administration
30	Neighborhood and Business Program Delivery

Table 7 - Project Information

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

CDBG and HOME funds are intended to provide low- and moderate-income households with viable communities, including decent housing, a suitable livable environment, and expanded economic opportunities. Eligible activities include community facilities and improvements, removal of blighting conditions, housing rehabilitation and preservation, affordable housing development activities, public services, economic development, planning, and administration. ESG and HOPWA funds are intended to assist persons and households experiencing homelessness and homelessness prevention and housing and services for persons with HIV/AIDS and their families, respectively.

The system for establishing the priority for the selection of FY 2024 projects was predicated upon the following criteria:

- Meeting the statutory requirements of the CDBG, HOME, ESG and HOPWA programs
- Meeting the needs of very low-, low-, and moderate-income residents
- Focusing on low-to-moderate income areas or neighborhoods
- Coordination and leveraging of resources
- Response to expressed needs
- Sustainability and/or long-term impact
- Ability to measure or demonstrate progress and success
- Existence of blighting conditions
- Focusing on the needs of persons and households experiencing homelessness and the need for homeless prevention
- Focusing on the needs of persons with HIV/AIDS and their families

The primary obstacle to meeting underserved needs is the limited funding resources available to address identified priorities. In addition, the gap in what households can afford to pay for housing and the price of housing is another obstacle to meeting the needs of the underserved. The City has a significant affordable housing stock, yet the income level for individual households such as single parent, elderly, disabled, or others of limited economic means, is not sufficient to afford even the lowest priced of the market-rate units.

The effect of greater community needs in the form of homelessness, risk of homelessness, the increased

demand for supportive services (particularly for persons with multi-system contact) paired with slightly rising costs of rent and declining wages and an aging housing stock in need of revitalization puts added pressures on the need for affordable housing within the city.

The City will continue to collaborate with other public agencies and non-profit organizations, when feasible, to leverage resources, and maximize outcomes in housing and community development.

AP-38 Project Summary
Project Summary Information

1	Project Name	Business Development Financial Assistance Program
	Target Area	City-wide
	Goals Supported	Provide economic and workforce development
	Needs Addressed	Economic and Workforce Development
	Funding	CDBG: \$302,455
	Description	The program provides loans, grants, grant-to-loans/loans-to-grants and interest rate subsidies to businesses located within the city of Rochester. Financial assistance may be provided to both new and established businesses who are relocating or expanding within the city, while creating and/or retaining jobs. Funding can be utilized towards renovations (interior and exterior), purchase of real estate, purchase of machinery and equipment (including security equipment), predevelopment services including environmental testing, feasibility & planning studies and architectural assistance, targeted support to MWBEs, and working capital including payroll, insurance, utilities, lease/mortgage, inventory, supplies, architectural and accounting services. Funds may also be used in environmental remediation associated with projects in development.
	Target Date	6/30/2025
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	All activities focus on facilitating business growth for established and new businesses which are creating and retaining jobs. Funds for building construction, expansions and facades improve the appearance of neighborhood commercial corridors and industrial parks and areas. Funds are utilized to recruit a diverse set of new businesses and increase the number of entrepreneurial firms.
2	Project Name	Neighborhood Commercial Assistance Program
	Target Area	City-wide
	Goals Supported	Revitalize business/commercial areas
	Needs Addressed	Economic and Workforce Development
	Funding	CDBG: \$25,205

	Description	This program supports the Small Business Grant program for essential neighborhood service businesses and micro-enterprises and businesses that create jobs for low- and moderate-income individuals.
	Target Date	6/30/2025
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	All activities focus on facilitation of business growth for established and new businesses which are creating and retaining jobs. Funding for this program is aligned with the strategic plan to facilitate business growth and enhance neighborhoods by retaining anchor businesses, growing existing businesses, increasing the capacity of business associations, and creating and retaining jobs.
3	Project Name	Street Liaison
	Target Area	City-wide
	Goals Supported	Provide public services
	Needs Addressed	Provide Public Services
	Funding	CDBG: \$90,000
	Description	Function as a liaison between the City of Rochester Business Development Team, Neighborhood Service Center Administrator and neighborhood businesses; Provide detailed reports of business activities and concerns faced by businesses to City of Rochester staff; Work collaboratively with business associations, and City of Rochester staff to promote and assist business corridors with products and services that meet the consumer needs of the local and greater community.
	Target Date	6/30/2025
	Estimate the number and type of families that will benefit from the proposed activities	200 businesses 100,000 nearby low-mod residents benefiting from healthy commercial corridors
	Location Description	

	Planned Activities	Street liaisons function as a liaison between the City of Rochester Business Development Team, Neighborhood Service Center Administrator and neighborhood businesses; Provide detailed reports of business activities and concerns faced by businesses to City of Rochester staff; Work collaboratively with business associations, and City of Rochester staff to attract and populate business corridors with products and services that meet the consumer needs of the local and greater community.
4	Project Name	Home Rochester Program Management
	Target Area	City-wide
	Goals Supported	Increase supply of affordable housing
	Needs Addressed	Increase Supply and Access To and Quality of Affor
	Funding	CDBG: \$187,522
	Description	Funds provide operating support to facilitate development from a City-designated Community Housing Development Organization (CHDO), resulting in reduced blight and increased homeownership opportunities for low-moderate income households.
	Target Date	6/30/2025
	Estimate the number and type of families that will benefit from the proposed activities	Goals are accomplished through the CHDO goal noted in project #25.
	Location Description	
	Planned Activities	
5	Project Name	Aging in Place
	Target Area	City-wide
	Goals Supported	Provide public services
	Needs Addressed	Provide Public Services
	Funding	CDBG: \$60,000

	Description	This program provides support services to keep elders productive in the community. This project offers a safety assessment of the home environment and makes a variety of minor improvements and repairs such as bathroom grab bars, stairway handrails and door grips, all designed to avoid accidents and maintain household safety. In addition, Lifespan provides consumer education sessions and if necessary, consumer support case management around home repairs.
	Target Date	6/30/2025
	Estimate the number and type of families that will benefit from the proposed activities	192 Persons
	Location Description	
	Planned Activities	Households for elderly are surveyed and minor modifications are made. Consumer education sessions are held and cases involving consumer support for home repair problems are conducted and closed.
6	Project Name	Senior Legal Services
	Target Area	City-wide
	Goals Supported	Provide public services
	Needs Addressed	Provide Public Services
	Funding	CDBG: \$40,000
	Description	Provides assistance with legal services for the elderly that would improve their quality of life. This includes but is not limited to wills and estates, elder abuse, consumer fraud, home repair complaints, accessing medical services, and foreclosure prevention.
	Target Date	6/30/2025
	Estimate the number and type of families that will benefit from the proposed activities	35 Persons Assisted
	Location Description	

	Planned Activities	Provides assistance with legal services for the elderly that would improve their quality of life. This includes but is not limited to wills and estates, elder abuse, consumer fraud, home repair complaints, accessing medical services, and foreclosure prevention.
7	Project Name	Tenant and Landlord Services
	Target Area	City-wide
	Goals Supported	Provide public services
	Needs Addressed	Provide Public Services
	Funding	CDBG: \$90,000
	Description	Provides housing stabilization services to low/mod income tenants and landlords, including eviction prevention services, in particular, related to income issues and/or warrants of habitability concern, and training and information for landlords on operating rental property as a business and in compliance with fair housing quality standards.
	Target Date	
	Estimate the number and type of families that will benefit from the proposed activities	467 Persons
	Location Description	
	Planned Activities	Legal advocacy, assistance in avoidance of immediate eviction, alternative dispute resolution and counseling to landlords and tenants, tenant workshops, landlord assistance including the housing hotline.
8	Project Name	Foreclosure Prevention
	Target Area	City-wide
	Goals Supported	Provide public services
	Needs Addressed	Provide Public Services
	Funding	CDBG: \$100,819

	Description	Two projects work interdependently to assist low to moderate income households to avoid foreclosures due to mortgage default, predatory loans, or tax default. The Housing Council program analyzes the financial and household situation and works with the client, mortgage lender, realtors, lawyers, and other appropriate parties to prevent the loss of the property to foreclosure. Strategies include budget restructuring, forbearance options, reinstatement, sale and bankruptcy, and assistance related to New York State mandated settlement conferences. Empire Justice helps households avoid foreclosure with direct legal services and/or representation for mandatory settlement conferences or to combat predatory/unfair lending and servicing practices. Additional households receive expert advice on loans and referrals to appropriate agencies.
	Target Date	6/30/2025
	Estimate the number and type of families that will benefit from the proposed activities	87 Households Assisted
	Location Description	
	Planned Activities	Funds go to a HUD-certified counseling agency (The Housing Council) or statewide legal agency (Empire Justice), both with decades of experience, to provide direct counseling services to households at risk of mortgage or tax default.
9	Project Name	Demolition Program
	Target Area	City-wide
	Goals Supported	Demolish dilapidated structures
	Needs Addressed	Eliminate Slum/Blighting Influences
	Funding	CDBG: \$1,822,452
	Description	Funds will be used for demolition of residential, industrial and/or commercial structures. Includes a Clean Demo Strategy, which requires the removal of all subsurface concrete structures and utilizing clean virgin soil for backfill focused on targeted areas of past and future development.
	Target Date	6/30/2025

	Estimate the number and type of families that will benefit from the proposed activities	52 Structures
	Location Description	
	Planned Activities	Demolish vacant and blighted structures
10	Project Name	Lead Hazard Control Program
	Target Area	City-wide
	Goals Supported	Improve quality of housing stock
	Needs Addressed	Increase Supply and Access To and Quality of Affor
	Funding	CDBG: \$400,000
	Description	Funds will be used for rehab costs to mitigate lead-based paint hazards in residential units
	Target Date	6/30/2025
	Estimate the number and type of families that will benefit from the proposed activities	16 Housing Units
	Location Description	
	Planned Activities	Rehabilitation grants.
11	Project Name	Owner-Occupant Housing Rehabilitation Program
	Target Area	City-wide
	Goals Supported	Improve quality of housing stock
	Needs Addressed	Increase Supply and Access To and Quality of Affor
	Funding	CDBG: \$953,470
	Description	This program will provide financial assistance for rehabilitation to eligible property owners to address lead-based paint hazards, health and safety risks, and code violations. The funds will support a variety of efforts including enhancing targeted areas of development.
	Target Date	6/30/2025

	Estimate the number and type of families that will benefit from the proposed activities	38 Housing Units
	Location Description	
	Planned Activities	Rehabilitation grants
12	Project Name	Emergency Assistance Program (EAP)
	Target Area	City-wide
	Goals Supported	Improve quality of housing stock
	Needs Addressed	Increase Supply and Access To and Quality of Affor
	Funding	CDBG: \$201,637
	Description	This program will provide financial assistance to address emergencies related to furnaces or boilers, hot water tanks and other minor emergency repairs to income eligible owner-occupants.
	Target Date	6/30/2025
	Estimate the number and type of families that will benefit from the proposed activities	67 Households
	Location Description	
	Planned Activities	Emergency grants
13	Project Name	Neighborhood Public Improvement and Beautification Program
	Target Area	City-wide
	Goals Supported	Improve Public Infrastructure Improve Public Facilities Revitalize business/commercial areas
	Needs Addressed	Improve Public Infrastructure and Facilities
	Funding	CDBG: \$80,655
	Description	The funds will be used to support small community-driven public improvements that beautify public spaces in City neighborhoods.
	Target Date	6/30/2025

	Estimate the number and type of families that will benefit from the proposed activities	20,000 nearby residents benefiting from beautified commercial corridors
	Location Description	
	Planned Activities	Public improvements and beautification along neighborhood corridors or public spaces.
14	Project Name	Fire Department Small Equipment
	Target Area	City-wide
	Goals Supported	Provide public services
	Needs Addressed	Provide Public Services
	Funding	CDBG: \$55,451
	Description	The Rochester Fire Department (RFD) provides and installs free smoke and carbon monoxide detectors to families living in residential properties. The RFD coordinates and implements fire prevention and education programs to reach at-risk target populations.
	Target Date	6/30/2025
	Estimate the number and type of families that will benefit from the proposed activities	1700 Persons
	Location Description	
	Planned Activities	Distribution of smoke detectors and carbon monoxide detectors
15	Project Name	STEAM Engine
	Target Area	City-wide
	Goals Supported	Provide public services
	Needs Addressed	Provide Public Services
	Funding	CDBG: \$102,835

	Description	The S.T.E.A.M. (Science, Technology, Engineering, Arts and Math) Engine is a mobile education and recreation program that will engage youth in free, high-quality STEM and visual/performing arts activities in parks, playgrounds, recreation centers, and cultural art institutions. The program will be delivered using the Rec on the Move model, which brings activities, equipment, staff, and all of the benefits of R Centers right to youth and families in their neighborhoods. A portion of the STEAM Engine funds will continue to support the delivery of community-based music instruction through the ROCmusic program, an after-school and summer music education program that offers tuition-free instrumental music instruction, small and large group lessons, and performance opportunities at R-Centers.
	Target Date	6/30/2025
	Estimate the number and type of families that will benefit from the proposed activities	2,000 Persons Assisted
	Location Description	
	Planned Activities	Deliver weekly neighborhood-based mobile arts engagement & STEM program in R-Centers. Deliver weekly classical music instruction, instrument lessons, and performance opportunities to Rochester youth in grades 1-12 at R-Centers.
16	Project Name	Job Creation/Youth Development
	Target Area	City-wide
	Goals Supported	Provide public services Provide economic and workforce development
	Needs Addressed	Provide Public Services Economic and Workforce Development
	Funding	CDBG: \$403,273

	Description	This project will support City of Rochester Department of Recreation and Human Services programs and activities, including the Summer of Opportunity program, that provide year-round job readiness, work awareness, and basic occupational skills development for low/mod income youth seeking employment or career exploration services. The funds will be used to lend support to programs or activities that are identified as providing an integrated environment for the provision of job readiness, work awareness, and basic occupational skills development for low/mod income city residents seeking employment or vocational or career exploration services. It will also provide asset development opportunities for youth and skills development for adults.
	Target Date	6/30/2025
	Estimate the number and type of families that will benefit from the proposed activities	750 Persons Assisted
	Location Description	
	Planned Activities	The activity will include programs and activities that provide job readiness, work readiness, basic occupational skills development, asset development opportunities and skills development. Both youth and adults may be served by the activity.
17	Project Name	Connecting Children to Nature
	Target Area	City-wide
	Goals Supported	Provide public services
	Needs Addressed	Provide Public Services
	Funding	CDBG: \$50,000
	Description	This project will expand on the Mayor's 10-Minute Walk to Parks pledge and the City's commitment to the Cities Connecting Children to Nature initiative by providing park activation and environmental education programming for City of Rochester residents.
	Target Date	6/30/2025

	Estimate the number and type of families that will benefit from the proposed activities	500 Persons Assisted
	Location Description	
	Planned Activities	Coordinate and implement a wide array of environmental and parks programming tailored to diverse audiences, including parks stewardship, environmental education, volunteer activities, family-friendly fitness activities in parks, and nature-based play activities.
18	Project Name	Employment Opportunity Job Training Support
	Target Area	City-wide
	Goals Supported	Provide public services Provide economic and workforce development
	Needs Addressed	Provide Public Services Economic and Workforce Development
	Funding	CDBG: \$50,000
	Description	This program will fund staff to coordinate employment readiness and career awareness training to city students, ages 14-20, as well as leadership skills development including social and interpersonal skills, conflict resolution, team building, and decision making. Additionally, students will participate in internships with the City and/or local employers. Funding will provide workforce development support to low/mod income city youth participating in the program in the form of resume consultation and development, interview skills development, and job placement assistance.
	Target Date	6/30/2025
	Estimate the number and type of families that will benefit from the proposed activities	100 Persons Assisted
	Location Description	

	Planned Activities	The focus of the program is to train youth ages 14-20 on the soft and hard skills needed to obtain and maintain a job, exposure to community members who can talk to the importance of education and how it is a direct link to picking a career, and moving out of poverty and giving back to the community through community service. The youth who participate in the program move on to apply for the Summer of Opportunity Program for job placement.
19	Project Name	Youth Leadership and Civic Engagement (YVOV)
	Target Area	City-wide
	Goals Supported	Provide public services
	Needs Addressed	Provide Public Services
	Funding	CDBG: \$65,000
	Description	Provide youth-led civic engagement support to low/mod income youth ages 10-19 participating in City of Rochester leadership training and youth development programs. Funding will support staff to coordinate youth civic engagement and entrepreneurship opportunities and leadership skills development including social and interpersonal skills, conflict resolution, event planning, service learning, team building, decision making, and work readiness. It will support the Youth Voice, One Vision – the Mayor's Youth Advisory Council, Roc My Brother's Keeper, and other youth development programming. Each R-Center will have its own leadership group of at least 10 members. Programming will take place in school and community-based settings.
	Target Date	6/30/2025
	Estimate the number and type of families that will benefit from the proposed activities	200 Persons Assisted
	Location Description	

	Planned Activities	Youth engaged in this program will work to alleviate problems within their neighborhoods, R-Centers, and schools. Youth learn life skills by attending workshops and by developing positive peer relationships and mentoring relationships with adults. Youth members learn concrete skills related to civic engagement and advocacy, politics and government, and producing community events that prepare them for planning for future life events, college and careers. They see real improvements to their communities as they carry out community service projects thus gaining confidence in their personal efficacy.
20	Project Name	International Plaza
	Target Area	City-wide
	Goals Supported	Provide public services
	Needs Addressed	Provide Public Services
	Funding	CDBG: \$50,000
	Description	CDBG funding will be used to support the management and daily operations of the La Marketa at the International Plaza. La Marketa is a public gathering and event space for community and culturally relevant programming as well as a market space that will offer a variety of vending options ranging from pop-up tents and carts to more permanent retail locations housed in converted shipping containers. Local entrepreneurs can sell their products and services in a low risk, low cost, flexible environment that is envisioned to become an incubator for neighborhood economic development.
	Target Date	6/30/2025
	Estimate the number and type of families that will benefit from the proposed activities	2,500 Persons Assisted
	Location Description	
	Planned Activities	Oversee International Plaza operations including vendor recruitment and management, special event & promotional development activities, site maintenance, community engagement activities, nutrition education, and culturally relevant experiential learning opportunities.
21	Project Name	Financial Empowerment Center
	Target Area	City-wide

	Goals Supported	Provide public services
	Needs Addressed	Provide Public Services
	Funding	CDBG: \$50,000
	Description	The Rochester Financial Empowerment Center (FEC) provides free, professional, one-on-one financial counseling as a tool for community members who have been, and are continually, excluded from wealth building opportunities. Though each client comes to the FEC for different reasons, the FEC has four key focus areas: (1) access to safe and affordable banking services, (2) debt reduction, (3) credit repair/building, and (4) increasing cash savings and asset-building.
	Target Date	6/30/2025
	Estimate the number and type of families that will benefit from the proposed activities	225 Persons
	Location Description	
	Planned Activities	Financial counseling
22	Project Name	Emergency Solutions Grants (ESG)
	Target Area	City-wide
	Goals Supported	Provide Homelessness prevention and homeless housi
	Needs Addressed	Provide Housing and Services to Homeless and At-Ri
	Funding	ESG: \$714,761
	Description	The program will support homelessness prevention, emergency shelter operations, coordinated entry, rapid re-housing, street outreach, and planning and administration efforts. Street outreach, coordinated access/entry, rapid rehousing, homelessness prevention, emergency shelter operations and support services will go to qualified households that meet the appropriate definition of "homeless" • or, for homelessness prevention activities, households that meet the HUD definition of "at risk of homelessness. \$53,607 of the funds (7.5% of the allocation) will be used for the City's administration of the ESG Program. That amount is included in this project as only one activity can be listed for this funding source. \$357,087 will be used for emergency shelter; \$61,067 for street outreach/coordinated entry; \$184,000 for rapid rehousing; and \$59,000 for homelessness prevention.

	Target Date	6/30/2025
	Estimate the number and type of families that will benefit from the proposed activities	96 Households Assisted Tenant-based Rental Assistance/Rapid Rehousing 1141 Persons Assisted Homeless Person Overnight Shelter 27 Persons Assisted Homelessness Prevention 50 Persons Assisted Other (Street Outreach programs with case management and housing search as 2 main components)
	Location Description	
	Planned Activities	Street outreach, coordinated access/entry, rapid rehousing, homelessness prevention, emergency shelter operations and support services to qualified households that meet the appropriate definition of “homeless” or, for homelessness prevention activities, households that meet the HUD definition of “at risk of homelessness. \$53,607 of the funds (7.5% of the allocation) will be used for the City's administration of the ESG Program. That amount is included in this project as only one activity can be listed for this funding source. \$357,087 will be used for emergency shelter; \$61,067 for street outreach/coordinated entry; \$184,000 for rapid rehousing; and \$59,000 for homelessness prevention.
23	Project Name	Housing Opportunities for Persons with AIDS (HOPWA) - TH
	Target Area	City-wide
	Goals Supported	Housing and services for persons with HIV/AIDS and
	Needs Addressed	Provide Housing and Services for Persons with Spec
	Funding	HOPWA: \$549,093
	Description	Provides rental assistance and other supportive services to low income households where a member of the household has been diagnosed with HIV/AIDS.
	Target Date	6/30/2025
	Estimate the number and type of families that will benefit from the proposed activities	85 Households Assisted
	Location Description	
	Planned Activities	Rental assistance and other supportive services to those with HIV/AIDS.
	Project Name	Housing Opportunities for Persons with AIDS (HOPWA) - CCFC

24	Target Area	City-wide
	Goals Supported	Housing and services for persons with HIV/AIDS and
	Needs Addressed	Provide Housing and Services for Persons with Spec
	Funding	HOPWA: \$549,093
	Description	Provides rental assistance and other supportive services to low- income households where a member of the household has been diagnosed with HIV/AIDS.
	Target Date	6/30/2025
	Estimate the number and type of families that will benefit from the proposed activities	85 Households Assisted
	Location Description	
	Planned Activities	Rental assistance and other supportive services to those with HIV/AIDS.
	25	Project Name
Target Area		City-wide
Goals Supported		Increase supply of affordable housing
Needs Addressed		Increase Supply and Access To and Quality of Affor
Funding		HOME: \$351,049
Description		Subsidy funds to facilitate development from City-designated Community Housing Development Organizations (CHDOs), resulting in reduced blight and increased homeownership and rental housing opportunities for low-moderate income households.
Target Date		6/30/2025
Estimate the number and type of families that will benefit from the proposed activities		11 Quality Housing Units
Location Description		
Planned Activities		Development and rehabilitation assistance to complete high-quality rehab of vacant properties and sell to income-qualified owner- occupants and/or the development of high quality affordable rental units.

26	Project Name	Affordable Housing Development Fund
	Target Area	City-wide
	Goals Supported	Increase supply of affordable housing
	Needs Addressed	Increase Supply and Access To and Quality of Affor
	Funding	HOME: \$1,980,242
	Description	Provides gap financing for the development and/or rehabilitation of affordable housing. Funds may be for costs associated with new and/or rehabilitated housing; energy efficiency and sustainability features, enhancements, and/or improvements; approved soft costs or limited pre-development costs necessary to create or continue the availability of affordable rental and homeownership housing.
	Target Date	6/30/2025
	Estimate the number and type of families that will benefit from the proposed activities	14 Rental Units Constructed. 15 Rental Units Rehabilitated 10 Homeowner Housing Units Added 3 Homeowner Housing Units Rehabilitated
	Location Description	
	Planned Activities	Gap financing for the development of affordable housing.
27	Project Name	Program Administration - Housing Opportunities for Persons with AIDS (HOPWA)
	Target Area	City-wide
	Goals Supported	Program administration
	Needs Addressed	Administration
	Funding	HOPWA: \$33,964
	Description	This project provides funds to cover the overall administration and monitoring of the City's HOPWA program administration.
	Target Date	6/30/2025
	Estimate the number and type of families that will benefit from the proposed activities	Other 1
	Location Description	

	Planned Activities	Program management, monitoring, legal and financial services, contracting, coordination, and administration of federal programs.
28	Project Name	Planning
	Target Area	City-wide
	Goals Supported	Provide City planning
	Needs Addressed	Planning
	Funding	CDBG: \$484,022
	Description	Funds are used to reimburse salary and fringe of City staff in the Office of City Planning, as well as to support professional services needed to develop plans and planning studies that inform the City's housing and community development goals and strategies.
	Target Date	6/30/2025
	Estimate the number and type of families that will benefit from the proposed activities	Other 1
	Location Description	
	Planned Activities	Reimbursement for City Planning staff costs and professional service agreements to develop plans.
29	Project Name	Administration
	Target Area	City-wide
	Goals Supported	Program administration
	Needs Addressed	Administration
	Funding	CDBG: \$869,262 HOME: \$234,032
	Description	This project provides funds to cover the overall administration and monitoring of the City's HUD programs, including CDBG Program Management, CDBG Indirect Costs like legal and financial services, and HOME administration.
	Target Date	6/30/2025

	Estimate the number and type of families that will benefit from the proposed activities	Other 2
	Location Description	
	Planned Activities	
30	Project Name	Neighborhood and Business Program Delivery
	Target Area	City-wide
	Goals Supported	Program delivery
	Needs Addressed	Program Delivery
	Funding	CDBG: \$1,917,029
	Description	The allocation provides funds to cover the direct staff costs of delivering the specific projects listed above (not including projects 28 or 29) including but not limited to: the provision of technical and support services, coordination of real estate development, management of feasibility and consultant studies, monitoring of legal and professional service agreements, preparation of site and financial analyses, performing market research, outreach to businesses, preparation of loan packages and support, pre-development services, outreach, financial, technical and site selection assistance to businesses, organization of neighborhood commercial business assistance programs. Funds are used for staff involved in the planning, coordinating, and monitoring of the City's housing initiatives.
	Target Date	6/30/2025
	Estimate the number and type of families that will benefit from the proposed activities	Other 1
	Location Description	

	<p>Planned Activities</p>	<p>Development of overall economic and community development policies and provide support services, coordination of real estate development, management of feasibility and consultant studies, monitoring of legal and professional service agreements, preparation of site and financial analyses, performing market research, outreach to businesses, preparation of loan packages and support, pre-development services, outreach, financial, technical and site selection assistance to businesses, organization of neighborhood commercial business assistance programs. Funds are also used for staff involved in the planning, coordinating, and monitoring of the City's housing initiatives.</p>
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AP-50 Geographic Distribution – 91.220(f)

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

Assistance will be directed throughout the City based on income eligibility and areas in which the percentage of households with incomes at or below 80% AMI is at least 51%. The selected projects are largely based on an applicant household's income more than being placed-based investments.

Geographic Distribution

Target Area	Percentage of Funds
City-wide	1
CDBG Eligible	99

Table 8 - Geographic Distribution

Rationale for the priorities for allocating investments geographically

Not all of the housing and community development needs identified in this plan can be addressed over the next five years, due primarily to the limited resources available. Therefore, the City has established priorities to ensure that scarce funds are directed to the most pressing needs. The selection of non-housing community development priorities reflects the City's desire to create appreciable and lasting living environment improvements throughout the City. Specific geographic targeting of housing investment reflects the need to affirmatively further fair housing by directing development to a broader range of neighborhoods and communities and broadening the supply of affordable housing outside of historically saturated areas. HOPWA funds are allocated based in part on the agencies' abilities to effectively serve clients who are eligible for housing and services under HOPWA.

Discussion

The funding priorities and decisions for the City of Rochester are based on a process of neighborhood engagement that provides the basis for funding. The Quadrant Team approach was developed, in part, to assist in the process of identifying neighborhood issues and responding through a cross-functional team approach. The Quad Team approach is threefold: communication, short-term problem-solving, and prioritization of longer-term projects. The long-term goal is to create a process of ongoing issue identification, resource allocation, and prioritization that continually informs the City on economic development, housing, and social issues. The City consults with community groups, nonprofit groups and City department staff on an ongoing basis in the preparation of the Annual Action Plan. The City used statistical data compiled from a variety of sources to prepare a community profile, housing market analysis, and housing needs assessment that is included in the Five Year Consolidated Plan. The City's federal funding priorities will also adhere to the following guidelines: At least 70% of CDBG expenditures will be for activities that benefit low- and moderate-income families. The amount of funds proposed for public service activities will not exceed 15% of the annual CDBG amount, including program income. The

amount of funds proposed for planning and administration activities will not exceed 20% of the annual CDBG amount, including program income. The City's federal CDBG funds are intended to provide low- and moderate-income households with viable communities, including decent housing, a suitable living environment, and expanded economic opportunities. Eligible activities include community facilities and improvements, housing rehabilitation and preservation, affordable housing development activities, public services, economic development, planning, and administration. The system for establishing the priority for the selection of these projects is predicated upon the following criteria: Meeting the statutory requirements of the CDBG Program; Meeting the needs of low- and moderate-income residents; Focusing on low- and moderate-income areas or neighborhoods; Coordination and leveraging of resources; Response to expressed needs; Sustainability and/or long-term impact, and the Ability to demonstrate measurable progress and success. The City of Rochester also utilizes economic development tools to promote revitalization and growth. These include the Empire Zone Tax Incentive. Although this program expired June 30, 2010 for new entrants into the program, the current zone certified businesses must comply with program requirements to assure continuation of their benefits.

Affordable Housing

AP-55 Affordable Housing – 91.220(g)

Introduction

The City is committed to creating and preserving affordable housing for homeless, non-homeless and special needs populations through a variety of methods including rental assistance, production of new units, rehabilitation of existing units and acquisition.

The City will use HOME funds and a portion of CDBG funds for new affordable housing units and to rehabilitate existing housing units. Both the special needs population and the homeless population will be served through grants to local service providers. There are no CDBG or HOME supported affordable housing programs targeted to special needs populations at this time. In Program Year 2024-25, the City of Rochester will administer the following programs to preserve and increase access to affordable housing:

- Single and Multifamily Housing Program – new construction, rehabilitation, and homebuyer assistance
- CHDO – supporting community organizations to develop affordable housing
- ESG – homelessness prevention and rapid rehousing
- HOPWA – Tenant-based Rental Assistance (TBRA) and Short-term rent, mortgage, and utility (STRMU) assistance

One Year Goals for the Number of Households to be Supported	
Homeless	96
Non-Homeless	209
Special-Needs	170
Total	475

Table 9 - One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households Supported Through	
Rental Assistance	266
The Production of New Units	35
Rehab of Existing Units	139
Acquisition of Existing Units	35
Total	475

Table 10 - One Year Goals for Affordable Housing by Support Type

Discussion

Acquisition of units in the above table includes financial assistance to homebuyers in acquiring a home through down payment and closing cost assistance programs.

AP-60 Public Housing – 91.220(h)

Introduction

RHA currently has a copy of its Five-Year Plan (October 2020 – September 2024), Annual Plan and Capital Fund Program Plan available on the RHA website. This section outlines plans and actions that will be undertaken by RHA in the coming year to address the needs of those in public housing as well as the physical needs of the units. RHA updates the plan annually and submits it to HUD for approval. Once HUD approval is received the new plan is posted on RHA’s website.

Actions planned during the next year to address the needs to public housing

RHA will continue to provide quality affordable housing and services for its residents. RHA meets with its resident councils, resident Commissioners, staff and neighborhood associations to address needs and discuss issues and inform of upcoming projects. RHA takes all suggestions and recommendations from these sources into consideration when preparing its five-year Capital Improvement plan and Annual Agency Plan. Currently, RHA is preparing its 5-Year Annual Agency Plan that will be submitted to HUD in July. Due to ever-changing conditions, items are prioritized and can fluctuate within the plan from year to year as needs and funding priorities are addressed. Some planned activities that make use of capital funds include interior and exterior renovations, weatherization, systems upgrades, site work to increase security (lighting, sidewalks, etc.) as well as parking improvements, renovation of laundry facilities and common areas, security camera and access control systems Authority-wide and new windows, roofing, gutters and siding. RHA will establish the most strategic use of its Capital Funds to make needed improvements and preserve its Public Housing stock. Capital Funds may also be used for development activities and will be used to renovate Scattered Site properties and prepare identified properties for homeownership through HUD’s Section 32 Homeownership program. RHA will continue to participate in community initiatives, task forces and forums to address housing quality, homelessness, and emergency housing needs in the community.

RHA will continue its initiative to “Change the Face of Public Housing” by renovating and constructing new units that residents will be proud to call home. Current projects are the Bond St redevelopment, Edinburgh St redevelopment, Thomas St. redevelopment, Henry St. redevelopment, and Bronson Court renovations. RHA will continue its “Beautification Initiative” for all its public housing developments that will not only enhance curb appeal but the rest of the property as well. Strategies are being developed to preserve sites such as Harriet Tubman Estates, Lena Gantt, Holland Townhouses, Bay-Zimmer Apts, Lexington Court Apts and scattered sites in the city of Rochester. RHA is advancing the preservation and redevelopment of its public housing sites; Federal Street Townhouses/Scattered Sites Rental Assistance Demonstration (RAD) project, Parliament Arms- Fairfield Village RAD project and Glenwood gardens RAD

project.

CONTINUED IN DISCUSSION SECTION

Actions to encourage public housing residents to become more involved in management and participate in homeownership

RHA will continue to administer a Family Self-Sufficiency (FSS) grant funded program. Under this program, families set goals and an RHA human services specialist works with them to achieve the goals over a 5-year period. Some families' goals include homeownership. FSS funds four human services specialist positions. Per HUD guidelines, one human services specialist position is funded for the first 25 families and an additional position for each additional 50 families. Currently, RHA has 169 participants enrolled in the FSS program. Open slots are currently available for public housing residents and Section 8 families. Outreach to families consists of staff referrals, brochures, Robocalls, social media and on-site events.

RHA will continue to assist families in achieving their homeownership goals by providing participants with homeownership supports such as credit counseling, building escrow savings accounts, linking them with local grant opportunities and other local homeownership programs. Since 2002, RHA has assisted 266 families in purchasing a home. In 2023, there were 12 graduates of the FSS program who collectively saved over \$77,000 in escrow savings over the five-year period. RHA is implementing HUD's Section 32 Homeownership program and is applying to HUD's Special Application Center (SAC) for the first seven homes that will be participating. RHA surveyed its single family scattered site residents and has received 39 responses from residents wishing to purchase their public housing home. RHA's Resident Services department staff are meeting one on one with each resident to develop a path to successful homeownership. RHA's goal is to create a pipeline of potential homeowners.

RHA will also continue to utilize funding from a ROSS (Resident Opportunities and Self-Sufficiency) Grant. This grant funds Service Coordinators who assess the needs of residents of conventional Public Housing and coordinate available resources in the community to meet those needs. This program promotes local strategies to coordinate the use of assistance under the Public Housing program with public and private resources, for supportive services and resident empowerment activities. These services should enable participating families to increase earned income, reduce or eliminate the need for welfare assistance, make progress toward achieving economic independence and reach housing self-sufficiency.

The Rochester Housing Authority (RHA) provides various opportunities for resident participation. RHA Participant Activities include Resident Programs, Resident Council, Jurisdiction Wide Resident Council, Curbside Market with Foodlink, Youth Programs, Summer Camp through Girl Scouts, Boy Scouts of America, Adult Programs, Community Service, Resident Worker Program, Elderly/disabled service

coordination for Senior/Disabled residents, meetings with the Resident Advisory Board (RAB) to gather input on the agency annual plan and capital/physical needs at their sites. The RHA Board has established a Resident Relations Committee that meets monthly to inform, gather input, discuss concerns and issues, and plan for the future.

CONTINUED IN DISCUSSION SECTION

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

Rochester Housing Authority is not designated as a troubled agency.

Discussion

PUBLIC HOUSING NEEDS CONTINUED:

These redevelopment projects may increase or decrease the number of available public housing units with the goal of increasing the number of quality affordable housing units overall. RHA has room in its Faircloth limit to add public housing units to its portfolio and will take that into consideration when planning projects. RHA was awarded Low Income Housing Tax Credits (LIHTC) and other sources of funding to redevelop Federal St. Townhouses/Scattered Sites with construction beginning this spring. Funding applications have been submitted for the Parliament-Fairfield RAD project. RHA may also issue its own bonds for development activities and acquiring property. RHA intends to apply for NYS funding opportunities for development and capital improvement activities. RHA will be applying to New York State Housing and Community Renewal's Public Housing Preservation Program (PHP) when the NOFA is available. PHP is a partnership among HCR, HUD, Federal Public Housing Authorities (PHAs) outside New York City and collaborating with private for profit and non-profit developers to address the needs of these properties and assist RHA in completing their plans to ensure the long-term sustainability of existing public housing units. HCR will coordinate with RHA and HUD to develop and implement a five-year strategy to preserve public housing units, address their need for capital improvements, and ensure their continued affordability. RHA intends to apply for HUD's Choice Neighborhood Initiative (CNI) when the NOFA is announced. RHA intends to partner with the City of Rochester on CNI and target the North Inner Loop and surrounding area to include the following Public Housing sites: Holland Townhouses, Lena Gantt Estates, Harriett Tubman Estates and scattered sites. This effort will be a larger collaboration with many community stakeholders. RHA is currently waiting on results of the PNA's performed at these sites to determine eligibility.

The RHA Resident Service Department is also working hard to partner with other agencies that can assist

our residents in self-sufficiency initiatives and achieving goals. Training, employment, homeownership, wealth building and life skills are a focus in the upcoming year. RHA's partnership with the City's Financial Empowerment Centers (FEC) has resulted in many RHA residents and program participants enrolling in the program.

ACTIONS TO ENCOURAGE INVOLVEMENT CONTINUED

RHA is committed to developing a successful Section 3 program that will provide training, apprenticeship, and employment opportunities for Section 3 candidates. Staff have worked hard to implement HUD's new regulations surrounding Section 3. Outreach efforts are ongoing to increase the number of Section 3 candidates. RHA's Resident Services department works with candidates to determine skill sets, training needs, career paths and desired job placements. RHA is working with community partners to provide additional training and apprenticeship opportunities for candidates. RHA actively works with contractors to hire Section 3 candidates for federally funded projects throughout the authority.

AP-65 Homeless and Other Special Needs Activities – 91.220(i)

Introduction

Rochester will continue to work with the Continuum of Care to coordinate services to the homeless and to provide outreach and other services, emergency shelter, transitional housing and permanent supportive housing to persons with special needs.

The CoC has incorporated the goals of Opening Doors into its strategic planning. To end chronic homelessness, the CoC is obtaining commitment from PSH (permanent supportive housing) providers to give chronically homeless persons priority when a unit vacancy occurs. It is also supporting the Housing First model. To end veteran homelessness, the CoC is partnering with the Veterans Administration and supporting housing targeted to veterans. To end homelessness for families and dependent children, the CoC is emphasizing a rapid rehousing approach. To end homelessness for unaccompanied youth, the CoC is stressing outreach and rapid re-housing for transition age youth.

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The Rochester/Monroe County Continuum of Care fully implemented a Coordinated Entry System (CES) in 2018. The CES provides a strategy to quickly move people from homelessness to permanent housing by establishing a streamlined and uniform method of serving clients in need of housing crisis services, using a single point of entry model; reducing burden on both client and provider by having a unified systemic approach to quickly identify, assess, and refer clients to the best intervention to meet clients' specific needs at first contact; increasing collaboration between agencies in serving client needs more effectively and efficiently; and collecting data on community trends of housing needs to better target limited resources. When households or individuals present to the Continuum in an emergency shelter, the household is assessed using a vulnerability index to determine the type of housing intervention that will most adequately address their need.

Addressing the emergency shelter and transitional housing needs of homeless persons

As discussed above, all persons presenting to the continuum are assessed using a vulnerability index to determine the type of housing intervention that will most adequately address their need. Potential interventions include transitional housing, rapid rehousing or permanent supportive housing. There are currently emergency shelters available for homeless individuals and families, including victims of domestic violence. Transitional housing programs serve youth, veterans and re-entry populations. The CoC has adopted housing first, which seeks to place persons in permanent housing as quickly as

possible, then provide supportive services to promote stability in housing.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

To end homelessness among households with dependent children, the CoC is investing in Rapid Rehousing so that those who enter emergency shelters exit quickly. It is also providing diversion assistance for those who have a viable alternative to a shelter but require some support. In 2011, Rochester Housing Authority implemented a “Moving On” preference for its Housing Choice Voucher (HCV) program. This allowed families that no longer need case management and services but who still face an economic barrier to transition to the HCV program, freeing up PSH units for families experiencing homelessness who need supportive services. The CoC will be intensifying its outreach efforts to identify and provide support for unsheltered families with dependent children.

ESG funding supports a Rapid Rehousing partnership that includes several housing and service providers. Rapid Rehousing responds quickly and uses an array of mainstream benefits and supports to maximize resources.

The needs of domestic violence victims, including their families, will continue to be broadly addressed. Willow Domestic Violence Center serves women who are victims of domestic violence (or at risk) along with their children, offering counseling, education, and a secure shelter, whose location is not publicly identified. A 24-hour hotline provides information on housing and services for domestic violence victims. Willow Center has stringent policies to ensure the safety and privacy of its clients, and names and other identifying data are not entered into HMIS. In addition, staff of housing providers who serve domestic violence victims are trauma trained.

The Center for Youth Services, Hillside Children’s Center, Salvation Army, and Monroe County Youth Bureau will continue to provide housing and services for unaccompanied homeless youth. CoC will continue to offer a range of outreach, emergency and transitional housing and support services are available through CoC and other funding resources. Outreach and drop-in center activities focus on diverting youth from the homeless system. Youth providers have a common intake form, work together closely, and meet monthly to ensure that homeless youth have access to safe housing and services. In all cases, before a youth leaves a program, he/she is linked to a family member or other responsible, supportive adult. Youth providers will continue to work with Monroe County Office of Mental Health to ensure access to mental health services and ease transition from the youth to the adult mental health

system. Youth aged 16-17 and 18-24 will be targeted separately and offered age-appropriate services, while youth as young as 12 will also be served.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.

Youth being discharged from foster care or other institutional settings typically return to their family of origin or another responsible family member or adult. When no such adult can be located, the youth is placed in a community program specifically designed to prepare youth for independent living. The CoC will continue to educate homeless providers about the importance of ensuring that youth are not discharged into homelessness. Shelters have been instructed to immediately contact the Monroe County Department of Human Services to report the failed discharge plan if a youth is attempting to access homeless services. The youth is then connected to a caseworker who attempts to re-unite the youth with his/her family or other responsible adult and link him/her to appropriate services. If such a re-uniting is not possible, the youth is referred to a community program that prepares youth to transition to independent living.

Through the Homeless Services Network (HSN), the CoC will continue to work with hospital staff to develop protocols for those occasional times when appropriate stable housing cannot be located at discharge from the hospital. Hospital social work staff have been provided with information on local emergency shelters (e.g., physical layouts, staffing, hours open) so a referral is made to the shelter that can best meet the person's needs. Hospital staff calls the shelter to confirm a bed is available, any required follow-up care is communicated to shelter staff, and the patient is provided with an inpatient facility licensed or operated by the New York State Office of Mental Health. Hospital staff are encouraged to refer individuals to housing consistent with the level of care required by the patient and to not discharge patients until a comprehensive discharge plan is in place. Prior to discharge, individuals in need of supervised housing, and who agree to a referral, are referred to the Monroe County Single Point of Access (SPOA). The SPOA facilitates housing assistance for eligible individuals and connects persons to mental health care coordination services and a sufficient supply of medication.

The Veterans Administration (VA) and the Veterans Outreach Center (VOC) will continue to exclusively serve veterans in the CoC geography. The VA and Rochester Housing Authority (RHA) are partners for the HUD VASH (Veteran Affairs Supportive Housing) program. VASH referrals come directly from VA facilities or through Coordinated Entry.

Discussion

AP-70 HOPWA Goals– 91.220 (I)(3)

One year goals for the number of households to be provided housing through the use of HOPWA for:	
Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family	85
Tenant-based rental assistance	85
Units provided in permanent housing facilities developed, leased, or operated with HOPWA funds	0
Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds	0
Total	170

AP-75 Barriers to affordable housing – 91.220(j)

Introduction:

Local barriers to affordable housing include:

- Insufficient income to purchase or rent a safe and decent housing unit;
- Cost of safe, decent housing may not be affordable;
- Housing that is affordable may require significant rehabilitation;
- Poor credit, lack of financial literacy, and lack of savings for down payment and closing costs for house purchase due to generally low incomes in the city;
- Current status of Rochester as a ‘hot’ home purchase market, means many households are out-bid by investors, those with cash offers, or otherwise able to pay more for a home. In addition, many sellers do not understand or dislike the idea of a grant process, and refuse to sell to households who have grant or other assistance.
- Expensive and complex process faced by affordable housing developers, as well as lack of land available for affordable housing development in Areas of Opportunity;
- Discriminatory practices from lenders and providers in the housing market on the basis of race, ethnicity, or familial status;
- High rates of home purchase loan denials for Black and Hispanic applicants, despite documented instances of Black and Hispanic applicants having similar financial qualifications to non-minority applicants that were approved.

In addition, the following impediments were identified in the analysis and writing of the Analysis of Impediments (AI):

1. Persons with lower incomes, who are disproportionately members of the protected classes, are less able to afford safe, decent affordable housing.
2. More fair housing education, outreach, investigation, and enforcement is needed.
3. Group homes are not clearly defined in the City’s Zoning Code. This makes it unclear whether group homes are allowed by right in low-density residential districts, which could be discriminatory.
4. The City of Rochester needs to update some of its policies and procedures related to fair housing.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning

ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

The City of Rochester will:

- expand the supply of affordable rental and home ownership housing;
- increase homeownership among low and moderate income prospective home buyers;
- preserve and improve the existing stock of affordable housing; and
- Improve equitable access to housing.

To implement these objectives, the City will continue to offer:

- first time homebuyer assistance;
- housing rehabilitation;
- tenant-landlord counseling;
- fair housing programs; and
- development of new affordable housing.

And the City will pursue the following non-housing actions to ameliorate barriers to affordable housing:

- job training/employment assistance;
- financial literacy, counseling, and training

In addition, the City will make progress over the next five years in the Fair Housing Action Plan as identified in the AI.

Discussion:

AP-85 Other Actions – 91.220(k)

Introduction:

The City plans a variety of initiatives to address obstacles to meeting underserved needs, to foster and maintain affordable housing, to reduce lead-based paint hazards, reduce the number of poverty level families, develop institutional structure, and enhance coordination between public and private housing and social service agencies.

Actions planned to address obstacles to meeting underserved needs

The primary obstacle to meeting underserved needs for affordable housing in the City of Rochester is the gap in what households can afford to pay for housing and the price of housing. The City has significant affordable housing stock, yet the income level for individual households such as single parent, elderly, disabled, or others of limited economic means, is often insufficient to afford even the lowest of the market rate units. The City will continue to work on economic development to provide better job opportunities and with social service providers to assist such households. In addition, the City will work with for-profit and non-profit developers to create more affordable housing.

Another obstacle to meeting the needs of underserved households is the limited amount of funding received by the City. The City of Rochester will partner with other public agencies and nonprofit organizations, when feasible, to leverage resources and maximize outcomes in housing and community development.

A primary obstacle to meeting underserved economic development needs is the ability of developers to secure the necessary financing to undertake and complete projects. It is typically the role of the public sector to fill the gaps of these financing needs. However, on occasion, these gaps exceed the assistance capabilities of the City.

Actions planned to foster and maintain affordable housing

As stated in the Needs Assessment sections, the primary housing problem is cost burden. Cost burden is defined as when a household spends more than 30% of its income on housing costs. This can happen because housing costs are high and/or household income is low. In Rochester, the latter reason is the largest driver of cost burden. To address the need for housing that is affordable to residents, the City will undertake activities that will increase the supply of affordable housing, increase the quality of housing through various rehabilitation programs as well as address economic development and capacity

building to attract and retain jobs that pay living wages to increase household incomes.

Actions planned to reduce lead-based paint hazards

The City will continue its efforts in Lead Based Paint Poisoning Prevention by strategically implementing Lead Hazard Control activities via funds received from HUD's Office of Lead Hazard Control and Healthy Homes. The City will target rental properties constructed prior to 1978, located in distressed neighborhoods where the incidence of child lead poisoning is greatest. Owner-occupied housing with children under the age six (6) in the residence is also eligible for funding. Eligible property owners may receive up to \$20,000 per unit to address lead based paint hazards and other environmental health hazards. Eligible work activities will include painting; porch repair/replacement; window and door replacement, siding installation; and soil remediation. Through program funding, the City will provide funds for contractor training to enable construction workers to receive the EPA Lead Abatement Certification. The City will collaborate with a local non-profit service provider to provide an outreach and engagement campaign. The campaign will serve to educate the community on the dangers of lead based paint and the availability of program funding. The City will continue its partnership with the Rochester Coalition to Prevent Lead Poisoning and the Monroe County Department of Public Health to identify families with children that have an elevated blood lead levels.

Actions planned to reduce the number of poverty-level families

Rochester will focus on economic development, job creation, youth service and supportive services to reduce the number of families in poverty. Programs to ensure safe neighborhoods and improve public facilities will help to create economic opportunities for residents.

Consistent with the work groups formed to carry out the Rochester-Monroe County Anti-Poverty Initiative, Rochester's Anti-Poverty Strategy will address:

- Education and training, particularly for youth
- Job retention and creation
- Decent, affordable housing
- Health and nutrition
- Safe neighborhoods
- Improving public policy and the systems dealing with poverty

Actions planned to develop institutional structure

To enhance the City's housing systems, staff will work with internal departments to streamline and increase efficiencies by reviewing current procedures compared to best practices and implement

changes where necessary.

The primary gap in the service delivery system is currently a lack of funding that would allow external subrecipient agencies to scale up to meet the needs of persons experiencing homelessness or who are at risk of homelessness. Because of this, the City and County award funding to agencies that demonstrate the ability to effectively and efficiently carry out the activities in support of the CoC's identified priorities.

Actions planned to enhance coordination between public and private housing and social service agencies

Plans to coordinate between public and private housing social services agencies is a high priority. To this end, City Housing staff will participate with the County, local and state housing and social service agency networks to ensure housing programs for the homeless and non-homeless populations are well coordinated to help ensure their success. The 2024-25 Annual Action Plan also includes funding for the development of a regional collaborative fair housing plan that would inform the City's next 5- year Comprehensive Plan, and is convening the Monroe County, Rochester Housing Authority, and other HUD grantee municipalities to be part of the planning process.

Discussion:

Program Specific Requirements

AP-90 Program Specific Requirements – 91.220(I)(1,2,4)

Introduction:

Community Development Block Grant Program (CDBG) Reference 24 CFR 91.220(I)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	250,000
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
Total Program Income:	250,000

Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	70.00%

HOME Investment Partnership Program (HOME) Reference 24 CFR 91.220(I)(2)

1. A description of other forms of investment being used beyond those identified in Section 92.205 is as follows:

The City's investment of HOME funds is consistent with the forms of assistance included in 24 CFR 92.205(b). The City leverages its HOME funds with other funding secured by its housing partners to

Annual Action Plan 82
2024

develop affordable homeownership and rental housing. Community Development Housing Development Organizations (CHDOs) and other non-profit housing developers apply for and receive funding from state and local resources to support the City's housing programs, projects and goals.

2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

Resale/Recapture Updated Policies

HOME PROGRAM AFFIRMATIVE MARKETING REQUIREMENTS AND PROCEDURES FOR MULTIPLE FAMILY HOUSING

The City of Rochester will require that owners of all HOME-assisted housing containing five (5) or more units shall affirmatively market said units to attract eligible persons from all racial, ethnic, and gender groups in the housing market area. The City will annually assess the affirmative marketing program to determine the success of the affirmative marketing activities and the need for any necessary corrective measures.

The owner will make good faith efforts to affirmatively market the units in accordance with the following procedures:

Fair Housing Information

1. The owner and the City shall agree upon an initial affirmative marketing strategy.
2. The owner shall prominently display a federal Fair Housing poster in his/her rental office.
3. The owner shall direct all Fair Housing questions from applicants to the City.

Marketing Strategy

1. The owner and the City shall agree upon an initial affirmative marketing strategy.
2. The strategy shall establish occupancy goals for the units based upon the demographic characteristics of the market area.
3. The strategy shall describe the specific marketing and outreach activities to be employed by the owners.
4. The marketing strategy may be amended, upon the written approval of the City, to reflect changed market conditions.

Marketing Contacts

1. The owner may utilize printed and/or electronic (radio and television) news media to advertise the availability of the units.
2. The owner may utilize local newspapers of general circulation, local magazines, newsletters or neighborhood organizations and housing advocacy organizations, or other approved publications which are known to circulate among low-income populations for the placement of written advertisements.
3. The owner shall contact and provide information to any groups or individuals known or believed to be interested in the availability of the affordable rental units.

Targeted Outreach

1. The owner shall undertake specific efforts to inform and solicit rental applications from persons in the housing market area who are not likely to apply for the housing without specified outreach. These efforts may be directed toward non-English speaking populations, the developmentally or physically disabled, or other low-income populations unlikely to become informed about the availability of the units.
2. Specialized outreach efforts may be undertaken through direct contact or contacts with organizations known to represent the interests of the population of special concern, e.g. community or advocacy organizations, places of worship, employment centers, fair housing groups, housing counseling agencies, neighborhood health centers, and government agencies delivering services to the populations of special concerns.

Recordkeeping

1. The owner shall maintain a copy of the approved marketing strategy.
2. The owner shall maintain records documenting specific efforts taken in accordance with the approved marketing strategy.
3. The owner shall annually report to the City on the activities and results of this affirmative marketing effort and shall make all affirmative marketing records available to the City at its request.
4. Affirmative marketing files shall include copies of advertisements; the racial, ethnic, and gender characteristics of potential tenants (applicants) who respond to the ad; and the characteristics of the tenants who actually rented the units.

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired

with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

HOME funds may be used toward the costs of rehabilitating existing affordable multifamily housing communities for the purpose of sustaining affordable rental housing.

Such projects may be located anywhere within the City of Rochester's boundaries, to include the following objectives: maintaining the affordability of existing rental housing, the creation of additional affordable rental units, or both as part of the redevelopment plan.

The primary objective of such projects is to rehabilitate the existing units and sustain affordability over time, though such projects may include refinance of existing debt. Creation of new units is acceptable, in addition to rehabilitation of existing units.

For projects to be eligible for HOME investment as part of the project, related rehabilitation will be required to meet or exceed a minimum level of \$50,000 per unit, to be sufficient to meet the City's requirements to demonstrate that rehabilitation is the primary project intention. Minimum rehabilitation may include work done to the units themselves, as well as a pro-rata share of building mechanical upgrades, and related soft costs. The City's HOME funds would be contributed only toward the rehabilitation costs of the project and not be used toward refinance of any existing debt.

The investment of HOME funds will be used to ensure that the existing affordable rental housing will be maintained as affordable for a minimum of 15 years, though the City of Rochester will retain the right to assign, at its discretion, an additional local affordability period consistent with the terms of the project's permanent financing and/or payment-in-lieu-of-tax (PILOT) agreement.

Projects will be reviewed to confirm the following: disinvestment in the property has not occurred, feasibility is demonstrated that the project will be able to service the target population over an extended affordability period.

4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

The City's HOME funds cannot be used to refinance multifamily loans made by any other federal

program, including Community Development Block Grant funding.

5. If applicable to a planned HOME TBRA activity, a description of the preference for persons with special needs or disabilities. (See 24 CFR 92.209(c)(2)(i) and CFR 91.220(l)(2)(vii)).
6. If applicable to a planned HOME TBRA activity, a description of how the preference for a specific category of individuals with disabilities (e.g. persons with HIV/AIDS or chronic mental illness) will narrow the gap in benefits and the preference is needed to narrow the gap in benefits and services received by such persons. (See 24 CFR 92.209(c)(2)(ii) and 91.220(l)(2)(vii)).
7. If applicable, a description of any preference or limitation for rental housing projects. (See 24 CFR 92.253(d)(3) and CFR 91.220(l)(2)(vii)). Note: Preferences cannot be administered in a manner that limits the opportunities of persons on any basis prohibited by the laws listed under 24 CFR 5.105(a).

**Emergency Solutions Grant (ESG)
Reference 91.220(l)(4)**

1. Include written standards for providing ESG assistance (may include as attachment)

The City, Continuum of Care (CoC) and HSN (a collaboration of more than 60 local homeless services legal, primary care, mental health, substance abuse, re-entry providers and community stakeholders) have met throughout each program year to jointly plan for ESG. In keeping with the City's practice of providing the Emergency Shelter Grants (ESG) Program Desk Guide March 2001 to all sub-recipients, the City has provided sub-recipients and community providers who coordinate with them, the Emergency Solutions Grant Rules and Regulations and tools available to date, including Definition of Homelessness (Criteria for Defining Homeless and Record Keeping Requirements), and Emergency Solutions Grants (ESG) Program Components and Activities. The City provided sub-recipients with information about the homeless, at-risk of homelessness, and chronic homeless definitions and eligibility, documentation and recordkeeping requirements and HMIS participation. The CoC provides HMIS (Homeless Management Information System) licenses and HMIS training to the City's ESG sub-recipients.

Written standards are included for Street Outreach, Emergency Shelter, Transitional Housing (TH) and Rapid Rehousing (RRH) are attached. These programs are eligible for ESG funding.

2. If the Continuum of Care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system.

Coordinated Entry (CE) is defined by HUD as a consistent and streamlined process for accessing the

resources available in the homeless crisis response system. The process ensures that those with the highest need, those most vulnerable households in the community, are prioritized for services and that the housing and supportive services in the system are used as efficiently and effectively as possible.

The intended target population for Coordinated Entry is all households or person(s) who are experiencing homelessness or at-risk of experiencing homelessness in Rochester and Monroe County and seeking assistance from the local crisis response system, which includes prevention assistance and a full spectrum of homeless housing services. This includes single adults, adults accompanied by children, families, unaccompanied youth, parenting youth, person(s) fleeing domestic violence, and veterans.

The community has agreed upon the use of a common assessment tool, known as the V-SPDAT (Vulnerability Index - Service Prioritization Decision Assistance Tool), to help identify the vulnerability of the household and prioritize them for the appropriate permanent housing intervention based on those needs. Interventions include Rapid Re-Housing for those who have moderate needs and Permanent Supportive Housing (PSH), which will be reserved for those with the highest needs. Households scoring low on the assessment are identified as not needing housing intervention and are likely able to resolve their homelessness without ongoing assistance. The V-SPDAT score will be used as one of the determinants in the community-wide prioritization model. While it is important to have an efficient and effective process by which households can access the homeless system, it is equally important for households to be quickly exited into the appropriate permanent housing that will best meet their needs and minimize their likelihood of returning to homelessness.

Prioritization is a critical component of a Coordinated Entry system to appropriately exit households to permanent housing and to ensure those with the greatest needs have timely access to services. CE has developed a prioritization model based on input from community stakeholders to establish a process to effectively identify those with the highest needs and connect them to the appropriate permanent housing more quickly. CE Workgroup meets regularly to determine how households are prioritized and what information will be used to determine the way in which the list is ordered. A by name prioritization list is now in place and all TH, PSH and RRH housing providers are only permitted to accept households that are referred through the Coordinated Entry prioritization process. The prioritization list began being used by all providers in December 2017. The work group continues to meet to make sure CE is functioning efficiently and revises policies and procedures as needed.

3. Identify the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations (including community and faith-based organizations).

Awards for 2024-25 were based upon a 2024 Request for Proposal (RFP) process in which the City and County jointly published by Legal Notice in The Daily Record; announced availability on its established website for proposals; distributed via networks including those of the CoC, HSN and

Housing Choice listservs. Awardees were chosen by a committee of City, County, CoC administrative board, and a formerly homeless community member. Services under this proposal cycle will run through June 30, 2025. The 2025-26 ESG RFP will be publicized and distributed following the same procedure.

4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.

The City of Rochester is in compliance with Section 576.405(a) of the Interim Rule, the City has engaged persons who are currently or formerly homeless in their planning process.

5. Describe performance standards for evaluating ESG.

The City utilizes the Integrated Disbursement and Information System (IDIS) to document program progress and monitor program performance on an ongoing basis. The City requires all ESG sub-recipients to enter data into HMIS as required by HUD. A Consolidated Annual Performance and Evaluation Report (CAPER) is prepared annually by the City. Each ESG funded project now uses to run their CAPER data extract and upload it directly into SAGE for the City and County to produce their combined annual CAPER reports. The CoC will assist the project as needed to do the submission. The consolidated CAPER contains a summary of resources and programmatic accomplishments, the status of actions taken in concert with the CoC to implement the strategies contained in the Consolidated Plan, and evaluation of progress made in addressing identified priority needs and objectives.

Performance Standards are in alignment with the HUD required measures including reducing the duration of homelessness; reducing recidivism; reducing the number of people who become homeless; increasing employment and income; and stability in permanent housing. Additional local standards have also been established and are included in the community written standards; occupancy rate, number of days to respond to referrals, number of days from program entry to move-in date into RRH or PSH, etc.

Attachments

Citizen Participation Comments

March 18, 2024, 2024-25 Annual Action Plan Public Hearing, Public Input to Inform Plan
Presentation, Written Q&A, and Comments

Public Notice: <https://conta.cc/3Tk28Vk>

Press Release: <https://www.cityofrochester.gov/WorkArea/DownloadAsset.aspx?id=21474854908>

Presentation Slides: <https://www.cityofrochester.gov/ConsolidatedPlan2020>

Number of public participants: 5

Transcript of Comments/Questions/Responses:

Comment/Question	Name, Organization
People are inheriting homes from family members without knowing all the added responsibilities that come with homeownership. They don't know they need to pay taxes sometimes.	
It is less expensive to keep people in their homes rather than help get people back into rental market Wait lists for affordable rentals are very long "Affordable" is no longer affordable.	
Housing Council perspective: need to market its services to those in danger of default, even if not currently behind.	Housing Council
Share concerns and ideas about vacant City owned properties: 1. Approximately 30 near Cameron Community Center; would like Habitat for Humanity to consider work in this area 2. Would like to see the Community Land Trust purchase them from the City 3. Would like to develop construction trades participation from youth to work on properties 4. Contractors are difficult to find 5. High cost for demolitions	
Need better resources and more focus on tax exemptions for seniors. Aging in place should be a high priority. It is too hard for family members to buy homes for, or nearby, aging seniors (to care for them) when competing with cash offers.	

Comment/Question	Name, Organization
<p>Discussion of rental properties, especially in South Wedge and nearby the UofR:</p> <ol style="list-style-type: none"> 1. Wealthy people from the suburbs are buying one-story houses 2. Rents are extra high due to proximity to the University of Rochester 3. 75-90% rental vs. owner-occupied housing in the Wedge 4. Want the University of Rochester to build its own housing rather than having so much private student housing in the neighborhood 5. The City should partner with the University to tap into their knowledge and resources, opportunity for creative solutions to aging in place issues. Would like to see a collaboration convened to talk about how to support “naturally occurring retirement communities”. 	
<p>Discussion on City-owned vacant lots:</p> <ol style="list-style-type: none"> 1. Make vacant lots available to everyone, not just developers 2. Ensure the tax foreclosure process provides opportunity for everyone 3. Tiny homes and manufactured housing on vacant lots could build wealth 	
Decrease the number of absentee landlords	

Written Public Comments Received Prior to Publishing of Draft 2024-25 Plan

Please provide any comments regarding community needs or priorities to inform the 2024-25 Annual Action Plan.	Name, Organization
<p>A priority for Rochester should be the identification of an anchor agency for the southeast quadrant, the only quadrant currently without one. Without this neighborhood hub, many housing services and outreach do not get to the individuals who need them.</p> <p>In addition, all Code Blue sites in the city are essentially north of W Main St, leaving a clear gap in the coverage geographically on top of the already-competitive space.</p>	<p>Nicholas Wilbur, South East Area Coalition</p>
<p>My name is Heather Hedges. I own a home in the Maplewood area of Rochester. I am a CPA that specializes in affordable housing, specifically low income housing tax credit program and section 8 program. I believe Rochester should do more to provide and guarantee affordable housing. The best ways to do this in my professional opinion are allowing more mixed used spaces, approving plans to renovate areas, and provide more rental assistance.</p>	<p>Heather Hedges</p>
<p>Attract businesses and residents to the City through grants and subsidies. This will drive demand for surrounding housing and generate local economic growth. Invest in neighborhood development and eliminate blighting influences which detract from appeal. Improved infrastructure and facilities will keep resident populations from higher turnover/flight to suburbs.</p> <p>Safe and supportive communities need to be protected and connected to downtown. Invest in downtown, it is the heartbeat that allows surrounding neighborhoods to prosper and at risk people to have access to services.</p>	<p>Greg Tedone</p>

Please provide any comments regarding community needs or priorities to inform the 2024-25 Annual Action Plan.	Name, Organization
<p>Rochester needs safe, protected, and separated bike infrastructure. 25% of households don't have access to a car, and the bus is slow and unreliable (which should also be improved). Paint is not infrastructure. Stop putting painted bike lanes in the Door Zone!!! It's a known deadly design for decades and the city continues to use them, even on street projects completed within the past year. STOP IT. Have the political willpower to say that an incredibly minor percentage of on-street parking for private vehicles is worth the LIVES of people who don't or can't drive for any number of reason (affordability, disability, age, etc.). Cars (and more so the trend of large SUVs and pickup trucks used for nothing but groceries) have had absolute dominance in this city for close to a century now and it is well past time to actually prioritize PEOPLE and not cars. If people who worked for the city actually spent time outside of their SUVs, they would immediately see how hostile and deadly most of the city is when you're not surrounded by 5000lbs of steel. Make Rochester Walkable Again!</p>	Michael Wilson
<p>I ranked city planning first because it felt like it encompasses all the other topics. I love this city, but there are so many people in real need that need help right now. With the amount of available housing here, I don't understand why on earth we have so many people either homeless or at risk of it.</p>	Ashely Poulin
<p>Supporting the workforce behind the workforce is crucial for the well being and economic prosperity of working families.</p>	Lauren Elsner
<p>Houses where cars are parked in the front lawns need to be fined and ticketed by the city, when cars are parked day in and day out like that it is not only slum looking but also toxic for the environment because the cars leak motor oil and toxic chemicals into the ground and persists for generations. The fining of these properties could also bring revenue. Also slum looking properties need to have code violations fines raised, a lot of these properties are owned by greedy slumlords.</p> <p>The city needs to direct more funding towards the creation of Brick and mortar street facing shops for people to start businesses in. These shops could provide lots of opportunities for our new immigrants coming to our country in the form of restaurants, bakeries and other shops that our city is in need of. Other immigrant groups in the past like the Italians and Irish relied on these brick and mortar shops to start businesses which helped them gain momentum to provide for their families. We lost a lot of these buildings and need them to be rebuilt. Above the shops could be affordable housing.</p>	Jonathan Hammond

May 22, 2024, 2024-25 Annual Action Plan Public Hearing, Review of Draft Plan Presentation, Written Q&A, and Comments

Public Notice: <https://www.cityofrochester.gov/WorkArea/DownloadAsset.aspx?id=21474854696>
<https://conta.cc/3K0SG3F>

Press Release: <https://www.cityofrochester.gov/WorkArea/DownloadAsset.aspx?id=21474854907>

Presentation Slides: <https://www.cityofrochester.gov/WorkArea/DownloadAsset.aspx?id=21474854752>

Number of public participants: 3

Summary of comments: All comments were accepted

Comment/Question	Name, Organization
<p>Regarding the Street Liaisons program: The Street Liaisons program is a good investment. Many small businesses, especially those run by immigrants, don't realize the programs and resources that are available to them.</p> <p>I'd like to see the program expanded to Upper Mt. Hope</p>	Judy Hay, South Wedge Planning Committee
<p>Regarding the Neighborhood Beautification and Public Improvement Program: I like the sound of this program, but I'd like to hear more detail on how the community and neighborhood groups will be engaged in the process for determining how to spend the funds.</p>	Judy Hay, South Wedge Planning Committee
<p>Regarding the Rehab and Demolition programs: \$35,000 per demolition is too high. I'm not sure this is the best use of funding.</p> <p>I'd rather some of the demolitions funding be reallocated to rehab programs for housing. Lets stop demos for one year and see how that goes.</p>	Judy Hay, South Wedge Planning Committee
<p>Regarding Youth Programming: I think it is fantastic that several of the programs are employing and paying youth workers</p>	Judy Hay, South Wedge Planning Committee
<p>Regarding Affordable Housing programs: Affordable housing is the biggest topic I hear about from both renters and homeowners in our neighborhood group, as well as out in the community. There is a major shortage of affordable housing. I would like to see the City do even more to address this issue.</p>	Judy Hay, South Wedge Planning Committee

Written Public Comments Received During the 30-Day Public Display and Comment Period

Public Notice: <https://www.cityofrochester.gov/WorkArea/DownloadAsset.aspx?id=21474854696>
<https://conta.cc/3K0SG3F>

Press Release: <https://www.cityofrochester.gov/WorkArea/DownloadAsset.aspx?id=21474854907>

First Name	Last Name	Please provide any comments on the City of Rochester's draft 2024-25 Annual Action Plan. Your name and response may be summarized or included in full in the Annual Action Plan.	If yes, please list any business, neighborhood, or community organization(s) to which you belong.
Lisa	Reagan	<p>Note: the following attachments were provided:</p> <ol style="list-style-type: none"> 1. Report: Poverty in Later Life 2. Report: Creating ad Community for a Lifetime <p>The limited funds allocated by Congress reflected in this Annual Action Plan represent the pitiful state of federal funding for a city the size of Rochester, with a higher poverty rate than even most cities its size, continued segregated disinvestment and other increased urban challenges post Covid and George Floyd/Daniel Prude. Despite the additional funds helpfully provided in the City's operating budget for things like rehabilitation and supporting seniors, the priorities for the CDBG funds should continue to be shifted from demolitions because of the highest need at this crucial time continues to be affordable accessible housing options for current City residents. Demolitions come at a too high a cost and with lead and other pollution effects externalized to the most challenged neighborhoods. Although many houses must be demolished because they are unsalvageable, houses should not be demolished merely because the vacant houses are a nuisance to the neighborhood. Families should be allowed to invest in those homes, with some subsidies, partnerships with community organizations and technical assistance on rehabilitating them. The funds that would have been used to demolish should be shifted to fund City residents being trained in rehabilitation and construction, skills that would serve our community long-term, particularly during a craft person shortage. This would allow our community to better address our</p>	NBN6

First Name	Last Name	Please provide any comments on the City of Rochester's draft 2024-25 Annual Action Plan. Your name and response may be summarized or included in full in the Annual Action Plan.	If yes, please list any business, neighborhood, or community organization(s) to which you belong.
		<p>lack of affordable accessible homes that drives homelessness for families with children and seniors. The State and Federal governments are not devoting sufficient funds to affordable accessible housing to help the large number of seniors in our community, growing each year, who will need such housing in the next 8 years. Our City and its residents will experience the problems caused by that lack of support whether or not the funding is provided by the other government sources in the best position to address it. More significant investment must happen now to avoid the worst affects of not being able to safely and affordably house our seniors.</p> <p>The highest needs for our community are safe, decent, affordable housing, higher rate of home ownership, economical rehabilitation of our existing housing stock, reduction of vacant housing and better coordination of services to help seniors age in place in their familiar neighborhoods.</p> <p>Please consider diverting the funding from even a small number of demolitions to fund \$50,000 for senior housing rehabilitation solutions that could safely house seniors in their own neighborhoods.</p> <p>The Aging In Place section of the report should include significant resources for high quality rehab of vacant properties for income - qualified seniors and their families. The funds provided for grab bars and other minor improvements barely touches the need for even such minimal support for seniors. Rochester seniors cannot afford to buy into the limited supply of senior assisted living, even if it was available. Significant rehab in necessary to make City homes accessible and safe. This will safe City resources on the long run and improve the financial and social security of the seniors and their extended families. High rises are not a safe alternative to meet this need, because elevators cannot be used</p>	

First Name	Last Name	Please provide any comments on the City of Rochester's draft 2024-25 Annual Action Plan. Your name and response may be summarized or included in full in the Annual Action Plan.	If yes, please list any business, neighborhood, or community organization(s) to which you belong.
		<p>in the case of fires. The costs of housing materials and a shortage of labor make it impractical to build enough new senior housing soon enough to address the senior demographic bubble. Seniors need the problem-solving to happen now and continue for years. We need resources to support Naturally Occurring Retirement Communities (NORC). Many neighborhoods in the City already qualify as NORCs due to the high number of seniors. Better planning and support for recognizing these would help address the growing problem of senior homelessness not recognized in the formal homelessness definitions. That the problem is not formally recognized does not change the affects on our community and our citizens. I refer again two local reports that show the data and the need, address poverty among older adults (the need is based on data) and affordable housing recommendations: Lifespan's Poverty in Later Life: Rochester's poverty problem is a problem across the lifespan and Creating a Community for a Lifetime — An Action Plan for an Age-friendly/Livable Rochester and Monroe County. Again, creative problem-solving in this area might even encourage more funding from the State and Federal governments as well as private sources.</p>	

June 13, 2024, 2024-25 Annual Action Plan Public Hearing, City Council Final Hearing

Public notice: <https://nydailyrecord.com/public-notice/search-detail/?indexgroup=government&detail=2636608>
<https://conta.cc/3K0SG3F>

Press Release: <https://www.cityofrochester.gov/WorkArea/DownloadAsset.aspx?id=21474854907>

Number of public participants: 0

Transcript:

Note: no comments were voiced at the hearing

Comment	Name, Organization

Grantee Unique Appendices

Emergency Shelter Written Standards

Definition: Emergency Shelter (24 CFR 576.2)

Any facility, the primary purpose of which is to provide a temporary shelter for the homeless in general or for specific populations of the homeless and which do not require the occupants to sign leases or occupancy agreements.

The Emergency Shelter system currently consists of nineteen (19) shelters; sixteen (16) are year-round and three (3) are seasonal. In addition to the emergency housing programs, the Monroe County Department of Human Services (DHS) can provide vouchers for hotel placements. The role of the emergency shelters in the CoC homeless system is provide immediate safe shelter, with few or no barriers to admission. Emergency Shelter will not be prioritized based on the level of need to allow for an immediate crisis response.

Emergency Shelter can be accessed through DHS or population-specific hotlines (i.e. domestic violence and youth). If a person is not eligible for shelter placement through DHS, they can attempt to identify a hospitality shelter placement through self-referral (calling or going to a shelter) or by referrals from other community organizations that may be assisting the person with other services.

Primary Access for Sub-Populations:

- Survivors of Domestic Violence 24-hour Hotline:
 - 585-222-SAFE (7233)
 - 24-hour TTY: 585-232-1741
- Unaccompanied Youth (ages 12 – 18) and young adults (ages 18 – 24):
 - 585-271-7670

Eligibility Criteria:

- Must be literally homeless
- Fleeing Domestic Violence
- Unaccompanied Youth (ages 16 -17)
- Runaway Youth (not HUD Homeless)

Operational Standards: Represent the minimum local standards for all Emergency Shelters. Funders, New York State, or local government may have additional requirements of some shelters. In those cases, the more stringent standard applies.

- A daily census of current participants must be kept in a central location;
- Food and basic personal care items will be provided;
- Emergency shelters will relax their standards and/or increase their occupancy (must still meet fire codes) per the OTDA *Homelessness During Inclement Winter Weather Emergency Rule* (NYCCR Title 18 Part 304);
- Emergency shelters that serve children may have additional entry requirements to ensure the safety of children in the program;
- Emergency Shelters will have written discharge policies and procedures that provide a consistent approach that is enforced in a consistent manner. Shelters must provide a due process and grievance procedure.

rev: 3.22.19

- Whenever possible participants will not be asked to leave shelter during evening hours or weekends for reasons other than health or safety. On the rare occasions this does occur, shelter staff will follow After-Hour Discharge Procedures
- Shelters will provide, at a minimum, the following services, which can be provided by shelter staff or by another community-based organization:
 - Completion of standardized triage screening tool, VI-SPDAT
 - Assistance with accessing permanent or other appropriate housing
 - Assistance with accessing mainstream resources

Outcomes/Performance Measures

The following outcomes may vary, to some degree, based on the population(s) served in the shelter. For example, youth shelters may have different lengths of stay, and fewer participants may be linked with cash income.

- Average length of stay is less than 30 days
- 60% of participants exit with a successful housing outcome
- 50% of participants exit to permanent housing
- Less than 20% of participants exit to an unknown location
- 50% of participants exit with/linked to cash income
- 60% of participants exit with/linked to non-cash resources
- 90% average occupancy rate – CoC to check if this rate is still current

rev: 3.22.19

Rapid Re-Housing (RRH) Written Standards

Definition: Rapid Re-Housing (RRH) – 24 CFR Part 578.37

RRH provides short-term (up to 3 months) and/or medium-term (3 to 24 months) tenant-based rental assistance and supportive services as necessary to help a homeless individual or family, with or without disabilities, move as quickly as possible to permanent housing and achieve stability in that housing.

Access to Rapid Re-Housing is only through a referral from the community-wide Prioritization List.

Eligibility Criteria

- Must meet HUD Category 1 or 4 definition of homeless (24 CFR Part 578.3)
- Prior living situation must be Emergency Shelter or Unsheltered
- For ESG programs, persons must be below 30% of the Area Median Income (per local funder requirements)

Operational Standards

- Core components required of every RRH program
 - Housing Search
 - Must include a plan to work with landlords to encourage them to provide available units for the program
 - Rental units must pass inspection prior to rental assistance being paid to the landlord
 - Housing Habitability Inspection can be utilized for ESG-funded programs
 - Housing Quality Standards (HQS) inspection is required for CoC-funded programs
 - Rent and Move-In Assistance
 - Must include short- or medium-term rental assistance, at a minimum
 - Each program will determine the average amount and length of time rental assistance is provided
 - RRH programs independently determine the percentage or amount of rent that program participants pay while they are receiving assistance
 - Case Management Services
 - Initial focus is on accessing permanent housing
 - Once housing is secured, focus becomes housing stabilization;
 - Case management ends when household is no longer imminently at risk of being homeless;
 - While participant is enrolled in the program, a minimum of monthly contact is required;
 - Warm hand-off to mainstream and community-based services should be utilized
- Each participant must have a written lease/rental agreement
 - ESG-funded programs: month-to-month is allowable; however, City of Rochester and Monroe County require annual lease if program provides financial or rental assistance;
 - CoC funded programs: initial lease must be for at least one year that is renewable and terminable by cause
- Program participation is limited to a maximum of 24 months

rev: 4.1.19

- Prioritization of participants – those least likely to be able to exit homelessness on their own rather than households that appear most likely to succeed in RRH
- All programs will utilize Housing First principles

Standard Outcomes/Performance Measures

- 92% of all participants will remain stable in RRH or exit to other permanent housing destinations
- 64% or more of adult participants will have non-cash benefits
- 64% or more of adult participants will have income from sources other than employment
- 20% or more of adult participants increase income from sources other than employment
- 20% or more of adult participants will have income from employment
- 20% or more of adult participants will increase income from employment
- 92% of participants do not return to homelessness within a one-year period after exit from RRH
- 85% of participants do not return to homelessness within a two-year period after exit from RRH
- Average time from enrollment to moving to permanent housing is thirty (30) days
 - Persons are entered into RRH programs upon completing intake unless person is not eligible based on information obtained at intake (i.e. not homeless, over income, etc.)
- 95% Utilization rate based on amount of rental assistance that is expended annually

rev: 4.1.19

Street Outreach Written Standards

Definition: Street Outreach (SO) - 24 CFR 576.101

Street Outreach provides essential services necessary to reach out to unsheltered homeless people; connect them with emergency shelter, housing, or critical services; and provide urgent, non-facility-based care to unsheltered homeless people who are unwilling or unable to access emergency shelter, housing or an appropriate health facility.

Eligibility Criteria

- Must meet HUD Category 1 or 4 definition of homeless (24 CFR Part 578.3)

Operational Standards

- Proactively reach out to unsheltered homeless; whenever possible, provide resources to address immediate needs such as placement in emergency shelter, food, personal hygiene items, etc. Priority for Street Outreach will be unsheltered homeless; however, street outreach teams may also go to shelters that are not able to provide services.
- Provide Engagement services – assessing housing and service needs, completion of VI-SPDAT and other assessment tools based on need
- Provide short term case management - provide linkages to emergency health and behavioral health services, mainstream resources, etc.
- Provide transportation to emergency shelters or other services
- Street Outreach activities will be conducted with a minimum of two (2) trained persons whenever possible; or outreach worker will always let someone know where they will be
- Should an unsheltered household with minor children be encountered during outreach activities:
 - A placement into shelter must be made
 - If placement is refused, call 9-1-1 or CPS Hotline: 1-800-342-3720

Standard Outcomes/Performance Measures

- 30% of all participants engaged will exit to permanent housing destinations
- 30% of all participants engaged will have successful exits from the program
- 70% or more of adult participants will have non-cash benefits
- 60% or more of adult participants will have income from sources other than employment
- 15% or more of adult participants will have income from employment
- 80% of participants will not return to homelessness within two years
- Average time from contact to engagement is 60 days
 - Contact: Outreach worker meets client but may not collect any information
 - Engagement: Client completes intake and is entered into HMIS
- Average time from engagement to successful exit from program is 45 days

rev: 3.22.19

Transitional Housing (TH) Written Standards

Definition: Transitional Housing (TH) – 24 CFR Part 578.37

Transitional housing facilitates the movement of homeless individuals and families to permanent housing within 24 months of entering a TH program.

TH should be utilized for families and individuals that need more assistance than RRH offers but who do not qualify for permanent supportive housing. Sub-populations that are likely to benefit the most from TH are youth, survivors of DV, persons with histories of substance abuse, veterans, and/or persons who have recently exited criminal justice system.

Access to TH is through direct referrals to specific programs. Additionally, persons may come through emergency shelters or other emergency housing programs.

Eligibility Criteria

Must meet HUD Category 1 or 4 definition of homeless (24 CFR Part 578.3)

Operational Standards

- Maximum length of stay is 24 months
- Participant's rent cannot exceed 30% of household income
- Participants must have a lease or occupancy agreement
- Services and programming create a pathway to permanent housing
- Prioritization of participants
- Housing First principles will be utilized by all programs
- Average occupancy rate is at least 90%

Standard Outcomes/Performance Measures

- 92% or more of participants will exit to permanent housing destinations
- 75% or more of adult participants will have non-cash benefits
- 64% or more of adult participants will have income from sources other than employment
- 64% or more of adult participants increase income from sources other than employment
- 20% or more of adult participants will have income from employment
- 20% or more of adult participants will increase income from employment
- 85% of participants will not return to homelessness within a two-year period after exit from TH

rev: 5.7.19

Grantee SF-424's and Certification(s)

OMB Number: 4340-0004
Expiration Date: 11/30/2025

Application for Federal Assistance SF-424		
*1. Type of Submission <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Closed/Corrected Application	*2. Type of Application <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	*3. Revision, select appropriate letter(s): _____ *4. Other (Specify): _____
*5. Date Received: DATE: _____	*6. Applicant Identifier: _____	
*5a. Federal Entry Identifier: _____		*5b. Federal Award Identifier: _____
State Use Only:		
*6. Date Received by State: _____	*7. State Application Identifier: _____	
8. APPLICANT INFORMATION:		
*a. Legal Name: <u>City of Rochester</u>		
*b. Employer/employer Identification Number (EIN/TIN): <u>16-000255</u>		*c. DUNS: <u>0167962Y5309</u>
*d. Address:		
*Street1:	<u>20 Church Street</u>	
*Street2:	<u>City Hall, Room 2246</u>	
*City:	<u>Rochester</u>	
*County/Parish:	<u>Monroe</u>	
*State:	<u>NY: New York</u>	
*Province:	_____	
*Country:	<u>USA: UNITED STATES</u>	
*Zip/Postal Code:	<u>14614-1205</u>	
*e. Organizational Unit:		
Department Name: <u>Neighborhood and Business Dev.</u>		Division Name: <u>Strategic Initiatives</u>
*f. Name and contact information of person to be contacted on matters involving this application:		
*Prefix:	<u>Ms.</u>	*First Name: <u>Eric</u>
*Middle Name:	_____	
*Last Name:	<u>Rajee</u>	
*Suffix:	_____	
*Title:	<u>Chief Counselor</u>	
*Organizational Affiliation: <u>City of Rochester</u>		
*Telephone Number:	<u>585-428-7010</u>	*Fax Number: _____
*Email: <u>eric.rajee@cityofrochester.gov</u>		

Application for Federal Assistance SF-424	
* 9. Type of Applicant 1; Select Applicant Type: <input type="text" value="City or Township Government"/>	
Type of Applicant 2; Select Applicant Type: <input type="text"/>	
Type of Applicant 3; Select Applicant Type: <input type="text"/>	
* Other (specify): <input type="text"/>	
* 10. Name of Federal Agency: <input type="text" value="United States Department of Housing and Urban Development"/>	
11. Catalog of Federal Domestic Assistance Number: <input type="text" value="14.220"/>	
CFDA Title: <input type="text" value="Community Development Block Grant"/>	
* 12. Funding Opportunity Number: <input type="text" value="B248C90005"/>	
* Title: <input type="text" value="Community Development Block Grant"/>	
13. Competition Identification Number: <input type="text"/>	
Title: <input type="text"/>	
14. Areas Affected by Project (Cities, Counties, States, etc.): <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
* 15. Descriptive Title of Applicant's Project: <input type="text" value="Various projects"/>	
Attach supporting documents as specified in agency instructions <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/>	

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant: * b. Program/Project:

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

* a. Start Date: * b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="8,201,037.00"/>
* b. Applicant	<input type="text"/>
* c. State	<input type="text"/>
* d. Local	<input type="text"/>
* e. Other	<input type="text"/>
* f. Program Income	<input type="text" value="250,000.00"/>
* g. TOTAL	<input type="text" value="8,451,037.00"/>

* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?

a. This application was made available to the State under the Executive Order 12372 Process for review on:

b. Program is subject to E.O. 12372 but has not been selected by the State for review.

c. Program is not covered by E.O. 12372.

* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)

Yes No

If "Yes," provide explanation and attach:

21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:

Middle Name:


* Last Name:

Suffix:

* Title:

* Telephone Number: * Fax Number:

* Email:

* Signature of Authorized Representative:  * Date Signed:

CDBB

CMB Number: 4043-0067
Expiration Date: 02/28/2025

ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:


- Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
- Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
- Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
- Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
- Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4726-4753) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
- Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1883, and 1885-1886) which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicap; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§5101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-816), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1917 (42 U.S.C. §§290-26-3 and 290-26-5), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
- Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
- Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1505 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

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Standard Form 424B (Rev. 7-87)
Prescribed by GMB Circular A-102

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11860; (d) evaluation of flood hazards in floodplains in accordance with EO 11888; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1986, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§489a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1986 (P.L. 99-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
19. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	N.L. Yoz
APPLICANT ORGANIZATION	DATE SUBMITTED
City of Dallas, TX	6/26/2024

Standard Form 424B (Rev. 7-87) Back

CDBG

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4310-008
Expiration Date: 02/28/2025

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0345-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:


1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4720-4763) relating to proscribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§9801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment, and Rehabilitation Act of 1970 (P.L. 91-516), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290c-3 and 290c-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

Previous Edition: Usable

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Standard Form 424D (Rev. 7-97)
Prescribed by OMB Circular A-102

11. Will comply, or have already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7524-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subcontractors.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11980; (d) evaluation of flood hazards in floodplains in accordance with EO 11985; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 175(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11595 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1986 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 105(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from: (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	Mayor
APPLICANT ORGANIZATION	DATE SUBMITTED
City of Rochester	6/26/2024

SF-424D (Rev. 7-97) Back

Application for Federal Assistance SF-424		
*1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	*2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/>
*3. Date Received: November <input type="text"/>	4. Applicant Identifier: <input type="text"/>	
5a. Federal Entity Identifier: <input type="text"/>	5b. Federal Award Identifier: <input type="text"/>	
State Use Only:		
6. Date Received by State: <input type="text"/>	7. State Application Identifier: <input type="text"/>	
B. APPLICANT INFORMATION:		
* a. Legal Name: <input type="text" value="City of Rochester"/>		
* b. Employer-Taxpayer Identification Number (EIN/TIN): <input type="text" value="15-002531"/>	* c. UEI: <input type="text" value="D0U7E6X3J3CS"/>	
d. Address:		
* Street1:	<input type="text" value="30 Church Street"/>	
* Street2:	<input type="text" value="City Hall, Room 2040"/>	
* City:	<input type="text" value="Rochester"/>	
* County/Parish:	<input type="text" value="Monroe"/>	
* State:	<input type="text" value="NY: New York"/>	
* Province:	<input type="text"/>	
* Country:	<input type="text" value="USA: UNITED STATES"/>	
* Zip / Postal Code:	<input type="text" value="14614-1236"/>	
e. Organizational Unit:		
Department Name: <input type="text" value="Neighborhood and Business Dev."/>	Division Name: <input type="text" value="Strategic Initiatives"/>	
f. Name and contact information of person to be contacted on matters involving this application:		
* First Name:	<input type="text" value="Alta"/>	
* Last Name:	<input type="text" value="Bejce"/>	
* Title:	<input type="text" value="Economic Development"/>	
Organizational Affiliation: <input type="text" value="City of Rochester"/>		
* Telephone Number:	<input type="text" value="585-428-7010"/>	* Fax Number: <input type="text"/>
* Email: <input type="text" value="alta.bejce@cityofrochester.gov"/>		

Application for Federal Assistance SF-424	
* 9. Type of Applicant 1: Select Applicant Type: <input type="text" value="City or Township Government"/>	
Type of Applicant 2: Select Applicant Type: <input type="text"/>	
Type of Applicant 3: Select Applicant Type: <input type="text"/>	
* Other (specify): <input type="text"/>	
* 10. Name of Federal Agency: <input type="text" value="United States Department of Housing and Urban Development"/>	
11. Catalog of Federal Domestic Assistance Number: <input type="text" value="14-23"/>	
CFDA Title: <input type="text"/>	
* 12. Funding Opportunity Number: <input type="text" value="3219036000"/>	
Title: <input type="text" value="Emergency Solutions Grant"/>	
13. Competition Identification Number: <input type="text"/>	
Title: <input type="text"/>	
14. Area Affected by Project (Cities, Counties, States, etc.): <input type="text"/>	
<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
* 15. Descriptive Title of Applicant's Project: <input type="text" value="Various housing projects"/>	
Attach supporting documents as specified in agency instructions. <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/>	

Application for Federal Assistance SF-424

16. Congressional Districts Of:

*a. Applicant: *b. Program/Project:

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

*a. Start Date: *b. End Date:

18. Estimated Funding (\$):

*a. Federal	11,761.00
*b. Applicant	
*c. State	
*d. Local	
*e. Other	
*f. Program Income	
*g. TOTAL	11,761.00

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

a. This application was made available to the State under the Executive Order 12372 Process for review on

b. Program is subject to E.O. 12372 but has not been selected by the State for review.

c. Program is not covered by E.O. 12372

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

Yes No

If "Yes", provide explanation and attach

21. "By signing this application, I certify (1) to the statements contained in the list of certifications" and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances" and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)

I AGREE

** The list of certifications and assurances, or an Internet site where you may obtain this list, is contained in the announcement or agency specific instructions.


Authorized Representative:

Prefix: * First Name: Middle Name: Last Name: Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative:  * Date Signed:

ESG

ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.


PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-5), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VII of the Civil Rights Act of 1964 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1506 and 7324-7326) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-335), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 132(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11860; (d) evaluation of flood hazards in floodplains in accordance with EO 11888; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1965, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§489a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm-blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1998 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
19. Will comply with the requirements of Section 108(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from: (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE _____
APPLICANT ORGANIZATION City of Rochester	DATE SUBMITTED 6/26/2024

Standard Form 424B (Rev. 7-97) Back

ES6

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0010
Expiration Date: 02/28/2025

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0548-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:


1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4726-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 200, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1693), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicap; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment, and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 cc 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

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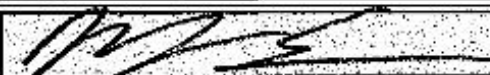
Standard Form 4240 (Rev. 7-87)
Prescribed by OMB Circular A-132

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provides for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470); EO 11593 (identification and protection of historic properties), and the Archeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 108(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect; or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	Region
APPLICANT ORGANIZATION	DATE SUBMITTED
City of Rochester	6/26/2024

SF-424D (Rev. 7-97) Back

Application for Federal Assistance SF-424	
* 9. Type of Applicant 1: Select Applicant Type: <input type="text" value="City or Township Government"/>	
Type of Applicant 2: Select Applicant Type: <input type="text"/>	
Type of Applicant 3: Select Applicant Type: <input type="text"/>	
* Other (specify): <input type="text"/>	
* 10. Name of Federal Agency: <input type="text" value="United States Department of Housing and Urban Development"/>	
11. Catalog of Federal Domestic Assistance Number: <input type="text" value="14.255"/>	
CFDA Title: <input type="text" value="HOME"/>	
* 12. Funding Opportunity Number: <input type="text" value="024802360501"/>	
* Title: <input type="text" value="HOME"/>	
13. Competition Identification Number: <input type="text"/>	
Title: <input type="text"/>	
14. Areas Affected by Project (Cities, Counties, States, etc.): <input type="text"/> <div style="display: flex; justify-content: space-around; margin-top: 5px;"> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/> </div>	
* 15. Descriptive Title of Applicant's Project: <input type="text" value="Various projects"/>	
Attach supporting documents as specified in agency instructions. <div style="display: flex; justify-content: space-around;"> <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/> </div>	

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
* a. Applicant: <input type="text" value="28"/>	* b. Program/Project: <input type="text" value=""/>
Attach an additional list of Program/Project Congressional Districts if needed.	
<input type="text"/>	<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>
17. Proposed Project:	
* a. Start Date: <input type="text" value="07/01/2024"/>	* b. End Date: <input type="text" value="06/30/2025"/>
18. Estimated Funding (\$):	
* a. Federal	<input type="text" value="2,340,322.51"/>
* b. Applicant	<input type="text" value=""/>
* c. State	<input type="text" value=""/>
* d. Local	<input type="text" value=""/>
* e. Other	<input type="text" value="225,000.00"/>
* f. Program Income	<input type="text" value=""/>
* g. TOTAL	<input type="text" value="2,565,322.51"/>
* 19. Is Application Subject to Review By State Under Executive Order 12872 Process?	
<input type="checkbox"/> a. This application was made available to the State under the Executive Order 12872 Process for review on <input type="text"/>	
<input type="checkbox"/> b. Program is subject to E.O. 12872 but has not been selected by the State for review.	
<input checked="" type="checkbox"/> c. Program is not covered by E.O. 12872.	
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes", provide explanation and attach:	
<input type="text"/>	<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>
21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)	
<input checked="" type="checkbox"/> ** I AGREE	
** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.	
Authorized Representative:	
Prefix: <input type="text" value="Dr."/>	* First Name: <input type="text" value="Malik"/>
Middle Name: <input type="text" value="D."/>	
* Last Name: <input type="text" value="Evans"/>	
Suffix: <input type="text"/>	
* Title: <input type="text" value="Mayor"/>	
* Telephone Number: <input type="text" value="580-428-7000"/>	* Fax Number: <input type="text"/>
* Email: <input type="text" value="Malik.Evans@cityofrochester.gov"/>	
* Signature of Authorized Representative: 	* Date Signed: <input type="text" value="6/26/24"/>

ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.


PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

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As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4726-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title V of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§ 681-1603, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §704), which prohibits discrimination on the basis of handicap; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Control and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-618), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 ad-5 and 250 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 178(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-203).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§466a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, 'Audits of States, Local Governments, and Non-Profit Organizations.'
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
19. Will comply with the requirements of Section 108(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	Mayor
APPLICANT ORGANIZATION	DATE SUBMITTED
City of Rochester	6/26/2024

Standard Form 424B (Rev. 7-87) Back

HOME

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0003
Expiration Date: 02/28/2025

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (D548-0042), Washington, DC 20503.

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NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:


1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4726-4783) relating to prescribed standards of merit systems for programs funded under one of the 18 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4901 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residential structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681, 1683 and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-618), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§623 and 527 of the Public Health Service Act of 1944 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§2601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

Previous Edition Usable

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Standard Form 424D (Rev. 7-97)
Prescribed by OMB Circular A-102


11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. Those requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7329) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §274), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(s) of the Flood Disaster Protection Act of 1973 (P.L. 93-254) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 175(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11583 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.)
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	Mayor
APPLICANT ORGANIZATION	DATE SUBMITTED
City of Rochester	6/26/2024

SF-424D (Rev. 7-97) Back

Application for Federal Assistance SF-424			
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application		* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	
* If Revision, select appropriate letter(s) <input type="text"/>		* Other (Specify): <input type="text"/>	
* 3. Date Received: 09-20-2024		* 4. Applicant Identifier: <input type="text"/>	
* 6a. Federal Entity Identifier: <input type="text"/>		* 6b. Federal Award Identifier: <input type="text"/>	
State Use Only:			
* 8. Date Received by State: <input type="text"/>		* 7. State Application Identifier: <input type="text"/>	
8. APPLICANT INFORMATION:			
* a. Legal Name: City of Rochester			
* 9. Employer/Taxpayer Identification Number (EIN/TIN): 18-0002551		* c. UIC: 000765BZ0109	
d. Address:			
* Street1:	30 Church Street		
* Street2:	City Hall, Room 224B		
* City:	Rochester		
* County/Parish:	Saratoga		
* State:	NY: New York		
* Province:	<input type="text"/>		
* County:	USA: UNITED STATES		
* Zip / Postal Code:	14614-1236		
e. Organizational Unit:			
Department Name: Neighborhood and Business Dev.		Division Name: Strategic Initiatives	
f. Name and contact information of person to be contacted on matters involving this application:			
* First Name:	Ma	* Last Name:	Sita
* Middle Name:	<input type="text"/>		
* Full Name:	Ma Sita		
* Suffix:	<input type="text"/>		
* Title:	Case Coordinator		
Organizational Affiliation: City of Rochester			
* Telephone Number:	585-420-1910	* Fax Number:	<input type="text"/>
* Email:	sita.raja@cityofrochester.gov		

Application for Federal Assistance SF-424	
* 9. Type of Applicant 1: Select Applicant Type: <input type="text" value="(1) City or Township Government"/> Type of Applicant 2: Select Applicant Type: <input type="text"/> Type of Applicant 3: Select Applicant Type: <input type="text"/> * Other (specify): <input type="text"/>	
* 10. Name of Federal Agency: <input type="text" value="United States Department of Housing and Urban Development"/>	
11. Catalog of Federal Domestic Assistance Number: <input type="text" value="14.041"/> CFDA Title: <input type="text" value="Economic Opportunities for Persons with AIDS"/>	
* 12. Funding Opportunity Number: <input type="text" value="KYR247035"/> * Title: <input type="text" value="Economic Opportunities for Persons with AIDS"/>	
13. Competition Identification Number: <input type="text"/> Title: <input type="text"/>	
14. Areas Affected by Project (Cities, Counties, States, etc.): <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Update Attachment"/> <input type="button" value="View Attachment"/>	
* 15. Descriptive Title of Applicant's Project: <input type="text" value="Various Projects"/>	
Attach supporting documents as specified in agency instructions. <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/>	

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
* a. Applicant: <input type="text" value="25"/>	* b. Program/Project: <input type="text" value=""/>
Attach an additional list of Program/Project Congressional Districts if needed	
<input type="text"/>	<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>
17. Proposed Project:	
* a. Start Date: <input type="text" value="07/01/2024"/>	* b. End Date: <input type="text" value="06/30/2025"/>
18. Estimated Funding (\$):	
* a. Federal	<input type="text" value="1,132,150.00"/>
* b. Applicant	<input type="text" value=""/>
* c. State	<input type="text" value=""/>
* d. Local	<input type="text" value=""/>
* e. Other	<input type="text" value=""/>
* f. Program Income	<input type="text" value=""/>
* g. TOTAL	<input type="text" value="1,132,150.00"/>
* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?	
<input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/>	
<input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review.	
<input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372.	
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes", provide explanation and attach	
<input type="text"/>	<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>
21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)	
<input checked="" type="checkbox"/> ** I Agree	
** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.	
Authorized Representative:	
Prefix: <input type="text" value="Mr."/>	* First Name: <input type="text" value="Evelyn"/>
Middle Name: <input type="text" value=""/>	
* Last Name: <input type="text" value="Cohen"/>	
Suffix: <input type="text" value=""/>	
* Title: <input type="text" value="Mayor"/>	
* Telephone Number: <input type="text" value="585-428-7042"/>	Fax Number: <input type="text" value=""/>
* Email: <input type="text" value="Evelyn.Branscombe@rochester.gov"/>	
* Signature of Authorized Representative: 	* Date Signed: <input type="text" value="6/26/2024"/>

HDPWA

OMB Number: 4041-0007
Expiration Date: 02/28/2025

ASSURANCES - NON-CONSTRUCTION PROGRAMS

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Prescribed by OMB Circular A-102

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 1198C; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 105 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§486a-1 et seq.).
14. Will comply with P.L. 90-348 regarding the protection of human subjects involved in research, development and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
19. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from: (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	Director
APPLICANT ORGANIZATION	DATE SUBMITTED
City of Milwaukee	6/26/2024

Standard Form 424B (Rev. 7-87) Back

HOPWA
ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4940-0038
Expiration Date: 02/29/2025

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0346-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:


1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in title application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progress reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4726-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§1801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1621-1623, and 1685-1686) which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Offense and Treatment Act of 1972 (P.L. 92-255), as amended relating to non-discrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to non-discrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 200 cc 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to non-discrimination in the sale, rental or financing of housing; (i) any other non-discrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other non-discrimination statute(s) which may apply to the application.

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Standard Form 424 (Rev. 7-97)
Prescribed by GMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-150) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11736; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§409a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1998 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	Mayor
APPLICANT ORGANIZATION	DATE SUBMITTED
City of Rochester	6/26/2024

SF-424D (Rev. 7-97) back

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing --The jurisdiction will affirmatively further fair housing.

Uniform Relocation Act and Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.


Anti-Lobbying --To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan --The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 75.


Signature of Authorized Official

6/26/2024
Date

Mayer
Title

Specific Community Development Block Grant Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

Following a Plan -- It is following a current consolidated plan that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include CDBG-assisted activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available (see Optional CDBG Certification).

2. **Overall Benefit.** The aggregate use of CDBG funds, including Section 108 guaranteed loans, during program year(s) 2024-25 [a period specified by the grantee of one, two, or three specific consecutive program years], shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period.

3. **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

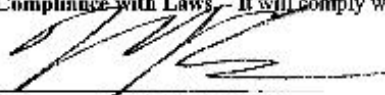
1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

Compliance with Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, Subparts A, B, J, K and R.

Compliance with Laws -- It will comply with applicable laws.


Signature of Authorized Official

6/26/24
Date

Mayor
Title

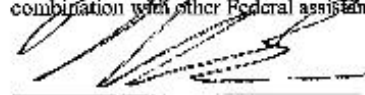
Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If it plans to provide tenant-based rental assistance, the tenant-based rental assistance is an essential element of its consolidated plan.

Eligible Activities and Costs -- It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §§92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

Subsidy layering -- Before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing:



Signature of Authorized Official

6/26/24

Date

Mayor

Title

Emergency Solutions Grants Certifications

The Emergency Solutions Grants Program recipient certifies that:

Major rehabilitation/conversion/renovation – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation.

If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion.

In all other cases where ESG funds are used for renovation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

Essential Services and Operating Costs – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the recipient will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the recipient serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The recipient will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal, State, local, and private assistance available for these individuals.

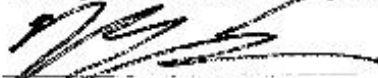
Matching Funds – The recipient will obtain matching amounts required under 24 CFR 576.201.

Confidentiality – The recipient has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

Homeless Persons Involvement – To the maximum extent practicable, the recipient will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

Consolidated Plan – All activities the recipient undertakes with assistance under ESG are consistent with its consolidated plan.

Discharge Policy – The recipient will establish and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.



Signature of Authorized Official

6/26/24

Date

Mayor

Title


Housing Opportunities for Persons With AIDS Certifications

The HOPWA grantee certifies that:

Activities -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

Building -- Any building or structure assisted under that program shall be operated for the purpose specified in the consolidated plan:

1. For a period of not less than 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility.
2. For a period of not less than 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.



Signature of Authorized Official

6/26/24

Date

Mayor

Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:

Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.