



City of Rochester, NY

**FINAL
CONSOLIDATED COMMUNITY
DEVELOPMENT PLAN**

2019-2020
Annual Action Plan

Lovely A. Warren, Mayor
City of Rochester

Executive Summary

AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

The City of Rochester, New York, submitted a Five Year Strategic Plan for the program years 2015-16 through 2019-20. This is the 2019-20 Annual Action Plan as part of the Consolidated Plan that guides the allocation of federal entitlement funds available through the Community Development Block Grant (CDBG) Program, Home Investment Partnerships (HOME) Program, Emergency Solutions Grants (ESG) Program, and Housing Opportunities for Persons with AIDS (HOPWA) programs. The dates covered in this Annual Action Plan are July 1, 2019 through June 30, 2020.

The Annual Action Plan serves the following functions:

- A planning document that enables the City to view its HUD funding, not in isolation, but as one tool in a comprehensive strategy to address housing, community development, and economic development needs.
- An application for CDBG, HOME, ESG, and HOPWA Program funds under HUD's formula grant.
- A strategy document to be followed in carrying out HUD programs.
- An action plan that provides a basis for assessing performance in carrying out use of CDBG Program funds.

The Consolidated Plan is guided by three overarching goals that are applied according to a community's needs as follows:

- Provide decent housing by preserving affordable housing stock, increasing the availability of affordable housing, reducing discriminatory barriers, increasing the supply of supportive housing for those with special needs, and transitioning homeless persons and families into housing.
- Provide a suitable living environment through safer, more livable neighborhoods, greater integration of low- and moderate-income residents throughout the city, increased housing opportunities, and reinvestment in deteriorating neighborhoods.
- Expand economic opportunities through more jobs paying self-sufficient wages, homeownership opportunities, development activities that promote long-term community viability, and the empowerment of low- and moderate-income persons to achieve self-sufficiency.

Introduction continued

The CDBG, HOME, ESG, and HOPWA programs are the primary federal funding resources in the 2019-20 Annual Action Plan. A brief overview of each program is as follows:

The primary objective of the Community Development Block Grant (CDBG) program is to develop viable urban communities by providing decent housing, a suitable living environment, and economic opportunities, principally for persons with low- and moderate-incomes. Funds can be used for a wide array of activities, including: housing rehabilitation, homeownership assistance, lead-based paint detection and removal, construction or rehabilitation of public facilities and infrastructure, removal of architectural barriers, public services, rehabilitation of commercial or industrial buildings, and loans or grants to businesses. The HOME Investment Partnership Program (HOME) provides federal funds for the development and rehabilitation of affordable rental and ownership housing for low and moderate income households. HOME funds can be used for activities that promote affordable rental housing and homeownership by low and moderate income households, including reconstruction, moderate or substantial rehabilitation, homebuyer assistance, and tenant-based rental assistance.

Emergency Solutions Grants (ESG) is a federal grant program designed to help improve the quality of existing emergency shelters for the homeless, to make available additional shelters, to meet the costs of operating shelters, to provide essential social services to homeless individuals, and to help prevent homelessness.

Housing Opportunities for Persons with AIDS (HOPWA) funding provides housing assistance and related supportive services. Grantees are encouraged to develop community-wide strategies and form partnerships with area nonprofit organizations. HOPWA funds may be used for a wide range of housing, social services, program planning, and development costs. These include, but are not limited to, the acquisition, rehabilitation, or new construction of housing units; costs for facility operations; rental assistance; and short-term payments to prevent homelessness. HOPWA funds also may be used for health care and mental health services, chemical dependency treatment, nutritional services, case management, assistance with daily living, and other supportive services.

2. Summarize the objectives and outcomes identified in the Plan

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

The Needs Assessment identified significant needs for: Affordable Housing; Housing Rehabilitation; Economic Development; Safe Neighborhoods; Job and Employment Training; Services for Youth and Persons with Special Needs.

3. Evaluation of past performance

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

The City prepares a Consolidated Annual Performance Evaluation Report (CAPER) each year. For the 2017-18 program year, the following are some of the CDBG funded accomplishments: Persons assisted via Public Facility or Infrastructure Activities: 39,800; Persons assisted via Public Service Activities not housing related: 9,742; Economic Development-91 jobs created/retained; 47 businesses assisted; Housing Services activities assisted 247 persons other than housing benefit and 709 households housing benefit. 274 housing units were rehabilitated, and 113 buildings were demolished.

Homebuyer assistance was provided to 124 households and twelve rental units were constructed utilizing HOME funding. 71 homeowner housing units were added.

3,273 persons were served utilizing ESG funding. 175 households were served utilizing HOPWA funds.

4. Summary of Citizen Participation Process and consultation process

Summary from citizen participation section of plan.

The citizen participation and consultation process included the following components for the 2015-19 Five Year Strategic Plan:

- Four public meetings, one in each quadrant of the City, to obtain input from residents, neighborhood representatives and stakeholders
- A public survey, available on-line in both English and Spanish, and distributed by mail to more than 900 residents and stakeholders
- A survey of business needs, available on-line and distributed by mail to more than 900 residents and business representatives
- Ten focus groups with representatives of agencies, organizations and stakeholders on the following topics: Public Housing; Fair Housing; Affordable Housing Development/ Homeownership; Housing Rehabilitation; Homelessness Prevention and Services/ Supportive Housing; Youth and Health Services; Seniors and Persons with Disabilities; Public infrastructure and facilities; Large employers and manufacturers; Small business

The Annual Action Plan Citizen Participation included public meetings held on March 20, 2019, and June 13, 2019.

5. Summary of public comments

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

For the Five Year Strategic Plan, the needs mentioned most often were: youth employment and the high rate of youth poverty; housing rehabilitation; homeownership; economic development; and infrastructure and facilities.

6. Summary of comments or views not accepted and the reasons for not accepting them

All comments received were accepted.

7. Summary

The needs of the community are varied and many. Funds will be used for a variety of goals including promoting economic stability, improving the housing stock and general property conditions, and addressing general community needs. Some funds will be used for planning and administration of the grant programs.

PR-05 Lead & Responsible Agencies – 91.200(b)

1. Agency/entity responsible for preparing/administering the Consolidated Plan

Describe the agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
CDBG Administrator	ROCHESTER	NBD/Administration and Finance
HOPWA Administrator	ROCHESTER	NBD/Business and Housing Development
HOME Administrator	ROCHESTER	NBD/Business and Housing Development
ESG Administrator	ROCHESTER	NBD/Business and Housing Development

Table 1 – Responsible Agencies

Narrative (optional)

The City of Rochester is designated the HOPWA grant recipient for the five county metropolitan area that includes Monroe, Livingston, Orleans, Wayne, and Ontario counties.

Consolidated Plan Public Contact Information

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AP-10 Consultation – 91.100, 91.200(b), 91.215(I)

1. Introduction

Citizen Participation and Agency Consultation

For the Five Year Strategic Plan, the City of Rochester made the decision to encouraged extensive agency consultation in (a) identifying priority needs and (b) engaging the participation of public agencies and nonprofit organizations in a positive and collaborative manner.

The City of Rochester engaged a consulting firm, LaBella Associates, D.P.C., to assist in the preparation of the plan and facilitate the consultation process. City staff worked with the consultants to develop a list of stakeholders, which included public agencies and private nonprofit organizations that provide affordable housing and human services to LMI households and persons as well as business and other community stakeholders. These stakeholders were invited to participate in a series of focus group sessions held for the purpose of identifying needs for the CP and the Annual Plan. During the consultation process, a wide range of organizations provided input on the City's housing and community development needs.

Additionally, public and private agencies which were identified as stakeholders in the process were asked to complete written questionnaires to provide data on special needs populations such as the elderly, youth, persons with HIV/AIDS, public housing residents, persons with disabilities and the homeless.

In an effort to solicit broader citizen participation, the City of Rochester conducted an online survey. The online survey was developed and registered at www.zoomerang.com for a period of approximately one month and was placed prominently on the City of Rochester's web site. Based on the focus group sessions, comments received at the public meetings, the online survey, and input from agencies and organizations, a set of priorities was established by the City of Rochester for the next five years.

In addition, quadrant meetings were held in January 2015 in each of the four quadrant areas of the city. These meetings were designed to gather input from area residents on neighborhood issues of concern. The meetings were held on January 14, two on January 20, and January 22, 2014. The City Council held a public hearing on the draft CP on May 19, 2015. It is the City's practice to advertise meetings in the Democrat & Chronicle. There were no speakers.

For the Annual Action Plan there were meetings held with community partners on housing related and other issues. In addition, the City held a public meeting held on March 20, 2019 to gather community input. A public hearing was held on June 13, 2019 regarding the Annual Action Plan.

Provide a concise summary of the jurisdiction’s activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(I))

City of Rochester staff meets regularly with the Rochester Housing Authority (RHA) executive team to coordinate the planning of housing projects and federal grant applications, such as the Choice Neighborhood Initiative Grant. An ad-hoc committee was established in 2017 with representation from City staff, City Council, RHA, and Rochester Housing School Board to strategically plan for neighborhood transformation and affordable housing development. The City also provides input to RHA’s annual plan. The City of Rochester, Monroe County and RHA continue to explore ways to enhance our Section 3 efforts. RHA and the City are participants on the Rochester Health and Housing Committee that focuses on health and wellness in addressing housing.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.

The City actively participates in the Rochester/Monroe County Homeless Continuum of Care (CoC). City staff is a past Chair of the CoC Board of Directors, has a standing seat on the CoC Board of Directors and is a member of Homeless Services Network (HSN) and sits on the HSN Steering Committee. The CoC coordinates the allocation of federal funding to facilities and programs within the CoC's geographic service area, Monroe County. City staff consult on a regular basis with the organizations that participate in the CoC and the Homeless Services Network. City staff also serve on the Chronically Homeless Work Group that plans, coordinates, and implements activities and strategies for servicing the chronically homeless and sits on the CoC Project Selection and Monitoring Committee. CoC staff participate in reviewing applications for Emergency Solution Grant applications submitted annually to the City or Rochester.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS

City staff work closely with Monroe County, the CoC and other agencies to develop funding applications and determine community priorities for the use of ESG funds. By working with the CoC, City staff are involved in improving coordination among agencies, facilitating data collection through HMIS and allocating funds to best meet the needs of the homeless population. The CoC Executive Director serves on the ESG proposal review team along with staff from Monroe County, the City of Rochester and community representatives. The City and the County release a joint RFP for ESG funding which has increased the efficiency and coordination of ESG funding in the community. The CoC Board has 21 ex-officio members representing public and private organizations deemed necessary to develop, maintain,

monitor and continuously improve a comprehensive, coordinated and flexible system of homeless housing and support services. They represent the City, Monroe County, Greece, RHA, Homeless Services Network (HSN) the CoC's Stakeholder Group, formerly homeless community members, a domestic violence advocacy organization member, and a health services representative. In addition, there are elected general members from both public and private groups from the community (business sector, legal field, faith-based organizations, veteran organizations, schools, law enforcement, criminal justice, advocacy groups. This diverse team is the primary planning and coordinating body for homeless housing and services in this community. The CoC is now an independent 501(c)(3) not-for-profit organization and is the Collaborative Applicant for HUD CoC funding and the HMIS Lead Agency. Long term goals include becoming a Unified Funding agency and continually striving to achieve the status of a High Performing Community. The CoC has been a long-time participant in the ESG planning process for the City and County and the City and County are represented on the Rating and Ranking Committee of CoC to review applications submitted annually for HUD CoC Homeless Program funding. ESG community priorities and planning have been discussed at both CoC and HSN meetings, especially in terms of policy priorities, the efficient use of resources and community objectives. The CoC staff also analyzes the ESG proposals for fidelity to the ESG requirements and additional information set forth by HUD via policy briefs ESG funding continues to be a critical issue for local homeless service providers. Facilitated discussions of ESG community priorities are held at the HSN meetings; CoC members and community stakeholders are strongly encouraged to participate. There are several new initiatives being implemented to reach the goal of ending homelessness: Monroe County Office of Mental Health has been awarded a SAMHSA grant which will provide increased outreach and mental health services for homeless individuals with serious and persistent mental health issues; Monroe County is one of two counties selected by NYS to pilot of the Home Stability Support program which will provide 40 homeless households exiting the shelter system to permanent housing with an enhanced rental allowance of 85% of FMR for five years. This is a significant increase from the current shelter allowance. Project will be evaluated to determine if the rental subsidy is successful in keeping households stably housed; The YWCA, Willow Domestic Violence Center and LAWNY were awarded funding for a Domestic Violence Rapid Re-Housing bonus project in the FY2018 CoC Funding and will begin operation in late summer of 2019;

2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction's consultations with housing, social service agencies and other entities

Table 2 – Agencies, groups, organizations who participated

1	Agency/Group/Organization	THE HOUSING COUNCIL
	Agency/Group/Organization Type	Housing Services - Housing Service-Fair Housing Planning organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting on con plan
2	Agency/Group/Organization	PathStone Development Corporation
	Agency/Group/Organization Type	Housing Services - Housing Service-Fair Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting on con plan
3	Agency/Group/Organization	IBERO-AMERICAN DEVELOPMENT CORPORATION
	Agency/Group/Organization Type	Housing Services-Employment

	What section of the Plan was addressed by Consultation?	Housing Need Assessment Economic Development Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting on con plan
4	Agency/Group/Organization	LEGAL AID SOCIETY OF ROCHESTER, NEW YORK INC
	Agency/Group/Organization Type	Services - Housing Service-Fair Housing
	What section of the Plan was addressed by Consultation?	Fair Housing
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting on con plan, Meet three times per year--one on one discussion, areas for improved coordination.
5	Agency/Group/Organization	Rochester City Council
	Agency/Group/Organization Type	Staff of Rochester City Council
	What section of the Plan was addressed by Consultation?	Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting on con plan
6	Agency/Group/Organization	Christa
	Agency/Group/Organization Type	Housing Developer

	What section of the Plan was addressed by Consultation?	Development
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting on con plan
7	Agency/Group/Organization	Little Italy Association
	Agency/Group/Organization Type	Neighborhood Organization Neighborhood Organization
	What section of the Plan was addressed by Consultation?	Neighborhood
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting on con plan
8	Agency/Group/Organization	Rochester Management
	Agency/Group/Organization Type	Housing Regional organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting on con plan, Met with Rochester Management and Zion Hill Baptist Church to discuss a faith based project, Developer roundtable meeting for affordable rental senior housing proposal, improved project design and plan.

9	Agency/Group/Organization	CATHOLIC CHARITIES COMMUNITY SERVICES
	Agency/Group/Organization Type	Housing Services - Housing Services-Persons with HIV/AIDS
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless Non-Homeless Special Needs HOPWA Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting on con plan
10	Agency/Group/Organization	NOTANA
	Agency/Group/Organization Type	Neighborhood Neighborhood Organization
	What section of the Plan was addressed by Consultation?	Neighborhood
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting on con plan
11	Agency/Group/Organization	City Roots
	Agency/Group/Organization Type	Housing Neighborhood
	What section of the Plan was addressed by Consultation?	Housing Need Assessment

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting on con plan
12	Agency/Group/Organization	Upper Mt. Hope Neighborhood
	Agency/Group/Organization Type	Neighborhood
	What section of the Plan was addressed by Consultation?	Neighborhood
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting on con plan
13	Agency/Group/Organization	REACH
	Agency/Group/Organization Type	Housing Services-homeless
	What section of the Plan was addressed by Consultation?	Homeless Needs - Chronically homeless Homelessness Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting on con plan
14	Agency/Group/Organization	PROVIDENCE HOUSING DEVELOPMENT CORPORATION
	Agency/Group/Organization Type	Housing Services - Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting on con plan
15	Agency/Group/Organization	PLEX
	Agency/Group/Organization Type	Neighborhood
	What section of the Plan was addressed by Consultation?	Neighborhood
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting on con plan
16	Agency/Group/Organization	Beechwood
	Agency/Group/Organization Type	Housing Neighborhood
	What section of the Plan was addressed by Consultation?	Neighborhood
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting on con plan
17	Agency/Group/Organization	Monroe County Department of Human Services
	Agency/Group/Organization Type	Other government - County
	What section of the Plan was addressed by Consultation?	Housing Need Assessment
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting on con plan

18	Agency/Group/Organization	Greater Rochester Enterprise
	Agency/Group/Organization Type	Business and Civic Leaders
	What section of the Plan was addressed by Consultation?	Market Analysis Economic Development
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Provide marketing efforts for economic development to attract and retain businesses in our community.
19	Agency/Group/Organization	Nextcorp
	Agency/Group/Organization Type	Economic Development
	What section of the Plan was addressed by Consultation?	Market Analysis Economic Development
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Provides custom development services, especially entrepreneurial businesses
20	Agency/Group/Organization	Rochester City School District
	Agency/Group/Organization Type	Services-Children Services-Education
	What section of the Plan was addressed by Consultation?	Children, Education, Neighborhood engagement
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The Rochester Fire Department consulted with RCSD to schedule and conduct fire safety education programs to promote home safety drills and installation of working detectors in students homes. Meetings were conducted in June and October 2018. Neighborhood Service Center met with School #17.

21	Agency/Group/Organization	Neighborhood Associations
	Agency/Group/Organization Type	Services-Children Services-Elderly Persons Services-Education
	What section of the Plan was addressed by Consultation?	Elderly, Children, Education
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The RFD consulted with neighborhood associations to conduct fire safety education programs to promote home safety drills and installation of working detectors in residents homes. Meetings were conducted monthly during 2018.
22	Agency/Group/Organization	Visiting Nurse Services
	Agency/Group/Organization Type	Services-Elderly Persons Services-Persons with Disabilities Services-Education
	What section of the Plan was addressed by Consultation?	Elderly, Persons with Disabilities, Education
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The RFD consulted with Visiting Nurse Services to schedule and conduct fire safety education programs to promote home safety drills and installation of working detectors in patients' homes. Meetings were conducted in March, July, and October 2018.
23	Agency/Group/Organization	EMPIRE JUSTICE CENTER
	Agency/Group/Organization Type	Services - Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	4 times per year. One on one discussion, areas for improved coordination.
24	Agency/Group/Organization	ROCHESTER HOUSING DEVELOPMENT FUND CORPORATION
	Agency/Group/Organization Type	Services - Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	5 times per year. One on one discussions to improve and increase delivery of services.
25	Agency/Group/Organization	Greater Rochester Association of Realtors
	Agency/Group/Organization Type	Services - Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	6 times per year. Discussion of program processes to better inform public of available resources.
26	Agency/Group/Organization	Rochester City Coalition
	Agency/Group/Organization Type	Housing Planning organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	6 times per year. Meetings to increase young professional input in City planning.

27	Agency/Group/Organization	Rochester Housing Authority
	Agency/Group/Organization Type	Housing PHA Services-Persons with HIV/AIDS Other government - County
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Meeting with RHA staff to coordinate services.
28	Agency/Group/Organization	Developer Roundtable
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Homeless Needs - Chronically homeless
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Meetings with local developers to discuss City priorities and how to support and plan for affordable housing.
29	Agency/Group/Organization	Chronically Homeless Workgroup
	Agency/Group/Organization Type	Housing PHA Services-Persons with HIV/AIDS Service-Fair Housing Other government - County

	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Homeless Needs - Chronically homeless Homeless Needs - Families with children
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Collection of homeless service providers, discussing improvements for coordinating services.
30	Agency/Group/Organization	Flower City Habitat for Humanity
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Discussion and planning for new construction and acquisition/rehab of single family homes for low income households.
31	Agency/Group/Organization	Home Leasing
	Agency/Group/Organization Type	Housing Services-Persons with HIV/AIDS
	What section of the Plan was addressed by Consultation?	HOPWA Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Met with Home Leasing and Trillium three times-Planning for affordable housing development to include supportive housing for family, homeless with HIV/AIDS, or fail elderly--Improved services.
32	Agency/Group/Organization	Trillium Health
	Agency/Group/Organization Type	Housing Services-Persons with HIV/AIDS

	What section of the Plan was addressed by Consultation?	HOPWA Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Met with Home Leasing and Trillium three times-Planning for affordable housing development to include supportive housing for family, homeless with HIV/AIDS, or fail elderly--Improved services.
33	Agency/Group/Organization	Zion Hill Baptist Church
	Agency/Group/Organization Type	Housing Regional organization Faith Based Project
	What section of the Plan was addressed by Consultation?	Housing Need Assessment
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Met with Rochester Management and Zion Hill Baptist Church to discuss an affordable rental senior housing proposal--improved project design and plan.
34	Agency/Group/Organization	The Rochester/Monroe County Homeless Continuum of Care
	Agency/Group/Organization Type	Housing PHA Services - Housing Service-Fair Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	12 meetings annually

35	Agency/Group/Organization	Peace Village
	Agency/Group/Organization Type	Housing PHA Services-Persons with HIV/AIDS
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Special project to relocate homeless to safe environment outside and coordinate accessing permanent housing services. 12 monthly meetings and 10 coordinating meetings.
36	Agency/Group/Organization	HUDSON AVENUE BUSINESS ASSOCIATION
	Agency/Group/Organization Type	Business and Civic Leaders
	What section of the Plan was addressed by Consultation?	Economic Development
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Business association corridor work
37	Agency/Group/Organization	Portland Avenue Business Association
	Agency/Group/Organization Type	Business Leaders
	What section of the Plan was addressed by Consultation?	Economic Development
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Commercial corridor work

38	Agency/Group/Organization	Joseph Avenue Business Association
	Agency/Group/Organization Type	Business and Civic Leaders
	What section of the Plan was addressed by Consultation?	Economic Development
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Joseph Avenue Business Association
39	Agency/Group/Organization	NORTH CLINTON AVENUE BUSINESS ASSOCIATION
	Agency/Group/Organization Type	Business Leaders
	What section of the Plan was addressed by Consultation?	Economic Development
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Commercial corridor work
40	Agency/Group/Organization	Market District Business Association
	Agency/Group/Organization Type	Business Leaders
	What section of the Plan was addressed by Consultation?	Economic Development
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Commercial corridor work
41	Agency/Group/Organization	Community Design Center Rochester
	Agency/Group/Organization Type	Planning organization

	What section of the Plan was addressed by Consultation?	Economic Development
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Planning

Identify any Agency Types not consulted and provide rationale for not consulting

Other local/regional/state/federal planning efforts considered when preparing the Plan

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	Rochester/Monroe County Homeless Continuum of Care	See narrative

Table 3 – Other local / regional / federal planning efforts

Narrative (optional)

Consultation continued:

1. Monroe County DHS has recently begun contracting with MC Collaborative to provide case management services to homeless persons who have been placed in hotels to assist them in moving to permanent housing as quickly as possible.

Coordinated Entry (CE) workgroup of which the City is a member continues to meet. CE is in its second year of full implementation and is continually being improved. CE is working and is successful in ensuring that homeless persons are directed to the programs that will best meet their needs; and that homeless persons with the highest vulnerability are prioritized for placement. CE has increased the number of homeless households moving to RRH and PSH programs by 34%.

Recently, the CoC members of HSN and housing staff from the City and County have also collectively participated in planning for the allocation of 2019-20 ESG funding. Based on community discussions the decision was made that the Coordinated Entry system that has been primarily funded with ESG funds would be transitioned to being primarily funded with HUD CoC funds. A Coordinated Entry new project application was awarded for CoC funding which will allow the amount of ESG funds for Coordinated Entry to be significantly reduced and available to meet other community priorities.

The preparation of the Consolidated Plan was coordinated with planning efforts. The strategies in the Consolidated Plan align with those of the Rochester-Monroe County Anti-Poverty Initiative, which is supported by Governor Cuomo's Opportunity Agenda and involves numerous private and public organizations.

In its emphasis on homelessness prevention, housing and services for homeless and persons at risk of becoming homeless, the Consolidated Plan advances the recommendations of:

Homelessness Resolution Strategy for Rochester and Monroe County , prepared in 2012; Housing Options for All: A Strategy to End Homelessness in Rochester/Monroe County (2007) ; Continuum of Care Plans (2010 and 2011) prepared for the US Department of Housing and Urban Development (2010 and 2011); Quadrant Strategic Plans for each of the four quadrants (Southwest, Southeast, Northeast, Northwest); Findings from charrettes and retreats facilitated by neighborhood associations and Neighborhood Service Centers; Housing Market Study and Housing Policy The preparation of the Consolidated Plan was coordinated with planning efforts. The strategies in the Consolidated Plan align with those of the Rochester-Monroe County Anti-Poverty Initiative, which is supported by Governor Cuomo's Opportunity Agenda and involves numerous private and public organizations.

AP-12 Participation – 91.105, 91.200(c)

**1. Summary of citizen participation process/Efforts made to broaden citizen participation
Summarize citizen participation process and how it impacted goal-setting**

The City participated in a variety of meetings throughout the year with numerous community groups and not-for-profits. These are included in the consultation section. In addition, the City held a public meeting on March 20, 2019 and a public meeting on June 13, 2019.

Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
1	Public Meeting	Non-targeted/broad community Residents of Public and Assisted Housing	See attachment 2019-Public Meeting Notes	See attachment 2019-Public Meeting Notes	All comments received	
2	Public Hearing	Non-targeted/broad community	City Council Public Hearing	No comments received	No comments received	

Table 4 – Citizen Participation Outreach

Expected Resources

AP-15 Expected Resources – 91.220(c)(1,2)

Introduction

The City of Rochester has identified a wide range of resources that may be used to support the City’s affordable housing initiative. A summary of these resources, focusing on housing, is as follows:

Federal Resources: Community Development Block Grant, HOME Investment Partnership, Emergency Solutions Grant, Section 8 Housing Choice Vouchers, Section 202 Supportive Housing for the Elderly, Public Housing HOPE VI Grants, Public Housing Capital Funds, Tax Credit Assistance Program (TCAP)/ Credit Exchange Funds, Low Income Housing Tax Credits, New Market Tax Credits, Economic Development Administration Public Works Program, Empowerment Zone Bonds, Lead Hazard Control, Neighborhood Stabilization Program Funds

State Resources: Housing Trust Fund Program Homes for Working Families Program, State Low Income Housing Tax Credits, Affordable Housing Program State of NY Mortgage Agency, Homeless Housing Assistance Program.

Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 5				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 5				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	8,068,554	750,000	0	8,818,554	0	Community Development Block Grant: The primary objective of the CDBG program is to develop viable urban communities by providing decent housing, a suitable living environment, and economic opportunities, principally for persons with low- and moderate-incomes. Funds can be used for a wide array of activities, including: housing rehabilitation, homeownership assistance, lead-based paint detection and removal, construction or rehabilitation of public facilities and infrastructure, removal of architectural barriers, public services, rehabilitation of commercial or industrial buildings, and loans or grants to businesses.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 5				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOME	public - federal	Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA	2,316,190	90,000	0	2,406,190	0	The HOME program provides federal funds for the development and rehabilitation of affordable rental and ownership housing for low and moderate income households. HOME funds can be used for activities that promote affordable rental housing and homeownership by low and moderate income households, including reconstruction, moderate or substantial rehabilitation, homebuyer assistance, and tenant-based rental assistance.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 5				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOPWA	public - federal	Permanent housing in facilities Permanent housing placement Short term or transitional housing facilities STRMU Supportive services TBRA	958,466	0	0	958,466	0	Housing Opportunities for Persons With AIDS (HOPWA): HOPWA funding provides housing assistance and related supportive services. Grantees are encouraged to develop community-wide strategies and form partnerships with area nonprofit organizations. HOPWA funds may be used for a wide range of housing, social services, program planning, and development costs. These include, but are not limited to, the acquisition, rehabilitation, or new construction of housing units; costs for facility operations; rental assistance; and short-term payments to prevent homelessness. HOPWA funds also may be used for health care and mental health services, chemical dependency treatment, nutritional services, case management, assistance with daily living, and other supportive services.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 5				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
ESG	public - federal	Conversion and rehab for transitional housing Financial Assistance Overnight shelter Rapid re-housing (rental assistance) Rental Assistance Services Transitional housing	685,960	0	0	685,960	0	Emergency Solutions Grants (ESG): A federal grant program designed to help improve the quality of existing emergency shelters for the homeless, to make available additional shelters, to meet the costs of operating shelters, to provide essential social services to homeless individuals and to help prevent homelessness.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 5				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
Other	public - local	Acquisition Admin and Planning Economic Development Financial Assistance Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership Public Services	500,000	0	0	500,000	0	City Development Funds

Table 5 - Expected Resources – Priority Table

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

Rochester will provide matching funds as required and will continue to seek funding from other Federal sources as well as State, County and private funding.

If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

Rochester may make publicly owned land available for construction of new housing and public facilities where it would meet the goals of this plan.

Discussion

These much needed federal funds will be utilized to support a variety of vital projects and programs in the city of Rochester.

Annual Goals and Objectives

AP-20 Annual Goals and Objectives

Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Promote Economic Stability	2015	2019	Non-Housing Community Development	City-wide	Brownfield Remediation Commercial Nodes and Corridors Economic Development Technical Assistance Economic Devlpmnt Asstnce to For-Profit Businesses	CDBG: \$1,555,050 CDF: \$333,000	Public service activities other than Low/Moderate Income Housing Benefit: 50000 Persons Assisted Jobs created/retained: 105 Jobs Businesses assisted: 16

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
2	General Community Needs	2015	2019	Non-Housing Community Development	City-wide	Employment/Job Training Services Family Support and Skill Development Housing Services Landlord Education Sidewalk Improvements Street Improvements Streetscape/ROW Improvements Tenant/Landlord Mediation, Education & Counseling Youth Recreation and Empowerment	CDBG: \$1,705,461	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 0 Persons Assisted Public service activities other than Low/Moderate Income Housing Benefit: 3183 Persons Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
3	Improve the Housing Stock & General Property Con	2015	2019	Affordable Housing Homeless Non-Homeless Special Needs	City-wide	Accessible Housing Homelessness- Coordinated Access Homelessness- Emergency Shelter & Shelter Diversion Homelessness- Homelessness Prevention Homelessness-Rapid Rehousing Homelessness- Transitional Supportive Housing Homeownership Housing & Supportive Services-People with HIV/AIDS Housing Condition Lead Paint Hazards Mixed Income Housing New Affordable Housing Non-Homeless Special Needs-Home Safety Tenant/Landlord Education and Counseling	CDBG: \$4,443,543 HOPWA: \$958,466 HOME: \$2,406,190 ESG: \$685,960 CDF: \$167,000	Public service activities other than Low/Moderate Income Housing Benefit: 458 Persons Assisted Rental units constructed: 23 Household Housing Unit Rental units rehabilitated: 5 Household Housing Unit Homeowner Housing Added: 4 Household Housing Unit Homeowner Housing Rehabilitated: 218 Household Housing Unit Direct Financial Assistance to Homebuyers: 97 Households Assisted Tenant-based rental assistance / Rapid Rehousing: 116 Households Assisted Homeless Person Overnight Shelter: 1674 Persons Assisted Homelessness Prevention: 54 Persons Assisted Housing for People with HIV/AIDS added: 135 Household Housing Unit Buildings Demolished: 56 Buildings Other: 961 Other

Annual Action Plan

2019

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
4	Other	2015	2019	Affordable Housing Homeless Non-Homeless Special Needs Non-Housing Community Development	City-wide	Citywide Planning and Studies	CDBG: \$1,114,500	Other: 0 Other

Table 6 – Goals Summary

Goal Descriptions

1	Goal Name	Promote Economic Stability
	Goal Description	
2	Goal Name	General Community Needs
	Goal Description	
3	Goal Name	Improve the Housing Stock & General Property Con
	Goal Description	
4	Goal Name	Other
	Goal Description	

Estimate the number of extremely low-income, low-income, and moderate-income families to whom the jurisdiction will provide affordable housing as defined by HOME 91.215(b): 8 0-30%, 21 31-50%, 11 51-80%.

Projects

AP-35 Projects – 91.220(d)

Introduction

The projects included in the 2019-20 Annual Action Plan are listed below. Funds will be used for a variety of activities that work towards the goals of promoting economic stability, improving the housing stock, and providing for general community needs. In addition, some funds will be used for other activities such as program management.

Projects

#	Project Name
1	Business Development Financial Assistance Program
2	Neighborhood and Business Program Delivery
3	Homeownership Fund-Foreclosure Prevention
4	Rental Market Fund-Landlord/Tenant Services
5	Job Creation/Youth Development
6	Employment Opportunity Job Training Support
7	Youth Leadership and Civic Engagement (YVOV)
8	Housing Development Fund-Aging in Place
9	Housing Development Fund-Emergency Assistance Repair Program
10	Housing Development Fund-Community Housing Development Organization
11	Housing Development Fund-Affordable Housing Fund
12	Homeownership Promotion Fund-Buyer Assistance
13	Homeownership Promotion Fund-Homebuyer Training
14	Housing Choice Fund-Emergency Solutions Grants
15	Housing Choice Fund-HOPWA
16	Other-Planning Staff
17	Other-Indirect Costs
18	Fire Department Small Equipment
19	Seniors Program-Legal Services
20	STEAM Engine
21	Project Development
22	Celebrate City Living
23	Housing Development Fund-Housing Development Support
24	Demolition
25	Other-Program Management
26	Housing Repair Programs-Application Intake Services
27	DRYS Infrastructure-Play Apparatus

#	Project Name
28	Street Liaison
29	Housing Repair/Rehabilitation Program
30	Residential Street Rehabilitation
31	Lead Hazard Control

Table 7 - Project Information

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

The needs of the community are varied and many. Funds will be used for a variety of goals including promoting economic stability, improving the housing stock and general property conditions, and addressing general community needs. Some funds will be used for planning and administration of the grant programs.

AP-38 Project Summary
Project Summary Information

1	Project Name	Business Development Financial Assistance Program
	Target Area	City-wide
	Goals Supported	Promote Economic Stability
	Needs Addressed	Brownfield Remediation Economic Devlpmnt Asstnce to For-Profit Businesses Economic Development Technical Assistance
	Funding	CDBG: \$500,000 CDF: \$200,000
	Description	The program provides loans, grants, grant-to-loans/loans-to-grants and interest rate subsidies to city businesses or businesses which are relocating to the City which are expanding and creating jobs. Funding can be used for purchase and renovation (interior and exterior) of real estate, purchase of machinery and equipment (including security equipment), predevelopment services including environmental testing, feasibility & planning studies and architectural assistance, targeted support to MWBEs, and working capital including payroll, insurance, utilities, lease/mortgage, inventory, supplies, and accounting services. Funds may also be used in environmental remediation associated with projects in development.
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	CDBG-12 businesses, 80 jobs created/retained CDF-4 businesses assisted, 25 jobs created/retained
	Location Description	City-wide
	Planned Activities	All activities focus on facilitating business growth for established and new businesses which are creating and retaining jobs. Funds for building construction, expansions and facades improve the appearance of neighborhood commercial corridors and industrial parks. Funds are utilized to recruit a diverse set of new businesses and increase the number of entrepreneurial firms.
2	Project Name	Neighborhood and Business Program Delivery
	Target Area	City-wide
	Goals Supported	Promote Economic Stability Improve the Housing Stock & General Property Con

Needs Addressed	Housing Condition Homeownership Lead Paint Hazards Clearance and Demolition Housing Services Economic Development Technical Assistance
Funding	CDBG: \$1,950,100 HOPWA: \$28,753 HOME: \$231,619
Description	The allocation provides funds for the development of overall economic development policies and provides support services, coordination of real estate development, management of feasibility and consultant studies, monitoring of legal and professional service agreements, reparation of site and financial analyses, performing market research, outreach to businesses, preparation of loan packages and support, pre-development services, outreach, financial, technical and site selection assistance to businesses, organization of neighborhood commercial business assistance programs. HOPWA funds are used for the administration of the HOPWA program. Funds are used for staff involved in the planning, coordinating, and monitoring of the City's housing initiatives. Funds also support the goal of Promote Economic Stability.
Target Date	6/30/2020
Estimate the number and type of families that will benefit from the proposed activities	
Location Description	Not applicable

	Planned Activities	Development of overall economic development policies and provides support services, coordination of real estate development, management of feasibility and consultant studies, monitoring of legal and professional service agreements, preparation of site and financial analyses, performing market research, outreach to businesses, preparation of loan packages and support, pre-development services, outreach, financial, technical and site selection assistance to businesses, organization of neighborhood commercial business assistance programs. HOPWA funds are used for the administration of the HOPWA program. Funds are used for staff involved in the planning, coordinating, and monitoring of the City's housing initiatives. Funds also support the goal of Promote Economic Stability. ESG funds for staff are included in the project titled Housing Choice Fund-Emergency Solutions Grant as only one activity can be listed for this funding source.
3	Project Name	Homeownership Fund-Foreclosure Prevention
	Target Area	City-wide
	Goals Supported	Improve the Housing Stock & General Property Con
	Needs Addressed	Homeownership Cost-Burdened Homeowners Housing Services
	Funding	CDBG: \$165,000
	Description	Two projects work interdependently to assist low to moderate income households to avoid foreclosures due to mortgage default, predatory loans, or tax default. The Housing Council program analyzes the financial and household situation and work with the client, mortgage lender, realtors, lawyers, and other appropriate parties to prevent the loss of the property to foreclosure. Strategies include budget restructuring, forbearance options, reinstatement, sale and bankruptcy, and assistance related to New York State mandated settlement conferences. Empire Justice helps households avoid foreclosure with direct legal services and/or representation for mandatory settlement conferences or to combat predatory/unfair lending and servicing practices. Additional households receive expert advice on loans and referrals to appropriate agencies.
	Target Date	6/30/2020

	Estimate the number and type of families that will benefit from the proposed activities	145 Households
	Location Description	City-wide
	Planned Activities	Funds go to a HUD-certified counseling agency (The Housing Council) or statewide legal agency (Empire Justice), both with decades of experience, to provide direct counseling services to households at risk of mortgage or tax default.
4	Project Name	Rental Market Fund-Landlord/Tenant Services
	Target Area	City-wide
	Goals Supported	Improve the Housing Stock & General Property Con
	Needs Addressed	Tenant/Landlord Education and Counseling Housing Services Tenant/Landlord Mediation, Education & Counseling Landlord Education
	Funding	CDBG: \$95,000
	Description	Services to low/mod income tenants who face eviction due to improperly issued eviction notices, loss of income, or warrant habitability issues receive legal representation, workshops proactively educate tenants on rights and responsibilities. Landlords seeking immediate assistance regarding their rental property and tenant management receiving counseling on a one-one-one basis to maintain their properties and businesses to the highest standards.
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	213 Households assisted
	Location Description	City-wide
	Planned Activities	Legal advocacy, assistance in avoidance of immediate eviction, alternative dispute resolution and counseling to landlords and tenants, tenant workshops, landlord assistance including the housing hotline.
5	Project Name	Job Creation/Youth Development
	Target Area	City-wide

	Goals Supported	General Community Needs
	Needs Addressed	Youth Recreation and Empowerment Employment/Job Training Services
	Funding	CDBG: \$400,000
	Description	Funds will be used to lend support to programs or activities that are identified as providing an integrated environment for the provision of job readiness, work awareness, and basic occupational skills development for low/mod income city residents seeking employment or vocational or career exploration services. It will also provide asset development opportunities for youth and skills development for adults.
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	100 Persons
	Location Description	City-wide
	Planned Activities	The activity will include programs and activities that provide job readiness, work readiness, basic occupational skills development, asset development opportunities and skills development. Both youth and adults may be served by the activity.
6	Project Name	Employment Opportunity Job Training Support
	Target Area	City-wide
	Goals Supported	General Community Needs
	Needs Addressed	Youth Recreation and Empowerment Employment/Job Training Services
	Funding	CDBG: \$50,000
	Description	This program will fund staff to coordinate employment readiness and career awareness training to city students, ages 14-20, as well as leadership skills development including social and interpersonal skills, conflict resolution, team building, and decision making. Additionally, students will participate in internships with the City and/or local employers. Funding will provide workforce development support to low/mod income city youth participating in the program in the form of resume consultation and development, interview skills development, and job placement assistance.
	Target Date	6/30/2020

	Estimate the number and type of families that will benefit from the proposed activities	100 persons
	Location Description	City-wide
	Planned Activities	The focus of the program is to train youth ages 14-20 on the soft and hard skills needed to obtain and maintain a job, exposure to community members who can talk to the importance of education and how it is a direct link to picking a career, and moving out of poverty and giving back to the community through community service. The youth who participate in the program move on to apply for the Youth Employment Training and/or Summer of Opportunity Program for job placement.
7	Project Name	Youth Leadership and Civic Engagement (YVOV)
	Target Area	City-wide
	Goals Supported	General Community Needs
	Needs Addressed	Youth Recreation and Empowerment
	Funding	CDBG: \$70,000
	Description	Provide youth-led civic engagement support to low/mod income youth ages 10-25 participating in DRYS leadership training and youth development programs. Funding will support staff to coordinate youth civic engagement and entrepreneurship opportunities and leadership skills development including social and interpersonal skills, conflict resolution, event planning, service learning, team building, decision making, and work readiness. It will support the Youth Voice, One Vision, Mayor's Youth Advisory Council, and other youth development programming. Each R-Center will have its own YVOV council of at least 10 members.
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	100 persons
	Location Description	City-wide

	Planned Activities	Youth engaged in this program will work to alleviate problems within their neighborhoods. Youth learn life skills by attending workshops and by developing positive peer relationships and mentoring relationships with adults. Youth members learn concrete skills related to marketing and media production, civic engagement and advocacy, politics and government, and producing community events that prepare them for planning for future life events, college and careers. They see real improvements to their communities as they carry out community service projects thus gaining confidence in their personal efficacy.
8	Project Name	Housing Development Fund-Aging in Place
	Target Area	City-wide
	Goals Supported	Improve the Housing Stock & General Property Con
	Needs Addressed	Housing Condition Non-Homeless Special Needs-Home Safety
	Funding	CDBG: \$30,000
	Description	This program provides support services to keep elders productive in the community. This project offers a safety assessment of the home environment and makes a variety of minor improvements and repairs such as bathroom grab bars, stairway hand rails and door grips, all designed to avoid accidents and maintain household safety. In addition, Lifespan provides consumer education sessions and if necessary, consumer support case management around home repairs.
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	100 people
	Location Description	City-wide
	Planned Activities	Households for elderly are surveyed and minor modifications are made. Consumer education sessions are held and cases involving consumer support for home repair problems are conducted and closed.
9	Project Name	Housing Development Fund-Emergency Assistance Repair Program
	Target Area	City-wide
	Goals Supported	Improve the Housing Stock & General Property Con
	Needs Addressed	Housing Condition

	Funding	CDBG: \$200,000
	Description	This program will provide financial assistance to address emergencies including, but not limited to, heat and/or hot water tanks for income eligible owner-occupants.
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	100 Household Housing Units
	Location Description	City-wide
	Planned Activities	Repair/replacement of furnaces or hot water heaters for low/mod owner occupants.
10	Project Name	Housing Development Fund-Community Housing Development Organization
	Target Area	City-wide
	Goals Supported	Improve the Housing Stock & General Property Con
	Needs Addressed	Housing Condition
	Funding	HOME: \$347,429
	Description	Subsidy funds for development and rehabilitation assistance for property owners.
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	8 Homeowner Housing Units
	Location Description	City-wide
	Planned Activities	Development and rehabilitation assistance.
11	Project Name	Housing Development Fund-Affordable Housing Fund
	Target Area	City-wide
	Goals Supported	Improve the Housing Stock & General Property Con
	Needs Addressed	New Affordable Housing
	Funding	HOME: \$1,379,142

	Description	Provides gap financing for the development and/or rehabilitation of affordable housing. Funds may be for costs associated with new and/or rehabilitation), approved soft costs or limited pre-development costs necessary to create or sustain affordable rental and homeownership housing.
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	32 Household Housing Units- 23 Rental Units Constructed, 5 Rental Units Rehabilitated 4 Ownership Constructed-Homeowner Housing Added
	Location Description	City-wide
	Planned Activities	Gap financing for the development of affordable housing.
12	Project Name	Homeownership Promotion Fund-Buyer Assistance
	Target Area	City-wide
	Goals Supported	Improve the Housing Stock & General Property Con
	Needs Addressed	Homeownership
	Funding	HOME: \$398,000 CDF: \$90,000
	Description	Provides down payment and closing cost assistance of up to \$8,000.
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	HOME-67 Households will be assisted CDF-30 Households will be assisted
	Location Description	City-wide
	Planned Activities	Down payment and closing cost assistance
13	Project Name	Homeownership Promotion Fund-Homebuyer Training
	Target Area	City-wide
	Goals Supported	Improve the Housing Stock & General Property Con
	Needs Addressed	Homeownership
	Funding	HOME: \$50,000 CDF: \$50,000

	Description	Provides pre- and post-purchase training for first time homebuyers to the city.
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	The households that are assisted with HOME and CDF funds from this program are already counted in the homeownership program.
	Location Description	City-wide
	Planned Activities	Pre- and post-purchase training for first time homebuyers in the city.
14	Project Name	Housing Choice Fund-Emergency Solutions Grants
	Target Area	City-wide
	Goals Supported	Improve the Housing Stock & General Property Con
	Needs Addressed	Homelessness-Homelessness Prevention Homelessness-Rapid Rehousing Homelessness-Emergency Shelter & Shelter Diversion Homelessness-Transitional Supportive Housing Homelessness-Coordinated Access
	Funding	ESG: \$685,960
	Description	Street outreach, rapid rehousing, homelessness prevention, operational support to shelters, support services to low-income at-risk households, coordinated access.
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	116 Households Tenant-based Rental Assistance/Rapid Rehousing 1,674 Persons Assisted Homeless Person Overnight Shelter 54 Persons Assisted Homelessness Prevention 81 Persons Other (Street Outreach programs with case management and housing search as 2 main components)
	Location Description	City-wide
	Planned Activities	Street outreach, rapid rehousing, homelessness prevention, operational support to shelters, support services to low-income, at-risk households, coordinated access. \$51,447 of the funds will be used for the City's administration of the Emergency Solutions Grants Program. That amount is included in this project as only one activity can be listed for this funding source.

15	Project Name	Housing Choice Fund-HOPWA
	Target Area	City-wide
	Goals Supported	Improve the Housing Stock & General Property Con
	Needs Addressed	Non-Homeless Special Needs-Perm Supportive Housing Housing & Supportive Services-People with HIV/AIDS
	Funding	HOPWA: \$929,713
	Description	Provides rental assistance and other supportive services to low income households where a member of the household is diagnosed with HIV/AIDS.
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	135 households will be assisted with STRMU and TBRA.
	Location Description	City-wide
	Planned Activities	Rental assistance and other supportive services to those with HIV/AIDS.
16	Project Name	Other-Planning Staff
	Target Area	City-wide
	Goals Supported	Other
	Needs Addressed	Citywide Planning and Studies
	Funding	CDBG: \$249,000
	Description	Funds are used for Planning staff.
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	City-wide
	Planned Activities	Planning staff
17	Project Name	Other-Indirect Costs
	Target Area	City-wide
	Goals Supported	Other

	Needs Addressed	Citywide Planning and Studies
	Funding	CDBG: \$450,000
	Description	This project provides funds for City services such as legal and financial services that benefit more than one activity.
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	City-wide
	Planned Activities	Indirect costs
18	Project Name	Fire Department Small Equipment
	Target Area	City-wide
	Goals Supported	General Community Needs
	Needs Addressed	Non-Homeless Special Needs-Home Safety Fire Stations/Equipment
	Funding	CDBG: \$55,000
	Description	The RFD provides and installs free smoke and carbon monoxide detectors to low and moderate income families living in residential properties. The RFD coordinates and implements fire prevention and education programs to reach at-risk target populations.
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	1700 persons
	Location Description	City-wide
	Planned Activities	Distribution of smoke detectors and carbon monoxide detectors
19	Project Name	Seniors Program-Legal Services
	Target Area	City-wide
	Goals Supported	General Community Needs
	Needs Addressed	Non-Homeless Special Needs-Home Safety
	Funding	CDBG: \$20,000

	Description	Provides assistance with legal services for the elderly that would improve their quality of life. This includes but is not limited to wills and estates, elder abuse, consumer fraud, home repair complaints, accessing medical services, and foreclosure prevention.
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	33 persons
	Location Description	City-wide
	Planned Activities	Services will include identifying the best approach to assisting this vulnerable population and engaging the most appropriate service provider(s).
20	Project Name	STEAM Engine
	Target Area	City-wide
	Goals Supported	General Community Needs
	Needs Addressed	Youth Recreation and Empowerment
	Funding	CDBG: \$140,000
	Description	The S.T.E.A.M. (Science, Technology, Engineering, Arts and Math) Engine is a mobile education and recreation program that will engage youth in free, high quality STEM and visual/performing arts activities in parks, playgrounds, recreation centers, and cultural art institutions. The program will be delivered using the Rec on the Move model, which brings activities, equipment, staff, and all of the benefits of R Centers right to youth and families in their neighborhoods. An expansion of ROCmusic this year includes music production and DJ/MC programming.
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	1150 persons (youth)
	Location Description	City-wide
	Planned Activities	The program will make scheduled visits to a variety of neighborhoods providing STEM and arts activities.
21	Project Name	Project Development

	Target Area	City-wide
	Goals Supported	Promote Economic Stability
	Needs Addressed	New Affordable Housing Economic Devlpmnt Asstnce to For-Profit Businesses Commercial Nodes and Corridors
	Funding	CDF: \$133,000
	Description	The project provides assistance in the form of low interest loans, grants and land assembly activities for mixed use, commercial, retail, industrial and housing development.
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	1 redevelopment project--Accomplishments to be determined.
	Location Description	City-wide
	Planned Activities	Assistance in the form of low interest loans, grants and land assembly activities.
22	Project Name	Celebrate City Living
	Target Area	City-wide
	Goals Supported	Improve the Housing Stock & General Property Con
	Needs Addressed	Homeownership Housing Services Safe Neighborhoods
	Funding	CDF: \$27,000
	Description	City-Community partnership that promotes a range of housing opportunities to a diverse universe of residents. Provides information on purchase and rental opportunities throughout the city's neighborhoods and downtown. Also provides information on financial services, grant programs, and nearby amenities and businesses unique to Rochester, all with the goal of encouraging financial stability, community engagement, and neighborhood revitalization.
	Target Date	6/30/2020

	Estimate the number and type of families that will benefit from the proposed activities	880 households
	Location Description	City-wide
	Planned Activities	Year round website and social media campaign to describe and promote city neighborhoods, housing options, and community events. One signature city-wide housing expo and various neighborhood level events to provide in-person opportunities to learn about for-purchase and rental opportunities downtown and within the nearby diverse, mixed income neighborhoods with longtime residents and solid businesses and services.
23	Project Name	Housing Development Fund-Housing Development Support
	Target Area	City-wide
	Goals Supported	Improve the Housing Stock & General Property Con
	Needs Addressed	Housing Condition Homeownership
	Funding	CDBG: \$174,000
	Description	Funds provide operating support to facilitate development (RHDFC/Greater Rochester Housing Development Fund Corporation)
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	City-wide
Planned Activities	Funds for operating support to facilitate development	
24	Project Name	Demolition
	Target Area	City-wide
	Goals Supported	Improve the Housing Stock & General Property Con
	Needs Addressed	Clearance and Demolition
	Funding	CDBG: \$1,400,000
	Description	Funds will be used for demolition of residential, industrial and/or commercial properties.

	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	56 Buildings
	Location Description	City-wide
	Planned Activities	Demolitions of blighted properties
25	Project Name	Other-Program Management
	Target Area	City-wide
	Goals Supported	Other
	Needs Addressed	Citywide Planning and Studies
	Funding	CDBG: \$415,500
	Description	This program provides funds to partially finance the cost of planning, management, coordination, contract development, and monitoring of federal programs.
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	City-wide
	Planned Activities	Provides funds to partially finance the cost of planning, management, coordination, contract development, and monitoring of federal programs.
26	Project Name	Housing Repair Programs-Application Intake Services
	Target Area	City-wide
	Goals Supported	Improve the Housing Stock & General Property Con
	Needs Addressed	Housing Condition
	Funding	CDBG: \$100,000
	Description	Funding for professional service agreements with non-profit housing agencies to provide application intake services for the City's housing repair programs.
	Target Date	6/30/2020

	Estimate the number and type of families that will benefit from the proposed activities	The households assisted from this activity are counted in other programs as this is the intake service.
	Location Description	City-wide
	Planned Activities	Intake for City housing repair programs.
27	Project Name	DRYS Infrastructure-Play Apparatus
	Target Area	City-wide
	Goals Supported	General Community Needs
	Needs Addressed	Parks, Recreation & Other Neighborhood Facilities
	Funding	CDBG: \$770,461
	Description	Includes design and construction of play apparatus at several parks and playgrounds
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	To be determined
	Location Description	City-wide
	Planned Activities	Play apparatus at various City parks and playgrounds
28	Project Name	Street Liaison
	Target Area	City-wide
	Goals Supported	Promote Economic Stability
	Needs Addressed	Commercial Nodes and Corridors Economic Development Technical Assistance
	Funding	CDBG: \$80,000
	Description	Function as a liaison between the City of Rochester Business Development Team, Neighborhood Service Center Administrator and neighborhood businesses; Provide detailed reports of business activities and concerns face by businesses to City of Rochester staff; Work collaboratively with business associations, neighborhood groups, residents and City of Rochester staff to attract and populate business corridors with products and services that meet the consumer needs of the local and greater community.

	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	50,000 Persons Assisted, Public Services
	Location Description	City-wide
	Planned Activities	Weekly corridor walks and visits to businesses; Monthly attendance to association meeting; As needed assist association with marketing and promotion activity; Marketing of city programs
29	Project Name	Housing Repair/Rehabilitation Program
	Target Area	City-wide
	Goals Supported	Improve the Housing Stock & General Property Con
	Needs Addressed	Housing Condition
	Funding	CDBG: \$1,004,493
	Description	This program will provide financial assistance for rehabilitation to eligible property owners. The funds will be distributed through a variety of efforts including to: enhance targeted areas of development, address city-wide blight and health and safety hazards, and combine with other funding for more impactful results.
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	50 Household Housing Units
	Location Description	City-wide
	Planned Activities	Rehabilitation grants
30	Project Name	Residential Street Rehabilitation
	Target Area	City-wide
	Goals Supported	General Community Needs
	Needs Addressed	Street Improvements Sidewalk Improvements
	Funding	CDBG: \$200,000

	Description	This project will support residential street rehabilitation, new curbs, sidewalks, water, receiving basins, and street lighting improvements for residential streets.
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	To be determined
	Location Description	City-wide
	Planned Activities	Residential street rehabilitation, new curbs, sidewalks, water, receiving basins, and street lighting improvements for residential streets.
31	Project Name	Lead Hazard Control
	Target Area	City-wide
	Goals Supported	Improve the Housing Stock & General Property Con
	Needs Addressed	Housing Condition Lead Paint Hazards
	Funding	CDBG: \$300,000
	Description	This program will provide financial assistance to eligible property owners to address lead hazards.
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	60 Household Housing Units
	Location Description	City-wide
Planned Activities	This program will provide financial assistance to eligible property owners to address lead hazards. The program benefit is to provide lead-safe housing for children which assists with reducing the incidence of childhood lead poisoning.	

AP-50 Geographic Distribution – 91.220(f)

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

The City generally targets community development activities in census tracts where 51% or more of the households have incomes that are 80% or less of the median family income.

Geographic Distribution

Target Area	Percentage of Funds
City-wide	100
Targeted Area for Targeted Rehab Program	
South West Area for South West Youth Organizing Program	

Table 8 - Geographic Distribution

Rationale for the priorities for allocating investments geographically

Funds are used City-wide.

Discussion

The funding priorities and decisions for the City of Rochester are based in a process of neighborhood engagement that provides the basis for funding. The Quadrant Team approach was developed, in part, to assist in the process of identifying neighborhood issues and responding through a cross-functional team approach. The Quad Team approach is threefold: communication, short-term problem-solving, and prioritization of longer-term projects. The long-term goal is to create a process of ongoing issue identification, resource allocation, and prioritization that continually informs the City on economic development, housing, and social issues. The City consults with community groups, nonprofit groups and City department staff on an ongoing basis in the preparation of the Annual Action Plan. The City used statistical data compiled from a variety of sources to prepare a community profile, housing market analysis, and housing needs assessment that is included in the Five Year Consolidated Plan. The City's federal funding priorities will also adhere to the following guidelines: At least 70% of CDBG expenditures will be for activities that benefit low- and moderate-income families. The amount of funds proposed for public service activities will not exceed 15% of the annual CDBG amount, including program income. The amount of funds proposed for planning and administration activities will not exceed 20% of the annual CDBG amount, including program income. The City's federal CDBG funds are intended to provide low- and moderate-income households with viable communities, including decent housing, a suitable living environment, and expanded economic opportunities. Eligible activities include community facilities and improvements, housing rehabilitation and preservation, affordable housing development activities,

public services, economic development, planning, and administration. The system for establishing the priority for the selection of these projects is predicated upon the following criteria: Meeting the statutory requirements of the CDBG Program; Meeting the needs of low- and moderate-income residents; Focusing on low- and moderate-income areas or neighborhoods; Coordination and leveraging of resources; Response to expressed needs; Sustainability and/or long-term impact, and The ability to demonstrate measurable progress and success. The City of Rochester also utilizes economic development tools to promote revitalization and growth. These have include the Empire Zone Tax Incentive. Although this program expired June 30, 2010 for new entrants into the program, the current zone certified businesses must comply with program requirements to assure continuation of their benefits until the term of their specific agreements with the Empire Zone expire.

Affordable Housing

AP-55 Affordable Housing – 91.220(g)

Introduction

The City will use HOME funds and a portion of CDBG funds for new affordable housing units and to rehabilitate existing housing units. Both the special needs population and the homeless population will be served through grants to local service providers. There are no CDBG or HOME supported affordable housing programs targeted to special needs populations at this time. In Program Year 2019-20, the City of Rochester will administer the following programs to preserve and increase access to affordable housing:

- Single and Multifamily Housing Program – new construction, rehabilitation, and homebuyer assistance
- CHDO – supporting community organizations to develop affordable housing
- ESG – homelessness prevention and rapid rehousing
- HOPWA – Tenant-based Rental Assistance (TBRA) and Short-term rent, mortgage, and utility (STRMU) assistance

One Year Goals for the Number of Households to be Supported	
Homeless	1,925
Non-Homeless	40
Special-Needs	135
Total	2,100

Table 9 - One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households Supported Through	
Rental Assistance	135
The Production of New Units	27
Rehab of Existing Units	5
Acquisition of Existing Units	8
Total	175

Table 10 - One Year Goals for Affordable Housing by Support Type

Discussion

Affordable housing continues to be among the City’s highest priorities. When the City of Rochester

issues a request for proposals for the development of affordable housing, City staff hosts an information session providing an overview of expectations and regulations for all interested development teams, including discussion about Section 3, Davis Bacon, accessibility, fair housing, and MBE/WBE.

AP-60 Public Housing – 91.220(h)

Introduction

RHA has developed its Annual, Five Year and Strategic Plans. The strategic plan action items have become part of the overall Annual and 5-Year Plan. Established in 1955 as an independent public corporation by New York State Public Housing Law, RHA serves more than 12,000 lower-income residents and program participants in the five-county Greater Rochester area. Aimed to provide quality, comfortable living for people with limited incomes in the Greater Rochester area.

Approximately 20% of total residents served reside in the 2,200+ RHA public housing units.

- Public Housing Units available for:
 - Over 50 & Disabled: Danforth Tower East/West, Glenwood Gardens, Hudson Ridge Tower, Kennedy Tower, and Parliament Arms.
 - Disabled & Over/Under 50: Lake Tower, Lena Gantt Estates, Lexington Court, and University Tower.
 - Family Housing: Bay-Zimmer Townhouses, Bronson Court, Federal Street Townhouses, Harriet Tubman Estates, Holland Townhouses, Lena Gantt Estates, Lexington Court, and Parkside Apartments.
 - Enriched Housing: Danforth Tower East, Hudson Ridge Tower, Johnathan Child
 - Single & Double Scattered Site Homes
-
- Resident Services:
 - Family Self-Sufficiency (FSS) is a voluntary employment and savings incentive program designed to assist families in becoming economically independent and self-sufficient.
 - Supportive services in the program include homeownership, training for jobs, education, and life skills to help families reach their goals in 5 years.
 - Service Coordination for RHA Elderly and Disabled Residents, including health and wellness, money and employment, transportation and more.
 - Homeownership opportunities for Section 8 participants and public housing residents

Actions planned during the next year to address the needs to public housing

RHA is continuing its initiative to “Change the Face of Public Housing” by renovating and constructing new units that residents will be proud to call home. RHA will continue its “Beautification Initiative” for all its public housing developments that will enhance curb appeal and green space areas for residents to enjoy throughout the property. RHA will continue to provide quality affordable housing and services for its residents. RHA meets with its resident councils, resident Commissioners, staff and neighborhood

associations to address needs and discuss upcoming projects. We take all suggestions and recommendations from these sources into consideration when preparing the five-year Capital Improvement plan or address them through work orders using in-house staff and contractors. Due to ever changing conditions, items are prioritized and can fluctuate within the plan from year to year. There are currently multiple projects in various stages of planning, design, and construction, and more are being planned for the following year. We will also undertake roof replacement projects at several of our properties. RHA plans to increase the number of Section 3 certified businesses and provide more contracting opportunities. RHA is planning the redevelopment of some its public housing sites through HUD's Rental Assistance Demonstration (RAD) program; Federal Street Townhouses and some scattered sites, Fairfield Village, Parliament Arms Apts and Parkside Apts. These redevelopment projects may increase or decrease the number of available public housing units. If the number is decreased, RHA intends to replace those units at locations to be determined. RHA does have room in its Fair Cloth limit to do so. RHA intends to apply for Low Income Housing Tax Credits (LIHTC) and other sources of funding to redevelop public housing sites. RHA may issue its own bonds for development activities and acquiring property. RHA intends to apply for NYS funding opportunities for development and capital improvement activities. RHA will be applying to New York State Housing and Community Renewal's Public Housing Preservation Program (PHP). PHP is a partnership among HCR, HUD, Federal Public Housing Authorities (PHAs) outside New York City, and private for profit and non-profit developers to address the needs of these properties and assist PHAs in completing their plans to ensure the long-term sustainability of existing public housing units. HCR will coordinate with PHAs and HUD to develop and implement a five-year strategy to preserve public housing units, address their need for capital improvements, and ensure their continued affordability.

RHA is in the planning process of replacing several scattered sites, located on Eiffel Place, Waring Road, Veteran Street, and Shirley Street, with new single-family units. The new units will have open floor plans, be energy efficient, have high quality materials and fixtures, off street parking and one or more may be accessible. These units may be offered to public housing residents for homeownership.

RHA plans to continue perform a comprehensive physical needs assessment on its scattered site units in 2019-2020. RHA is moving forward with its Section 32 Homeownership program with identifying single family units for homeownership and renovating them to insure success. The work performed will include landscaping, paving, HVAC upgrades and interior and exterior improvements. We will be working to reduce unit turnaround time for vacated public housing units so that they can be filled with applicants from our wait list. RHA is in the process of planning energy saving projects such as lighting, water conservation, and more efficient HVAC systems that will improve the quality of life for residents.

The RHA Resident Service Department is also working hard to partner with the City and other agencies in efforts to assist our residents in self-sufficiency initiatives and goals. Education, training, employment, and life skills are a focus in the upcoming year.

RHA intends to apply for future Choice Neighborhood Initiative Planning and/or Implementation Grants when the Notice of Funding Availability (NOFA) is released. RHA continues to work with the City of Rochester and other stakeholders on the planning of neighborhood revitalization and

transformation in City of Rochester neighborhoods such as Beechwood, Emma, Marketview Heights, and CONEA. RHA continues to work with community residents and other stakeholders to prepare for this grant and other grants that become available. The RHA Board of Commissioners has developed an ADHOC Committee made up of key personnel from RHA, The City of Rochester, RHA Board members, and City Council members to increase efforts to redevelop City neighborhoods in alignment with the mission of each agency. This committee meets regularly to plan for the preservation and development of affordable housing opportunities for our community.

The Rochester Housing Authority is planning on submitting a grant application for this year's Choice Neighborhood Planning Grant or other HUD revitalization grant opportunities. The planning grant supports the development of a comprehensive neighborhood revitalization plan which focuses on directing resources to address three core goals: Housing, People and Neighborhood. To achieve these core goals, communities must develop and implement a comprehensive neighborhood revitalization strategy, or Transformation Plan. The Transformation Plan will become the guiding document for the revitalization of the public housing units while simultaneously directing the transformation of the surrounding neighborhood and positive outcomes for families.

The areas under consideration for the grant includes all or a portion of AMPs 551 (Bay-Zimmer Townhomes), 554 (Holland Townhomes, Lena Gantt, Harriet Tubman), 561 (Scattered Sites- see below) and 562 (Parkside Apartments, Federal Street Townhouses) located in the CONEA, Marketview Heights and Beechwood neighborhoods. Address of Scattered Sites (561): 147A-147B Ackerman Street, 67 Alford Street, 67 Alphonse Street, 421 Alphonse Street, 172 Baldwin Street, 665A-665B Bay Street, 128 Bowman Street, 359 Central Park, 373-375 Central Park, 746 Clifford Avenue, 1629 Clifford Avenue, 1330 Clifford Avenue, 11 Coleman Terrace, 18 Council Street, 16 Denver, 15 Diringler Place, 15 Dorset Street, 47 Dorset Street, 13 Englert Street, 14A-14B Englert Street, 67A-67B Evergreen Street, 35-37 Ferncliffe Drive, 41A-41B Ferncliffe Drive, 130A-130B First Street, 45 First Street, 49 First Street, 308 Fourth Street, 51 Fourth Street, 6-20 Fromm Place, 47 Galusha Street, 37 Garnet Street, 54-66 Garson Avenue, 406-408 Garson Avenue, 671-673 Garson Avenue, 751 Goodman Street, North, 134-138 Hayward Avenue, 393-395 Hayward Avenue, 385 Hazelwood Terrace, 15 Helena Street, 14-16 Henry Street, 18-20 Henry Street, 233 Herald Street, 134 High Street, 1-3 Hollister Street, 30-32 Hollister Street, 5-5&1/2 Hollister Street, 7-9 Hollister Street, 53 Irondequoit Street, 37 Iroquois Street, 31 Jerold Street, 51-53 Jerold Street, 33-39 Lincoln Street, 54 Lincoln Street, 8 Lochner Place, 201 Longview Terrace, 363 Lyceum Street, 1302 Main Street East, 2252 Main Street East, 7-9 Mcfarlin Street, 102 Melville Street, 184 Melville Street, 510 Melville Street, 58-66 Merrimac Street, 14 Miller Street, 28 Nichols Street, 36 Ohio Street, 43 Peck Street, 121 Portage Street, 125 Portage Street, 220A-220B Rauber Street, 168-170 Rohr Street, 205A-205B Rohr Street, 46 Rosemary Drive, 156 Seventh Street, 408 Sixth Street, 125 Sixth Street, 265 Sixth Street, 8 St Jacob Street, 31 Stunz Street, 162A-162B Third Street, 1-3A Thomas Street, 162A-162B Union Street North, 176 Union Street North, 182A-182B Union Street North, 9-11 Veteran Street, 7-9 Vose Street, 394-396 Waring Road, 44 Warsaw Street, 29 Watkin Terrace, 312-316 Webster Ave, 123 Weeger Street, 109 Weld Street, 24-26 Weld Street, 64A-64B Weld Street, 76A-76B Weld Street, 347 Wilkins Street, 597 Wilkins Street, 190 Winterroth Street, 293 Wisconsin Street, 51 Woodward Street.

If the grant application is successful, input will again be gathered from RHA residents and community stakeholders to shape the plan. RHA also intends to apply for an Implementation Grant to realize the transformation plan, which may include demolition and disposition of the targeted sites.

RHA plans to apply for the Moving To Work Demonstration (MTW) Expansion program when HUD announces the opening of the program to large PHAs. MTW is a demonstration program for public housing authorities (PHAs) that provides them the opportunity to design and test innovative, locally-designed strategies that use Federal dollars more efficiently, help residents find employment and become self-sufficient, and increase housing choices for low-income families. MTW gives PHAs exemptions from many existing public housing and voucher rules and more flexibility with how they use their Federal funds. MTW PHAs are expected to use the opportunities presented by MTW to inform HUD about ways to better address local community needs.

Actions to encourage public housing residents to become more involved in management and participate in homeownership

RHA is committed to improving communication between management and its residents and program participants and continues to work with area partners to provide services. RHA has created a new position, Public Participation Coordinator, to increase resident participation in self-sufficiency program offerings. RHA will continue to develop and promote its Section 3 program, resident councils and advisory board. RHA's Resident Services department will continue to assist with resident needs and actively engage in promoting homeownership opportunities and self-sufficiency program development. RHA's Board of Commissioners has approved a revised homeownership plan that will include Section 32 Homeownership which allows public housing residents to own their home. This comprehensive Plan outlines the requirements and guidelines of the program. In addition to the Plan, RHA intends to develop a post homeownership program to assist families in maintaining their homes and ensuring homeowners that they have somewhere to go for assistance when they need it. RHA intends to increase utilization of homeownership vouchers and increase outreach efforts. RHA has begun an assessment of all its public housing scattered site units and determine which single-family units will be designated for homeownership. Current residents will be given first option to purchase the home. These residents have been surveyed and each resident interested in purchasing a public housing home will meet one on one with Resident Services staff to determine eligibility and prepare for the necessary steps toward homeownership. RHA may use capital funds and/or operating reserves to renovate designated public housing scattered site homes prior to being offered for homeownership. RHA is also working with the City of Rochester to identify ways to further each agency's homeownership programs and goals.

RHA has initiated the improvement of its community based Computer Labs with faster service, new equipment, and utilize Community Service hours to monitor computer labs.

RHA may utilize unused (Tenant Participation Funds) Per Unit Monies (PUM) of developments without an active Resident Council to create a Youth Employment and Education Program (YEEP) for public housing residents.

RHA intends to start an annual scholarship for youth and adults to promote self-sufficiency.

RHA continues to create scholarship opportunities for public housing residents and HCVP Participants

utilizing partnerships and sponsoring various activities to obtain funds, including grants and unused resident participation funds.

RHA plans to create a building trades pre-apprenticeship program by partnering with various groups who will provide hands-on training for public housing residents and HCVP Participants.

RHA will explore opportunities to participate in Opportunity Zones initiatives.

RHA intends to create a partnership with Boys and Girls Clubs to enhance educational and service opportunities for public housing and HCVP Participants on public housing sites.

RHA intends to create business opportunities for resident councils and/or the Jurisdiction-wide Resident Council or individual residents.

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

RHA has never been nor plans on being a troubled Public Housing Authority (PHA).

Discussion

AP-65 Homeless and Other Special Needs Activities – 91.220(i)

Introduction

The City of Rochester will continue to work with the Continuum of Care (CoC) to coordinate services to the homeless and to provide outreach and other services, emergency shelter, transitional housing and permanent supportive housing to persons with special needs.

Coordinated Entry and the Housing First model are the primary tools that will end chronic homelessness. Through CE, the Chronically Homeless move to the top of the prioritization list for placement into permanent supportive housing. All CoC and ESG programs incorporate housing first principles into their programs to reduce barriers to accessing housing and to reduce termination from programs.

In 2016, the Rochester/Monroe County CoC achieved functional zero status, ending veteran homelessness. Functional zero status does not mean that veterans won't become homeless; but it means that the CoC now has sufficient resources to get veterans into appropriate housing quickly and that veterans remain stably housed. The CoC, City and County continue to partner with the Veterans Administration, Veterans Outreach Center and other organizations serving veterans to ensure current resources are maintained and support new housing units targeted to veterans.

To end homelessness for families and dependent children, the primary strategies being utilized are diversion and rapid re-housing. Strategies to end homelessness for unaccompanied youth include outreach, transitional housing and now have a rapid re-housing dedicated to young adults (ages 18 – 23). The CoC will continue to pursue additional resources for homeless youth through HUD's Youth Homelessness Demonstration Project.

Two Rapid Re-Housing programs were awarded FY 2017 CoC funding to serve the re-entry population are now fully operational and proving to be successful in assisting this population with accessing and remaining stable in permanent housing.

The Coordinated Entry system fully implemented in January 2018 aids in reaching these goals by employing diversion strategies to decrease entry into the homeless system and vulnerability assessments to ensure that those with the highest needs are prioritized and are referred to the programs that will best meet their needs.

We continue to work to end homelessness for families, youth, and children by 2020. Consistent with the 2012 Homelessness Resolution Strategy, Rochester will: Continue to implement diversion as the first response to a housing crisis; Emphasize a rapid rehousing/housing first approach for the entire system; Increase Rapid Rehousing and PSH resources; Use Progressive Engagement in Providing Services; improve practice and capacity in Permanent Supportive Housing (PSH) programs by targeting PSH to people with the highest needs based on the vulnerability assessment tool, building PSH provider

capacity, integrating employment services into PSH programs, and implementing a “Moving On Strategy” from PSH interventions; Implementing data-driven decision-making and evaluation; and ensuring leadership and accountability.

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

Person Centered Housing Options (PCHO) and the Rapid Engagement Demonstration (RED) Team will continue to identify and engage the unsheltered homeless. PCHO, RED and MC Collaborative, Veteran Administration regularly visit soup kitchens, libraries, and public places that unsheltered homeless adults frequent. Youth street outreach workers will continue to regularly engage homeless and at-risk youth on the street, recreational centers and other locations that youth frequent. The objective is to link the unsheltered homeless with housing, services, and mainstream benefits.

Additional outreach is conducted by Monroe County DHS during the Code Blue season, when temperatures fall below 32 degrees. Any person requesting emergency shelter when the temperature is below 32 degrees must be placed in shelter or if there are no shelter beds available, in local hotels.

Addressing the emergency shelter and transitional housing needs of homeless persons

The City of Rochester works with the CoC and its members to carry out outreach and services to homeless persons and to provide emergency shelter for all homeless populations; homeless youth, veterans, victims of domestic violence, persons with chemical dependency and/or mental health issues and other homeless individuals and families. Transitional housing is provided for homeless youth, veteran and re-entry populations many of whom have chemical dependency and/or mental health issue.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

To end homelessness among households with dependent children, the CoC is investing in Rapid Rehousing (RRH) programs. RRH provides short term rental assistance and case management services to move families from shelter to permanent housing quickly and ensure housing stability. It is also providing diversion assistance for those who have a viable alternative to a shelter but require some support. In 2011, Rochester Housing Authority implemented a “Moving On” preference for its Housing

Choice Voucher program. Participants must have successfully completed the Permanent Supportive Housing (formally Shelter Plus Care) programs for at least one year prior to being eligible for a voucher. Successfully completed means the family has not violated any family obligations under the Permanent Supportive Housing program. Up to 200 Housing Choice Vouchers can be set aside per calendar year.

RRH projects have sought and been awarded funding from other sources (e.g., NYS OTDA, DOJ), and this efforts to identify non-HUD funding will continue. The needs of domestic violence victims, including their families, will continue to be broadly addressed. The Rochester/Monroe County Domestic Violence Consortium, with 50-member organizations from human services and the legal system, promotes a coordinated community response to domestic violence. In addition, staff of housing providers who serve domestic violence victims are trained in trauma informed care and safety planning. Willow DVC serves people who are victims of domestic violence (or at risk) along with their children, offering counseling, education, and a secure shelter, whose location is not publicly identified. A 24-hour hotline provides information on housing and services for domestic violence victims. Willow DVC has stringent policies to ensure the safety and privacy of its clients, and names and other identifying data are not entered into HMIS. Willow DVC and the YWCA has recently submitted a renewal application for New York State funding for a joint program providing rapid rehousing and legal services. YWCA, LAWNY and Willow were just awarded FY2018 CoC funding for a rapid rehousing project for households experiencing domestic violence.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.

Youth being discharged from foster care or other institutional settings typically return to their family of origin or another responsible family member or adult. When no such adult can be located, the youth is placed in a community program specifically designed to prepare youth for independent living, such as The Villa of Hope or Hillside Children's Center. It is rare that a youth enters the homeless system directly from foster care. Shelters have been instructed to immediately contact the Monroe County Department of Human Services to report the failed discharge plan if a youth is attempting to access homeless services directly from foster care. Youth entering the homeless system are directed first to the Center for Youth for assessment. The youth is then connected to a caseworker who attempts to re-unite the youth with his/her family or other responsible adult and link him/her to appropriate services. If such a re-uniting is not possible, the youth is referred again to a community program that prepares youth to transition to independent living.

Hospitals have been encouraged to not discharge people with no available housing after hours or weekends so that they can first go to MCDHS for assessment and placement into a shelter that can best

meet their needs. When this is not possible or patient leaves against medical advice, hospital social work staff have been provided with information on local emergency shelters (e.g., physical layouts, staffing, hours open) so a referral is made to the shelter that can best meet the person's needs. Hospital staff calls the shelter to confirm a bed is available, any required follow-up care is communicated to shelter staff, and the patient is provided with a sufficient supply of medication. A new pilot program funded through Medicaid redesign (FLPPS) will provide enhanced services in (8) emergency shelter beds for homeless persons being discharged from inpatient hospital stays. The goal is to prevent re-hospitalization, link to mainstream benefits and access permanent housing as quickly as possible.

Inpatient facilities licensed or operated by the NYSOMH and NYSOASAS are encouraged to refer individuals to housing consistent with the level of care required by the patient and to not discharge patients until a comprehensive discharge plan is in place. Prior to discharge, individuals in need of supervised housing, and who agree to a referral, are referred to the Monroe County Single Point of Access (SPOA). The SPOA facilitates housing assistance for eligible individuals and connects persons to mental health care coordination services. Through HSN, the CoC will continue to educate stakeholders about the primary providers of licensed mental health residential beds (DePaul Community Services, East House, Housing Options Made Easy, Rochester Psychiatric Center), intensive case management programs (Strong Memorial Hospital, Rochester General Health System, Unity Health System), and care coordination services (ACT Team, Project Link, MICA Net).

Discussion

Continued from above: Ex-offenders are most frequently discharged to their families or to independent living under the supervision of parole. New York State Parole has contracts with several community agencies to place ex-offenders when no other housing can be located (e.g., Salvation Army Adult Rehabilitation Center, Grace House, and DuBois Re-Entry Program). The State is implementing a pilot project in Monroe County for effectively linking eligible individuals from this population to Health Homes, which will provide enhanced care management, ensuring that enrollees are linked to housing and social services supports. The CoC Team and the Monroe County Re-Entry Task Force will continue to work closely together, utilizing common members, to assist ex-offenders to successfully transition back into the community. Linking ex-offenders to mainstream resources will reduce the number of ex-offenders from entering the homeless system. Delphi Rising, the umbrella agency for the Monroe County Re-Entry Task Force was recently awarded funding for a RRH program for persons who were released and then end up in the homeless system when their housing plan falls through.

AP-70 HOPWA Goals– 91.220 (I)(3)

One year goals for the number of households to be provided housing through the use of HOPWA for:	
Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family	55
Tenant-based rental assistance	80
Units provided in permanent housing facilities developed, leased, or operated with HOPWA funds	0
Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds	0
Total	135

AP-75 Barriers to affordable housing – 91.220(j)

Introduction:

The barriers to affordable housing include: Insufficient income to purchase a home or rent a suitable housing unit; Cost of safe, decent housing may not be affordable; Housing that is affordable may require significant rehabilitation; Poor credit, lack of financial literacy; Lack of savings for down payment and closing costs for house purchase; Expensive and complex process faced by affordable housing developers; Discriminatory practices from lenders and providers in the housing market on the basis of race, ethnicity, or familial status; High rates of home purchase loan denials for Black and Hispanic applicants, despite documented instances of Black and Hispanic applicants having similar financial qualifications to non-minority applicants that were approved.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

Rochester will pursue the following strategies to ameliorate barriers to affordable housing: Job training/employment assistance; financial literacy counseling & training; training in home maintenance & minor home repairs; homeownership counseling & assistance; housing rehabilitation assistance; weatherization/energy conservation assistance to reduce utility costs. In addition, the City will expand the supply of affordable rental & home ownership housing including mixed-income development whenever possible; increase homeownership among low & moderate income prospective home buyers; preserve & improve the existing stock of affordable housing; ensure equal access to housing; assist in designing a more dynamic & strategic outreach campaign to educate city residents, landlords, developers, lenders, insurance providers, & other housing professionals on fair housing rights & obligations & the continued existence of certain forms of discrimination; support enhanced outreach, education, & enforcement related to fair housing requirements, compliance, & best practices to lenders, landlords, property owners, tenants, etc.; work with the County & State to explore the feasibility of raising the shelter allowance rate provided to tenants through the County's Dept. of Social Services; develop a program for rehabilitating homes to bring them up to RHA standards, enabling homes eligibility for rapid rehousing for RHA's Section 8 vouchers; support the efforts of the Rochester Land Bank Corp. to acquire properties that may be developed into affordable units. To implement these objectives, the City will offer first time homebuyer assistance, housing rehabilitation, tenant-landlord counseling, fair housing programs and develop new affordable housing. The City will continue to make capital improvements in low & moderate income areas. The Dept. of Neighborhood & Business Development will continue to monitor the following policies to & practices to identify & remove those that are a barrier to affordable housing: Tax policies affecting land & other property; Land use controls; Zoning Ordinance; Building Code; Fees & Charges; Growth Limits; restrictions on the return on residential development. Building & zoning codes will be evaluated to remove barriers to the

development of alternative housing types such as micro-units & modular construction. To ensure that rental units remain affordable to residents, Rochester will continue to offer property tax exemptions & payments in lieu of taxes to ensure that rental units remain affordable & to support applications by property owners who are seeking state & federal assistance to improve rental properties.

Discussion:

Continued from AP65: The Veterans Administration (VA) and the Veterans Outreach Center (VOC) will continue to exclusively serve veterans in the CoC geography. The VA and RHA are partners for the HUD VASH program and have developed the local VASH referral/application process and coordinated support services. The VA also partners with two Salvation Army programs-Booth Haven and Safe Haven-that have beds for veterans through the Grant and Per Diem program. Soldier ON has been awarded a SSVF grant to provide prevention and rapid re-housing services to veterans and their families in Monroe County, a programs previously administrated by VOC. The VOC and the VA will continue to operate programs for veterans returning from Iraq and Afghanistan to welcome them home and link them with employment and other mainstream resources to secure and stabilize their income. The YWCA has a MOU with the VOC to provide services to female veterans.

To reduce the time in ES or TH, the CoC has adopted a Housing First approach, which has resulted in eliminating pre-conditions (e.g., sobriety, minimum income threshold, pending documentation) that were previously barriers to housing entry. Adopting Harm Reduction strategies also reduces terminations from permanent housing due to relapse, non-compliance with medications, etc. In addition, ESG funds have been used for rapid rehousing efforts, especially for families, to move them quickly to PH and reduce length of stay in shelter and prevent future episodes of homelessness. Data on the length of time that homeless individuals and families spend in CoC and ESG-funded ES, TH, and SH programs are included in HMIS and will be used to track changes over time. Non-HUD funded projects are represented among the over 70 community agencies in the HSN, where members are educated on best practices and tools that can be used to reduce the length of time individuals stay homeless. To reduce the extent to which individuals and families leaving homelessness experience additional homeless episodes, the CoC will utilize prevention, diversion, and short-term rental assistance, and arrears payments. The Monroe County DHS provides diversion/prevention assistance to assist those at risk of homelessness with payments for rent arrears, back taxes, mortgage payments, auto repairs, and tools/uniforms for jobs. Returns to homelessness are also decreased as the result of less stringent compliance demands for participants, automatic renewals of leases, and ensuring that discharges are in accordance with fair housing requirements. Data in HMIS is used to determine if and when individuals and families leaving TH, RRH, and PSH experience another episode of homelessness in those cases where either they exit to homelessness or they exit to permanent housing, but subsequently re-enter the homeless system in the CoC geography. To improve the housing stability of persons in permanent supportive housing, the CoC will utilize the Coordinated Entry System to insure that households are directed to the housing and services that will best meet the needs of that household. Special attention is given to increasing access to mainstream supportive services and enhancing case/care management options to ensure effective integration of homeless housing & community-based services and supports.

AP-85 Other Actions – 91.220(k)

Introduction:

Below is a discussion on other actions planned.

Actions planned to address obstacles to meeting underserved needs

The primary obstacle to meeting underserved needs for affordable housing in the city of Rochester is the gap in what households can afford to pay for housing and the price of housing. The City has a significant affordable housing stock, yet the income level for individual households such as single parent, elderly, disabled, or others of limited economic means, is insufficient to afford even the lowest of the market rate units. The City will continue to work on economic development to provide better job opportunities and with social service providers to assist such households. In addition, the City will work with for-profit and non-profit developers to create more affordable housing.

Another obstacle to meeting the needs of underserved households is the limited amount of funding received by the City. The City of Rochester will partner with other public agencies and nonprofit organizations, when feasible, to leverage resources and maximize outcomes in housing and community development.

A primary obstacle to meeting underserved economic development needs is the ability of developers to secure the necessary financing to undertake and complete projects. The public sector often fills these gaps with financing needs to help stimulate job growth and increased investment. However, on occasion, these gaps exceed the assistance capabilities of the City. Another obstacle is the ability to secure inexpensive and convenient parking options for Center City businesses and development projects.

Actions planned to foster and maintain affordable housing

The Housing Needs Assessment documents a large percentage of households that experience one or more housing problems in 2000, including housing cost burden, overcrowding, and inadequate housing. A significant amount of the City's housing funds are directed toward addressing underserved needs.

Actions planned to reduce lead-based paint hazards

The City will continue to offer financial assistance to eligible owners of 1-4 units, privately-owned residential properties through its Lead Hazard Control Program. The program will be funded through the City's Community Development Block Grant (CDBG) program, the New York State Affordable Housing Corporation and HUD's Office of Healthy Homes and Lead Hazard Control program. As at-risk children primarily reside in rental housing built before 1978, the City will continue to target this housing type as it carries out its lead hazard control efforts. The program is open to Landlords and owner-

occupants. Typical work activities include window and door replacement, porch repair or replacement, siding, paint stabilization and bare soil treatment.

Eligible property owners may receive assistance of approximately \$14,000 for a single-family property to correct lead-based paint hazards, with additional funding to be made available for multi-family properties. Landlords are required to contribute 10% matching funds of the total project funds. Owner-occupants are required to occupy the assisted property for at least five years. Property owners receive a combined lead-based paint inspection and risk assessment to identify existing lead-based paint hazards. Based on the completed report, the City develops a work scope and specification which is bid out to certified lead abatement contractors to obtain cost proposals. The project is awarded to the lowest bidding contractor where they are required to correct all lead-based paint hazards identified in the work scope. All assisted units must pass a lead-based paint clearance examination before the units can be re-occupied.

It is a requirement of the Lead Hazard Control Program that all Landlords complete EPA's Renovator, Remodeler and Painting course provided that the course is available free of charge. This requirement helps to educate property owners on how to undertake lead work using work safe practices and also helps to ensure long-term lead safety of the assisted units.

The City will undertake a training initiative designed to enable contractors that participate in the program to obtain lead abatement certifications for new workers. This is an important component to the City's program as to ensure adequate contractor capacity to manage the demands of the program. The City currently maintains a list of 14 certified contractors.

Rochester's Lead Based Paint Poisoning Prevention law, which took effect July 1, 2006, requires inspections for lead-based paint hazards as part of the City's existing housing inspection process. Property that are found to have lead hazards, the City will invite them to participate in the program. The City will also continue its partnership with the Monroe County Department of Public Health to identify and enroll families with lead-poisoned children.

The City is currently awaiting the release of the 2019 Notice of Funding Availability from HUD's Office of Healthy Homes and Lead Hazard Control. If eligible, the City will apply for funding.

Actions planned to reduce the number of poverty-level families

Rochester will focus on economic development, job creation, youth service and supportive services to reduce the number of families in poverty. Programs to ensuring safe neighborhoods and improve public facilities will help to create economic opportunities for residents.

Actions planned to develop institutional structure

To enhance the City's housing systems, staff will work with internal departments to streamline and

increase efficiencies by reviewing current procedures compared to best practices and implement changes where necessary.

Actions planned to enhance coordination between public and private housing and social service agencies

Plans to coordinate between public and private housing social services agencies is a high priority. To this end, Housing staff will participate with the County, local and state housing and social service agency networks to ensure housing programs for the homeless and non-homeless populations are well coordinated to help ensure their success.

Discussion:

Program Specific Requirements

AP-90 Program Specific Requirements – 91.220(I)(1,2,4)

Introduction:

Community Development Block Grant Program (CDBG) Reference 24 CFR 91.220(I)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	450,000
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	30,000
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
Total Program Income:	480,000

Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	70.00%

HOME Investment Partnership Program (HOME) Reference 24 CFR 91.220(I)(2)

1. A description of other forms of investment being used beyond those identified in Section 92.205 is as follows:

The City's investment of HOME funds is consistent with the forms of assistance included in 24 CFR 92.205(b). The City leverages its HOME funds with other funding secured by its housing partners to

develop affordable homeownership and rental housing. Community Development Housing Development Organizations (CHDOs) and other non-profit housing developers apply for and receive funding from state and local resources to support the City's housing programs, projects and goals.

2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

HOME PROGRAM-HOMEBUYER RESALE AND HOME SUBSIDY RECAPTURE GUIDELINES-The City of Rochester will comply with the following HOME regulations (24 CFR Part 92.254(a)(5) regarding resale and recapture of HOME subsidies. In addition, prescribed language for both resale and recapture provisions is also provided below.

It should be noted that the City of Rochester operations regarding Resale and Recapture are as follows: The chart below delineates the programs for Resale and Recapture and provides the tools to be used including the mechanisms for affordability.

Home Rochester-Write down subsidy for the acquisition/rehabilitation for owner occupants Resale

Community Development Organization (CHDO) Write down subsidy for the acquisition/rehabilitation for owner occupants Resale

New Construction Infrastructure for Habitat for Humanity and other developers of affordable homeowner-housing Resale

Employer Assisted Housing Initiative-Provides a match of up to \$3,000 of down payment and closing cost assistance to employees of participating employers purchasing homes Recapture

Home Purchase Assistance-Provides up to \$3,000 of down payment and closing cost assistance to buyers purchasing homes on the private market Recapture

Homebuyer Assistance-Provides up to \$6,000 of down payment and closing cost assistance to buyers purchasing CHDO/Home Rochester homes Recapture

Resale and Recapture guidelines follow below.

Resale/Recapture Updated Policies

HOME PROGRAM AFFIRMATIVE MARKETING REQUIREMENTS

AND PROCEDURES FOR MULTIPLE FAMILY HOUSING

The City of Rochester will require that owners of all HOME-assisted housing containing five (5) or

more units shall affirmatively market said units to attract eligible persons from all racial, ethnic, and gender groups in the housing market area. The City will annually assess the affirmative marketing program to determine the success of the affirmative marketing activities and the need for any necessary corrective measures.

The owner will make good faith efforts to affirmatively market the units in accordance with the following procedures:

Fair Housing Information

2. The owner and the City shall agree upon an initial affirmative marketing strategy.
3. The owner shall prominently display a federal Fair Housing poster in his/her rental office.
4. The owner shall direct all Fair Housing questions from applicants to the City.

Marketing Strategy

5. The owner and the City shall agree upon an initial affirmative marketing strategy.
6. The strategy shall establish occupancy goals for the units based upon the demographic characteristics of the market area.
7. The strategy shall describe the specific marketing and outreach activities to be employed by the owners.
8. The marketing strategy may be amended, upon the written approval of the City, to reflect changed market conditions.

Marketing Contacts

9. The owner may utilize printed and/or electronic (radio and television) news media to advertise the availability of the units.
10. The owner may utilize local newspapers of general circulation, local magazines, newsletters or neighborhood organizations and housing advocacy organizations, or other approved publications which are known to circulate among low income populations for the placement of written advertisements.
11. The owner shall contact and provide information to any groups or individuals known or believed to be interested in the availability of the affordable rental units.

Targeted Outreach

12. The owner shall undertake specific efforts to inform and solicit rental applications from persons in the housing market area who are not likely to apply for the housing without specified outreach. These efforts may be directed toward non-English speaking populations, the developmentally or physically disabled, or other low income populations unlikely to become informed about the availability of the units through the efforts required in paragraph C above.

13. Specialized outreach efforts may be undertaken through direct contact or contacts with organizations known to represent the interests of the population of special concern, e.g. community or advocacy organizations, places of worship, employment centers, fair housing groups, housing counseling agencies, neighborhood health centers, and government agencies delivering services to the populations of special concerns.

Resale/Recapture Updated Continued

Recordkeeping

14. The owner shall maintain a copy of the approved marketing strategy.
15. The owner shall maintain records documenting specific efforts taken in accordance with paragraphs A, B, C, and D.
16. The owner shall annually report to the City on activities and results of this affirmative marketing effort and shall make all affirmative marketing records available to the City at its request.
17. Affirmative marketing files shall include copies of advertisements; the racial, ethnic, and gender characteristics of potential tenants (applicants) who respond to the ad; and the characteristics of the tenants who actually rented the units.

Annual Assessment

18. The City will independently evaluate and assess the adequacy of the owner's affirmative marketing efforts on an annual basis.
19. The annual assessment will be based upon a number of factors, including but not limited to: consistency with the approved marketing strategy; the owner's success in meeting occupancy goals; the cumulative number of vacancy days; the number of rental applications generated from targeted groups; and the extent to which handicap-accessible units, if any, are occupied by the people for whom they were designed to serve.
20. When it can be determined that affirmative marketing efforts have been limited or unsuccessful, the City will require changes to improve their effectiveness.

In furtherance of the City's commitment to non-discrimination and equal opportunity in housing, it is the City's affirmative marketing goal to assure that individuals who normally might not apply for vacant rental units because of their race, color, ethnicity, religion, sex, handicap, or familial status be made aware of vacancies in housing units funded through the HOME program; feel welcome to apply; and have the opportunity to rent the units.

This policy will be carried out through the affirmative marketing procedures set forth in Section I above and through the following direct actions:

The City will inform the public about: its affirmative marketing policy under the HOME program; the Fair Housing Act; Title VI of the Civil Rights Act of 1964; Executive Order 11063, as amended; and

Section 504 of the Rehabilitation Act of 1973.

21. The City will carry out "A" above by: the placement of public notices in local newspapers of general circulation; the placement of public service announcements through electronic media; the distribution of printed materials; and through community contacts.

Resale/Recapture Contined

HOME PROGRAM-HOMEBUYER RESALE AND HOME SUBSIDY RECAPTURE GUIDELINES: The City of Rochester will comply with the following HOME regulations (24 CFR Part 92.254(a)(5) regarding resale and recapture of HOME subsidies. In addition, prescribed language for both resale and recapture provisions is also provided below. It should be noted that the City of Rochester operations regarding Resale and Recapture are as follows: The chart below delineates the programs for Resale and Recapture and provides the tools to be used including the mechanisms for affordability.

Resale/Recapture Updated Cont

Home Rochester-Write down subsidy for the acquisition/rehabilitation for owner occupants-Resale

Community Development Organization (CHDO)-Write down subsidy for the acquisition/rehabilitation for owner occupants-Resale

New Construction-Infrastructure for Habitat for Humanity and other developers of affordable homeowner housing-Recapture

Employer Assisted Housing Initiative-Provides a match of up to \$3000 of down payment and closing cost assistance to employees of participating employers purchasing homes-Recapture

Home Purchase Assistance-Provides of to \$3,000 of down payment and closing cost assistance to buyers purchasing homes on the private market-Recapture

Homebuyer Assistance-Provides up to \$6,000 of down payment and closing cost assistance to buyers purchasing CHDO/Home Rochester homes.-Recapture

Resale/Recapture Upd Cont

Resale: The City shall use the Resale option for the Home Rochester and Affordable New Construction (Homeownership) programs. The City shall not use a presumption of affordability but instead use an enforcement mechanism to meet the resale requirement. The following deed restrictive covenant below shall be used. Also, see attached copy of deed restriction that is recorded.

Restrictive Covenant-Title to this property (the "Property") is conveyed subject to the following restrictions: The Grantee has acquired this property with the assistance of funds from the City of Rochester provided under 24 CFR Part 92, the HOME Investment Partnership Program (the "Regulations"). As a condition of the subsidy, the Grantee agrees: The Property must be the Grantee's principal residence for ten (10) or fifteen (15) years dependent on HOME investment from the date of this deed; If the above ten (10) or fifteen (15) year period has not expired and the Grantee sells the Property, the Grantee agrees to sell the Property to a low-income family as defined in the Regulations that will use the Property as its principal residence for the duration of the ten (10) or fifteen (15) year period. Documentation must be provided to the City of Rochester verifying the prospective buyer's income eligibility. The price at resale must: (1) ensure that the Property will remain affordable (as defined in the Regulations) to a reasonable range of low-income homebuyers, and (2) provide the Grantee a fair return on investment (including the Grantee's investment and any capital improvement). Notwithstanding the aforementioned provisions, the affordability restrictions and residency requirement shall terminate upon occurrence of any of the following termination events: Foreclosure; Transfer in lieu of foreclosure; or Assignment of an FHA insured mortgage to HUD. However, the affordability restriction and residency requirement shall be revived according to their original terms if, during the original residency period of ten years, the Grantee before the termination event, or any other entity that includes the Grantee or those with whom the Grantee has or had family or business ties, obtains an ownership in the project or property. In the event that the HOME-assisted Grantee wishes to sell the assisted home, the City of Rochester shall be afforded the right of first refusal to purchase the subject property.

R/R Updated Continued

Fair Rate of Return: The rate of return will be based upon the percentage change in the Consumer Price Index over the period of ownership plus the owner's downpayment and any capital improvements . Capital improvements would include window replacement, major system replacement such as roofs, plumbing, updated electrical, bathrooms and kitchens, additions and porches and central air conditioning. If the property depreciates in value there may not be a return on investment. **Reasonable Range of Low-come Buyers:** The City will continue make the home affordable to buyers within 48 to 80% of buyers the Area Median Income (AMI). **Affordability:** During the affordability the City would help market the home through the affordable housing network which includes pre purchase counseling agencies, housing maintenance organizations and local associations dedicated to promoting affordable housing. The City will also make available down payment and closing cost assistance to new, eligible, homebuyers of HOME assisted house to assist with affordability. **Recapture :** The City uses the Recapture option for all of its downpayment and closing cost assistance programs; Employer Assisted Housing Initiative, Home Purchase Assistance Program and the Homebuyer Assistance Program. The homeowner shall be required to repay a portion of the HOME funds used to assist the homeowner with the original purchase of the home on a pro-rated basis in accordance with the following schedule, per the Note and Mortgage securing the HOME subsidy:

Time Elapsed-Repymt % 5 Yr 1st month through 24th month 100%; 25th month - 36th month 75 %; 37th month - 48th month 50%; 49th month -60th month 25%; 61st month- 0%

Repayment terms are subject to the terms of 24 CFR Part 92, specifically if the recapture requirement is triggered by a sale (voluntary or involuntary) of the Property, and there are no net proceeds or the net proceeds are insufficient to repay the HOME investment due, the City of Rochester may recapture an amount less than or equal to the net proceeds. The net proceeds are defined as the sales price minus loan repayment (other than HOME funds) and any closing costs.

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

See above

4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

HOME funds may be used toward the costs of rehabilitating existing affordable multifamily housing communities for the purpose of sustaining affordable rental housing.

Such projects may be located anywhere within the City of Rochester's boundaries, to include the following objectives: maintaining the affordability of existing rental housing, the creation of additional affordable rental units, or both as part of the redevelopment plan.

The primary objective of such projects is to rehabilitate the existing units and sustain affordability over time, though such projects may include refinance of existing debt. Creation of new units is acceptable, in addition to rehabilitation of existing units.

For projects to be eligible for HOME investment as part of the project, related rehabilitation will be required to meet or exceed a minimum level of \$50,000 per unit, to be sufficient to meet the City's requirements to demonstrate that rehabilitation is the primary project intention. Minimum rehabilitation may include work done to the units themselves, as well as a pro-rata share of building mechanical upgrades, and related soft costs. The City's HOME funds would be contributed only toward the rehabilitation costs of the project and not be used toward refinance of any existing debt.

The investment of HOME funds will be used to ensure that the existing affordable rental housing will be maintained as affordable for a minimum of 15 years, though the City of Rochester will retain the right to assign, at its discretion, an additional local affordability period consistent with the terms of the project's permanent financing and/or payment-in-lieu-of-tax (PILOT) agreement.

Projects will be reviewed to confirm the following: disinvestment in the property has not occurred,

feasibility is demonstrated that the project will be able to service the target population over an extended affordability period.

The City's HOME funds cannot be used to refinance multifamily loans made by any other federal program, including Community Development Block Grant funding.

Emergency Solutions Grant (ESG) Reference 91.220(l)(4)

1. Include written standards for providing ESG assistance (may include as attachment)

The City, Continuum of Care (CoC) and HSN (a collaboration of more than 60 local homeless services legal, primary care, mental health, substance abuse, re-entry providers and community stakeholders) have met throughout each program year to jointly plan for ESG. In keeping with the City's past practice of providing the Emergency Shelter Grants (ESG) Program Desk Guide March 2001 to all sub-recipients, the City has provided sub-recipients and community providers that coordinate with them the Emergency Solutions Grant Rules and Regulations, and tools available to date including Definition of Homelessness (Criteria for Defining Homeless and Record Keeping Requirements), and Emergency Solutions Grants (ESG) Program Components and Activities. The City provided sub-recipients with information about the homeless and chronic homeless definitions and eligibility, documentation and record requirements and HMIS participation. The CoC provides HMIS licenses and HMIS training to the City's ESG sub-recipients.

Written standards are included for Street Outreach, Emergency Shelter, Transitional Housing and Rapid Rehousing are attached. These programs are eligible for ESG funding.

2. If the Continuum of Care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system.

Coordinated Entry is defined by HUD as a consistent and streamlined process for accessing the resources available in the homeless crisis response system...ensuring that those with the highest need, most vulnerable households in the community are prioritized for services and that the housing and supportive services in the system are used as efficiently and effectively as possible.

The intended target population for Coordinated Entry is all households or person(s) who are experiencing homelessness or at-risk of experiencing homelessness in Rochester and Monroe County and seeking assistance from the local crisis response system, which includes prevention assistance and a full spectrum of homeless housing and services. This includes single adults, adults accompanied by children, families, unaccompanied youth, parenting youth, person(s) fleeing

domestic violence, and veterans.

The community has agreed upon the use of a common assessment tool, known as the V-SPDAT, to help identify the vulnerability of the household and prioritizing them for the appropriate permanent housing intervention based on those needs. Interventions include Rapid Re-Housing for those who have moderate needs and Permanent Supportive Housing, which will be reserved for those with the highest needs. Households scoring low on the assessment are identified as not needing a housing intervention and are likely able to resolve their homelessness without ongoing assistance. The V-SPDAT score will be used as one of the determinants in the community-wide prioritization model. While it is important to have an efficient and effective process by which households can access the homeless system, it is equally important for households to be quickly exited into the appropriate permanent housing that will best meet their needs and minimize their likelihood of returning to homelessness.

Prioritization is a critical component of a Coordinated Entry system to appropriately exit households to permanent housing and to ensure those with the greatest needs have timely access to services. CE has developed a prioritization model based on input from community stakeholders to establish a process to effectively identify those with the highest needs and connect them to the appropriate permanent housing more quickly. CE Workgroup meets regularly to determine how households are prioritized and what information will be used to determine the way in which the list is ordered. A by name prioritization list is now in place and all TH, PSH and RRH housing providers are only permitted to accept households that are referred through the Coordinated Entry prioritization process. This process is fairly new, the prioritization list began being used by all providers in December 2017. The work group continues to meet to make sure CE is functioning efficiently and makes revisions to policies and procedures as needed.

3. Identify the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations (including community and faith-based organizations).

Awards for 2018-19 were based upon a 2018 Request for Proposal (RFP) process in which the City and County jointly published by Legal Notice in The Daily Record; announced availability on its established website for proposals; distributed via networks including those of the CoC, HSN and Housing Choice listservs. Awardees were chosen by a committee of City, County, CoC administrative board, and a formerly homeless community member. Services under this proposal cycle will run through June 30, 2019. The 2019-20 ESG RFP will be publicized and distributed following the same procedure.

4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.

The City of Rochester is in compliance with Section 576.405(a) of the Interim Rule, the City has engaged persons who are currently or formerly homeless in their planning process.

5. Describe performance standards for evaluating ESG.

The City utilizes the Integrated Disbursement and Information System (IDIS) to document program progress and monitor program performance on an ongoing basis. The City requires all ESG sub-recipients to enter data into HMIS as required by HUD. A Consolidated Annual Performance and Evaluation Report (CAPER) is prepared annually by the City. Each ESG funded project now uses to run their CAPER and download it directly into SAGE for the City and County to produce that report. The CoC will assist the project as needed to do the submission. The consolidated CAPER contains a summary of resources and programmatic accomplishments, the status of actions taken in concert with the CoC to implement the strategies contained in the Consolidated Plan, and evaluation of progress made in addressing identified priority needs and objectives.

Performance Standards are in alignment with the HUD required measures including: reducing the duration of homelessness; reducing recidivism; reducing the number of people who become homeless; increasing employment and income; and stability in permanent housing. Additional local standards have also been established and are included in the community written standards; occupancy rate, # of days to respond to referrals, # of days from program entry to move-in date into RRH or PSH, etc.

Written Standards for Provision of Assistance

Policies and Procedures for Evaluating Those Eligible for Assistance

Each household receives an initial consultation and eligibility assessment with a case manager or other authorized representative who can determine eligibility and the appropriate type of assistance needed. The household must be either homeless (to receive rapid rehousing assistance) or at risk of losing its housing to receive homelessness prevention assistance and must meet the following circumstances: The household's total income must be at or below 30 percent of Area Median Income (AMI); No appropriate subsequent housing options have been identified; The household lacks the financial resources to obtain immediate housing or remain in its existing housing; and The household lacks support networks needed to obtain immediate housing or remain in its existing housing.

Documentation requirements: Verification/proof of income; SSN for head of household; Verification

of address/current living situation; Verification of household size; Some documentation of the problem(s) that led to the need for assistance as determined by the case manager (i.e.; proof of medical bills, loss of income, etc.)

Standards for the City/County rapid rehousing programs operated by Coordinated Care Services have been developed with those set out in the 2010 "Eligibility Determination and Documentation Guidance- Homeless Prevention and Rapid Rehousing Program.

These include: Eligibility requirements: Initial consultation/assessment and determination of income and housing status; access to alternative housing options; Documentation: written or oral third-party verification; applicant self-declaration

Performance Standards for Documentation are as follows:

- Housing status: HMIS documentation or written verification of shelter stay, sleeping in a place not meant for human habitation, being a patient in a hospital or other institution, being a participant in a transitional housing program, being a victim of domestic violence
- Homelessness prevention eligibility: written verification of potential loss of housing due to non-payment of rent and/or other lease violations, utility non-payment, foreclosure on rental or owner-occupied property, eviction of host family/friends, uninhabitable conditions, or discharge from an institution
- Housing options/resources: written documentation must be provided for other subsequent housing options, financial resources (e.g. wages and salary, self-employment/business, interest/dividend, pension/retirement, unemployment/disability, TANF/public assistance, alimony/child support/foster care, armed forces income) and support networks

Policies and Procedures for Coordination among Providers

Staff meetings are held monthly to discuss program logistics and processes. Periodically, meetings of all participating agencies will be held to ensure effective ongoing management and provide updates on any new program developments, including HUD regulation updates.

Meetings with the City, County, and CoC also occur monthly to provide for oversight as well as updates on project status, outcomes to date, and to allow for discussion and resolution of any project issues.

Additionally, case managers will be meeting clients weekly in community-based organizations (CBO) sites, as well as in shelters. CBO and shelter staff will be routinely engaged in conversations and will be requested to participate in any process to assist the program in meeting the needs of the people and families it served.

Policies and Procedures for Determining and Prioritizing Type of Assistance

Below are detailed the procedures for determining and prioritizing eligible families and individuals by the type of assistance requested.

Prevention High Priority Risk Factors

- Prior episodes of homelessness
- Eviction within two weeks
- Sudden and significant loss of income
- Sudden and significant increase in utility costs
- Severe housing cost burden (greater than 50% of income)
- High overcrowding
- Recent traumatic life event (loss of spouse, or recent health crisis)
- Significant medical debt

Rapid Rehousing High Priority Risk Factors:

- Sleeping in an emergency shelter
- Sleeping on the streets, etc.
- Graduating from a transitional shelter
- Victim of domestic violence

Standards for Determining Share of Rent Each Participant Must Pay

Each program determines the share of rent that each participant must pay . Counseling and case management services will focus on maintaining stable housing after program financial assistance ends.

Standards for Determining How Long Rent Assistance/How Amount is Adjusted Over Time

In order to avoid excessive funding of individual households, (thus limiting the number of households that can be served by the program), and in order to respond to the variety of

circumstances that may create or threaten homelessness, and in order to emphasize serving those most in need of assistance, it has been determined that this program would benefit most from limiting the services provided to one-time only.

ESG funds for rapid rehousing do not support the long-term maintenance of any person or family. It will be the procedure of the ESG-funded Heading Home Program to provide short-term assistance for these individuals and families to help them obtain/maintain housing until a longer-term or even permanent subsidy becomes available.

Total benefit amounts will be made on a case-by-case basis. However:

- Payments cannot duplicate other subsidy programs
- All other sources of assistance in the community must be exhausted
- Potential DHS recipients will be referred there prior to acceptance in the program

Standards for Determining Type, Amount and Duration of Stabilization and/or Relocation Assistance

The types of assistance provided will be determined on a case-by-case basis by the case manager with consultation with the program manager under the guidance of the director of finance. The types of assistance provided will reflect program dollars available, number of clients served to date, and will recognize the established program end date.

Specific guidance and details are offered below by type of assistance:

- Rental Assistance: amount of rent assistance cannot exceed the actual amount of rent and must comply with the HUD rent reasonableness and affordability standards
- Short term rental assistance: cannot exceed 3 months
- Medium term rental assistance: cannot exceed 18 months and must be reviewed every three months. This option will be used in extraordinary circumstances only.
- Financial Assistance:
- Security Deposits: cannot exceed rent reasonableness standards as established by HUD
- Rental Arrears: cannot exceed rent reasonableness standards.
- Utility Payments: will follow local DHS/HEAP guidelines

- Moving Cost Assistance: is reviewed on a case-by-case basis - A local moving company partnership has been established and estimates are provided prior to move
- Storage Fees: maximum of 3 months

Process for Making Sub-Awards

The City of Rochester receives and utilizes ESG funds to help address the needs of homeless individuals and families and to prevent homelessness. Based on the input of the CoC and other stakeholders, the City of Rochester and Monroe County annually issue a joint RFP for the ESG funding. In the RFP the following services were solicited to receive funding to enact the plan for how the ESG funding will be used: Coordinated Entry, Homelessness Prevention/Rapid Rehousing Services, Emergency Shelter, Shelter Diversion, Street Outreach Essential Services and Hospitality Services.

A project review committee reads all of the applications submitted and scores based on specific criteria: responsiveness to the ESG priorities, potential impact of proposed programs in reducing homelessness, soundness and efficacy of proposed services, engagement with community partners, feasibility of service delivery plan, organizational capacity of applicant and previous record of success in meeting performance measures and timely spending ESG funds in prior years. Projects are awarded funds until allocation is fully utilized.

Homeless Participation Requirement

In compliance with Section 576.405(a) of the Interim Rule, the City and Monroe County have engaged persons who are currently or formerly homeless in their planning process. Four formerly homeless individuals have participated in our planning process. A past Co-Chair of the Rochester/Monroe County CoC is a formerly homeless individual.

In addition to serving as CoC Co-Chair, he has been substantially involved in planning for the use of ESG funding. Additionally, there are two other formerly homeless individuals on the CoC Team, as well as another member of the Homeless Services Network, who have also been consulted in the planning process for ESG funding.

Performance Standards

Performance standards for ESG recipients are required by HUD and have been developed in consultation with the CoC. The purpose of performance standards is to provide a measure for the ESG recipient and the CoC to evaluate each ESG service provider's effectiveness. It is anticipated that the City and County's performance standards for ESG activities will continue to evolve over time and will be developed to complement the CoC program performance measures.

Specific performance standards that will be applied to the ESG Program are based on the proposed accomplishments of:

- Increasing the range of housing options and related services for program participants
- Increasing knowledge of rights and responsibilities through Tenant Education for 70% of program participants
- Increasing the number of program participants stably housed at 12 months to 75%
- Reducing participant transiency by providing opportunities for safe and affordable housing
- Reducing the number of participants who have received rapid re-housing services from entering or re-entering local shelter programs to 20% or less

Rapid Re-Housing (RRH) Written Standards

Definition: Rapid Re-Housing (RRH) – 24 CFR Part 578.37

RRH provides short-term (up to 3 months) and/or medium term (3 to 24 months) tenant based rental assistance and supportive services as necessary to help a homeless individual or family, with or without disabilities, move as quickly as possible to permanent housing and achieve stability in that housing.

Access to Rapid Re-Housing (RRH) is through:

- Coordinated Entry Community Prioritization list

Eligibility Criteria

- Must meet HUD Definition of Homeless (Categories 1 or 4)
- Prior living situation must be ES or Unsheltered
- VSPDAT score will generally be in the 4-7 range

Operational Standards - Standards represent minimum local standards for all PSH programs. PSH providers may have funders who have additional requirements and/or may need to meet standards of the state or local government. In those cases, the more stringent standard applies.

- Core components required of every RRH program
 - Housing Search:
 - must include a plan to work with landlords to encourage them to provide available units for the program

- rental units must pass inspection prior to rental assistance being paid to the landlord; for ESG funded programs Housing Habitability Inspection can be utilized; for CoC funded programs Housing Quality Standards (HQS) inspection is required
 - Rent and Move-In Assistance: must include at a minimum short or medium term rental assistance.
 - Each program will determine the average amount and length of time rental assistance is provided and the percentage of rent that participants will pay
 - For participants where rent does not include utilities, the amount of rental assistance provided will incorporate the applicable utility allowance established by the Rochester Housing Authority will be used to calculate the tenant share of rent. Program participant must be reimbursed for any amount that the allowance exceeds the participant's share of rent.
 - Case Management Services:
 - Initial focus is on accessing PH
 - Once housing is secured, focus changes to housing stabilization;
 - Case management ends when household is no longer imminently at risk of being homeless;
 - A once per month contact at the minimum is required while participant is enrolled in the RRH program;
 - Warm hand-off to mainstream and community based services should be utilized
- Each participant must have a written lease/rental agreement.
 - ESG funded programs - month to month is allowable;
 - CoC funded programs - initial lease must be for at least one year, that is renewable and terminable by cause
- Program participation is limited to a maximum of 24 months
- All programs will utilize Housing First principles

Standard Outcomes/Performance Measures

- 92% if all participants will remain stable in RRH or exit to other permanent housing destinations
- 64% or more of adult participants will have non-cash benefits
- 64% or more of adult participants will have income from sources other than employment
- 20% or more of adult participants increase income from sources other than employment
- 20% or more of adult participants will have income from employment
- 20% or more of adult participants will increase income from employment
- 92% of participants do not return to homelessness within a one-year period after exit from RRH
- 85% of participants do not return to homelessness within a two-year period after exit from RRH
- Average time from program enrollment to moving to permanent housing is (30) thirty days
- Minimum 95% occupancy rate based on number of households projected to be served in application and amount of rental assistance that is expended annually

Transitional Housing (TH) Written Standards

Definition: Transitional Housing (TH) – 24 CFR Part 578.37

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Transitional housing facilitates the movement of homeless individuals and families to permanent housing within 24 months of entering a TH program.

TH should be utilized for families and individuals that need more assistance than RRH offers but who do not qualify for permanent supportive housing. Sub-populations that are likely to benefit the most from TH are youth, survivors of DV, persons with histories of substance abuse and/or persons who have recently exited criminal justice system.

Primary Access for Sub-Populations:

- Unaccompanied Youth and Young Adults coming through Prioritization List will be referred to Center for Youth
- Re-Entry Population coming through Prioritization List will be referred to Spiritus Christi Prison Outreach

Eligibility Criteria

- Must meet HUD Definition of Homeless – Categories 1, 2 and 4
- VSPDAT score will generally be in the range of 6-9

Operational Standards - Standards represent minimum local standards for all TH programs. TH providers may have funders who have additional requirements and/or may need to meet standards of the state or local government. In those cases, the more stringent standard applies.

- Services and programming create a pathway to permanent housing
- Maximum length of stay is 24 months
- Participant's rent cannot exceed 30% of household income
- Participants must have a lease or occupancy agreement
- Housing First principles will be utilized by all programs

Standard Outcomes/Performance Measures

- 92% or more of participants will exit to permanent housing destinations
- 75% or more of adult participants will have non-cash benefits
- 64% or more of adult participants will have income from sources other than employment
- 64% or more of adult participants increase income from sources other than employment
- 20% or more of adult participants will have income (includes part-time) from on the books employment
- 20% or more of adult participants will increase income from employment
- 85% of participants do not return to homelessness within a two-year period after exit from TH
- Minimum 90% average occupancy rate will be maintained

Street Outreach (SO)

Written Standards

Definition: Street Outreach (SO) - 24 CFR 576.101

Street Outreach provides essential services necessary to reach out to unsheltered homeless people; connect them with emergency shelter, housing, or critical services; and provide urgent, non-facility-based care to unsheltered homeless people who are unwilling or unable to access emergency shelter, housing or an appropriate health facility

Eligibility Criteria

- Must meet HUD Definition of Homeless

Operational Standards

- Proactively reach out to unsheltered homeless; whenever possible, provide resources to address immediate needs such as placement in emergency shelter, food, personal hygiene items, etc. Priority for Street Outreach will be unsheltered homeless; however, street outreach teams may also go to shelters that are not able to provide services.
- Provide Engagement services – assessing housing and service needs, completion of VSPDAT and other assessment tools based on need
- Provide short term case management - provide linkages to emergency health and behavioral health services, mainstream resources, etc.
- Provide transportation to emergency shelters or other services
- Street Outreach activities will be conducted with a minimum of (2) two trained persons whenever possible; or outreach worker will always let someone know where they will be
- Should an unsheltered household with minor children be encountered during outreach activities:
 - A placement into shelter must be made
 - If placement is refused call 9-1-1 or CPS Hotline: 1-800-342-3720

Standard Outcomes/Performance Measures

- 30% of all participants engaged who will exit to permanent housing destinations
- 30% of all participants engaged who have successful exits from the program
- 70% or more of adult participants will have non-cash benefits
- 60% or more of adult participants will have income from sources other than employment
- 15% or more of adult participants will have income from employment
- 80% of participants will not return to homelessness within two years
- Average time from contact to engagement is 60 days
- Average time from engagement to successful exit from program is 45 days

Permanent Supportive Housing (PSH) Written Standards

Definition: Permanent Supportive Housing (PSH) – 24 CFR Part 578.37

Permanent Housing is community-based housing, the purpose of which is to provide housing without a designated length of stay. PSH can only provide assistance to individuals with disabilities and families in which one adult or child has a disability. Support services designed to meet the needs of the program participants must be made available to the program participants.

Access to Permanent Supportive Housing (PSH) is only through:

- Coordinated Entry Community Prioritization List

Eligibility Criteria

- Must meet HUD Definition of Homeless
- Prior living situation must be ES or Unsheltered; if prior living situation is TH or institution for less than 90 days, must have been homeless prior to entering TH or institution
- Household member must have long-term disability
- VSPDAT score will generally be in the range of 8+

Operational Standards - Standards represent minimum local standards for all PSH programs. PSH providers may have funders who have additional requirements and/or may need to meet standards of the state or local government. In those cases, the more stringent standard applies.

- There is no prescribed or suggested length of stay in PSH
- Supportive services must be available to participants throughout their stay in PSH, but participation in services is not required. It is expected that providers will continue to attempt to engage participation in supportive services.
- Initial lease must be for at least one year and must be renewable and terminable by cause. Subsequent years lease, must be a minimum of term of one month.
- Participant rent cannot exceed 30% of their income
 - For participants where rent does not include utilities, the amount of rental assistance provided will incorporate the applicable utility allowance established by the Rochester Housing Authority will be used to calculate the tenant share of rent. Program participant must be reimbursed for any amount that the allowance exceeds the participant's share of rent.
- Units must pass Housing Quality Standards Inspection prior to rent being paid to the landlord
- Housing First principles will be utilized by all PSH programs
- Prioritization of potential participants is determined by Coordinated Entry policies and procedures
- VSPDAT score will generally be 8+

Standard Outcomes/Performance Measures

- 92% if all participants will remain stable in PSH or exit to other permanent housing destinations
- 85% or more of adult participants will have non-cash benefits
- 85% or more of adult participants will have income from sources other than employment

- 20% or more of adult participants increase income from sources other than employment
- 20% or more of adult participants will have income (includes part-time) from on the books employment
- 20% or more of adult participants will increase income from employment
- Average time from referral to housing move in date is (45) forty-five days
- Minimum 95% occupancy rate based on number of households projected to be served in application and amount of rental assistance that is expended annually

Emergency Shelter (ES) Written Standards

Definition: Emergency Shelter (24 CFR 576.2)

Any facility, the primary purpose of which is to provide a temporary shelter for the homeless in general or for specific populations of the homeless and which do not require the occupants to sign leases or occupancy agreements.

The Emergency Shelter system currently consists of nineteen (19) shelters; sixteen (16) are year-round and three (3) are seasonal. In addition to the emergency housing programs, the Monroe County Department of Human Services can provide vouchers for hotel placements. The role of the emergency shelters in the CoC homeless system is to provide immediate safe shelter, with few or no barriers to admission.

Emergency Shelter can be accessed through MCDHS, “2-1-1”, hot-lines (DV and youth), self-referral (calling or going to a shelter), referrals from other community organizations, sent to shelter by hospitals or law enforcement.

Primary Access for Sub-Populations:

- Victims of Domestic Violence 24-hour Hotline: 585-222-SAFE (7133)
24-hour TTY: 585-232-1741
- Unaccompanied Youth (ages 12 – 18) and young adults (ages 18 – 24): 585-271-7670
Or 2-1-1

Eligibility Criteria:

- Must be literally homeless
- Fleeing Domestic Violence
- Unaccompanied Youth (ages 16 -17)
- Runaway Youth (not HUD Homeless)

Operational Standards – Standards represent minimum local standards for all Emergency Shelters. Shelters may have funders who have additional requirements and/or may need to meet standards of the state or local government. In those cases, the more stringent standard applies.

- A daily census of current participants must be kept in a central location;
- Food and basic personal care items will be provided;
- Emergency shelters will relax their standards and/or increase their occupancy (must still meet fire codes) during Code Blue conditions;
- Emergency shelters who serve children may have additional entry requirements to ensure the safety of children in the program;
- Emergency Shelters will have written discharge policies and procedures that provide a consistent approach and enforced in a consistent manner. Must provide due process and grievance procedure.
 - Whenever possible participants will not be asked to leave shelter evenings or weekends for reasons other than health or safety. On the rare occasions this does occur, shelter staff will follow After-Hour Discharge Procedures.
- Shelters, at a minimum, will provide the following services (Services can be provided by shelter staff or by another community based organization):
 - Completion of Assessment Tool – VSPDAT
 - Making referrals to the Coordinated Entry Prioritization List
 - Assistance with accessing permanent or other appropriate housing
 - Assistance with accessing mainstream resources

Standard Outcomes/Performance Measures

- Average length of stay is less than 30 days
- 60% of participants exit with a successful housing outcome
- 50% of participants exit to permanent housing
- Less than 20% of participants exit to an unknown location
- 50% of participants exit with/linked to cash income
- 60% of participants exit with/linked to non-cash resources
- Minimum 90% average occupancy rate will be maintained

HOPWA Program Specific Requirements

The only qualified agencies in the Greater Rochester area to serve persons with AIDS with housing services are Catholic Charities Community Services and Trillium Health. Funds are divided between these organizations in a 60/40 split. Organizations that are grass roots, faith based, and community based are able to and do refer clients to these two project sponsors.

Attachments

Citizen Participation Comments

2019-20 Consolidated Plan / Annual Action Plan

Public Meeting

March 20, 2019

Executed Contracts, and payments faster for Homeless Assistance services

Responses to emails come quickly

More attorneys for Landlord / Tenant Services

Same as Catholic Charities – above regarding timing of contract execution, which leaves a very short period of time to expend funds before contract ends

Tenant Training – upkeep of property as tenants

Resources for the frail elderly

Tenant Emergency Fund – for assistance with up to 3 months of rent, to assist with temporary situations that destabilize ability to afford housing

Funding for temporary housing (Non-shelter)

Compliance concerns, and related costs for compliance responsibilities, keep developers from applying for funding

Timing of the RFP can be a barrier

Clarity re: amount developers can apply for

Better understanding for funding available to land trust organizations

HOME funding for the land trust to rehabilitate properties they will sell to income qualified owner-occupants

Provide funding to assist homeless individuals interested in tiny houses

Provide additional funding for rehab programs to owner-occupants for home repair including, roof replacement, health and safety hazards, lead hazards, windows.

Provide more funding for demolition/blight removal in the neighborhoods.

Grantee Unique Appendices

Consolidated Community Development Plan

Citizen Participation Plan

Background

In 1995, the United States Department of Housing and Urban Development (HUD) consolidated into a single submission the planning and application aspects of the following four HUD community development formula grant programs: Community Development Block Grant (CDBG), Emergency Shelter (now Solutions) Grant (ESG), Home Investment Partnerships (HOME), and Housing Opportunities for Persons with AIDS (HOPWA). The reporting requirements for these programs were also consolidated.

The Department of Neighborhood and Business Development (NBD), Office of the Commissioner is the lead agency responsible for the consolidated plan submission and reporting to HUD. NBD's Bureau of Business and Housing Development is responsible for CDBG and the HOME Programs housing activities, ESG and HOPWA programs, and manages CDBG assisted business development activities. NBD's Bureau of Neighborhood Preservation assists CDBG public service and public improvement projects. The Department of Recreation and Youth Services administers CDBG assisted public service projects. The Department of Environmental Services is responsible for CDBG assisted public facilities and improvements.

The City of Rochester encourages citizens to participate in the planning and implementation of the Consolidated Community Development Plan. Public meetings are held at times convenient for citizens, and at locations within the program target areas that are accessible to the disabled. In addition, the City provides opportunities for citizens to submit written comments, proposals, and recommendations. It also publishes and distributes material in both English and Spanish upon request.

HUD requires the City to adopt a citizen participation plan for the consolidated planning, application, and reporting processes. The Plan also covers the Section 108 Loan Guarantee Program.

Purpose

The Citizen Participation Plan establishes policies and procedures to encourage citizen involvement in planning for the use of federal funds that are available under the Community Development Block Grant (CDBG), Emergency Solutions Grant (ESG), Home Investment Partnership (HOME), and Housing Opportunities for Persons with AIDS (HOPWA). The City promotes citizen participation in the following activities:

- Development of the Consolidated Community Development Plan which contains a five-year strategic plan that outlines strategies and goals for use of federal funds;
- Development of each Annual Action Plan, which describes specific projects and activities that will be undertaken during the year with federal funds to address priority needs;
- Review and comment on substantial amendments to the Consolidated Community Development Plan and/or Annual Action Plan;
- Review and comment on the annual Consolidated Annual Performance and Evaluation Report which describes the process of implementing the Plan through the proposed actions identified in the Annual Action Plan; and,
- Review and comment on substantial amendments to the Citizen Participation Plan.

The City of Rochester encourages participation by low- and moderate-income persons, particularly those living in slum and blighted areas, and in areas where CDBG funds are proposed to be used, and by residents of predominantly low- and moderate-income neighborhoods. Predominantly low- and moderate-income neighborhoods are defined as those where 51% or more of the residents have incomes that are 80% or less of the median family income. Actions are taken to encourage participation by minorities, non-English speaking persons and persons with disabilities. Residents of public and assisted housing and other low-income residents of targeted revitalization areas in which public and assisted housing is located are also encouraged to participate.

Consolidated Community Development Plan and Action Plan

The City submits a Consolidated Community Development Plan every five years and an Action Plan each year to the U.S. Department of Housing and Urban Development (HUD).

The Consolidated Community Development Plan consists of a strategic plan and an annual action plan. The strategic plan contains a community development needs assessment, a housing market analysis, and long term strategies to meet priority needs. The annual action plan describes specific projects and activities that will be undertaken in the coming year with federal funds to address priority needs. The Consolidated Plan covers the period from July 1, 2015 through June 30, 2020.

The City of Rochester may also participate in the Section 108 Loan Guarantee Program. Under the program, the City of Rochester may borrow from the federal government an amount equal to five times its annual Community Development Block Grant, using the grant as security. The City may then utilize these funds for eligible activities such as acquisition, rehabilitation, and economic development.

Among other input, the development of strategic plans for the four quadrant areas provides context and direction to the Consolidated Community Development Plan.

The Goals and Policy Priorities adopted by the Administration also guide the development of the plan. The Mayor's goals are to improve educational outcomes for city students, increase economic development, improve public safety and community/police relations and address the stark economic disparities that plague many parts of the city.

The City consults with local public agencies that assist low and moderate income persons, including City staff, State and federal agencies, and neighboring local government and regional agencies. The City also consults with private agencies, including local nonprofit service providers and advocates such as the local public housing agency, health agencies, homeless service providers, non-profit housing developers, social service agencies (including those focusing on services to children, the elderly, persons with disabilities, persons with HIV/AIDS, and persons with substance abuse problems).

There are also opportunities for citizens, nonprofit organizations, and other interested parties to review and comment on a draft Annual Action Plan before its implementation.

The City's Housing Policy guides the allocation of CDBG and HOME resources available to the City of Rochester to address priority housing needs and specific objectives.

The City will hold a general meeting to provide information on the plan and solicit input on community development and housing needs. The meeting will be held on March 20, 2019 5:30-7 PM in City Council Chambers, 30 Church Street.

A Draft Annual Action Plan will be prepared and published on or about May 13, 2019. In addition to the activities proposed to be undertaken, the Plan will detail the amount of federal assistance expected to be received (including grant funds and program income) and the range of activities that may be undertaken, including the estimated amount that will benefit persons of low and moderate income and the plans to minimize displacement of persons and to assist any persons displaced. Timing of publishing of document is subject to timing of funding notification from HUD.

If, as a result of a program activity, any residential displacement and relocation must occur, the City of Rochester ensures that it will develop an Anti-Displacement and Relocation Plan in connection with that project in accordance with federal regulations. Specifically, the City will comply with the anti-displacement and relocation requirements of the Uniform Relocation Act and the Housing and Community Development Act of 1974, as amended, and implementing regulations of 24 CFR Part 42.

The City will publish a notice in the Democrat & Chronicle which will summarize the Consolidated Community Development Plan/Annual Action Plan. It will contain a description of the contents and purposes of the Plan and a list of locations where copies of the entire proposed Plan may be reviewed.

The Plan will be available at public libraries and copies will be available in the Department of Neighborhood and Business Development, and the Bureau of Communications in City Hall, which are accessible to the disabled. It will also be posted on the City's website. The City will make a reasonable number of free copies of the Plan available to citizens and groups that request it. The Plan will be available for 30 days for comment.

The Draft Plan will be submitted to City Council for its consideration. The City will hold a public hearing on the draft Consolidated Community Development Plan. At this hearing, the public is invited to comment on all phases of the Plan, including housing and community development needs, proposed activities, and program performance. The City will consider any comments or views of citizens received in writing, or orally at public hearings in preparing the final Plan.

After the City Council adopts the Plan, it will be submitted to the United States Department of Housing and Urban Development for approval. Copies of the adopted Plan will be available in the Department of Neighborhood and Business Development, and the Communications Bureau in City Hall. A summary of all comments received within the 30-day comment period will be included in the Final Plan that is submitted to HUD.

Submission of Comments and Proposals

The public is invited to make its views on needs and priorities known, as well as proposals for specific activities. These can be submitted in writing to Attention: Mary Kay Kenrick, Principal Staff Assistant, Department of Neighborhood & Business Development, Room 224B, City Hall, 30 Church Street, Rochester, NY 14614. Responses to written submissions will be provided.

Technical Assistance

City staff is available upon request to help citizens and groups adequately participate in all aspects of the planning of the Consolidated Community Development Program. All requests for technical assistance should be directed to Attention: Mary Kay Kenrick, Principal Staff Assistant, Department of Neighborhood & Business Development, Room 224B, City Hall, 30 Church Street, Rochester, NY 14614.

Bilingual Services

Bilingual services will be provided to the Hispanic community. Information handouts will be made available in Spanish, if requested. Bilingual assistance is also available upon request to help interpret information.

Comments and Complaints

The City will consider any comments or views of citizens received in writing or orally at public hearings in preparing the final Consolidated Community Development Plan, amendments to the Plan or the Consolidated Annual Performance and Evaluation Report. A summary of these comments or views and a summary of any comments or views not accepted and the reasons will be attached to the final Consolidated Community Development Plan, amendments to the Plan or Performance Report.

Any complaints regarding this Citizen Participation Plan or the development or implementation of the Consolidated Community Development Plan should be addressed to Attention: Mary Kay Kenrick, Principal Staff Assistant, Department of Neighborhood & Business Development, Room 224B, City Hall, 30 Church Street, Rochester, NY 14614. The City will make every reasonable effort to provide written responses within 15 days.

Publicity

The meetings and public hearings will be publicized through the use of newspaper ads, press releases, posting on the City's website, or direct mailings to sector committees. Adequate advance notice with sufficient information about the subject will be provided to permit informed comment.

2019-20 Consolidated Community Development Plan/Implementation Phase

It is anticipated that the implementation phase of the Plan will begin on July 1, 2019. There are also opportunities for participation in the implementation and evaluation of the Plan's performance.

Plan Implementation and Community Involvement

The first step in implementing the various activities funded by the Consolidated Community Development Plan is appropriation of funds by City Council. Such action will take place throughout the program year.

Amendments

The need may arise during implementation to modify or amend the approved Annual Plan. Certain changes will be minor in nature and will not require public notification or citizen participation prior to the implementation of such changes. Other changes, defined as substantial, will require public notification and public review. The following changes are considered substantial amendments to the Consolidated Community Development Plan/Annual Action Plan:

- To make a substantial change in its allocation priorities or a substantial change in the method of distribution of funds;
- To carry out an activity, using funds from any program covered by the Consolidated Plan (including program income) not previously described in the action plan;
- Increasing or decreasing an activity's budget by more than 25%;
- Providing interim financing such as a "float loan";
- Transferring funds from a contingency;
- To change the purpose, scope, location or beneficiaries of an activity; or
- A Section 108 Loan that was not described in the Plan.

The City will publish a notice which will inform the public of the proposed changes and locations where a copy of the draft amendment may be reviewed. Interested parties will have 30 days to provide written comments on the proposed amendment. The City Council will hold a public hearing prior to considering amendments to the Plan. A description of the amendment(s) will be available at the hearing. A summary of all comments received within the 30 day period will be included in the substantial amendment to the Consolidated Community Development Plan/Annual Action Plan that is finally submitted to HUD.

Program Performance

The City of Rochester converted to the Integrated Disbursement and Information System in December 1996. The system enables the City to review a program's progress and monitor its performance on an ongoing basis.

A written Consolidated Annual Performance and Evaluation Report will be prepared annually. The report will contain a summary of resources and programmatic accomplishments, the status of actions taken to implement the strategy contained in the Consolidated Community Development Program, and evaluation of progress made during the year in addressing identified priority needs and objectives.

The City will publish a notice in the Democrat and Chronicle which will inform the public of the availability of the CAPER for review and comment and the locations where a copy of the draft document may be reviewed. Copies of the report will be available in the Department of Neighborhood and Business Development and the Communications Bureau in City Hall.

Interested parties will have 15 days to provide written comments on the report. A summary of all comments received within the 15-day period will be included in the CAPER that is finally submitted to HUD.

Records

The City of Rochester will provide reasonable and timely access to information and records relating to the Consolidated Plan and use of funds during the preceding five years, if available. Due to staff limitations, the City may not be able to analyze information and prepare specialized reports.

Copies of the following documents pertaining to the Consolidated Community Development Program and Annual Action Plan will be on file as they become available for public examination during regular business hours at the Rundel Library (Local History Division) located at 115 South Avenue and the Department of Neighborhood and Business Development (City Hall, Room 224 B, 30 Church Street, Rochester, NY):

1. Citizen Participation Plan
2. Draft Consolidated Community Development Plan
3. Final Consolidated Community Development Plan
4. Performance and Evaluation Report

Copies of these documents are also available by request.

APPLICATION FOR FEDERAL ASSISTANCE

OMB Approved No. 3076-0006

Version 7/03

1. TYPE OF SUBMISSION: Application <input type="checkbox"/> Construction <input checked="" type="checkbox"/> Non-Construction	<input type="checkbox"/> Pre-application <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction	2. DATE SUBMITTED June 21, 2019	Applicant Identifier
		3. DATE RECEIVED BY STATE	State Application Identifier
		4. DATE RECEIVED BY FEDERAL AGENCY	Federal Identifier

5. APPLICANT INFORMATION

Legal Name: City of Rochester	Organizational Unit: Department: Neighborhood and Business Development
Organizational DUNS: 002465805	Division: Office of the Commissioner/Administration and Finance
Address: Street: 30 Church Street, 224B	Name and telephone number of person to be contacted on matters involving this application (give area code) Prefix: Ms. First Name: Mary
City: Rochester	Middle Name Kay
County: Monroe	Last Name Kenrick
State: New York	Zip Code 14614
Country: U.S.A.	Email: marykay.kenrick@cityofrochester.gov

6. EMPLOYER IDENTIFICATION NUMBER (EIN): 16-6002551	Phone Number (give area code) 585 428-6309	Fax Number (give area code) 585 428-7899
---	--	--


8. TYPE OF APPLICATION: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) (See back of form for description of letters.) Other (specify)	7. TYPE OF APPLICANT: (See back of form for Application Types) C- Municipal Other (specify)
---	--

10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: TITLE (Name of Program): Housing Opportunities for Persons with AIDS 14-241	9. NAME OF FEDERAL AGENCY: United States Department of Housing and Urban Development
12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.): City of Rochester, NY	11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: Various Projects

13. PROPOSED PROJECT Start Date: 7/1/19 Ending Date: 6/30/20	14. CONGRESSIONAL DISTRICTS OF: a. Applicant 28 b. Project 28
--	---

15. ESTIMATED FUNDING:	16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?
a. Federal \$ 958,466.00 b. Applicant \$.00 c. State \$.00 d. Local \$.00 e. Other \$.00 f. Program Income \$.00 g. TOTAL \$ 958,466.00	a. Yes. <input type="checkbox"/> THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON DATE: b. No. <input checked="" type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372 <input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW
17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT? <input type="checkbox"/> Yes If "Yes" attach an explanation. <input checked="" type="checkbox"/> No	

18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.

a. Authorized Representative		
Prefix Ms.	First Name Lovely	Middle Name A.
Last Name Warren		Suffix
b. Title Mayor		c. Telephone Number (give area code) 585 428-7045
d. Signature of Authorized Representative 		e. Date Signed June 21, 2019

Application for Federal Assistance SF-424

* 1. Type of Submission:

- Preapplication
 Application
 Changed/Corrected Application

* 2. Type of Application:

- New
 Continuation
 Revision

* If Revision, select appropriate letter(s):

* Other (Specify):

* 3. Date Received:

06/21/2019

4. Applicant Identifier:

5a. Federal Entity Identifier:

5b. Federal Award Identifier:

State Use Only:

6. Date Received by State:

7. State Application Identifier:

8. APPLICANT INFORMATION:

* a. Legal Name:

City of Rochester

* b. Employer/Taxpayer Identification Number (EIN/TIN):

16-6002551

* c. Organizational DUNS:

0024658050000

d. Address:

* Street1:

30 Church Street

Street2:

224B

* City:

Rochester

County/Parish:

Monroe

* State:

NY: New York

Province:

* Country:

USA: UNITED STATES

* Zip / Postal Code:

146141206

e. Organizational Unit:

Department Name:

Neighborhood and Business Dev

Division Name:

Office of the Commissioner

f. Name and contact information of person to be contacted on matters involving this application:

Prefix:

Mrs.

* First Name:

Mary

Middle Name:

Kay

* Last Name:

Kenrick

Suffix:

Title:

Principal Staff Assistant

Organizational Affiliation:

City of Rochester

* Telephone Number:

585-428-6309

Fax Number:

585-428-7899

* Email:

marykay.kenrick@cityofrochester.gov

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

United States Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14-241

CFDA Title:

Housing Opportunities for Persons With AIDS

*** 12. Funding Opportunity Number:**

NYH19F003

* Title:

Housing Opportunities for Persons With AIDS

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

*** 15. Descriptive Title of Applicant's Project:**

Various projects.

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="958,466.00"/>
* b. Applicant	<input type="text" value="0.00"/>
* c. State	<input type="text" value="0.00"/>
* d. Local	<input type="text" value="0.00"/>
* e. Other	<input type="text" value="0.00"/>
* f. Program Income	<input type="text" value="0.00"/>
* g. TOTAL	<input type="text" value="958,466.00"/>

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

Yes No

If "Yes", provide explanation and attach

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:
Middle Name:
* Last Name:
Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative: 

* Date Signed:

**APPLICATION FOR
FEDERAL ASSISTANCE**

OMB Approved No. 3076-0006

Version 7/03

1. TYPE OF SUBMISSION: Application <input checked="" type="checkbox"/> Construction <input checked="" type="checkbox"/> Non-Construction	Pre-application <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction	2. DATE SUBMITTED June 21, 2019	Applicant Identifier
		3. DATE RECEIVED BY STATE	State Application Identifier
		4. DATE RECEIVED BY FEDERAL AGENCY	Federal Identifier

5. APPLICANT INFORMATION

Legal Name: City of Rochester	Organizational Unit: Department: Neighborhood and Business Development
Organizational DUNS: 002465805	Division: Office of the Commissioner/Administration and Finance
Address: Street: 30 Church Street, 224B	Name and telephone number of person to be contacted on matters involving this application (give area code) Prefix: Ms. First Name: Mary
City: Rochester	Middle Name: Kay
County: Monroe	Last Name: Kenrick
State: New York Zip Code: 14614	Suffix:
Country: U.S.A.	Email: marykay.kenrick@cityofrochester.gov

6. EMPLOYER IDENTIFICATION NUMBER (EIN): 16-6002551	Phone Number (give area code) 585 428-6309	Fax Number (give area code) 585 428-7899
---	--	--

8. TYPE OF APPLICATION: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) (See back of form for description of letters.) Other (specify)	7. TYPE OF APPLICANT: (See back of form for Application Types) C- Municipal Other (specify)
9. NAME OF FEDERAL AGENCY: United States Department of Housing and Urban Development	

10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: TITLE (Name of Program): HOME	11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: Various Projects
---	--

12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.): City of Rochester, NY

13. PROPOSED PROJECT Start Date: 7/1/19 Ending Date: 6/30/20	14. CONGRESSIONAL DISTRICTS OF: a. Applicant: 28 b. Project: 28
---	--

15. ESTIMATED FUNDING:	16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?
a. Federal \$ 2,316,190 ⁰⁰	a. Yes. <input type="checkbox"/> THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON DATE: b. No. <input checked="" type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372 <input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW
b. Applicant \$. ⁰⁰	
c. State \$. ⁰⁰	
d. Local \$. ⁰⁰	
e. Other \$. ⁰⁰	
f. Program Income \$ 90,000 ⁰⁰	
g. TOTAL \$ 2,406,190 ⁰⁰	
17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT? <input type="checkbox"/> Yes If "Yes" attach an explanation. <input checked="" type="checkbox"/> No	

18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.

a. Authorized Representative		
Prefix: Ms.	First Name: Lovely	Middle Name: A.
Last Name: Warren		Suffix:
b. Title: Mayor		c. Telephone Number (give area code): 585 428-7045
d. Signature of Authorized Representative		e. Date Signed: June 21, 2019

Application for Federal Assistance SF-424

* 1. Type of Submission:

- Preapplication
 Application
 Changed/Corrected Application

* 2. Type of Application:

- New
 Continuation
 Revision

* If Revision, select appropriate letter(s):

* Other (Specify):

* 3. Date Received:

06/21/2019

4. Applicant Identifier:

5a. Federal Entity Identifier:

5b. Federal Award Identifier:

State Use Only:

6. Date Received by State:

7. State Application Identifier:

8. APPLICANT INFORMATION:

* a. Legal Name:

City of Rochester

* b. Employer/Taxpayer Identification Number (EIN/TIN):

16-6002551

* c. Organizational DUNS:

0024658050000

d. Address:

* Street1:

30 Church Street

Street2:

224B

* City:

Rochester

County/Parish:

Monroe

* State:

NY: New York

Province:

* Country:

USA: UNITED STATES

* Zip / Postal Code:

146141206

e. Organizational Unit:

Department Name:

Neighborhood and Business Dev

Division Name:

Office of the Commissioner

f. Name and contact information of person to be contacted on matters involving this application:

Prefix:

Mrs.

* First Name:

Mary

Middle Name:

Kay

* Last Name:

Kenrick

Suffix:

Title:

Principal Staff Assistant

Organizational Affiliation:

City of Rochester

* Telephone Number:

585-428-6309

Fax Number:

585-428-7899

* Email:

marykay.kenrick@cityofrochester.gov

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

*** Other (specify):**

*** 10. Name of Federal Agency:**

United States Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14-239

CFDA Title:

HOME

*** 12. Funding Opportunity Number:**

M19MC360504

*** Title:**

HOME

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

*** 15. Descriptive Title of Applicant's Project:**

Various projects.

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="2,316,190.00"/>
* b. Applicant	<input type="text" value="0.00"/>
* c. State	<input type="text" value="0.00"/>
* d. Local	<input type="text" value="0.00"/>
* e. Other	<input type="text" value="0.00"/>
* f. Program Income	<input type="text" value="90,000.00"/>
* g. TOTAL	<input type="text" value="2,406,190.00"/>

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

Yes No

If "Yes", provide explanation and attach

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:
Middle Name:
* Last Name:
Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative: 

* Date Signed:

**APPLICATION FOR
FEDERAL ASSISTANCE**

OMB Approved No. 3076-0006

Version 7/03

1. TYPE OF SUBMISSION: Application <input type="checkbox"/> Construction <input checked="" type="checkbox"/> Non-Construction	Pre-application <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction	2. DATE SUBMITTED June, 21, 2019	Applicant Identifier
		3. DATE RECEIVED BY STATE	State Application Identifier
		4. DATE RECEIVED BY FEDERAL AGENCY	Federal Identifier

5. APPLICANT INFORMATION	
Legal Name: City of Rochester	Organizational Unit: Department: Neighborhood and Business Development
Organizational DUNS: 002465805	Division: Office of the Commissioner/Administration and Finance
Address: Street: 30 Church Street, 224B	Name and telephone number of person to be contacted on matters involving this application (give area code) Prefix: Ms. First Name: Mary
City: Rochester	Middle Name Kay
County: Monroe	Last Name Kenrick
State: New York	Zip Code 14614
Country: U.S.A.	Email: marykay.kenrick@cityofrochester.gov

6. EMPLOYER IDENTIFICATION NUMBER (EIN): 1 6 - 6 0 0 2 5 5 1	Phone Number (give area code) 585 428-6309	Fax Number (give area code) 585 428-7899
--	---	---

8. TYPE OF APPLICATION: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) (See back of form for description of letters.)	7. TYPE OF APPLICANT: (See back of form for Application Types) C- Municipal Other (specify)
--	--

10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: TITLE (Name of Program): Emergency Solutions Grant	9. NAME OF FEDERAL AGENCY: United States Department of Housing and Urban Development
--	--

12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.): City of Rochester, NY	11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: Various Projects
---	--

13. PROPOSED PROJECT Start Date: 7/1/19	Ending Date: 6/30/20	14. CONGRESSIONAL DISTRICTS OF: a. Applicant 28	b. Project 28
--	-------------------------	--	------------------

15. ESTIMATED FUNDING:	16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?
a. Federal \$ 685,960.00	a. Yes. <input type="checkbox"/> THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON DATE: b. No. <input checked="" type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372 <input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW
b. Applicant \$.00	
c. State \$.00	
d. Local \$.00	
e. Other \$.00	
f. Program Income \$.00	
g. TOTAL \$ 685,960.00	

17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?
 Yes If "Yes" attach an explanation. No

18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.

a. Authorized Representative		
Prefix Ms.	First Name Lovely	Middle Name A.
Last Name Warren		Suffix
b. Title Mayor		c. Telephone Number (give area code) 585 428-7045
d. Signature of Authorized Representative		e. Date Signed June 21, 2019

Application for Federal Assistance SF-424

*** 1. Type of Submission:**

- Preapplication
- Application
- Changed/Corrected Application

*** 2. Type of Application:**

- New
- Continuation
- Revision

* If Revision, select appropriate letter(s):

* Other (Specify):

*** 3. Date Received:**

06/2/2019

4. Applicant Identifier:

5a. Federal Entity Identifier:

5b. Federal Award Identifier:

State Use Only:

6. Date Received by State:

7. State Application Identifier:

8. APPLICANT INFORMATION:

*** a. Legal Name:**

City of Rochester

*** b. Employer/Taxpayer Identification Number (EIN/TIN):**

16-6002551

*** c. Organizational DUNS:**

0024658050000

d. Address:

*** Street1:**

30 Church Street

Street2:

224B

*** City:**

Rochester

County/Parish:

Monroe

*** State:**

NY: New York

Province:

*** Country:**

USA: UNITED STATES

*** Zip / Postal Code:**

146141206

e. Organizational Unit:

Department Name:

Neighborhood and Business Dev

Division Name:

Office of the Commissioner

f. Name and contact information of person to be contacted on matters involving this application:

Prefix:

Mrs.

*** First Name:**

Mary

Middle Name:

Kay

*** Last Name:**

Kenrick

Suffix:

Title: Principal Staff Assistant

Organizational Affiliation:

City of Rochester

*** Telephone Number:**

585-428-6309

Fax Number:

585-428-7899

*** Email:**

marykay.kenrick@cityofrochester.gov

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

United States Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14-231

CFDA Title:

Emergency Solutions Grant

*** 12. Funding Opportunity Number:**

E19MC360005

* Title:

Emergency Solutions Grant

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

*** 15. Descriptive Title of Applicant's Project:**

Various projects.

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="685,960.00"/>
* b. Applicant	<input type="text" value="0.00"/>
* c. State	<input type="text" value="0.00"/>
* d. Local	<input type="text" value="0.00"/>
* e. Other	<input type="text" value="0.00"/>
* f. Program Income	<input type="text" value="0.00"/>
* g. TOTAL	<input type="text" value="685,960.00"/>

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

Yes No

If "Yes", provide explanation and attach

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:
Middle Name:
* Last Name:
Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative: 

* Date Signed:

APPLICATION FOR FEDERAL ASSISTANCE

OMB Approved No. 3076-0006

Version 7/03

1. TYPE OF SUBMISSION: Application <input checked="" type="checkbox"/> Construction <input checked="" type="checkbox"/> Non-Construction	<input type="checkbox"/> Pre-application <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction	2. DATE SUBMITTED June 21, 2019	Applicant Identifier
		3. DATE RECEIVED BY STATE	State Application Identifier
		4. DATE RECEIVED BY FEDERAL AGENCY	Federal Identifier

5. APPLICANT INFORMATION

Legal Name: City of Rochester	Organizational Unit: Department: Neighborhood and Business Development
Organizational DUNS: 002465805	Division: Office of the Commissioner/Administration and Finance
Address: Street: 30 Church Street, 224B	Name and telephone number of person to be contacted on matters involving this application (give area code) Prefix: Ms. First Name: Mary
City: Rochester	Middle Name: Kay
County: Monroe	Last Name: Kenrick
State: New York Zip Code: 14614	Suffix:
Country: U.S.A.	Email: marykay.kenrick@cityofrochester.gov

6. EMPLOYER IDENTIFICATION NUMBER (EIN):

1 6 - 6 0 0 2 5 5 1	Phone Number (give area code) 585 428-6309	Fax Number (give area code) 585 428-7899
---------------------	--	--

8. TYPE OF APPLICATION: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) (See back of form for description of letters.)	7. TYPE OF APPLICANT: (See back of form for Application Types) C- Municipal Other (specify)
Other (specify)	9. NAME OF FEDERAL AGENCY: United States Department of Housing and Urban Development


10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: 1 4 - 2 1 8 TITLE (Name of Program): Community Development Block Grant	11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: Various Projects
12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.): City of Rochester, NY	

13. PROPOSED PROJECT Start Date: 7/1/19 Ending Date: 6/30/20	14. CONGRESSIONAL DISTRICTS OF: a. Applicant: 28 b. Project: 28
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15. ESTIMATED FUNDING:	16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?																					
<table border="1"> <tr> <td>a. Federal</td> <td>\$</td> <td>8,068,554⁰⁰</td> </tr> <tr> <td>b. Applicant</td> <td>\$</td> <td>⁰⁰</td> </tr> <tr> <td>c. State</td> <td>\$</td> <td>⁰⁰</td> </tr> <tr> <td>d. Local</td> <td>\$</td> <td>500,000⁰⁰</td> </tr> <tr> <td>e. Other</td> <td>\$</td> <td>⁰⁰</td> </tr> <tr> <td>f. Program Income</td> <td>\$</td> <td>750,000⁰⁰</td> </tr> <tr> <td>g. TOTAL</td> <td>\$</td> <td>9,318,554⁰⁰</td> </tr> </table>	a. Federal	\$	8,068,554 ⁰⁰	b. Applicant	\$	⁰⁰	c. State	\$	⁰⁰	d. Local	\$	500,000 ⁰⁰	e. Other	\$	⁰⁰	f. Program Income	\$	750,000 ⁰⁰	g. TOTAL	\$	9,318,554 ⁰⁰	a. Yes. <input type="checkbox"/> THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON DATE: b. No. <input checked="" type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372 <input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW
a. Federal	\$	8,068,554 ⁰⁰																				
b. Applicant	\$	⁰⁰																				
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f. Program Income	\$	750,000 ⁰⁰																				
g. TOTAL	\$	9,318,554 ⁰⁰																				
	17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT? <input type="checkbox"/> Yes if "Yes" attach an explanation. <input checked="" type="checkbox"/> No																					

18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.

a. Authorized Representative

Prefix: Ms.	First Name: Lovely	Middle Name: A.
Last Name: Warren		Suffix:
b. Title: Mayor		c. Telephone Number (give area code): 585 428-7045
d. Signature of Authorized Representative: 		e. Date Signed: June 21, 2019

Application for Federal Assistance SF-424

* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): _____ * Other (Specify): _____
---	---	--

* 3. Date Received: 6/21/2019	4. Applicant Identifier: _____
---	--

5a. Federal Entity Identifier: _____	5b. Federal Award Identifier: _____
--	---

State Use Only:

6. Date Received by State: _____	7. State Application Identifier: _____
---	---

8. APPLICANT INFORMATION:

* a. Legal Name: City of Rochester	
* b. Employer/Taxpayer Identification Number (EIN/TIN): 16-6002551	* c. Organizational DUNS: 0024658050000

d. Address:

* Street1:	30 Church Street
Street2:	224B
* City:	Rochester
County/Parish:	Monroe
* State:	NY: New York
Province:	
* Country:	USA: UNITED STATES
* Zip / Postal Code:	146141206

e. Organizational Unit:

Department Name: Neighborhood and Business Dev	Division Name: Office of the Commissioner
--	---

f. Name and contact information of person to be contacted on matters involving this application:

Prefix: Mrs.	* First Name: Mary
Middle Name: Kay	
* Last Name: Kenrick	
Suffix:	

Title: Principal Staff Assistant

Organizational Affiliation: City of Rochester

* Telephone Number: 585-428-6309	Fax Number: 585-428-7899
---	---------------------------------

* Email: marykay.kenrick@cityofrochester.gov

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

*** Other (specify):**

*** 10. Name of Federal Agency:**

United States Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14-218

CFDA Title:

Community Development Block Grant

*** 12. Funding Opportunity Number:**

B19MC360003

*** Title:**

Community Development Block Grant

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

*** 15. Descriptive Title of Applicant's Project:**

Various projects.

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="8,068,554.00"/>
* b. Applicant	<input type="text" value="0.00"/>
* c. State	<input type="text" value="0.00"/>
* d. Local	<input type="text" value="500,000.00"/>
* e. Other	<input type="text" value="0.00"/>
* f. Program Income	<input type="text" value="750,000.00"/>
* g. TOTAL	<input type="text" value="9,318,554.00"/>

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

Yes No

If "Yes", provide explanation and attach

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:

Middle Name:

* Last Name:

Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative: 

* Date Signed:

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009
Expiration Date: 02/28/2022

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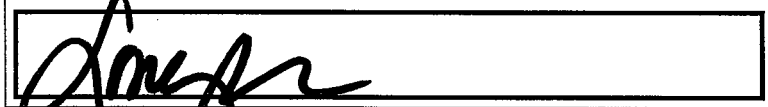
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As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
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11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
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14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
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18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
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SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	Mayor
APPLICANT ORGANIZATION	DATE SUBMITTED
City of Rochester	6/21/19

ESG

ASSURANCES - CONSTRUCTION PROGRAMS

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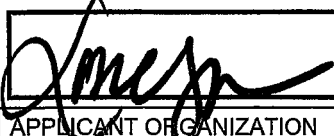
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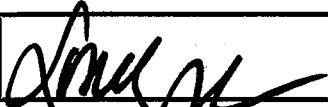
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13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	Mayor
APPLICANT ORGANIZATION	DATE SUBMITTED
City of Rochester	6/24/19

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

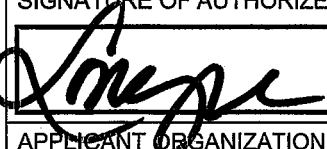
PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681 1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
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18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	Mayor
APPLICANT ORGANIZATION	DATE SUBMITTED
City of Rochester	6/21/19

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential antidisplacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.


Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.


Signature/Authorized Official
Mayor

Date

6/21, 2019

Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
2. **Overall Benefit.** The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) 2019, _____ (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its

jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K and R;

Compliance with Laws -- It will comply with applicable laws.

 _____ , 2019
Signature/Authorized Official Date

Mayor

Title

Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If the participating jurisdiction intends to provide tenant-based rental assistance:


The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

Eligible Activities and Costs -- it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

Appropriate Financial Assistance -- before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;



Signature/Authorized Official

 , 2019

Date

Mayor

Title

ESG Certifications

The Emergency Solutions Grants Program Recipient certifies that:

Major rehabilitation/conversion – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation. If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion. In all other cases where ESG funds are used for renovation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

Essential Services and Operating Costs – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the jurisdiction will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the jurisdiction serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The jurisdiction will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal State, local, and private assistance available for such individuals.

Matching Funds – The jurisdiction will obtain matching amounts required under 24 CFR 576.201.

Confidentiality – The jurisdiction has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

Homeless Persons Involvement – To the maximum extent practicable, the jurisdiction will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

Consolidated Plan – All activities the jurisdiction undertakes with assistance under ESG are consistent with the jurisdiction's consolidated plan.

Discharge Policy – The jurisdiction will establish and implement, to the maximum extent practicable and where appropriate policies and protocols for the discharge of persons from

publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.



Signature/Authorized Official

6/21/2019

Date

Mayor

Title

HOPWA Certifications

The HOPWA grantee certifies that:

Activities -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

Building -- Any building or structure assisted under that program shall be operated for the purpose specified in the plan:

1. For at least 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility,
2. For at least 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.



Signature, Authorized Official

6/21
Date

2019

Mayor
Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING:

A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.