



City of Rochester, NY

**2022 - 23  
ANNUAL  
ACTION PLAN**

Malik D. Evans, Mayor  
City of Rochester



 Malik D. Evans, Mayor



City of Rochester, NY  
Rochester City Council

## Executive Summary

*AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)*

### 1. Introduction

The City of Rochester, New York, submitted a Five Year Strategic Plan for the program years 2020-21 through 2024-25. This is the 2022-23 Annual Action Plan as part of the Consolidated Plan that guides the allocation of federal entitlement funds available through the Community Development Block Grant (CDBG) Program, Home Investment Partnerships (HOME) Program, Emergency Solutions Grants (ESG) Program, and Housing Opportunities for Persons with AIDS (HOPWA) programs. The dates covered in this Annual Action Plan are July 1, 2022 through June 30, 2023.

The Annual Action Plan serves as:

- A planning document that enables the City to view its HUD funding, not in isolation, but as one tool in a comprehensive strategy to address housing, community development, and economic development needs.
- An application for CDBG, HOME, ESG, and HOPWA Program funds under HUD's formula grant.
- A strategy document to be followed in carrying out HUD programs.
- An action plan that provides a basis for assessing performance in carrying out use of CDBG Program funds.

The Consolidated Plan is guided by three overarching goals that are applied according to the community's needs as follows:

- Providing decent housing by preserving affordable housing stock, increasing the availability of affordable housing, reducing discriminatory barriers, increasing the supply of supportive housing for those with special needs, and transitioning homeless persons and families into housing.
- Providing a suitable living environment through safer, more livable neighborhoods, greater integration of low- and moderate-income residents throughout the city, increased housing opportunities, and reinvestment in deteriorating neighborhoods.
- Expanding economic opportunities through more jobs paying self-sufficient wages, homeownership opportunities, development activities that promote long-term community viability, and the empowerment of low- and moderate-income persons to achieve self-sufficiency.

### 2. Summarize the objectives and outcomes identified in the Plan

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

The Needs Assessment conducted during the 2020-24 Consolidated Plan development process identified the need for:

- Safe, decent housing that is affordable to low income households;
- Housing rehabilitation programs;
- code enforcement and demolition of blighted structures that pose a health and safety threat to the community;
- Economic development to increase household incomes throughout the City;
- Workforce development and job training;
- safe neighborhoods;
- Youth programming, services and training;
- Housing and services for persons experiencing homelessness or who are at risk of becoming homeless;
- Housing and services for persons with non-homeless special needs;
- Public infrastructure improvements;
- Public facility improvements;
- Health, mental health and other public services; and
- Transportation.

The projects included in the 2022-23 Annual Action Plan each address one or more of these community needs.

### **3. Evaluation of past performance**

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

The City prepares a Consolidated Annual Performance Evaluation Report (CAPER) each year. For the 2020-21 program year, the following are some of the CDBG funded accomplishments: Persons assisted via Public Facility or Infrastructure Activities: 3,400; Persons assisted via Public Service Activities not housing related: 16,414; Persons assisted via Public Service Activities housing benefit: 345; 154 housing units were rehabilitated, and 138 buildings were demolished.

0 rental units were rehabilitated utilizing HOME funding. 0 homeowner housing units were added.

3,652 persons were served utilizing Emergency Solutions Grant funding. 138 households were served utilizing Housing Opportunities for Persons with Aids funds.

### **4. Summary of Citizen Participation Process and consultation process**

Summary from citizen participation section of plan.

The citizen participation and consultation process included the following components for the 2020-24 Five Year Strategic Plan:

- Four public meetings, one in each quadrant of the City, to obtain input from residents, neighborhood representatives and stakeholders
- A public survey, available on-line in both English and Spanish, and distributed by mail to more than 900 residents and stakeholders



- A survey of business needs, available on-line and distributed by mail to more than 900 residents and business representatives
- Ten focus groups with representatives of agencies, organizations and stakeholders on the following topics: Public Housing; Fair Housing; Affordable Housing Development/ Homeownership; Housing Rehabilitation; Homelessness Prevention and Services/ Supportive Housing; Youth and Health Services; Seniors and Persons with Disabilities; Public infrastructure and facilities; Large employers and manufacturers; Small business

The Housing Division regularly consults with program partners, sub-recipients, housing providers, and prospective partners to inform the Housing strategy and programs.

For the development of the 2022-23 Annual Action Plan, Citizen Participation included two virtual public hearings held on March 3, 2022 and June 22, 2022. Notifications of the public hearings were made to the public via: public notices, advertising on the City's website, and through emails to a curated listserv of residents who have expressed interest in staying informed of the City's HUD grant activities and strategy. A final public hearing overseen by Rochester City Council was held on July 14th, 2022. Notes with public comments from these meetings are attached.

## 5. Summary of public comments

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

For the 2020-24 Consolidated Plan public engagement, the needs mentioned most were:

- Safe, decent affordable housing;
- Economic development to increase the number of jobs that pay a living wage;
- Public facilities;
- Public infrastructure;
- Public safety;
- Health and mental health services;
- Homeless services;
- Youth programming and training initiatives;
- Other public services;
- Transportation;
- Poverty reduction.

**For the 2022-23 Annual Action Plan, the needs mentioned most were:**

- Safe, decent, affordable housing;
- Home ownership;
- Housing rehabilitation, including universal design requirements for property rehabilitation;
- Street liaison program expansion;

- Other public services, including broadband access; and
- Reporting by census tract on past projects and programs funded by HUD

**6. Summary of comments or views not accepted and the reasons for not accepting them**

All comments received were accepted.

**7. Summary**

In summary, the five-year 2020-2024 Consolidated Plan and the third year Annual Action Plan for 2022 have been developed with community input and support the implementation of Rochester 2034. It is expected that the City will continue to fulfill the intent of the CDBG, HOME, ESG, and HOPWA programs by facilitating the: affordability of safe, decent housing; availability, accessibility, and sustainability of suitable living environments; accessibility of economic opportunities; provision of housing and services for those experiencing homelessness; and meeting the housing and services needs of persons with HIV/AIDS and their families.

1. **Agency/entity responsible for preparing/administering the Consolidated Plan**

Describe the agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Table 1 – Responsible Agencies

Agency Role	Name	Department/Agency
CDBG Administrator	ROCHESTER	NBD/Strategic Initiatives
HOPWA Administrator	ROCHESTER	NBD/Business and Housing Development
HOME Administrator	ROCHESTER	NBD/Business and Housing Development
ESG Administrator	ROCHESTER	NBD/Business and Housing Development

**Narrative (optional)**

**Consolidated Plan Public Contact Information**

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## 1. Introduction

**Provide a concise summary of the jurisdiction’s activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(l))**

Rochester staff meets regularly with representatives of the Rochester Housing Authority to coordinate in planning housing projects and providing employment training to public housing residents. Rochester staff are engaged with the Continuum of Care; the City collaborated with Monroe County on homelessness initiatives. Through the Enterprise Anti-displacement Learning Network, City staff regularly meet and plan activities to address housing displacement with Catholic Charities, Anthony Jordan Health Center, and the Rochester City-Wide Tenant Union. The City is very involved with the CoC and local advocacy efforts, including the Homeless Services Network (HSN). Representatives from the City serve on the CoC's Board and HSN's Steering Committee.

**Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.**

Rochester participates in the Rochester/ Monroe County Continuum of Care (CoC), a multi-jurisdictional, community-wide group which oversees the local system to determine if the needs of the homeless are being met. The CoC continues to stress the need for permanent housing while maintaining existing successful emergency shelters through permanent supportive housing and supportive services. The City also participates in the annual CoC funding application review process, and helps monitor the projects as part of the reviewer team. This provides the City with insight into the demand and availability of particular programs, unit types, or services, and improves communication between parties.

**Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS**

City staff work closely with the CoC and other agencies to develop funding applications and determine the best use of ESG funds. A representative from the CoC also serves on the ESG proposal review committee. By working with the CoC, City staff are involved in improving coordination among agencies, facilitating data collection through HMIS, and allocating funds **effectively**.

## 2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction’s consultations with housing, social service agencies and other entities

Table 2 – Agencies, groups, organizations who participated

1	Agency/Group/Organization	Catholic Family Center
	Agency/Group/Organization Type	Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Health
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in virtual planning meetings to address and combat homelessness, eviction, rental relief access concerns, and other displacement issues.
2	Agency/Group/Organization	Reach Advocacy
	Agency/Group/Organization Type	Services-homeless
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless Homelessness Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated with other stakeholders in several virtual planning meetings.
3	Agency/Group/Organization	CAMERON COMMUNITY MINISTRIES
	Agency/Group/Organization Type	Services-Children
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Non-Homeless Special Needs



	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in stakeholder workshops.
4	Agency/Group/Organization	PROVIDENCE HOUSING DEVELOPMENT CORPORATION
	Agency/Group/Organization Type	Services-Persons with Disabilities Services-homeless
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in stakeholder workshops.
5	Agency/Group/Organization	Flower City Habitat for Humanity
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated with other stakeholders in several virtual planning meetings.
6	Agency/Group/Organization	COORDINATED CARE SERVICES, INC.
	Agency/Group/Organization Type	Services-Children Services-Persons with Disabilities Services-homeless
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Anti-poverty Strategy

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated with other stakeholders in several virtual planning meetings.
7	Agency/Group/Organization	EMPIRE JUSTICE CENTER
	Agency/Group/Organization Type	Legal Services
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in virtual planning meetings to plan for and address foreclosure and eviction concerns.
8	Agency/Group/Organization	SOUTH WEDGE PLANNING COMMITTEE
	Agency/Group/Organization Type	Planning organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Economic Development
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in stakeholder workshops.
9	Agency/Group/Organization	PathStone Corp
	Agency/Group/Organization Type	Housing Services - Housing Services-Health Services-Education Community Development Financial Institution
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Economic Development

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in virtual stakeholder workshops and planning meetings to address and combat foreclosure, homelessness, and eviction concerns.
10	Agency/Group/Organization	Youth Voice One Vision
	Agency/Group/Organization Type	Services-Children
	What section of the Plan was addressed by Consultation?	Homeless Needs - Chronically homeless Homelessness Strategy Non-Homeless Special Needs Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in stakeholder workshops.
11	Agency/Group/Organization	Ibero American Development Corp.
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Economic Development
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in stakeholder workshops.
12	Agency/Group/Organization	Excellus
	Agency/Group/Organization Type	Services-Health
	What section of the Plan was addressed by Consultation?	Homelessness Strategy Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in stakeholder workshops.

13	Agency/Group/Organization	Samaritan Center of Excellence, Inc.
	Agency/Group/Organization Type	Services-Health
	What section of the Plan was addressed by Consultation?	Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in stakeholder workshops.
14	Agency/Group/Organization	THE CENTER FOR YOUTH
	Agency/Group/Organization Type	Services - Housing Services-Children Services-homeless
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Needs - Unaccompanied youth Homelessness Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated with other stakeholders in several virtual planning meetings
15	Agency/Group/Organization	House of Mercy
	Agency/Group/Organization Type	Housing Services-homeless
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated with other stakeholders in several virtual planning meetings.

16	Agency/Group/Organization	Finger Lakes Performing Provider System
	Agency/Group/Organization Type	Services-Health
	What section of the Plan was addressed by Consultation?	Homeless Needs - Chronically homeless Homelessness Strategy Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in stakeholder workshops.
117	Agency/Group/Organization	East House
	Agency/Group/Organization Type	Services - Housing Services-Health
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless Homelessness Strategy Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated with other stakeholders in several virtual planning meetings.
18	Agency/Group/Organization	2-1-1 Lifeline
	Agency/Group/Organization Type	Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Victims of Domestic Violence Services-homeless Services-Education Services-Employment

	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Non-Homeless Special Needs Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in virtual stakeholder workshops, planning meetings, and debrief meetings to address and combat homelessness, eviction, access to rental relief funds, and other areas.
19	Agency/Group/Organization	RECOVERY HOUSES OF ROCHESTER
	Agency/Group/Organization Type	Services - Housing Services-Persons with Disabilities
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated with other stakeholders in several virtual planning meetings.
20	Agency/Group/Organization	Person Centered Housing Options, Inc
	Agency/Group/Organization Type	Housing Services - Housing Services-homeless
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated with other stakeholders in several virtual planning meetings.



21	Agency/Group/Organization	HUTHER DOYLE MEMORIAL INSTITUTE
	Agency/Group/Organization Type	Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-Victims of Domestic Violence Services-homeless Services-Health Services-Employment Services - Victims Health Agency Child Welfare Agency Other government - County
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Non-Homeless Special Needs Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated with other stakeholders in several virtual planning meetings.

22	Agency/Group/Organization	Monroe County Department of Human Services
	Agency/Group/Organization Type	Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-Victims of Domestic Violence Services-homeless Services-Health Services-Employment Services - Victims Health Agency Child Welfare Agency Other government - County
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Non-Homeless Special Needs Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated with other stakeholders in several virtual planning meetings.
23	Agency/Group/Organization	Monroe Housing Collaborative
	Agency/Group/Organization Type	Services - Housing Services-Persons with Disabilities
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless Homelessness Strategy Non-Homeless Special Needs

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated with other stakeholders in several virtual planning meetings.
24	Agency/Group/Organization	Mark IV Enterprises
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Economic Development Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in stakeholder workshops.
25	Agency/Group/Organization	JustCause, Inc. (formerly LEGAL ASSISTANCE OF WESTERN NEW YORK)
	Agency/Group/Organization Type	Service-Fair Housing Legal Services
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Non-Homeless Special Needs Fair Housing
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in virtual stakeholder workshops and planning meetings to address and combat homelessness, eviction, rental relief access concerns, and other displacement issues.
26	Agency/Group/Organization	LEGAL AID SOCIETY OF ROCHESTER, NEW YORK INC
	Agency/Group/Organization Type	Service-Fair Housing Legal Services

	What section of the Plan was addressed by Consultation?	Housing Need Assessment Fair Housing
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in stakeholder workshops.
27	Agency/Group/Organization	PLEX
	Agency/Group/Organization Type	Neighborhood Organization Neighborhood Organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in stakeholder workshops.
28	Agency/Group/Organization	Rochester's Cornerstone Group
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in stakeholder workshops.
29	Agency/Group/Organization	Rochester Housing Authority
	Agency/Group/Organization Type	PHA
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Anti-poverty Strategy

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in stakeholder workshops as well as in teleconference meetings.
30	Agency/Group/Organization	Partners Ending Homelessness
	Agency/Group/Organization Type	Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-Victims of Domestic Violence Services-homeless Services-Health Services-Education Services-Employment Service-Fair Housing Services - Victims
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs Economic Development Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated with other stakeholders in several virtual planning meetings, and partnered with City/County on ESG program strategy and awards.

31	Agency/Group/Organization	City of Rochester
	Agency/Group/Organization Type	Services - Broadband Internet Service Providers Agency - Emergency Management Other government - Local
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Non-Homeless Special Needs HOPWA Strategy Market Analysis Economic Development Anti-poverty Strategy Lead-based Paint Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in stakeholder workshops.
32	Agency/Group/Organization	CATHOLIC CHARITIES COMMUNITY SERVICES
	Agency/Group/Organization Type	Services-Persons with HIV/AIDS Services-Families of Persons with HIV/AIDS
	What section of the Plan was addressed by Consultation?	Housing Need Assessment
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency was in regular contact via phone discussing plans
33	Agency/Group/Organization	Trillium Health
	Agency/Group/Organization Type	Services-Persons with HIV/AIDS
	What section of the Plan was addressed by Consultation?	Housing Need Assessment



	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency was in regular contact via phone discussing plans
34	Agency/Group/Organization	Volunteer Legal Services
	Agency/Group/Organization Type	Services-Elderly Persons Legal assistance for Elderly
	What section of the Plan was addressed by Consultation?	Assisting with preparation of legal documents (wills, POA etc)
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency was in regular contact via phone discussing plans
35	Agency/Group/Organization	LIFESPAN OF GREATER ROCHESTER, INC.
	Agency/Group/Organization Type	Services-Elderly Persons Services-Aging in Place
	What section of the Plan was addressed by Consultation?	Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This agency participated in stakeholder workshops as well as frequent phone contact.

Identify any Agency Types not consulted and provide rationale for not consulting

No agencies or organizations were deliberately excluded from the consultation process.

Table 3 – Other local / regional / federal planning efforts consulted

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	Partners Ending Homelessness	The goals of the Strategic Plan are in alignment with the goals of the CoC in ending homelessness and the Strategic Plan was developed after consultation with the CoC
Rochester 2034	City of Rochester	The City undertook extensive public outreach in the writing of the Comprehensive Plan. The Con Plan and Annual Plan are implantation tools of Rochester 2034. The strategic plan of the Con Plan and Rochester 2034 are in alignment.
2018 Citywide Housing Market Study	City of Rochester	The Strategic Plan priorities and goals are in alignment with the findings of the housing study.

Narrative (optional)

AP-12 Participation – 91.105, 91.200(c)

1. Summary of citizen participation process/Efforts made to broaden citizen participation  
Summarize citizen participation process and how it impacted goal-setting

The City participated in a variety of virtual meetings throughout the year with numerous community groups and not-for-profits. These are included in the consultation section. In addition, the City held virtual public hearings on March 3, 2022 and on June 22, 20, 2022. Members of the public were encouraged to submit comments in two separate online forms. From March 3 through May 6, the public was invited to submit their input on how the City should allocate and prioritize HUD funding for the 2022 program year. From June 13 through July 14, the public was invited to provide feedback on the published draft 2022 Annual Action Plan document and the spending plan within it. An online public input form has been available for the public to submit comments, input, and feedback on how the City should allocate HUD funding. Two additional public hearings on the plan were then held on June 22 and July 14.

Table 4. Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of Response, Attendance	Summary of Comments Received	Summary of Comments not Accepted and Reasons	URL (If applicable)
1	Public Hearings	Non-targeted, broad community	See attachments – Public Hearing notes	See attachments – Public Hearing notes	All comments accepted	<p>Hearing 1  <a href="https://www.youtube.com/watch?v=Rjcb45NSE04&amp;ab_channel=CityofRochester">https://www.youtube.com/watch?v=Rjcb45NSE04&amp;ab_channel=CityofRochester</a>                      Hearing 2  <a href="https://www.youtube.com/watch?v=x24hytufUe0&amp;ab_channel=CityofRochester">https://www.youtube.com/watch?v=x24hytufUe0&amp;ab_channel=CityofRochester</a>                      Hearing 3 (see 1:29:00)  <a href="https://www.youtube.com/watch?v=1w-sdYWo1Z0&amp;ab_channel=Rochester%2CNYCityCouncil">https://www.youtube.com/watch?v=1w-sdYWo1Z0&amp;ab_channel=Rochester%2CNYCityCouncil</a></p>

Sort Order	Mode of Outreach	Target of Outreach	Summary of Response, Attendance	Summary of Comments Received	Summary of Comments not Accepted and Reasons	URL (If applicable)
2	Internet Outreach	Non-targeted, broad community	See attachment – Written Comments Received	See attachment – Written Comments Received	All comments accepted	<p><b>City HUD Program Webpage</b>  <a href="https://www.cityofrochester.gov/ConsolidatedPlan2020">https://www.cityofrochester.gov/ConsolidatedPlan2020</a></p> <p><b>City Press Release</b>  <a href="https://www.cityofrochester.gov/article.aspx?id=21474850082">https://www.cityofrochester.gov/article.aspx?id=21474850082</a></p> <p><b>Online Form Survey</b>  <a href="https://forms.microsoft.com/Pages/ResponsePage.aspx?id=LtonvrSU2kGUffYC1g6AfBjKpKY5b1ZHos0uTpSbJNFUNEUxOVILTzNUMDgwN1MyTjIOUTJDMEFTNyQIQCN0PWcu">https://forms.microsoft.com/Pages/ResponsePage.aspx?id=LtonvrSU2kGUffYC1g6AfBjKpKY5b1ZHos0uTpSbJNFUNEUxOVILTzNUMDgwN1MyTjIOUTJDMEFTNyQIQCN0PWcu</a></p>

Expected Resources

AP-15 Expected Resources – 91.220(c)(1,2)  
Introduction

This section describes the federal resources that the City expects to utilize in furthering the goals set forth in this Consolidated Plan.

Table 5. Expected Resources- Priority Table

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 3				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	8,175,063	450,000	0	8,625,063	16,350,126	The expected amount available for the remainder of the Consolidated Plan is two times the FY2022 annual allocation. Program Income is unknown.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 3				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOME	public - federal	Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA	2,755,981	200,000	0	2,955,981	5,511,962	The expected amount available for the remainder of the Consolidated Plan is two times the FY2022 annual allocation. Program Income is unknown.



Program	Source of Funds	Uses of Funds	Expected Amount Available Year 3				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOPWA	public - federal	Permanent housing in facilities Permanent housing placement Short term or transitional housing facilities STRMU Supportive services TBRA	1,028,806	0	0	1,028,806	2,057,612	The expected amount available for the remainder of the Consolidated Plan is two times the FY2022 annual allocation.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 3				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
ESG	public - federal	Conversion and rehab for transitional housing Financial Assistance Overnight shelter Rapid re-housing (rental assistance) Rental Assistance Services Transitional housing	704,815	0	0	704,815	1,409,630	The expected amount available for the remainder of the Consolidated Plan is two times the FY2022 annual allocation.

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

The City does not require all sub-recipients to match allocations to sub-recipients. However, sub-recipients typically have additional funding sources and fund-raising activities that allows their agencies and organizations to effectively leverage federal funds awarded. Rochester will provide matching funds as required and will continue to seek funding from other federal sources as well as State, County and private

funding.

In addition, the City will use projected City Development Funding (CDF) in support of the goals of the Consolidated Plan as follows:

<b>Project/Program</b>	<b>CDF Funding Budgeted</b>
Business Development	\$1,300,000
Project Development (Real Estate Development, Housing and Mixed Use)	\$275,000
Homebuyer Assistance	\$150,000
Homebuyer Training	\$50,000
Celebrate City Living	\$25,000
<b><u>TOTAL</u></b>	<b><u>\$1,800,000</u></b>

If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

The City has a robust program to monitor the status and physical condition of vacant structures located throughout the City. If a structure is vacant, it could be acquired by the City, demolished, and the land used to meet the needs identified in the plan. Or, the City partners with the Rochester Land Bank and the Rochester Housing Development Fund Corporation (RHDFC), an affiliate of the Greater Rochester Housing Partnership (GRHP's), for the HOME Rochester program, or other development partners, to rehabilitate formerly vacant structures and make them available for affordable homeownership and rental opportunities.

The City also has a robust program for monitoring, maintaining, and strategically disposing of City-owned vacant land, which is often offered for sale through Requests For Proposals processes to identify development partners for strategic scattered site as well as larger scale affordable and mixed income housing and mixed-use development.

This program is in alignment with the goals and needs identified in the plan.

#### **Discussion**

These much-needed federal funds will be utilized to support a variety of vital projects and programs in the city of Rochester.

Annual Goals and Objectives

AP-20 Annual Goals and Objectives

Table 6. Goals Summary

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Increase supply of affordable housing	2020	2024	Affordable Housing	City-wide	Increase Supply and Access To and Quality of Affordable Housing	CDBG: \$230,401 HOME: \$2,180,383	Rental units constructed: 16 Household Housing Unit Homeowner Housing Added: 7 Homeowner Housing Rehabilitated: 14
2	Improve quality of housing stock	2020	2024	Affordable Housing	City-wide	Increase Supply and Access To and Quality of Affordable Housing	CDBG: \$879,159	Homeowner Housing Rehabilitated: 40 Housing Units  Rental Housing Rehabilitated: 19 Housing Units
3	Increase access to homeownership	2020	2024	Affordable Housing	City-wide	Increase Supply and Access To and Quality of Affordable Housing	HOME: \$500,000	Direct Financial Assistance to Homebuyers: 57 Households Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
4	Provide Homelessness prevention and homeless housing	2020	2024	Homeless	City-wide	Provide Housing and Services to Homeless and At-Risk	ESG: \$704,815	Tenant-based rental assistance / Rapid Rehousing: 42 Households Assisted Homeless Person Overnight Shelter: 1020 Persons Assisted Overnight/Emergency Shelter/Transitional Housing Beds added: 50 Beds Other: 48 Other
5	Housing and services for persons with HIV/AIDS and	2020	2024	Non-Homeless Special Needs	City-wide	Provide Housing and Services for Persons with Spec	HOPWA: \$997,942	Housing for People with HIV/AIDS added: 193 Household Housing Units
6	Improve Public Infrastructure	2020	2024	Non-Housing Community Development	City-wide	Improve Public Infrastructure and Facilities	CDBG: \$390,511	Other: 0 Other
7	Improve Public Facilities	2020	2024	Non-Housing Community Development	City-wide	Improve Public Infrastructure and Facilities	CDBG: \$781,023	Other: 0 Other



Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
8	Provide public services	2020	2024	Non-Housing Community Development	City-wide	Provide Public Services	CDBG: \$1,279,591	Public service activities other than Low/Moderate Income Housing Benefit: 26,361 Persons Assisted Public service activities for Low/Moderate Income Housing Benefit: 446 Households Assisted
9	Demolish dilapidated structures	2020	2024	Non-Housing Community Development	City-wide	Eliminate Slum/Blighting Influences	CDBG: \$1,269,163	Buildings Demolished: 50 Buildings
10	Provide economic and workforce development	2020	2024	Non-Housing Community Development	CDBG Eligible	Economic and Workforce Development	CDBG: \$439,325	Jobs created/retained: 150 Jobs Businesses assisted: 12
11	Revitalize business/commercial areas	2020	2024	Non-Housing Community Development	City-wide	Economic and Workforce Development	CDBG: \$195,255	Businesses assisted: 27

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
12	Provide City planning	2020	2024	Affordable Housing Homeless Non-Homeless Special Needs Non-Housing Community Development	CDBG Eligible	Planning	CDBG: \$243,093	Other: 0 Other
13	Program administration	2020	2024	Affordable Housing Homeless Non-Homeless Special Needs Non-Housing Community Development	CDBG Eligible		CDBG: \$916,071 HOPWA: \$30,864 HOME: \$275,598	Other: 3 Other

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
14	Program delivery	2020	2024	Affordable Housing Homeless Non-Homeless Special Needs Non-Housing Community Development	CDBG Eligible	Program Delivery	CDBG: \$2,001,471	Other: 1 Other

Goal Descriptions

1	Goal Name	Increase supply of affordable housing
	Goal Description	The City may utilize HOME and CDBG dollars to increase supply of and access to affordable rental units and affordable homeownership opportunities in the City.
2	Goal Name	Improve quality of housing stock
	Goal Description	Funding can also provide for making improvements to the quality and safety of units to ensure the health of occupants.
3	Goal Name	Increase access to homeownership
	Goal Description	The City may utilize HOME and CDBG dollars to increase access to homeownership opportunities in the City.

4	<b>Goal Name</b>	Provide Homelessness prevention and homeless housing
	<b>Goal Description</b>	City of Rochester uses ESG funds to address a number of homeless issues including emergency shelter operations, transitional housing, essential services, rapid rehousing, street outreach, and permanent supportive housing; shelter and assistance for victims of domestic violence, unaccompanied youth, persons with health and mental health conditions who are homeless, chronically homeless, veterans, families and individuals; homelessness prevention; and rental and financial assistance for households that are literally homeless or at-risk of homelessness.
5	<b>Goal Name</b>	Housing and services for persons with HIV/AIDS and
	<b>Goal Description</b>	HOPWA dollars may be used for affordable housing, medical and other essential supportive services, and accessibility modifications for persons with HIV/AIDS and their families.
6	<b>Goal Name</b>	Improve Public Infrastructure
	<b>Goal Description</b>	Public infrastructure and facilities in various income-eligible areas of the City are inadequate for current demands. Priority projects in the infrastructure category include the reconstruction or rehabilitation of streets (including installation of bicycle, multimodal elements, and streetscaping amenities, as recommended) and the installation/reconstruction of sidewalks to be brought into compliance with ADA standards to create "complete streets"; the reconstruction or installation of trail, pathways, and pedestrian gateways to parks, playgrounds, recreation centers, or other open spaces; water and sewer improvements and/or storm water management improvements; and broadband improvements to bridge the digital divide. Infrastructure improvement projects will contribute to the safety and quality of life of neighborhoods and municipalities throughout the City and will benefit LMI persons. Commercial corridor improvement projects are also public improvements that would also be a priority in this category. When necessary, interim maintenance may also be conducted on streets to prevent further deterioration until a full scale reconstruction is practicable.

7	<b>Goal Name</b>	Improve Public Facilities
	<b>Goal Description</b>	Public facilities in various income-eligible areas of the City are inadequate for current demands. Priority projects in the public facilities category includes design and construction of recreation center facilities, as well as play apparatus, spray parks, and recreation or athletic amenities in parks and playgrounds, including the installation of updated equipment and safety surfaces, where needed, to achieve compliance with current Consumer Product Safety Commission and ADA guidelines. Additional projects that would improve access to broadband service and close the digital divide are also a priority. Facility improvement projects will contribute to the safety and quality of life of neighborhoods and municipalities throughout the City and will benefit LMI persons.
8	<b>Goal Name</b>	Provide public services
	<b>Goal Description</b>	Providing public services is a high priority for the City. Dollars may be used for a wide array of activities that support community development, business development, fair housing, legal services, housing services, healthy housing, neighborhood development, senior services, youth development, parks and recreation, arts and culture, public safety, community health, health services, financial empowerment, family self-sufficiency, education, childcare, transportation, food access, or other community supportive services.
9	<b>Goal Name</b>	Demolish dilapidated structures
	<b>Goal Description</b>	To provide safe, livable communities for its residents, the City may use federal funds to acquire, demolish, and dispose of blighted properties that present safety hazards in various communities throughout the City.
10	<b>Goal Name</b>	Provide economic and workforce development
	<b>Goal Description</b>	The City may use federal dollars for activities that promote employment, self-sufficiency, education, job training, technical assistance, business attraction and retention, façade grants, commercial corridor development/revitalization, and economic empowerment of low- and moderate-income persons.
11	<b>Goal Name</b>	Revitalize business/commercial areas
	<b>Goal Description</b>	The City may use federal dollars for activities that support the revitalization of business and commercial areas. Potential programs may provide loans, grants, grant-to-loans/loans-to-grants and interest rate subsidies to community organizations, city businesses, or businesses which are relocating to the City which are expanding and creating jobs within identified commercial corridor areas.

12	<b>Goal Name</b>	Provide City planning
	<b>Goal Description</b>	The City will provide comprehensive and strategic planning, including community engagement, to guide the implementation of federal, state and local programs and policy.
13	<b>Goal Name</b>	Program administration
	<b>Goal Description</b>	Effective administration of CDBG, HOME, ESG and HOPWA programs.
14	<b>Goal Name</b>	Program delivery
	<b>Goal Description</b>	Program delivery covers the development of overall economic and community development policies and provides support services, coordination of real estate development, management of feasibility and consultant studies, monitoring of legal and professional service agreements, reparation of site and financial analyses, performing market research, outreach to businesses, preparation of loan packages and support, pre-development services, outreach, financial, technical and site selection assistance to businesses, organization of neighborhood commercial business assistance programs. It also includes staff costs for the planning, coordinating, and monitoring of HUD-funded housing initiatives.

Projects

AP-35 Projects – 91.220(d)

**Introduction**

The projects included in the 2022-23 Annual Action Plan are listed below. Funds will be used for a variety of activities that work towards promoting economic stability, improving the housing stock, providing for community needs, and other activities such as program delivery, as well as planning and administration of funds.

Table 4 - Project Information

#	Project Name
3	Business Development Financial Assistance Program
4	Neighborhood Commercial Assistance Program
5	Street Liaison
6	HOME Rochester Program Management
7	Aging in Place
8	Legal Services for Seniors
9	Tenant and Landlord Services
10	Foreclosure Prevention
11	Demolition Program
12	Lead Hazard Control Program
13	Housing Rehabilitation Program
14	Emergency Assistance Program (EAP)
15	Project Phoenix
16	Fire Department Small Equipment
17	Residential Street Rehabilitation
18	STEAM Engine
19	DRHS Play Apparatus
20	Job Creation/Youth Development
21	Connecting Children to Nature
22	Employment Opportunity Job Training Support
23	Youth Leadership and Civic Engagement (YVOV)
24	International Plaza
25	Emergency Solutions Grants (ESG)
26	Housing Opportunities for Persons with AIDS (HOPWA)-TH
27	Community Housing Development Organization (CHDO) Reserve
28	Affordable Housing Fund
29	Homebuyer Assistance
30	Homebuyer Training
31	Planning
32	Administration

#	Project Name
33	Neighborhood and Business Program Delivery
34	Housing Opportunities for Persons with AIDS (HOPWA)-CCCS

**NOTE: Projects were renumbered to correspond with project numbers in IDIS. Project 34 was added to differentiate the two sub-recipients of HOPWA funding. Trillium Health and Catholic Charities of the Diocese of Rochester (CCCS). Describe the reasons for allocation priorities and any obstacles to addressing underserved needs**

CDBG and HOME funds are intended to provide low- and moderate-income households with viable communities, including decent housing, a suitable livable environment, and expanded economic opportunities. Eligible activities include community facilities and improvements, removal of blighting conditions, housing rehabilitation and preservation, affordable housing development activities, public services, economic development, planning, and administration. ESG and HOPWA funds are intended to assist persons and households experiencing homelessness and homelessness prevention and housing and services for persons with HIV/AIDS and their families, respectively.

The system for establishing the priority for the selection of FY 2022 projects is predicated upon the following criteria:

- Meeting the statutory requirements of the CDBG, HOME, ESG and HOPWA programs
- Meeting the needs of very low-, low-, and moderate-income residents
- Focusing on low-to-moderate income areas or neighborhoods
- Coordination and leveraging of resources
- Response to expressed needs
- Sustainability and/or long-term impact
- Ability to measure or demonstrate progress and success
- Existence of blighting conditions
- Focusing on the needs of persons and households experiencing homelessness and the need for homeless prevention
- Focusing on the needs of persons with HIV/AIDS and their families

The primary obstacle to meeting underserved needs is the limited funding resources available to address identified priorities. In addition, the gap in what households can afford to pay for housing and the price of housing is another obstacle to meeting the needs of the underserved. The City has a significant affordable housing stock, yet the income level for individual households such as single parent, elderly, disabled, or others of limited economic means, is not sufficient to afford even the lowest priced of the market-rate units.

The effects of greater community needs in the form of homelessness, risk of homelessness, the increased demand for supportive services (particularly for persons with multi-system contact) paired with slightly rising costs of rent and declining wages and an aging housing stock in need of



revitalization puts added pressures on the need for affordable housing within the City.

The City will continue to collaborate with other public agencies and non-profit organizations, when feasible, to leverage resources, and maximize outcomes in housing and community development.

*AP-38 Project Summary*  
Project Summary Information

3	<b>Project Name</b>	Business Development Financial Assistance Program
	<b>Target Area</b>	City-wide
	<b>Goals Supported</b>	Provide economic and workforce development
	<b>Needs Addressed</b>	Economic and Workforce Development
	<b>Funding</b>	CDBG: \$439,325
	<b>Description</b>	The program provides loans, grants, grant-to-loans/loans-to-grants and interest rate subsidies to city businesses or businesses which are relocating to the City which are expanding and creating jobs. Funding can be used for purchase and renovation (interior and exterior) of real estate, purchase of machinery and equipment (including security equipment), predevelopment services including environmental testing, feasibility & planning studies and architectural assistance, targeted support to MWBEs, and working capital including payroll, insurance, utilities, lease/mortgage, inventory, supplies, architectural and accounting services. Funds may also be used in environmental remediation associated with projects in development.
	<b>Target Date</b>	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	12 businesses; 150 jobs created/retained
	<b>Location Description</b>	City-wide
	<b>Planned Activities</b>	All activities focus on facilitating business growth for established and new businesses which are creating and retaining jobs. Funds for building construction, expansions and facades improve the appearance of neighborhood commercial corridors and industrial parks and areas. Funds are utilized to recruit a diverse set of new businesses and increase the number of entrepreneurial firms.
4	<b>Project Name</b>	Neighborhood Commercial Assistance Program
	<b>Target Area</b>	City-wide
	<b>Goals Supported</b>	Revitalize business/commercial areas
	<b>Needs Addressed</b>	Economic and Workforce Development
	<b>Funding</b>	CDBG: \$195,255

	<b>Description</b>	This program supports the Small Business Grant program for essential neighborhood service businesses and micro-enterprises and businesses that create jobs for low and moderate income individuals.
	<b>Target Date</b>	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	27 businesses assisted
	<b>Location Description</b>	City-wide
	<b>Planned Activities</b>	All activities focus on facilitation of business growth for established and new businesses which are creating and retaining jobs. Funding for this program is aligned with the strategic plan to facilitate business growth and enhance neighborhoods by retaining anchor businesses, growing existing businesses, increasing the capacity of business associations, and creating and retaining jobs.
5	<b>Project Name</b>	Street Liaison
	<b>Target Area</b>	City-wide
	<b>Goals Supported</b>	Provide public services
	<b>Needs Addressed</b>	Provide public services
	<b>Funding</b>	CDBG: \$90,000
	<b>Description</b>	Function as a liaison between the City of Rochester Business Development Team, Neighborhood Service Center Administrator and neighborhood businesses; Provide detailed reports of business activities and concerns faced by businesses to City of Rochester staff; Work collaboratively with business associations, and City of Rochester staff to attract and populate business corridors with products and services that meet the consumer needs of the local and greater community.
	<b>Target Date</b>	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	200 Persons
	<b>Location Description</b>	City-wide

	Planned Activities	Street liaisons function as a liaison between the City of Rochester Business Development Team, Neighborhood Service Center Administrator and neighborhood businesses; Provide detailed reports of business activities and concerns faced by businesses to City of Rochester staff; Work collaboratively with business associations, and City of Rochester staff to attract and populate business corridors with products and services that meet the consumer needs of the local and greater community.
6	Project Name	Home Rochester Program Management
	Target Area	City-wide
	Goals Supported	Increase supply of affordable housing
	Needs Addressed	Increase Supply and Access To Quality of Affordable Housing
	Funding	CDBG: \$181,588
	Description	Funds provide operating support to facilitate development from a City-designated Community Housing Development Organization (CHDO), resulting in reduced blight and increased homeownership opportunities for low-moderate income households.
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	To be determined
	Location Description	City-wide
	Planned Activities	Complete high-quality rehab of vacant properties and sell to income-qualified owner occupants.
7	Project Name	Aging in Place
	Target Area	City-wide
	Goals Supported	Provide Public Services
	Needs Addressed	Provide Public Services
	Funding	CDBG: \$39,051

	<b>Description</b>	This program provides support services to keep elders productive in the community. This project offers a safety assessment of the home environment and makes a variety of minor improvements and repairs such as bathroom grab bars, stairway hand rails and door grips, all designed to avoid accidents and maintain household safety. In addition, Lifespan provides consumer education sessions and if necessary, consumer support case management around home repairs.
	<b>Target Date</b>	06/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	126 Persons
	<b>Location Description</b>	City-wide
	<b>Planned Activities</b>	Households for elderly are surveyed and minor modifications are made. Consumer education sessions are held and cases involving consumer support for home repair problems are conducted and closed.
8	<b>Project Name</b>	Legal Services for Seniors
	<b>Target Area</b>	City-wide
	<b>Goals Supported</b>	Provide public services
	<b>Needs Addressed</b>	Provide Public Services
	<b>Funding</b>	CDBG: \$29,288
	<b>Description</b>	Provides assistance with legal services for the elderly that would improve their quality of life. This includes but is not limited to wills and estates, elder abuse, consumer fraud, home repair complaints, accessing medical services, and foreclosure prevention.
	<b>Target Date</b>	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	39 Persons Assisted
	<b>Location Description</b>	City-wide

	Planned Activities	Provides assistance with legal services for the elderly that would improve their quality of life. This includes but is not limited to wills and estates, elder abuse, consumer fraud, home repair complaints, accessing medical services, and foreclosure prevention.
9	Project Name	Tenant and Landlord Services
	Target Area	City-wide
	Goals Supported	Provide public services
	Needs Addressed	Provide Public Services
	Funding	CDBG: \$78,102
	Description	Provides assistance with legal services for the elderly that would improve their quality of life. This includes but is not limited to wills and estates, elder abuse, consumer fraud, home repair complaints, accessing medical services, and foreclosure prevention.
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	174 Persons
	Location Description	City-wide
	Planned Activities	Legal advocacy, assistance in avoidance of immediate eviction, alternative dispute resolution and counseling to landlords and tenants, tenant workshops, landlord assistance including the housing hotline.
10	Project Name	Foreclosure Prevention
	Target Area	City-wide
	Goals Supported	Provide public services
	Needs Addressed	Provide Public Services
	Funding	CDBG: \$122,327

	<b>Description</b>	Two projects work interdependently to assist low to moderate income households to avoid foreclosures due to mortgage default, predatory loans, or tax default. The Housing Council program analyzes the financial and household situation and work with the client, mortgage lender, realtors, lawyers, and other appropriate parties to prevent the loss of the property to foreclosure. Strategies include budget restructuring, forbearance options, reinstatement, sale and bankruptcy, and assistance related to New York State mandated settlement conferences. Empire Justice helps households avoid foreclosure with direct legal services and/or representation for mandatory settlement conferences or to combat predatory/unfair lending and servicing practices. Additional households receive expert advice on loans and referrals to appropriate agencies.
	<b>Target Date</b>	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	107 Households Assisted
	<b>Location Description</b>	City-wide
	<b>Planned Activities</b>	Funds go to a HUD-certified counseling agency (The Housing Council) or statewide legal agency (Empire Justice), both with decades of experience, to provide direct counseling services to households at risk of mortgage or tax default.
11	<b>Project Name</b>	Demolition Program
	<b>Target Area</b>	City-wide
	<b>Goals Supported</b>	Demolish dilapidated structures
	<b>Needs Addressed</b>	Eliminate Slum/Blighting Influences
	<b>Funding</b>	CDBG: \$1,269,163
	<b>Description</b>	Funds will be used for demolition of residential, industrial and/or commercial properties. Includes implementation of a Clean Demo Strategy, which requires the removal of all subsurface concrete structures and utilizing clean virgin soil for backfill focused on targeted areas of past and future development.
	<b>Target Date</b>	6/30/2023



	Estimate the number and type of families that will benefit from the proposed activities	50 Buildings
	Location Description	City-wide
	Planned Activities	Demolish approximately 50 vacant and blighted buildings.
12	<b>Project Name</b>	Lead Hazard Control Program
	<b>Target Area</b>	City-wide
	<b>Goals Supported</b>	Improve quality of housing stock
	<b>Needs Addressed</b>	Increase Supply and Access To and Quality of Affordable Housing
	<b>Funding</b>	CDBG: \$219,662
	<b>Description</b>	Funds will be used for rehab costs to mitigate lead hazards in residential units
	<b>Target Date</b>	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	19 Housing units
	Location Description	City-wide
Planned Activities	Rehab 19 units	
13	<b>Project Name</b>	Housing Rehabilitation Program
	<b>Target Area</b>	City-wide
	<b>Goals Supported</b>	Improve quality of housing stock
	<b>Needs Addressed</b>	Increase Supply and Access To and Quality of Affordable Housing
	<b>Funding</b>	CDBG: \$561,870
	<b>Description</b>	This program will provide financial assistance for rehabilitation to eligible property owners to address blight and hazards. The funds will be distributed through a variety of efforts including to: enhance targeted areas of development, address city-wide blight and health and safety hazards.
	<b>Target Date</b>	6/30/2023

	Estimate the number and type of families that will benefit from the proposed activities	21 Housing Units
	Location Description	City-wide
	Planned Activities	Rehabilitation grants
14	<b>Project Name</b>	Emergency Assistance Program (EAP)
	<b>Target Area</b>	City-wide
	<b>Goals Supported</b>	Improve quality of housing stock
	<b>Needs Addressed</b>	Increase Supply and Access To and Quality of Affordable Housing
	<b>Funding</b>	CDBG: \$97,627
	<b>Description</b>	This program will provide financial assistance to address emergencies including, but not limited to, heat and/or hot water tanks for income eligible owner-occupants.
	<b>Target Date</b>	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	19 Housing Units
	Location Description	City-wide
Planned Activities	Emergency repairs including, but not limited to, replace furnaces and/or hot water heaters for low/mod owner occupants	
15	<b>Project Name</b>	Project Phoenix Program
	<b>Target Area</b>	City-wide
	<b>Goals Supported</b>	Increase Supply of Affordable Housing
	<b>Needs Addressed</b>	Increase Supply and Access To and Quality of Affordable Housing
	<b>Funding</b>	CDBG: \$48,813
	<b>Description</b>	This program will provide construction industry workforce development training via a non-profit that rehabilitates vacant homes for future residential occupancy.
	<b>Target Date</b>	6/30/2023

	Estimate the number and type of families that will benefit from the proposed activities	15 Persons 3housing units
	Location Description	City-wide
	Planned Activities	Rehabilitation of vacant structures.
16	<b>Project Name</b>	Fire Department Small Equipment
	<b>Target Area</b>	City-wide
	<b>Goals Supported</b>	Provide public services
	<b>Needs Addressed</b>	Provide Public Services
	<b>Funding</b>	CDBG: \$53,695
	<b>Description</b>	The Rochester Fire Department (RFD) provides and installs free smoke and carbon monoxide detectors to families living in residential properties. The RFD coordinates and implements fire prevention and education programs to reach at-risk target populations.
	<b>Target Date</b>	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	1,659 Persons
	Location Description	City-wide
Planned Activities	Distribution of smoke detectors and carbon monoxide detectors	
17	<b>Project Name</b>	Residential Street Rehabilitation
	<b>Target Area</b>	City-wide
	<b>Goals Supported</b>	Improve Public Infrastructure
	<b>Needs Addressed</b>	Improve Public Infrastructure and Facilities
	<b>Funding</b>	CDBG: \$390,511
	<b>Description</b>	This project will support residential street maintenance and rehabilitation including milling and resurfacing, new curbs, sidewalks, water, receiving basins, and street lighting improvements for residential streets.
	<b>Target Date</b>	6/30/2023

	Estimate the number and type of families that will benefit from the proposed activities	To be determined
	Location Description	City-wide
	Planned Activities	Residential street maintenance and rehabilitation including milling and resurfacing, new curbs, sidewalks, water, receiving basins, and street lighting improvements for residential streets.
18	<b>Project Name</b>	STEAM Engine
	<b>Target Area</b>	City-wide
	<b>Goals Supported</b>	Provide public services
	<b>Needs Addressed</b>	Provide Public Services
	<b>Funding</b>	CDBG: \$165,966
	<b>Description</b>	<p>The S.T.E.A.M. (Science, Technology, Engineering, Arts and Math) Engine is a mobile education and recreation program that will engage youth in free, high quality STEM and visual/performing arts activities in parks, playgrounds, recreation centers, and cultural art institutions. The program will be delivered using the Rec on the Move model, which brings activities, equipment, staff, and all of the benefits of R Centers right to youth and families in their neighborhoods.</p> <p>A portion of the STEAM Engine funds will continue to support the delivery of community-based music instruction through the ROCmusic program, an after-school and summer music education program that offers tuition-free instrumental music instruction, small and Large group lessons, and performance opportunities at R-Centers</p> <p>This allocation will cover \$24,406 of supplies and public services, and \$141,560 of staff program delivery costs</p>
	<b>Target Date</b>	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	2,928 Persons Assisted

	Location Description	City-wide
	Planned Activities	Deliver weekly neighborhood-based mobile arts engagement & STEM program in R-Centers.. Deliver weekly classical music instruction, instrument lessons, and performance opportunities to Rochester youth in grades 1-12 at R-Centers.
19	Project Name	DRHS Play Apparatus
	Target Area	City-wide
	Goals Supported	Improve Public Facilities
	Needs Addressed	Improve Public Infrastructure and Facilities
	Funding	CDBG: \$781,023
	Description	Includes design and construction of play apparatus at several parks and playgrounds, including the installation of updated equipment and safety surface as needed and to maintain compliance with current Consumer Product Safety Commission and ADA guidelines.
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	To be determined
	Location Description	City-wide
Planned Activities	Play apparatus at various City parks and playgrounds.	
20	Project Name	Job Creation/Youth Development
	Target Area	City-wide
	Goals Supported	Provide public services Provide economic and workforce development
	Needs Addressed	Provide Public Services Economic and Workforce Development
	Funding	CDBG: \$488,139

	<b>Description</b>	This project will support City of Rochester Department of Recreation and Human Services programs and activities, including the Summer of Opportunity program, that provide year-round job readiness, work awareness, and basic occupational skills development for low/mod income youth seeking employment or career exploration services. The funds will be used to lend support to programs or activities that are identified as providing an integrated environment for the provision of job readiness, work awareness, and basic occupational skills development for low/mod income city residents seeking employment or vocational or career exploration services. It will also provide asset development opportunities for youth and skills development for adults.
	<b>Target Date</b>	6/30/2023
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	781 Persons Assisted
	<b>Location Description</b>	City-wide
	<b>Planned Activities</b>	The activity will include programs and activities that provide job readiness, work readiness, basic occupational skills development, asset development opportunities and skills development. Both youth and adults may be served by the activity.
21	<b>Project Name</b>	Connecting Children to Nature
	<b>Target Area</b>	City-wide
	<b>Goals Supported</b>	Provide public services
	<b>Needs Addressed</b>	Provide Public Services
	<b>Funding</b>	CDBG: \$42,175
	<b>Description</b>	This project will expand on the Mayor's 10-Minute Walk to Parks pledge and the City's commitment to the Cities Connecting Children to Nature initiative by providing park activation and environmental education programming for City of Rochester residents.
	<b>Target Date</b>	6/30/2023
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	976 Persons Assisted

	Location Description	City-wide
	Planned Activities	Coordinate and implement a wide array of environmental and parks programming tailored to diverse audiences, including parks stewardship, environmental education, volunteer activities, family-friendly fitness activities in parks, and nature-based play activities.
22	Project Name	Employment Opportunity Job Training Support
	Target Area	City-wide
	Goals Supported	Provide public services Provide economic and workforce development
	Needs Addressed	Provide Public Services Economic and Workforce Development
	Funding	CDBG: \$55,355
	Description	This program will fund staff to coordinate employment readiness and career awareness training to city students, ages 14-20, as well as leadership skills development including social and interpersonal skills, conflict resolution, team building, and decision making. Additionally, students will participate in internships with the City and/or local employers. Funding will provide workforce development support to low/mod income city youth participating in the program in the form of resume consultation and development, interview skills development, and job placement assistance.
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	97 Persons Assisted
	Location Description	City-wide
	Planned Activities	The focus of the program is to train youth ages 14-20 on the soft and hard skills needed to obtain and maintain a job, exposure to community members who can talk to the importance of education and how it is a direct link to picking a career, and moving out of poverty and giving back to the community through community service. The youth who participate in the program move on to apply for the Summer of Opportunity Program for job placement.
23	Project Name	Youth Leadership and Civic Engagement (YVOV)

	<b>Target Area</b>	City-wide
	<b>Goals Supported</b>	Provide public services
	<b>Needs Addressed</b>	Provide Public Services
	<b>Funding</b>	CDBG: \$70,585
	<b>Description</b>	Provide youth-led civic engagement support to low/mod income youth ages 10-19 participating in City of Rochester leadership training and youth development programs. Funding will support staff to coordinate youth civic engagement and entrepreneurship opportunities and leadership skills development including social and interpersonal skills, conflict resolution, event planning, service learning, team building, decision making, and work readiness. It will support the Youth Voice, One Vision – the Mayor's Youth Advisory Council, Roc My Brother's Keeper, and other youth development programming. Each R-Center will have its own leadership group of at least 10 members. Programming will take place in school and community-based settings.
	<b>Target Date</b>	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	195 Persons Assisted
	<b>Location Description</b>	City-wide
	<b>Planned Activities</b>	Youth engaged in this program will work to alleviate problems within their neighborhoods, R-Centers, and schools. Youth learn life skills by attending workshops and by developing positive peer relationships and mentoring relationships with adults. Youth members learn concrete skills related to civic engagement and advocacy, politics and government, and producing community events that prepare them for planning for future life events, college and careers. They see real improvements to their communities as they carry out community service projects thus gaining confidence in their personal efficacy.
24	<b>Project Name</b>	International Plaza
	<b>Target Area</b>	City-wide
	<b>Goals Supported</b>	Provide public services
	<b>Needs Addressed</b>	Provide Public Services
	<b>Funding</b>	CDBG: \$44,908



	<b>Description</b>	CDBG funding will be used to support the management and daily operations of the La Marketa at the International Plaza. La Marketa is a public gathering and event space for community and culturally relevant programming as well as a market space that will offer a variety of vending options ranging from pop-up tents and carts to more permanent retail locations housed in converted shipping containers. Local entrepreneurs can sell their products and services in a low risk, low cost, flexible environment that is envisioned to become an incubator for neighborhood economic development.
	<b>Target Date</b>	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	19,525 Persons Assisted
	<b>Location Description</b>	City-wide
	<b>Planned Activities</b>	Oversee International Plaza operations including vendor recruitment and management, special event & promotional development activities, site maintenance, community engagement activities, nutrition education, and culturally relevant experiential learning opportunities.
25	<b>Project Name</b>	Emergency Solutions Grants (ESG)
	<b>Target Area</b>	City-wide
	<b>Goals Supported</b>	Provide Homelessness prevention and homeless housing
	<b>Needs Addressed</b>	Provide Housing and Services to Homeless and At-Risk
	<b>Funding</b>	ESG: \$704,815
	<b>Description</b>	The program will support homelessness prevention, emergency shelter operations, coordinated entry, rapid re-housing, street outreach, and planning and administration efforts.
	<b>Target Date</b>	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	42 Households Assisted Tenant-based Rental Assistance/Rapid Rehousing 1020 Persons Assisted Homeless Person Overnight Shelter 50 Persons Assisted Homelessness Prevention 48 Persons Assisted Other (Street Outreach programs with case management and housing search as 2 main components)
	<b>Location Description</b>	City-wide

	Planned Activities	Street outreach, coordinated access/entry, rapid rehousing, homelessness prevention, emergency shelter operations and support services to qualified households that meet the appropriate definition of "homeless" or, for homelessness prevention activities, households that meet the HUD definition of "at risk of homelessness." \$52,861 of the funds (7.5% of the allocation) will be used for the City's administration of the ESG Program. That amount is included in this project as only one activity can be listed for this funding source.
26	Project Name	Housing Opportunities for Persons with AIDS (HOPWA) - TH
	Target Area	City-wide
	Goals Supported	Housing and services for persons with HIV/AIDS
	Needs Addressed	Provide Housing and Services for Persons with Special Needs
	Funding	HOPWA: \$548,868
	Description	Provides rental assistance and other supportive services to low income households where a member of the household has been diagnosed with HIV/AIDS.
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	108 Households Assisted
	Location Description	City-wide
Planned Activities	Rental assistance and other supportive services to those with HIV/AIDS.	
27	Project Name	Community Housing Development Organization (CHDO) Reserve
	Target Area	City-wide
	Goals Supported	Increase supply of affordable housing
	Needs Addressed	Increase Supply and Access to and Quality of Affordable Housing
	Funding	HOME: \$413,398
	Description	Subsidy funds to facilitate development from City-designated Community Housing Development Organizations (CHDOs), resulting in reduced blight and increased homeownership and rental housing opportunities for low-moderate income households.
	Target Date	6/30/2023

	Estimate the number and type of families that will benefit from the proposed activities	7 Quality Housing Units coming online.
	Location Description	City-wide
	Planned Activities	Development and rehabilitation assistance to complete high-quality rehab of vacant properties and sell to income-qualified owner occupants and/or the development of high quality affordable rental units.
28	<b>Project Name</b>	Affordable Housing Fund
	<b>Target Area</b>	City-wide
	<b>Goals Supported</b>	Increase supply of affordable housing
	<b>Needs Addressed</b>	Increase Supply and Access To and Quality of Affordable Housing
	<b>Funding</b>	HOME: \$1,766,985
	<b>Description</b>	Provides gap financing for the development and/or rehabilitation of affordable housing. Funds may be for costs associated with new and/or rehabilitated housing; energy efficiency and sustainability features, enhancements, and/or improvements; approved soft costs or limited pre-development costs necessary to create or continue the availability of affordable rental and homeownership housing.
	<b>Target Date</b>	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	16 Rental Units Constructed. 7 Homeowner Housing Units Added 4 Homeowner Housing Units Rehabilitated
	Location Description	City-wide
	Planned Activities	Gap financing for the development of affordable housing.
29	<b>Project Name</b>	Homebuyer Assistance
	<b>Target Area</b>	City-wide
	<b>Goals Supported</b>	Increase access to homeownership
	<b>Needs Addressed</b>	Increase Supply and Access To and Quality of Affordable Housing
	<b>Funding</b>	HOME: \$450,000
	<b>Description</b>	Provides down payment and closing cost assistance of up to \$8,000.
	<b>Target Date</b>	6/30/2023

	Estimate the number and type of families that will benefit from the proposed activities	57 Households Assisted
	Location Description	City-wide
	Planned Activities	Down payment and closing cost assistance
30	<b>Project Name</b>	Homebuyer Training
	<b>Target Area</b>	City-wide
	<b>Goals Supported</b>	Increase access to homeownership
	<b>Needs Addressed</b>	Increase Supply and Access To and Quality of Affordable Housing
	<b>Funding</b>	HOME: \$50,000
	<b>Description</b>	Provide pre and post-purchase training for first time homebuyers to the city.
	<b>Target Date</b>	6/30/2023
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	The households that are assisted with HOME and CDF funds from this program are already counted in the Homebuyer Assistance program.
	<b>Location Description</b>	City-wide
	<b>Planned Activities</b>	Pre and post-purchase training for first time homebuyers in the city.
31	<b>Project Name</b>	Planning
	<b>Target Area</b>	City-wide
	<b>Goals Supported</b>	Provide City planning
	<b>Needs Addressed</b>	Planning
	<b>Funding</b>	CDBG: \$243,093
	<b>Description</b>	Funds are used to reimburse salary and fringe of City staff in the Office of Planning.
	<b>Target Date</b>	6/30/2023

	Estimate the number and type of families that will benefit from the proposed activities	Other 1
	Location Description	City-wide
	Planned Activities	Planning staff
32	Project Name	Administration
	Target Area	City-wide
	Goals Supported	Program administration
	Needs Addressed	Administration
	Funding	CDBG: \$916,071 HOPWA: \$30,864 HOME: \$275,598
	Description	This project provides funds to cover the overall administration and monitoring of the City's HUD programs, including CDBG Program Management (\$478,865), CDBG Indirect Costs like legal and financial services (\$437,206), HOPWA administration (\$30,864), and HOME administration (\$275,598).
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	Other 3
	Location Description	City-wide
	Planned Activities	Program management, monitoring, legal and financial services, contracting, coordination, and administration of federal programs.
33	Project Name	Neighborhood and Business Program Delivery

<b>Target Area</b>	City-wide
<b>Goals Supported</b>	Program delivery
<b>Needs Addressed</b>	Program Delivery
<b>Funding</b>	CDBG: \$2,001,471
<b>Description</b>	The allocation provides funds to cover the direct staff costs of delivering the specific projects listed above (not including projects 29 or 30) including but not limited to: the provision of technical and support services, coordination of real estate development, management of feasibility and consultant studies, monitoring of legal and professional service agreements, preparation of site and financial analyses, performing market research, outreach to businesses, preparation of loan packages and support, pre-development services, outreach, financial, technical and site selection assistance to businesses, organization of neighborhood commercial business assistance programs. Funds are used for staff involved in the planning, coordinating, and monitoring of the City's housing initiatives.
<b>Target Date</b>	6/30/2023
<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Other 1
<b>Location Description</b>	Not applicable

	<b>Planned Activities</b>	Development of overall economic and community development policies and provide support services, coordination of real estate development, management of feasibility and consultant studies, monitoring of legal and professional service agreements, preparation of site and financial analyses, performing market research, outreach to businesses, preparation of loan packages and support, pre-development services, outreach, financial, technical and site selection assistance to businesses, organization of neighborhood commercial business assistance programs. Funds are also used for staff involved in the planning, coordinating, and monitoring of the City's housing initiatives.
34	<b>Project Name</b>	Housing Opportunities for Persons with AIDS (HOPWA) - CCCS
	<b>Target Area</b>	City-wide
	<b>Goals Supported</b>	Housing and services for persons with HIV/AIDS
	<b>Needs Addressed</b>	Provide Housing and Services for Persons with Special Needs
	<b>Funding</b>	HOPWA: \$449,074
	<b>Description</b>	Provides rental assistance and other supportive services to low income households where a member of the household has been diagnosed with HIV/AIDS.
	<b>Target Date</b>	6/30/2023
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	81 Households Assisted

	<b>Location Description</b>	City-wide
	<b>Planned Activities</b>	Rental assistance and other supportive services to those with HIV/AIDS.

NOTE: Projects were renumbered to correspond with project numbers in IDIS. Project 34 was added to differentiate the two sub-recipients of HOPWA funding, Trillium Health and Catholic Charities of the Diocese of Rochester (CCCS).



**AP-50 Geographic Distribution – 91.220(f)**

**Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed**

Assistance will be directed throughout the City based on income eligibility and areas in which the percentage of households with incomes at or below 80% AML is at least 51%. The selected projects are largely based on an applicant household’s income more than being placed-based investments.

**Geographic Distribution**

Table 5 - Geographic Distribution

Target Area	Percentage of Funds
City-wide	99
CDBG Eligible	1

**Rationale for the priorities for allocating investments geographically**

Not all of the housing and community development needs identified in this plan can be addressed over the next five years, due primarily to the limited resources available. Therefore, the City has established priorities to ensure that scarce funds are directed to the most pressing needs. The selection of non-housing community development priorities reflects the City’s desire to create appreciable and lasting living environment improvements throughout the City. Specific geographic targeting of housing investment reflects the need to affirmatively further fair housing by directing development to a broader range of neighborhoods and communities and broadening the supply of affordable housing outside of historically saturated areas. HOPWA funds are allocated based in part on the agencies’ abilities to effectively serve clients who are eligible for housing and services under HOPWA.

**Discussion**

The funding priorities and decisions for the City of Rochester are based in a process of neighborhood engagement that provides the basis for funding. The Quadrant Team approach was developed, in part, to assist in the process of identifying neighborhood issues and responding through a cross-functional team approach. The Quad Team approach is threefold: communication, short-term problem-solving, and prioritization of longer-term projects. The long-term goal is to create a process of ongoing issue identification, resource allocation, and prioritization that continually informs the City on economic development, housing, and social issues. The City consults with community groups, nonprofit groups and City department staff on an ongoing basis in the preparation of the Annual Action Plan. The City used statistical data compiled from a variety of sources to prepare a community profile, housing market analysis, and housing needs assessment that is included in the Five Year Consolidated Plan. The City’s federal funding priorities will also adhere to the following guidelines: At least 70% of CDBG expenditures will be for activities that benefit low- and moderate-income families.

The amount of funds proposed for public service activities will not exceed 15% of the annual CDBG amount, including program income. The amount of funds proposed for planning and administration activities will not exceed 20% of the annual CDBG amount, including program income. The City's federal CDBG funds are intended to provide low- and moderate-income households with viable communities, including decent housing, a suitable living environment, and expanded economic opportunities. Eligible activities include community facilities and improvements, housing rehabilitation and preservation, affordable housing development activities, public services, economic development, planning, and administration. The system for establishing the priority for the selection of these projects is predicated upon the following criteria: Meeting the statutory requirements of the CDBG Program; Meeting the needs of low- and moderate-income residents; Focusing on low- and moderate-income areas or neighborhoods; Coordination and leveraging of resources; Response to expressed needs; Sustainability and/or long-term impact, and the Ability to demonstrate measurable progress and success. The City of Rochester also utilizes economic development tools to promote revitalization and growth. These include the Empire Zone Tax Incentive. Although this program expired June 30, 2010 for new entrants into the program, the current zone certified businesses must comply with program requirements to assure continuation of their benefits.

## Affordable Housing

*AP-55 Affordable Housing – 91.220(g)*

### Introduction

The City is committed to creating and preserving affordable housing for homeless, non-homeless and special needs populations through a variety of methods including rental assistance, production of new units, rehabilitation of existing units and acquisition.

The City will use HOME funds and a portion of CDBG funds for new affordable housing units and to rehabilitate existing housing units. Both the special needs population and the homeless population will be served through grants to local service providers. There are no CDBG or HOME supported affordable housing programs targeted to special needs populations at this time. In Program Year 2022-23, the City of Rochester will administer the following programs to preserve and increase access to affordable housing:

- Single and Multifamily Housing Program – new construction, rehabilitation, and homebuyer assistance
- CHDO – supporting community organizations to develop affordable housing
- ESG – homelessness prevention and rapid rehousing
- HOPWA – Tenant-based Rental Assistance (TBRA) and Short-term rent, mortgage, and utility (STRMU) assistance

**Table 6 - One Year Goals for Affordable Housing by Support**

One Year Goals for the Number of Households to be Supported	
Homeless	42
Non-Homeless	159
Special-Needs	193
Total	394

Table 7 - One Year Goals for Affordable Housing by Support Type

One Year Goals for the Number of Households Supported Through	
Rental Assistance	236
The Production of New Units	23
Rehab of Existing Units	73
Acquisition of Existing Units	57
Total	389

### Discussion

Acquisition of units in the above table includes financial assistance to homebuyers in acquiring a home through down payment and closing cost assistance programs.

## Introduction

RHA currently has a copy of its Five-Year Plan (October 2020 – September 2024), Annual Plan and Capital Fund Program Plan available on the RHA website. This section outlines plans and actions that will be undertaken by RHA in the coming year to address the needs of those in public housing as well as the physical needs of the units. RHA updates the plan annually and submits it to HUD for approval. Once HUD approval is received the new plan is posted on RHA’s website.

### **Actions planned during the next year to address the needs to public housing**

RHA will continue to provide quality affordable housing and services for its residents. RHA meets with our resident councils, resident Commissioners, staff and neighborhood associations to address needs and discuss upcoming projects. We take all suggestions and recommendations from these sources into consideration when preparing the five-year Capital Improvement plan. Due to ever changing conditions, items are prioritized and can fluctuate within the plan from year to year. Some planned activities that make use of capital funds include interior and exterior renovations, weatherization, systems upgrades, site work to increase security (lighting, sidewalks, etc.) as well as parking improvements, renovation of laundry facilities and common areas and new windows, roofing, gutters and siding. RHA will identify sites through a physical needs assessment process and prioritize the most strategic use of its Capital Funds to preserve its Public Housing stock. Capital Funds may also be used for development activities and will be used to renovate Scattered Site properties and prepare identified properties for homeownership. RHA will participate in task forces and initiatives to address the housing quality, homelessness, and emergency housing needs in the community.

RHA is continuing its initiative to “Change the Face of Public Housing” by renovating and constructing new units that residents will be proud to call home. RHA will continue its “Beautification Initiative” for all its public housing developments that will not only enhance curb appeal but the rest of the property as well. RHA has been awarded a NYS Preservation Opportunity Program grant to develop plans for the preservation of public housing and is currently working with consultants. Strategies are being developed to preserve Harriet Tubman Estates, Lena Gantt, Holland Townhouses, Bay-Zimmer Apts, and scattered sites in the CONEA and Market View Heights neighborhoods. Physical needs assessments and environmental testing of other public housing properties is also being performed with the grant funds. RHA is advancing the redevelopment of its public housing sites; Federal Street Townhouses/Scattered Sites Rental Assistance Demonstration (RAD) project, Parliament Arms, Fairfield Village and Glenwood/Fernwood. These redevelopment projects may increase or decrease the number of available public housing units with the goal of increasing the number of quality affordable housing units. RHA has room in its Faircloth limit to add public housing units to its portfolio. RHA was awarded Low Income Housing Tax Credits (LIHTC) and other sources of funding to redevelop Federal St. Townhouses/Scattered Sites with construction beginning later this year. RHA may also issue its

own bonds for development activities and acquiring property. RHA intends to apply for NYS funding opportunities for development and capital improvement activities. RHA will be applying to New York State Housing and Community Renewal's Public Housing Preservation Program (PHP) when the NOFA is available. PHP is a partnership among HCR, HUD, Federal Public Housing Authorities (PHAs) outside New York City and collaborating with private for profit and non-profit developers to address the needs of these properties and assist RHA in completing their plans to ensure the long-term sustainability of existing public housing units. HCR will coordinate with RHA and HUD to develop and implement a five-year strategy to preserve public housing units, address their need for capital improvements, and ensure their continued affordability. RHA intends on using Capital Funds to further its mission of "Changing the Face of Public Housing." RHA will identify sites through a physical needs assessment process and prioritize the most strategic use of its Capital Funds to preserve its Public Housing stock. Capital Funds may also be used for development activities and will be used to renovate Scattered Site properties and prepare identified properties for homeownership. RHA will participate in task forces and initiatives to address the housing quality, homelessness, and emergency housing needs in the community.

The RHA Resident Service Department is also working hard to partner with other agencies that can assist our residents in self-sufficiency initiatives and goals. Training, employment, and life skills are a focus in the upcoming year.

### **Actions to encourage public housing residents to become more involved in management and participate in homeownership**

RHA will continue to administer a Family Self-Sufficiency (FSS) program. Under this program, families set goals and a case manager works with them to achieve the goals over a 5-year period. Some families' goals include homeownership. FSS funds four case manager positions. Per HUD guidelines, one case manager position is funded for the first 25 families and an additional case manager for each additional 50 families. There is a waiting list to participate among Section 8 families; open slots are currently available for public housing residents. Outreach to those PH residents consists of management referrals, brochures, and door to door outreach.

RHA will continue to assist participants in the Family Self Sufficiency (FSS) program to achieve their homeownership goals by providing participants with homeownership activities such as credit counseling, building escrow savings accounts, linking them with local grant opportunities and other local homeownership programs. Since 2017 RHA has assisted 92 families in purchasing a home. In 2021, there were 21 graduates of the FSS program who collectively saved over \$100,000 in escrow savings over the five-year period. RHA is implementing HUD's Section 32 Homeownership program and is applying to HUD's Special Application Center (SAC) for the first seven homes that will be participating. RHA surveyed its single family scattered site residents and has received 39 responses from residents wishing to purchase their public housing home. RHA's Resident Services department

staff meets one on one with each resident to develop a path to successful homeownership. RHA's goal is to create a pipeline of potential homeowners.

RHA will also continue to utilize funding from a ROSS (Resident Opportunities and Self-Sufficiency) Grant. This grant funds Service Coordinators who assess the needs of residents of conventional Public Housing and coordinate available resources in the community to meet those needs. This program promotes local strategies to coordinate the use of assistance under the Public Housing program with public and private resources, for supportive services and resident empowerment activities. These services should enable participating families to increase earned income, reduce or eliminate the need for welfare assistance, make progress toward achieving economic independence and reach housing self-sufficiency.

RHA is committed to developing a successful Section 3 program that will provide training, apprenticeship, and employment opportunities for Section 3 candidates. Outreach efforts are ongoing. RHA's Resident Services department works with candidates to determine skill sets, training needs, career paths and desired job placements. RHA has developed a maintenance training program and is utilizing that program to train Section 3 participants. RHA is working with community partners to provide additional training and apprenticeship opportunities for candidates. RHA actively works with contractors to hire Section 3 candidates for federally funded projects throughout the authority.

The Rochester Housing Authority (RHA) provides various opportunities for resident participation. RHA Participant Activities include Resident Programs, Resident Council, Jurisdiction Wide Resident Council, Mobile Food Markets with Foodlink, Youth Programs, Summer Camp through Community Place of Greater Rochester, Boy Scouts of America, Family Food and Fun Program through University of Rochester Medical Center, Center for Community Health, Adult Programs, Community Service, Eviction prevention counseling, Resident Worker Program, Elderly/disabled service coordination for Senior/Disabled residents meetings with the Resident Advisory Board (RAB) to gather input on the agency annual plan and capital/physical needs at their sites.

**If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance**

Rochester Housing Authority is not designated as a troubled agency.

## Discussion

## **Introduction**

Rochester will continue to work with the Continuum of Care to coordinate services to the homeless and to provide outreach and other services, emergency shelter, transitional housing and permanent supportive housing to persons with special needs.

The CoC has incorporated the goals of Opening Doors into its strategic planning. To end chronic homelessness, the CoC is obtaining commitment from PSH providers to give chronically homeless persons priority when a unit vacancy occurs. It is also supporting the Housing First model. To end veteran homelessness, the CoC is partnering with the Veterans Administration and supporting housing targeted to veterans. To end homelessness for families and dependent children, the CoC is emphasizing a rapid rehousing approach. To end homelessness for unaccompanied youth, the CoC is stressing outreach and rapid re-housing for transition age youth.

## **Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs**

The Rochester/Monroe County Continuum of Care fully implemented a Coordinated Entry System (CES) in 2018. The CES provides a strategy to quickly move people from homelessness to permanent housing by establishing a streamlined and uniform method of serving clients in need of housing crisis services, using a single point of entry model; reducing burden on both client and provider by having a unified systemic approach to quickly identify, assess, and refer clients to the best intervention to meet clients' specific needs at first contact; increasing collaboration between agencies in serving client needs more effectively and efficiently; and collecting data on community trends of housing needs to better target limited resources. When households or individuals present to the Continuum in an emergency shelter, the household is assessed using a vulnerability index to determine the type of housing intervention that will most adequately address their need.

## **Addressing the emergency shelter and transitional housing needs of homeless persons**

As discussed above, all persons presenting to the continuum are assessed using a vulnerability index to determine the type of housing intervention that will most adequately address their need. Potential interventions include transitional housing, rapid rehousing or permanent supportive housing. There are currently emergency shelters available for homeless individuals and families, including victims of domestic violence. Transitional housing programs serve youth, veterans and re-entry populations. The CoC has adopted housing first, which seeks to place persons in permanent housing as quickly as possible, then provide supportive services to promote stability in housing.

**Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families**

experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

To end homelessness among households with dependent children, the CoC is investing in Rapid Rehousing so that those who enter emergency shelters exit quickly. It is also providing diversion assistance for those who have a viable alternative to a shelter but require some support. In 2011, Rochester Housing Authority implemented a "Moving On" preference for its Housing Choice Voucher (HCV) program. This allowed families that no longer need case management and services but who still face an economic barrier to transition to the HCV program, freeing up PSH units for families experiencing homelessness who need supportive services. The CoC will be intensifying its outreach efforts to identify and provide support for unsheltered families with dependent children.

ESG funding supports a Rapid Rehousing partnership that includes several housing and service providers. Rapid Rehousing responds quickly and uses an array of mainstream benefits and supports to maximize resources.

The needs of domestic violence victims, including their families, will continue to be broadly addressed. Willow Domestic Violence Center serves women who are victims of domestic violence (or at risk) along with their children, offering counseling, education, and a secure shelter, whose location is not publicly identified. A 24-hour hotline provides information on housing and services for domestic violence victims. Willow Center has stringent policies to ensure the safety and privacy of its clients, and names and other identifying data are not entered into HMIS. In addition, staff of housing providers who serve domestic violence victims are trauma trained.

The Center for Youth Services, Hillside Children's Center, Salvation Army, and Monroe County Youth Bureau will continue to provide housing and services for unaccompanied homeless youth. CoC will continue to offer a range of outreach, emergency and transitional housing and support services are available through CoC and other funding resources. Outreach and drop-in center activities focus on diverting youth from the homeless system. Youth providers have a common intake form, work together closely, and meet on a monthly basis to ensure that homeless youth have access to safe housing and services. In all cases, before a youth leaves a program, he/she is linked to a family member or other responsible, supportive adult. Youth providers will continue to work with Monroe County OMH to ensure access to mental health services and ease transition from the youth to the adult mental health system. Youth ages 16-17 and 18-24 will be targeted separately and offered age-appropriate services, while youth as young as 12 will also be served.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private



agencies that address housing, health, social services, employment, education, or youth needs.

Youth being discharged from foster care or other institutional settings typically return to their family of origin or another responsible family member or adult. When no such adult can be located, the youth is placed in a community program specifically designed to prepare youth for independent living. The CoC will continue to educate homeless providers about the importance of ensuring that youth are not discharged into homelessness. Shelters have been instructed to immediately contact the Monroe County Department of Human Services to report the failed discharge plan if a youth is attempting to access homeless services. The youth is then connected to a caseworker who attempts to re-unite the youth with his/her family or other responsible adult and link him/her to appropriate services. If such a re-uniting is not possible, the youth is referred to a community program that prepares youth to transition to independent living.

Through the Homeless Services Network (HSN), the CoC will continue to work with hospital staff to develop protocols for those occasional times when appropriate stable housing cannot be located at discharge from the hospital. Hospital social work staff have been provided with information on local emergency shelters (e.g., physical layouts, staffing, hours open) so a referral is made to the shelter that can best meet the person's needs. Hospital staff calls the shelter to confirm a bed is available, any required follow-up care is communicated to shelter staff, and the patient is provided with an inpatient facility licensed or operated by the New York State Office of Mental Health. Hospital staff are encouraged to refer individuals to housing consistent with the level of care required by the patient and to not discharge patients until a comprehensive discharge plan is in place. Prior to discharge, individuals in need of supervised housing, and who agree to a referral, are referred to the Monroe County Single Point of Access (SPOA). The SPOA facilitates housing assistance for eligible individuals and connects persons to mental health care coordination services and a sufficient supply of medication.

The Veterans Administration (VA) and the Veterans Outreach Center (VOC) will continue to exclusively serve veterans in the CoC geography. The VA and Rochester Housing Authority (RHA) are partners for the HUD VASH program. VASH referrals come directly from VA facilities or through Coordinated Entry.

## Discussion

AP-70 HOPWA Goals– 91.220 (l)(3)

<b>One year goals for the number of households to be provided housing through the use of HOPWA for:</b>	
Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family	44
Tenant-based rental assistance	100
Units provided in permanent housing facilities developed, leased, or operated with HOPWA funds	0
Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds	0
<b>Total</b>	<b>144</b>

**Please note:** HOPWA also is projected to serve an additional 49 households with Permanent Housing Placement Services. This chart is prescribed by HUD and does not include the Permanent Housing Placement Services outcome category.

**Introduction:**

Local barriers to affordable housing include:

- Insufficient income to purchase or rent a safe and decent housing unit;
- Cost of safe, decent housing may not be affordable;
- Housing that is affordable may require significant rehabilitation;
- Poor credit, lack of financial literacy, and lack of savings for down payment and closing costs for house purchase due to generally low incomes in the city;
- Current status of Rochester as a 'hot' **home purchase** market, means many households are out-bid by investors, those with cash offers, or otherwise able to pay more for a home. In addition, many sellers do not understand or dislike the idea of a grant process, and refuse to sell to households who have grant or other assistance.
- Expensive and complex process faced by affordable housing developers, as well as lack of land available for affordable housing development in Areas of Opportunity;
- Discriminatory practices from lenders and providers in the housing market on the basis of race, ethnicity, or familial status;
- High rates of home purchase loan denials for Black and Hispanic applicants, despite documented instances of Black and Hispanic applicants having similar financial qualifications to non-minority applicants that were approved.

In addition, the following impediments were identified in the analysis and writing of the AI:

1. Persons with lower incomes, who are disproportionately members of the protected classes, are less able to afford safe, decent affordable housing.
2. More fair housing education, outreach, investigation, and enforcement is needed.
3. Group homes are not clearly defined in the City's Zoning Code. This makes it unclear whether group homes are allowed by right in low-density residential districts, which could be discriminatory.
4. The City of Rochester needs to update some of its policies and procedures related to fair housing.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

The City of Rochester will:

- expand the supply of affordable rental and home ownership housing;
- increase homeownership among low and moderate income prospective home buyers;
- preserve and improve the existing stock of affordable housing; and
- Improve equitable access to housing.

To implement these objectives, the City will continue to offer:

- first time homebuyer assistance;
- housing rehabilitation;
- tenant-landlord counseling;
- fair housing programs; and
- development of new affordable housing.

And the City will pursue the following non-housing actions to ameliorate barriers to affordable housing:

- job training/employment assistance;
- financial literacy, counseling, and training

In addition, the City will make progress over the next five years in the Fair Housing Action Plan as identified in the AI.

**Discussion:**

**Introduction:**

The City plans a variety of initiatives to address obstacles to meeting underserved needs, to foster and maintain affordable housing, to reduce lead-based paint hazards, reduce the number of poverty level families, develop institutional structure, and enhance coordination between public and private housing and social service agencies.

**Actions planned to address obstacles to meeting underserved needs**

The primary obstacle to meeting underserved needs for affordable housing in the city of Rochester is the gap in what households can afford to pay for housing and the price of housing. The City has significant affordable housing stock, yet the income level for individual households such as single parent, elderly, disabled, or others of limited economic means, is often insufficient to afford even the lowest of the market rate units. The City will continue to work on economic development to provide better job opportunities and with social service providers to assist such households. In addition, the City will work with for-profit and non-profit developers to create more affordable housing.

Another obstacle to meeting the needs of underserved households is the limited amount of funding received by the City. The City of Rochester will partner with other public agencies and nonprofit organizations, when feasible, to leverage resources and maximize outcomes in housing and community development.

A primary obstacle to meeting underserved economic development needs is the ability of developers to secure the necessary financing to undertake and complete projects. It is typically the role of the public sector to fill the gaps of these financing needs. However, on occasion, these gaps exceed the assistance capabilities of the City. Another obstacle is the ability to secure inexpensive and convenient parking options for Center City businesses and development projects.

**Actions planned to foster and maintain affordable housing**

As stated in the Needs Assessment sections, the primary housing problem is cost burden. Cost burden is caused when a household spends more than 30% of its income on housing costs. This can happen because housing costs are high and/or household income is low. In Rochester, the latter reason is the largest driver of cost burden. To address the need for housing that is affordable to residents, the City will undertake activities that will increase the supply of affordable housing, increase the quality of housing through various rehabilitation programs as well as address economic development and capacity building to attract and retain jobs that pay living wages to increase household incomes.

**Actions planned to reduce lead-based paint hazards**

The City will continue to implement its proactive Lead Based Paint Poisoning Prevention Law and

strategically implement Lead Hazard Control activities. It will continue to apply to HUD for lead hazard control funding and seek non-traditional funding for these activities as well. As at-risk children primarily reside in rental housing built before 1978, the City will continue to target this housing stock as it carries out its lead hazard control efforts. The City will continue its partnership with the Monroe County Department of Public Health to serve children and families at risk of lead-poisoning.

### **Actions planned to reduce the number of poverty-level families**

Rochester will focus on economic development, job creation, youth service and supportive services to reduce the number of families in poverty. Programs to ensure safe neighborhoods and improve public facilities will help to create economic opportunities for residents.

Consistent with the work groups formed to carry out the Rochester-Monroe County Anti-Poverty Initiative, Rochester's Anti-Poverty Strategy will address:

- Education and training, particularly for youth
- Job retention and creation
- Decent, affordable housing
- Health and nutrition
- Safe neighborhoods
- Improving public policy and the systems dealing with poverty

### **Actions planned to develop institutional structure**

To enhance the City's housing systems, staff will work with internal departments to streamline and increase efficiencies by reviewing current procedures compared to best practices and implement changes where necessary.

The primary gap in the service delivery system is currently a lack of funding that would allow agencies to scale up to meet the needs of persons experiencing homelessness or who are at risk of homelessness. Because of this, the City and County award funding to agencies that demonstrate the ability to effectively and efficiently carry out the activities in support of the CoC's identified priorities.

### **Actions planned to enhance coordination between public and private housing and social service agencies**

Plans to coordinate between public and private housing social services agencies is a high priority. To this end, Housing staff will participate with the County, local and state housing and social service agency networks to ensure housing programs for the homeless and non-homeless populations are well coordinated to help ensure their success.

**Discussion:**

**Program Specific Requirements**

**AP-90 Program Specific Requirements – 91.220(l)(1,2,4)**

**Introduction:**

**Community Development Block Grant Program (CDBG)**

**Reference 24 CFR 91.220(l)(1)**

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	450,000
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
<b>Total Program Income:</b>	<b>450,000</b>

**Other CDBG Requirements**

1. The amount of urgent need activities	0%
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	70.0%

**HOME Investment Partnership Program (HOME)**

**Reference 24 CFR 91.220(l)(2)**

1. A description of other forms of investment being used beyond those identified in Section 92.205 is as follows:

The City's investment of HOME funds is consistent with the forms of assistance included in 24 CFR 92.205(b). The City leverages its HOME funds with other funding secured by its housing partners to develop affordable homeownership and rental housing. Community Development Housing Development Organizations (CHDOs) and other non-profit housing developers apply for and receive funding from state and local resources to support the City's housing programs, projects and goals.

2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

### Resale/Recapture Updated Policies

#### HOME PROGRAM AFFIRMATIVE MARKETING REQUIREMENTS AND PROCEDURES FOR MULTIPLE FAMILY HOUSING

The City of Rochester will require that owners of all HOME-assisted housing containing five (5) or more units shall affirmatively market said units to attract eligible persons from all racial, ethnic, and gender groups in the housing market area. The City will annually assess the affirmative marketing program to determine the success of the affirmative marketing activities and the need for any necessary corrective measures.

The owner will make good faith efforts to affirmatively market the units in accordance with the following procedures:

##### Fair Housing Information

1. The owner and the City shall agree upon an initial affirmative marketing strategy.
2. The owner shall prominently display a federal Fair Housing poster in his/her rental office.
3. The owner shall direct all Fair Housing questions from applicants to the City.

##### Marketing Strategy

1. The owner and the City shall agree upon an initial affirmative marketing strategy.
2. The strategy shall establish occupancy goals for the units based upon the demographic characteristics of the market area.
3. The strategy shall describe the specific marketing and outreach activities to be employed by the owners.
4. The marketing strategy may be amended, upon the written approval of the City, to reflect changed market conditions.

##### Marketing Contacts

1. The owner may utilize printed and/or electronic (radio and television) news media to advertise the availability of the units.
2. The owner may utilize local newspapers of general circulation, local magazines, newsletters or neighborhood organizations and housing advocacy organizations, or other approved publications which are known to circulate among low-income populations for the placement of written advertisements.
3. The owner shall contact and provide information to any groups or individuals known or believed to be interested in the availability of the affordable rental units.



## Targeted Outreach

1. The owner shall undertake specific efforts to inform and solicit rental applications from persons in the housing market area who are not likely to apply for the housing without specified outreach. These efforts may be directed toward non-English speaking populations, the developmentally or physically disabled, or other low-income populations unlikely to become informed about the availability of the units.
2. Specialized outreach efforts may be undertaken through direct contact or contacts with organizations known to represent the interests of the population of special concern, e.g. community or advocacy organizations, places of worship, employment centers, fair housing groups, housing counseling agencies, neighborhood health centers, and government agencies delivering services to the populations of special concerns.

## Recordkeeping

1. The owner shall maintain a copy of the approved marketing strategy.
2. The owner shall maintain records documenting specific efforts taken in accordance with the approved marketing strategy.
3. The owner shall annually report to the City on the activities and results of this affirmative marketing effort and shall make all affirmative marketing records available to the City at its request.
4. Affirmative marketing files shall include copies of advertisements; the racial, ethnic, and gender characteristics of potential tenants (applicants) who respond to the ad; and the characteristics of the tenants who actually rented the units.

## Annual Assessment

1. The City will independently evaluate and assess the adequacy of the owner's affirmative marketing efforts on an annual basis.
2. The annual assessment will be based upon a number of factors, including but not limited to: consistency with the approved marketing strategy; the owner's success in meeting occupancy goals; the cumulative number of vacancy days; the number of rental applications generated from targeted groups; and the extent to which handicap-accessible units, if any, are occupied by the people for whom they were designed to serve.
3. When it can be determined that affirmative marketing efforts have been limited or unsuccessful, the City will require changes to improve their effectiveness.

In furtherance of the City's commitment to non-discrimination and equal opportunity in housing, it is the City's affirmative marketing goal to assure that individuals who normally might not apply for vacant rental units because of their race, color, ethnicity, religion, sex, handicap, or familial status be made aware of vacancies in housing units funded through the HOME program; feel welcome to apply; and have the opportunity to rent the units.

This policy will be carried out through the affirmative marketing procedures through the following

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direct actions:

The City will inform the public about its affirmative marketing policy under the HOME program; the Fair Housing Act; Title VI of the Civil Rights Act of 1964; Executive Order 11063, as amended; and Section 504 of the Rehabilitation Act of 197B. The City will place public notices in local newspapers of general circulation; publish public service announcements through electronic media; distribute printed materials; and utilize community contacts.

#### HOME PROGRAM-HOMEBUYER RESALE AND HOME SUBSIDY RECAPTURE GUIDELINES:

The City of Rochester will comply with the following HOME regulations (24 CFR Part 92.254(a)(5) regarding resale and recapture of HOME subsidies. In addition, prescribed language for both resale and recapture provisions is also provided below. It should be noted that the City of Rochester operations regarding Resale and Recapture are as follows: The chart below delineates the programs for Resale and Recapture and provides the tools to be used including the mechanisms for affordability.

Resale:

1. Home Rochester: Write down subsidy for the acquisition/rehabilitation for owner occupants
2. Community Development Organization (CHDO) - Write down subsidy for the acquisition/rehabilitation for owner occupants
3. New Construction development subsidy for Habitat for Humanity and other developers of affordable homeowner-housing

Recapture:

4. Employer Assisted Housing Initiative: Provides a match of down payment and closing cost assistance to employees of participating employers purchasing homes
5. Home Purchase Assistance: Provides down payment and closing cost assistance to buyers purchasing homes on the private market
6. Homebuyer Assistance: Provides up to \$8,000 of down payment and closing cost assistance to buyers purchasing CHDO/Home Rochester homes and other home ownership initiatives.

Resale: The City shall use the Resale option for the Home Rochester and Affordable New Construction (Homeownership) programs. The City shall not use a presumption of affordability but instead use an enforcement mechanism to meet the resale requirement. The following deed restrictive covenant below shall be used, and a lien may be recorded. Also, see attached copy of deed restriction that is recorded.

**Restrictive Covenant Language**-Title to this property (the "Property") is conveyed subject to the following restrictions: The Grantee has acquired this property with the assistance of funds from the City of Rochester provided under 24 CFR Part 92, the HOME Investment Partnership Program (the "Regulations"). As a condition of the subsidy, the Grantee agrees: The Property must be the

Grantee's principal residence for ten (10) or fifteen (15) years dependent on HOME investment from the date of this deed; If the above ten (10) or fifteen (15) year period has not expired and the Grantee sells the Property, the Grantee agrees to sell the Property to a low-income family as defined in the Regulations that will use the Property as its principal residence for the duration of the ten (10) or fifteen (15) year period. Documentation must be provided to the City of Rochester verifying the prospective buyer's income eligibility, and the City of Rochester must approve the buyer's eligibility. The price at resale must: (1) ensure that the Property will remain affordable (as defined in the Regulations) to a reasonable range of low-income homebuyers, and (2) provide the Grantee a fair return on investment (including the Grantee's investment and any capital improvement). Notwithstanding the aforementioned provisions, the affordability restrictions and residency requirement shall terminate upon occurrence of any of the following termination events: Foreclosure; Transfer in lieu of foreclosure; or Assignment of an FHA insured mortgage to HUD. However, the affordability restriction and residency requirement shall be revived according to their original terms if, during the original residency period of ten years, the Grantee before the termination event, or any other entity that includes the Grantee or those with whom the Grantee has or had family or business ties, obtains an ownership in the project or property. In the event that the HOME-assisted Grantee wishes to sell the assisted home, the City of Rochester shall be afforded the right of first refusal to purchase the subject property.

**Fair Rate of Return:** The rate of return will be based upon the percentage change in the Consumer Price Index over the period of ownership plus the owner's down payment and any capital improvements. Capital improvements would include window replacement, major system replacement such as roofs, plumbing, updated electrical, bathrooms and kitchens, additions and porches and central air conditioning. If the property depreciates in value there may not be a return on investment. **Reasonable Range of Low-Income Buyers:** The City will continue make the home affordable to buyers within 48 to 80% of buyers the Median Family Income (MFI), or up to 120% MFI as appropriate, since some houses were designated as available to slightly higher incomes. **Affordability:** During the affordability period the City would help market the home through the affordable housing network which includes pre purchase counseling agencies, housing maintenance organizations and local associations dedicated to promoting affordable housing. The City will also make available down payment and closing cost assistance to new, eligible, homebuyers of HOME assisted houses to assist with affordability. **Recapture:** The City uses the Recapture option for all of its down payment and closing cost assistance programs; Employer Assisted Housing Initiative, Home Purchase Assistance Program and the Homebuyer Assistance Program. The homeowner shall be required to repay a portion of the HOME funds used to assist the homeowner with the original purchase of the home on a pro-rated basis in accordance with the following schedule, per the Note and Mortgage securing the HOME subsidy:

**Time Elapsed-Repayment % 5 Yr** 1st month through 24th month 100%; 25th month - 36th month 75 %; 37th month - 48th month 50%; 49th month -60th month 25%; 61st month- 0%

Repayment terms are subject to the terms of 24 CFR Part 92, specifically if the recapture requirement is triggered by a sale (voluntary or involuntary) of the Property, and there are no net

proceeds or the net proceeds are insufficient to repay the HOME investment due, the City of Rochester may recapture an amount less than or equal to the net proceeds. The net proceeds are defined as the sales price minus loan repayment (other than HOME funds) and any closing costs.

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

HOME funds may be used toward the costs of rehabilitating existing affordable multifamily housing communities for the purpose of sustaining affordable rental housing.

Such projects may be located anywhere within the City of Rochester's boundaries, to include the following objectives: maintaining the affordability of existing rental housing, the creation of additional affordable rental units, or both as part of the redevelopment plan.

The primary objective of such projects is to rehabilitate the existing units and sustain affordability over time, though such projects may include refinance of existing debt. Creation of new units is acceptable, in addition to rehabilitation of existing units.

For projects to be eligible for HOME investment as part of the project, related rehabilitation will be required to meet or exceed a minimum level of \$50,000 per unit, to be sufficient to meet the City's requirements to demonstrate that rehabilitation is the primary project intention. Minimum rehabilitation may include work done to the units themselves, as well as a pro-rata share of building mechanical upgrades, and related soft costs. The City's HOME funds would be contributed only toward the rehabilitation costs of the project and not be used toward refinance of any existing debt.

The investment of HOME funds will be used to ensure that the existing affordable rental housing will be maintained as affordable for a minimum of 15 years, though the City of Rochester will retain the right to assign, at its discretion, an additional local affordability period consistent with the terms of the project's permanent financing and/or payment-in-lieu-of-tax (PILOT) agreement.

Projects will be reviewed to confirm the following: disinvestment in the property has not occurred, feasibility is demonstrated that the project will be able to service the target population over an extended affordability period.

The City's HOME funds cannot be used to refinance multifamily loans made by any other federal program, including Community Development Block Grant funding.

## Emergency Solutions Grant (ESG)

Reference 91.220(l)(4)

1. Include written standards for providing ESG assistance (may include as attachment)

The City, Continuum of Care (CoC) and HSN (a collaboration of more than 60 local homeless services legal, primary care, mental health, substance abuse, re-entry providers and community stakeholders) have met throughout each program year to jointly plan for ESG. In keeping with the City's past practice of providing the Emergency Shelter Grants (ESG) Program Desk Guide March 2001 to all sub-recipients, the City has provided sub-recipients and community providers that coordinate with them the Emergency Solutions Grant Rules and Regulations, and tools available to date including Definition of Homelessness (Criteria for Defining Homeless and Record Keeping Requirements), and Emergency Solutions Grants (ESG) Program Components and Activities. The City provided sub-recipients with information about the homeless, at-risk of homelessness, and chronic homeless definitions and eligibility, documentation and recordkeeping requirements and HMIS participation. The CoC provides HMIS licenses and HMIS training to the City's ESG sub-recipients.

Written standards are included for Street Outreach, Emergency Shelter, Transitional Housing and Rapid Rehousing are attached. These programs are eligible for ESG funding.

2. If the Continuum of Care has established a centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system.

Coordinated Entry is defined by HUD as a consistent and streamlined process for accessing the resources available in the homeless crisis response system...ensuring that those with the highest need, most vulnerable households in the community are prioritized for services and that the housing and supportive services in the system are used as efficiently and effectively as possible.

The intended target population for Coordinated Entry is all households or person(s) who are experiencing homelessness or at-risk of experiencing homelessness in Rochester and Monroe County and seeking assistance from the local crisis response system, which includes prevention assistance and a full spectrum of homeless housing and services. This includes single adults, adults accompanied by children, families, unaccompanied youth, parenting youth, person(s) fleeing domestic violence, and veterans.

The community has agreed upon the use of a common assessment tool, known as the V-SPDAT, to help identify the vulnerability of the household and prioritize them for the appropriate permanent housing intervention based on those needs. Interventions include Rapid Re-Housing for those who have moderate needs and Permanent Supportive Housing, which will be reserved for those with the highest needs. Households scoring low on the assessment are identified as not needing housing intervention and are likely able to resolve their homelessness without ongoing assistance. The V-SPDAT score will be used as one of the determinants in the community-wide

prioritization model. While it is important to have an efficient and effective process by which households can access the homeless system, it is equally important for households to be quickly exited into the appropriate permanent housing that will best meet their needs and minimize their likelihood of returning to homelessness.

Prioritization is a critical component of a Coordinated Entry system to appropriately exit households to permanent housing and to ensure those with the greatest needs have timely access to services. CE has developed a prioritization model based on input from community stakeholders to establish a process to effectively identify those with the highest needs and connect them to the appropriate permanent housing more quickly. CE Workgroup meets regularly to determine how households are prioritized and what information will be used to determine the way in which the list is ordered. A by name prioritization list is now in place and all TH, PSH and RRH housing providers are only permitted to accept households that are referred through the Coordinated Entry prioritization process. The prioritization list began being used by all providers in December 2017. The work group continues to meet to make sure CE is functioning efficiently and revises policies and procedures as needed.

3. Identify the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations (including community and faith-based organizations).

Awards for 2021-22 were based upon a 2021 Request for Proposal (RFP) process in which the City and County jointly published by Legal Notice in The Daily Record; announced availability on its established website for proposals; distributed via networks including those of the CoC, HSN and Housing Choice listservs. Awardees were chosen by a committee of City, County, CoC administrative board, and a formerly homeless community member. Services under this proposal cycle will run through June 30, 2022. The 2022-23 ESG RFP will be publicized and distributed following the same procedure.

4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.

The City of Rochester is in compliance with Section 576.405(a) of the Interim Rule, the City has engaged persons who are currently or formerly homeless in their planning process.

5. Describe performance standards for evaluating ESG.

The City utilizes the Integrated Disbursement and Information System (IDIS) to document program progress and monitor program performance on an ongoing basis. The City requires all ESG sub-recipients to enter data into HMIS as required by HUD. A Consolidated Annual

Performance and Evaluation Report (CAPER) is prepared annually by the City. Each ESG funded project now uses to run their CAPER and download it directly into SAGE for the City and County to produce that report. The CoC will assist the project as needed to do the submission. The consolidated CAPER contains a summary of resources and programmatic accomplishments, the status of actions taken in concert with the CoC to implement the strategies contained in the Consolidated Plan, and evaluation of progress made in addressing identified priority needs and objectives.

Performance Standards are in alignment with the HUD required measures including reducing the duration of homelessness; reducing recidivism; reducing the number of people who become homeless; increasing employment and income; and stability in permanent housing. Additional local standards have also been established and are included in the community written standards; occupancy rate, number of days to respond to referrals, number of days from program entry to move-in date into RRH or PSH, etc.

# ATTACHMENTS



March 3, 2022, 2022-23 Annual Action Plan Public Hearing, Public Input to Inform Plan  
Presentation, Video, Written Q&A and Comments

Public Notice: <https://mailchi.mp/b092382d666a/city-of-rochester-annual-action-plan-virtual-public-meeting-on-7802849>

<https://www.cityofrochester.gov/article.aspx?id=21474849309>

<https://www.cityofrochester.gov/article.aspx?id=21474849147>

Presentation Slides: <https://www.cityofrochester.gov/ConsolidatedPlan2020>

Video Recording: [https://www.youtube.com/watch?v=Rjcb45NSE04&ab\\_channel=CityofRochester](https://www.youtube.com/watch?v=Rjcb45NSE04&ab_channel=CityofRochester)

Number of public participants: 18

Transcript of Questions, Comments, Responses:

Q. Collen McCarthy: Can a building that is vacant (no businesses on site) due to fire fit under the umbrella of prevent or eliminate blight get some attention? If the building were rehabilitated, then hopefully businesses would move back in.

A. Yes, business development funding could potentially be utilized if a business were prepared to move into the building and required renovations and equipment to do so, as long as the business commits to job creation and/or retention.

Q. Mary Coffey: Does the City automatically receive this funding every year due to our high poverty rate? Can this money be used to recruit some large corporations to come in to the City? With all the empty buildings and the jobs that are coming back to the United States (for example pharmaceuticals to Kodak and the manufacturing of chips), we should step forward and recruit businesses to create jobs to combat poverty.

A. The City of Rochester is referred to as an entitlement community and the funding we receive is not necessarily based on poverty level as much as it is based on our population level. The money we receive is primarily used to combat poverty.

We regularly try to recruit large businesses to move into the City. Annual we have five to ten businesses for which we compete with other states or regions of the country to have them relocate to the City. We collaborate with Empire State Development, Monroe County, RG&E and other partners to put together packages to incentivize businesses to move to the City of Rochester.

Q. Van Smith: Are there any resources for non-profits to be able to grow their workforce?

A. Our HUD CDBG dollars can only be utilized toward profit entities, not non-profit.

Q. Colleen McCarthy: How can we get some attention and care for the very heavily trafficked Monroe Avenue commercial corridor?

A. Daisy Algarin: Because you bring up a specific public improvement, I will look into this and get you in contact with the appropriate person to address your concern.

C. Thomas Pastecki: I'd like to see a larger portion of the CDBG money dedicated to the service centers. They have the pulse of the neighborhood and they are best suited to allocate funding.

C. Bob Thompson: I would like to make the recommendation that the street liaisons start touching base with the various neighborhood associations, because while my neighborhood association isn't involved much with the commercial businesses, we certainly interact with the folks that are running the shops and businesses. This is a future enhancement opportunity.

C. Mike Evans: I'm the Executive Director for the Southeast Area Coalition. I want to agree with Tom Pastecki's comment about getting more money to the service centers and with getting more love to Monroe Avenue. I also want to express appreciation for our street liaison, Jen Topa, and for the opportunity to speak with this panel.

Q. Mary Coffey: Is the CDBG money only allocated to certain neighborhoods?

A. At least 70% of the grant amount must benefit low and moderate income persons, so we have to consider the income of the area/neighborhood.

Q. Can you explain low and moderate income?

A. Low to moderate income is a technical term defined in HUD's regulations as up to 80% of the area median income (AMI) for our metro area. For reference, 80% the AMI for a family of four in our metro area is \$71,750, so if a household of four earns under that threshold, they are considered a low-mod household.

Q. Is there a program that assists landlords in repairing violations to increase the number of safe, affordable housing options?

A. Currently the city has the lead hazard control program that landlords qualify for. In reference to CDBG funds, our programs only serve owner occupants. We can look into programs that can assist landlords using CDBG funds if they commit to providing rental units to low income persons.

Q. L. Reagan: In regard to the rehab program applying to owner-occupied housing, if a duplex is owner occupied and rents the second unit to a senior, does this fit the program guidelines.

A. Yes.

Q. John Boutet: When people are evicted, does the city automatically review the state of the house to ensure it properly maintained before it is rented again? This would avoid people getting evicted for complaining about disrepair and having a landlord repeat the eviction process by turning around and renting to another person who may complain.

A. This is not something the code enforcement unit does currently; however, landlords must have current certificates of occupancy and they must go through inspections before the certificate is issued. The City also provides free legal assistance for folks in eviction court.

Q. Would the City consider spending the demolition dollars on grants to renovate homes at risk of demolition?

A. This is something we are looking into as we prefer to rehab a property rather than demolish it.

Q. How many properties are slated for demolition this year? How is it determined that the houses are too far gone for rehab?

A. As of now, there are approximately 62 properties on our demolition list. Rehab specialist inspects each property to determine whether or not it is safe. We try to preserve as many viable structures as possible.

Q. Colleen McCarthy: Has there ever been a study done related to rec centers and the students that use them? How are the kids actually getting to the rec center?

A. We have a partnership with the RCSD and transportation is provided for youth whose parents elect to take advantage of that opportunity. We make families aware of this through our communication networks, RCSD parent liaisons and principals.

C. The City needs more support for centralized, well-coordinated, year-round employment opportunities.

A. We support youth job development by trying to recruit new employers who have the skill and ability to work with youth and provide meaningful employment that could lead to future employment. We ensure that our youth are job ready with a resume prepared and interview skills.

Q. Colleen McCarthy: Will there be an increase in CDBG funding for youth programs? Is this the only source of funding?

A. CDBG is not the only source of funding available. We are at the beginning stage of budget planning for the 2022-23 fiscal year, so decisions on amounts have not been made. There will be public input opportunities around the city's overall budget and a chance to advocate for programming.

Q. Mary Coffey: Who oversees the money being spent and how can citizens see where it's allocated and exactly where it is spent?

A. The Commissioner of Neighborhood and Business Development's Office has a team working under Ann Dasilva Tella to manage overall grant compliance. In addition, we rely on program managers to maintain documentation of eligibility documentation and spending of their particular programs. In addition, our successes with established goals and outcomes are measured annually through the Consolidated Annual Performance and Evaluation Report (CAPER), which is issued in September.

Q. Terlesa Cowart: Is there a grant that Central Church of Christ can apply for to assist displaced families? We don't even have a list of places to refer them to spend the night.

A. There is a list of agencies that provide emergency housing services based on a specific population type (i.e. single female parents and small children amongst other females) that I will provide to you if you put four information in the chat. I can also speak to you about the possibility of some funding sources or agencies the church can partner with.

Q. Jerry Zakalik: Can this housing funding be used to help landlords clear up code enforcement issues?

A. John Oster: There could be a potential option, not necessarily for fixing the units, but for some other associated work. If you could put your contact information in the chat, I'd like to reach out and speak to you more about it.

Q. L. Reagan: Can funding be allocated toward aging in place? One idea to address the problem of seniors who can't maintain their home, but whose health would benefit by staying in the neighborhood they recognize, by offering subsidies to first-time homebuyers willing to purchase duplexes and rent to a senior.

A. The City provides services through Lifespan to assist folks to age in place (install safety items, grab bars, etc.) We recently funded the Zion Hill senior apartments, which was developed specifically to allow seniors with modest incomes to be able to age in place. We hope to continue this kind of work as we are sensitive to very significant need.

C. Henry Fitts: We are proposing an amendment to our Citizen Participation Plan which outlines public meetings, postings and engagement protocols. Currently, because of the COVID-19 pandemic, HUD gave the City flexibility to hold public engagement meeting virtually via Zoom or other virtual means. The result was an increase in public engagement. We would like to continue to have the option to conduct meetings in person, virtually or via a hybrid of the two modes in an effort to make meetings and information more accessible.

A. Bob Thompson: I'm an advocate of Zoom, but I think there may be a need for some kind of hybrid for those that don't have access to technology. This answer was echoed by Thomas Pastecki.

**Written Public Comments Received Prior to Publishing of Draft Plan**

First Name	Last Name	Please provide any input on how you believe the City of Rochester should allocate HUD funding in the 2022-23 Annual Action Plan. Your name and response may be summarized or included in full in th...	List any business, neighborhood, or community organization(s) to which you belong.
Silvano D.	Orsi	Funding should be allocated for street signage and light pole banners in the Lyell area. Neighborhoods like the Little Italy Neighborhood and Lyell Otis Neighborhood should be identifiable as they are assets of the city. We receive calls and emails everyday by people who want to visit Rochester and who say they can't find our Little Italy Neighborhood. There should be signs identifying the neighborhood at its entrance points (Lyell and Broad St, Jay St and Lyell and State St). Thus is common in most cities that have a historic Little Italy neighborhood. Rochester's Little Italy was recognized at the national level as a historic Italian enclave. Rochester needs to do more to include the Italian American community in its Civic and social affairs. Identifying the neighborhood with proper signage vs a good first step.	Little Italy Association of Rochester, Inc.
Silvano	ORSI	HUD funding should be allocated towards neighborhood signage. Many if not most Lyell area neighborhoods are not identifiable by visitors to the area. Neighborhoods needs light pole banners bad aluminum signs that indicate neighborhood borders so visitors to the neighborhoods know where they are. Thus is important as neighborhood beautification and for revitalization efforts. For example, the city has a La Marketa neighborhood area but there is little signage leading people to it from other areas, the same for Rochester's historic Little Italy Neighborhood. No one knows where it's designated borders are or how to find it. Thanks	Little Italy Association of Rochester, Inc.
Karen	Emerson	We need to have housing at all levels in the City of Rochester. I believe we have enough high end housing. Where we have a lack is low and medium income housing especially for elders.	19th Ward Community Association
William	Collins	Easy access to transportation is a necessity for quality housing. Housing projects funded should: 1) Be placed on, or very near, public transit corridors; 2) If housing is adjacent to a bus stop, funding should include construction of a bus shelter at that stop; 3) If multi-family, contain facilities for secure bicycle parking	Maplewood Neighborhood Association, Reconnect Rochester

First Name	Last Name	Please provide any input on how you believe the City of Rochester should allocate HUD funding in the 2022-23 Annual Action Plan. Your name and response may be summarized or included in full in th...	List any business, neighborhood, or community organization(s) to which you belong.
Gabriele	Vogt	I am a provider of affordable housing in the City of Rochester (14605, 14613, and 14621). I would appeal to the City to use funds to create more affordable housing - especially scatter-site housing - like Ibero and Josana are providing. I would also love it, if reasonable grants or loans were available to housing providers. I have fully rehabbed a good number of Zombie houses without any support. Today they all have CofOs, rents are kept affordable (including to all subsidies), and property taxes are always paid.	Pearl-Meigs-Monroe Neighborhood Association - Vice President

June 22, 2022, 2022-23 Annual Action Plan Public Hearing, Review of Draft Plan

Presentation, Video, Written Q&A and Comments

Public Notice: <https://mailchi.mp/6e8409c6b87e/city-of-rochester-annual-action-plan-virtual-public-meeting-on-8665235>

<https://www.cityofrochester.gov/article.aspx?id=21474850082>

<https://www.cityofrochester.gov/article.aspx?id=21474850090>

Presentation Slides: <https://www.cityofrochester.gov/ConsolidatedPlan2020>

Video Recording: [https://www.youtube.com/watch?v=x24hytufUe0&ab\\_channel=CityofRochester](https://www.youtube.com/watch?v=x24hytufUe0&ab_channel=CityofRochester)

Number of public participants: 13

Participant Name	Question/Comment	City Staff Response
<b>Colleen McCarthy</b>	Are the Kodak jobs unable to be restored after Senator Warren and others questioned Kodak's integrity?	Thad Schofield: I can't specifically talk about the Kodak jobs themselves, but Eastman Business Park has been a huge success. There are nearly 110 companies that have relocated or moved to Eastman Business Park, so we've actually seen substantial job growth throughout that area and it has been a great success. We are currently working with two projects right now where there could be additional companies moving in creating a large number of jobs. So overall, we have a great relationship with Kodak a great relationship with the individuals at Eastman Business Park and anticipate a lot more success in the near future.
<b>Kimberly Huffman</b>	Are funds set aside for resident skills development?	<p>Thad Schofield: our specific programs with CDBG do not offer workforce development unfortunately, there are very specific requirements with the funds we utilize and have to result in job creation. One job must be created for every \$35,000 loaned or granted. But we do have partners that have workforce development programs that can strongly assist. We are happy to follow up with you and let you know who they are and connect and help in any way possible.</p> <p>Henry Fitts: Also, a little later we will speak about a workforce development program related to housing and rehab</p> <p>Johanna Santiago: Project Phoenix Program is an on-the-job training for the construction industry so they provide the materials, and tech the individuals all the building trades, from</p>

Participant Name	Question/Comment	City Staff Response
		construction to electrical to plumbing in the process of rehabbing housing units for future residents.
<b>Bob Thompson</b>	Are the specifics of grants / loans available for review and are there reporting on the results of the grants provided?	<p>Thad Schofield: We go through a thorough underwriting process for any grant/loan applications, which ask about past and future performance, jobs to be created, jobs to be retained, what the funds will be used for, etc. After they are underwritten, there are agreements between the City of Rochester and the actual businesses that they must comply with to receive those funds, and those are looked at closely. After that, there are specific job reports that are asked for. All CDBG funds have a job creation requirement per amount loaned or granted and that is looked at very closely as well. There are different checks and balances in everything that we provide, so the answer is yes.</p> <p>[Editorial note] The City also publishes an annual Consolidated Annual Performance and Evaluation Report which provides detail on outcomes for business grants and loans provided via CDBG funding. The reports are published publically here: <a href="https://www.cityofrochester.gov/ConsolidatedPlan2020/">https://www.cityofrochester.gov/ConsolidatedPlan2020/</a></p>
<b>Colleen McCarthy</b>	Since CDBG funds are tied strictly to job creation what happens when metric not met?	Thad Schofield: We would ask the business what would be the reasons why they didn't create the necessary jobs. Again they have 3 years to do this. Sometimes it may be that the businesses struggled. Recently for example, we had the pandemic, and many businesses had severe economic challenges. If one was not created, we would need to get HUD's approval to waive the requirement for that grant or they may require the funding to be paid back.
<b>Colleen McCarthy</b>	What was done on SE? [subject: Street Liaisons]	Daisy Algarin: For the Southeast we contract with Highland Planning and they focus on South Ave, South Clinton, South Goodman, and Monroe. Specific to what they did, I can provide that more in detail when I complete my report that the liaisons are currently working on for the year, and I'm happy to report a lot got accomplished. I'd be happy to provide a copy of that report. Most likely will have that wrapped up mid-July



Participant Name	Question/Comment	City Staff Response
<b>Colleen McCarthy</b>	How are rehab funds allocated per quadrant?	Johanna Santiago: Our rehab programs are open to all city property owners who meet the program qualifications to address lead hazards and health and safety hazards
<b>Colleen McCarthy</b>	Are children fed lunch or breakfast at Rec Centers?	Sara Scott: Yes. They are provided through New York State Department of Education and US Department of Agriculture. We have free breakfast and lunch at all rec centers as well as several library locations. I can provide a link in the chat to the summer meals consortium, there is a map where you can put in your address and it will show you the closest location. <a href="https://www.summermealsroc.org/">https://www.summermealsroc.org/</a>
<b>Kimberly Huffman</b>	How will we measure success? Are there goals for youth participation?	We have goals for all of our programs. We track attendance and use an IDIS form to take down data on all the youth that participate. It is anonymous so it can't be linked to any specific youth, but we can look at the ages we are serving, the zip codes we are serving, race, gender, etc. We need to report that on a quarterly basis and then there is a final annual report for all of the City's HUD funded programs.  Henry Fitts: That's correct, if folks are interested in some of these topics about transparency, reporting our accomplishments and what we've done with the funding, this is something that is actually coming up in September when we will publish something called the Consolidated Annual Performance Evaluation Report (CAPER) which we are required to do annually. That will be for the fiscal year that ends June 30, 2022. It will have all kinds of data about our accomplishments with the spending we undertook this year. Please be on the lookout for that, there will be additional public notification about the posting of that document for any of your who on our email listing and it will also be on our website. <a href="https://www.cityofrochester.gov/ConsolidatedPlan2020/">https://www.cityofrochester.gov/ConsolidatedPlan2020/</a>
<b>Kimberly Huffman</b>	Is there are target or anticipated number of youth served?	Sara Scott: For all the programs as part of the Key Performance Indicators for the City's budget process, we also list the target number to be served. We track that pretty closely and report that not only to HUD but to the City senior

Participant Name	Question/Comment	City Staff Response
		management. We have a new R Central data system that allows us to pretty easily produce reports and track participation. All youth must register for free for the programs. We can look at year over year trends in attendance.
<b>Easter Tucker</b>	How is the success of these programs seen in the community?	Sara Scott: Some of them are very apparent. When you see a new playground or a new play apparatus, you can definitely see that transformation. A lot of the programs are very public; folks may not know they are funded with CDBG. Our STEAM Engine is out there in the public, all of our nature programs are free and open to the public. All of the Summer of Opportunity Youth are engaged throughout the year and out there in businesses and organizations throughout the city, and in our City of Rochester departments working. The City's ROC Music program which is embedded in our Rec Centers and works closely with RCSD to provide music education to youth who may not otherwise have access. That program is very public in that they do concerts around the city in conjunction with the Rochester Philharmonic Orchestra and Hochstein. There is a lot of visibility and very forward facing use for the funding.
<b>Easter Tucker</b>	Please define "decent" housing.	Linda Hedden: Decent housing is housing that meets Certificate of Occupancy standards, and provides a safe and secure place for a person to live.
<b>Colleen McCarthy</b>	Based on percent of Senior population how does 126 households for Aging in Place help and 39 for legal aid align?	Linda Hedden: These programs definitely do not meet all the needs in the community. However, the City works with service providers who provide additional services to City residents with other funding resources that they have. The City is one of a number of funders to enable those services to be available throughout our community.
<b>Colleen McCarthy</b>	Homelessness - 48 assisted with street outreach seems low. Is City working with other agencies or is City siloed?	John Oster: I work on the HUD funded ESG homelessness initiatives. That isn't the amount of people the street outreach service providers connect with, but the number they successfully move into permanent housing. We think that is a good number given what's going on with some of these cases. We've gone to great lengths to engage with Monroe County to make sure we are working in partnership. There is a lot of funding

Participant Name	Question/Comment	City Staff Response
		<p>out there for homelessness and we are just a small part of that, but we are all leveraging our resources to make sure our efforts are combined. We are doing our best not to be siloed.</p> <p>Henry Fitts: The partnership with the County is great. A joint RFP process helps to ensure our money can go further and smaller agencies can be supported by both the City and County for the same type of activity.</p>
<b>Colleen McCarthy</b>	Please restate if Roof Repair and Home Repair funds are through ARPA vs HUD	Henry Fitts: We have rehab and emergency assistance programs funded through both HUD and ARPA program. However the Roof program is funded only through ARPA.

**Written Public Comments Received During the 30-Day Public Display and Comment Period**

First Name	Last Name	Please provide any comments on the City of Rochester's draft 2022-23 Annual Action Plan. Your name and response may be summarized or included in full in the Annual Action Plan.	If yes, please list any business, neighborhood, or community organization(s) to which you belong.
Lillie	S	Most of the funding should be designated for helping our unhoused/at-risk of homelessness neighbors - renovate existing properties to turn into affordable (<30% AMI) units, provide financial assistance to tenants facing eviction, provide both emergency and long-term housing for currently unhoused people	
Brent	Liberati	The HUD funding should primarily be used for providing and subsidizing housing for our neighbors who are experiencing homelessness and also our neighbors who are earning less than 30% of the area median family income.	
Colleen	McCarthy	<p>Per reporting from The New York Times: <a href="https://www.nytimes.com/2022/06/14/headway/houston-homeless-people.html">https://www.nytimes.com/2022/06/14/headway/houston-homeless-people.html</a></p> <p>Houston has made impactful progress in reducing the number of homeless by providing apartments to the most vulnerable of the unhoused.</p> <p>Could City of Rochester replicate Houston’s “housing first” model in a locally appropriate manner by allocating HUD Funding for non-temporary housing and supportive housing for homeless people and outreach efforts targeted to Monroe Avenue?</p> <p>Our Lock 66 Neighborhood along Monroe Avenue has a significant homeless cohort. Unhoused people have taken up residence in vacant business properties such as Starbucks and the Monroe Theater. At the Monroe Theater, homeless people have built makeshift bunkbeds. Interior and exterior spaces are used there as bathrooms. Houston, we have a problem.</p> <p>Our Lock 66 Neighborhood, Monroe Avenue, and City of Rochester would benefit by increasing HUD funds for more homeless outreach targeted to Monroe Avenue.</p>	Lock 66 Neighborhood Association

First Name	Last Name	Please provide any comments on the City of Rochester's draft 2022-23 Annual Action Plan. Your name and response may be summarized or included in full in the Annual Action Plan.	If yes, please list any business, neighborhood, or community organization(s) to which you belong.
		<p>Thank you for reviewing this email and the New York Times article about Houston’s approach to housing the unhoused.</p> <p>Hyperlink for New York Times article follows along with an extract summarizing the article.</p> <p>Thank you for your efforts related to the Monroe Theater as well as our homeless/ unhoused people on Monroe Avenue.</p>	

July 14, 2022, 2022-23 Annual Action Plan Public Hearing, City Council Final Hearing

Video, Transcript

Video recording (see 1:29:00): [https://www.youtube.com/watch?v=1w-sdYWo1Z0&ab\\_channel=Rochester%2CNYCityCouncil](https://www.youtube.com/watch?v=1w-sdYWo1Z0&ab_channel=Rochester%2CNYCityCouncil)

Number of public participants: 0

Transcript: No participants spoke at the hearing; no additional comments were received.

## Attachments

### Written Standards for Provision of Assistance

#### **Policies and Procedures for Evaluating Those Eligible for Assistance**

Each household receives an initial consultation and eligibility assessment with a case manager or other authorized representative who can determine eligibility and the appropriate type of assistance needed. The household must be either homeless (to receive rapid rehousing assistance) or at risk of losing its housing to receive homelessness prevention assistance and must meet the following circumstances: The household's total income must be at or below 30 percent of Area Median Income (AMI); No appropriate subsequent housing options have been identified; The household lacks the financial resources to obtain immediate housing or remain in its existing housing; and The household lacks support networks needed to obtain immediate housing or remain in its existing housing.

Documentation requirements: Verification/proof of income; SSN for head of household; Verification of address/current living situation; Verification of household size; Some documentation of the problem(s) that led to the need for assistance as determined by the case manager (i.e.; proof of medical bills, loss of income, etc.)

Standards for the City/County rapid rehousing programs operated by Coordinated Care Services have been developed with those set out in the 2010 "Eligibility Determination and Documentation Guidance- Homeless Prevention and Rapid Rehousing Program. These include: Eligibility requirements: Initial consultation/assessment and determination of income and housing status; access to alternative housing options; Documentation: written or oral third-party verification; applicant self-declaration

#### **Performance Standards for Documentation are as follows:**

- Housing status: HMIS documentation or written verification of shelter stay, sleeping in a place not meant for human habitation, being a patient in a hospital or other institution, being a participant in a transitional housing program, being a victim of domestic violence
- Homelessness prevention eligibility: written verification of potential loss of housing due to non-payment of rent and/or other lease violations, utility non-payment, foreclosure on rental or owner-occupied property, eviction of host family/friends, uninhabitable conditions, those fleeing domestic violence situations, or discharge from an institution
- Housing options/resources: written documentation must be provided for other subsequent housing options, financial resources (e.g. wages and salary, self-employment/business, interest/dividend, pension/retirement, unemployment/disability, TANF/public assistance, alimony/child support/foster care, armed forces income) and support networks

## **Policies and Procedures for Coordination among Providers**

Staff meetings are held monthly to discuss program logistics and processes. Periodically, meetings of all participating agencies will be held to ensure effective ongoing management and provide updates on any new program developments, including HUD regulation updates.

Meetings with the City, County, and CoC also occur monthly to provide for oversight as well as updates on project status, outcomes to date, and to allow for discussion and resolution of any project issues.

Additionally, case managers will be meeting clients weekly in community-based organizations (CBO) sites, as well as in shelters. CBO and shelter staff will be routinely engaged in conversations and will be requested to participate in any process to assist the program in meeting the needs of the people and families it served.

## **Policies and Procedures for Determining and Prioritizing Type of Assistance**

Below are detailed the procedures for determining and prioritizing eligible families and individuals by the type of assistance requested.

### Prevention High Priority Risk Factors

- Prior episodes of homelessness
- Eviction within two weeks
- Sudden and significant loss of income
- Sudden and significant increase in utility costs
- Severe housing cost burden (greater than 50% of income)
- High overcrowding
- Recent traumatic life event (loss of spouse, or recent health crisis)
- Significant medical debt

### Rapid Rehousing High Priority Risk Factors:

- Sleeping in an emergency shelter
- Sleeping on the streets, etc.
- Graduating from a transitional shelter
- Victim of domestic violence



## **Standards for Determining Share of Rent Each Participant Must Pay**

Each program determines the share of rent that each participant must pay . Counseling and case management services will focus on maintaining stable housing after program financial assistance ends.

## **Standards for Determining How Long Rent Assistance/How Amount is Adjusted Over Time**

In order to avoid excessive funding of individual households, (thus limiting the number of households that can be served by the program), and in order to respond to the variety of circumstances that may create or threaten homelessness, and in order to emphasize serving those most in need of assistance, it has been determined that this program would benefit most from limiting the services provided to one-time only.

ESG funds for rapid rehousing do not support the long-term maintenance of any person or family. It will be the procedure of the ESG-funded Heading Home Program to provide short-term assistance for these individuals and families to help them obtain/maintain housing until a longer-term or even permanent subsidy becomes available.

Total benefit amounts will be made on a case-by-case basis. However:

- Payments cannot duplicate other subsidy programs
- All other sources of assistance in the community must be exhausted
- Potential DHS recipients will be referred there prior to acceptance in the program

## **Standards for Determining Type, Amount and Duration of Stabilization and/or Relocation Assistance**

The types of assistance provided will be determined on a case-by-case basis by the case manager with consultation with the program manager under the guidance of the director of finance. The types of assistance provided will reflect program dollars available, number of clients served to date, and will recognize the established program end date.

Specific guidance and details are offered below by type of assistance:

*Rental Assistance:* amount of rent assistance cannot exceed the actual amount of rent and must comply with the HUD rent reasonableness and affordability standards

- Short term rental assistance: cannot exceed 3 months
- Medium term rental assistance: cannot exceed 18 months and must be reviewed every three months. This option will be used in extraordinary circumstances only.

*Financial Assistance (see below)*

- Security Deposits: cannot exceed rent reasonableness standards as established by HUD
- Rental Arrears: cannot exceed rent reasonableness standards.
- Utility Payments: will follow local DHS/HEAP guidelines
- Moving Cost Assistance: is reviewed on a case-by-case basis - A local moving company partnership has been established and estimates are provided prior to move
- Storage Fees: maximum of 3 months

## **Process for Making Sub-Awards**

The City of Rochester receives and utilizes ESG funds to help address the needs of homeless individuals and families and to prevent homelessness. Based on the input of the CoC and other stakeholders, the City of Rochester and Monroe County annually issue a joint RFP for the ESG funding. In the RFP the following services were solicited to receive funding to enact the plan for how the ESG funding will be used: Coordinated Entry, Homelessness Prevention/Rapid Rehousing Services, Emergency Shelter, Shelter Diversion, Street Outreach Essential Services and Hospitality Services.

A project review committee reads all of the applications submitted and scores based on specific criteria: responsiveness to the ESG priorities, potential impact of proposed programs in reducing homelessness, soundness and efficacy of proposed services, engagement with community partners, feasibility of service delivery plan, organizational capacity of applicant and previous record of success in meeting performance measures and timely spending ESG funds in prior years. Projects are awarded funds until allocation is fully utilized.

### **Homeless Participation Requirement**

In compliance with Section 576.405(a) of the Interim Rule, the City and Monroe County have engaged persons who are currently or formerly homeless in their planning process. Four formerly homeless individuals have participated in our planning process. A past Co-Chair of the Rochester/Monroe County CoC is a formerly homeless individual.

In addition to serving as CoC Co-Chair, he has been substantially involved in planning for the use of ESG funding. Additionally, there are two other formerly homeless individuals on the CoC Team, as well as another member of the Homeless Services Network, who have also been consulted in the planning process for ESG funding.

### **Performance Standards**

Performance standards for ESG recipients are required by HUD and have been developed in consultation with the CoC. The purpose of performance standards is to provide a measure for the ESG recipient and the CoC to evaluate each ESG service provider's effectiveness. It is anticipated that the City and County's performance standards for ESG activities will continue to evolve over time and will be developed to complement the CoC program performance measures.

Specific performance standards that will be applied to the ESG Program are based on the proposed accomplishments of:

- Increasing the range of housing options and related services for program participants
- Increasing knowledge of rights and responsibilities through Tenant Education for 70% of program participants
- Increasing the number of program participants stably housed at 12 months to 75%
- Reducing participant transiency by providing opportunities for safe and affordable housing
- Reducing the number of participants who have received rapid re-housing services from entering or re-entering local shelter programs to 20% or less



## Rapid Re-Housing (RRH) Written Standards

### **Definition: Rapid Re-Housing (RRH) – 24 CFR Part 578.37**

RRH provides short-term (up to 3 months) and/or medium term (3 to 24 months) tenant based rental assistance and supportive services as necessary to help a homeless individual or family, with or without disabilities, move as quickly as possible to permanent housing and achieve stability in that housing.

Access to Rapid Re-Housing (RRH) is through:

- Coordinated Entry Community Prioritization list

### **Eligibility Criteria**

- Must meet HUD Definition of Homeless (Categories 1 or 4)
- Prior living situation must be ES or Unsheltered
- VSPDAT score will generally be in the 4-7 range

**Operational Standards** - Standards represent minimum local standards for all PSH programs. PSH providers may have funders who have additional requirements and/or may need to meet standards of the state or local government. In those cases, the more stringent standard applies.

Core components required of every RRH program

- Housing Search:
  - must include a plan to work with landlords to encourage them to provide available units for the program
  - rental units must pass inspection prior to rental assistance being paid to the landlord; for ESG funded programs Housing Habitability Inspection can be utilized; for CoC funded programs Housing Quality Standards (HQS) inspection is required
- Rent and Move-In Assistance: must include at a minimum short or medium term rental assistance.
  - Each program will determine the average amount and length of time rental assistance is provided and the percentage of rent that participants will pay
  - For participants where rent does not include utilities, the amount of rental assistance provided will incorporate the applicable utility allowance established by the Rochester Housing Authority will be used to calculate the tenant share of rent. Program participant must be reimbursed for any amount that the allowance exceeds the participant's share of rent.
- Case Management Services:
  - Initial focus is on accessing PH
  - Once housing is secured, focus changes to housing stabilization;
  - Case management ends when household is no longer imminently at risk of being homeless;
  - A once per month contact at the minimum is required while participant is enrolled in the RRH program;
  - Warm hand-off to mainstream and community based services should be utilized
- Each participant must have a written lease/rental agreement.
  - ESG funded programs - month to month is allowable;

- CoC funded programs - initial lease must be for at least one year, that is renewable and terminable by cause
- Program participation is limited to a maximum of 24 months
- All programs will utilize Housing First principles

#### **Standard Outcomes/Performance Measures**

- 92% of all participants will remain stable in RRH or exit to other permanent housing destinations
- 85% or more of adult participants will have non-cash benefits
- 85% or more of adult participants will have income from sources other than employment
- 20% or more of adult participants increase income from sources other than employment
- 20% or more of adult participants will have income from employment
- 20% or more of adult participants will increase income from employment
- 92% of participants do not return to homelessness within a one-year period after exit from RRH
- 85% of participants do not return to homelessness within a two-year period after exit from RRH
- Average time from program enrollment to moving to permanent housing is (30) thirty days
- Minimum 95% occupancy rate based on number of households projected to be served in application and amount of rental assistance that is expended annually

## Transitional Housing (TH) Written Standards

### **Definition: Transitional Housing (TH) – 24 CFR Part 578.37**

Transitional housing facilitates the movement of homeless individuals and families to permanent housing within 24 months of entering a TH program.

TH should be utilized for families and individuals that need more assistance than RRH offers but who do not qualify for permanent supportive housing. Sub-populations that are likely to benefit the most from TH are youth, survivors of DV, persons with histories of substance abuse and/or persons who have recently exited criminal justice system.

### **Primary Access for Sub-Populations:**

- Unaccompanied Youth and Young Adults coming through Prioritization List will be referred to Center for Youth
- Re-Entry Population coming through Prioritization List will be referred to Spiritus Christi Prison Outreach

### **Eligibility Criteria**

- Must meet HUD Definition of Homeless – Categories 1, 2 and 4
- VSPDAT score will generally be in the range of 6-9

**Operational Standards** - Standards represent minimum local standards for all TH programs. TH providers may have funders who have additional requirements and/or may need to meet standards of the state or local government. In those cases, the more stringent standard applies.

- Services and programming create a pathway to permanent housing
- Maximum length of stay is 24 months
- Participant's rent cannot exceed 30% of household income
- Participants must have a lease or occupancy agreement
- Housing First principles will be utilized by all programs

### **Standard Outcomes/Performance Measures**

- 92% or more of participants will exit to permanent housing destinations
- 85% or more of adult participants will have non-cash benefits
- 85% or more of adult participants will have income from sources other than employment
- 20% or more of adult participants increase income from sources other than employment
- 20% or more of adult participants will have income (includes part-time) from on the books employment
- 20% or more of adult participants will increase income from employment
- 85% of participants do not return to homelessness within a two-year period after exit from TH
- Minimum 90% average occupancy rate will be maintained

## Street Outreach (SO) Written Standards

### **Definition: Street Outreach (SO) - 24 CFR 576.101**

Street Outreach provides essential services necessary to reach out to unsheltered homeless people; connect them with emergency shelter, housing, or critical services; and provide urgent, non-facility-based care to unsheltered homeless people who are unwilling or unable to access emergency shelter, housing or an appropriate health facility

### **Eligibility Criteria**

- Must meet HUD Definition of Homeless

### **Operational Standards**

- Proactively reach out to unsheltered homeless; whenever possible, provide resources to address immediate needs such as placement in emergency shelter, food, personal hygiene items, etc. Priority for Street Outreach will be unsheltered homeless; however, street outreach teams may also go to shelters that are not able to provide services.
- Provide Engagement services – assessing housing and service needs, completion of VSPDAT and other assessment tools based on need
- Provide short term case management - provide linkages to emergency health and behavioral health services, mainstream resources, etc.
- Provide transportation to emergency shelters or other services
- Street Outreach activities will be conducted with a minimum of (2) two trained persons whenever possible; or outreach worker will always let someone know where they will be
- Should an unsheltered household with minor children be encountered during outreach activities:
  - A placement into shelter must be made
  - If placement is refused call 9-1-1 or CPS Hotline: 1-800-342-3720

### **Standard Outcomes/Performance Measures**

- 30% of all participants engaged who will exit to permanent housing destinations
- 30% of all participants engaged who have successful exits from the program
- 70% or more of adult participants will have non-cash benefits
- 60% or more of adult participants will have income from sources other than employment
- 15% or more of adult participants will have income from employment
- 80% of participants will not return to homelessness within two years
- Average time from contact to engagement is 60 days
- Average time from engagement to successful exit from program is 45 days



## Permanent Supportive Housing (PSH) Written Standards

### **Definition: Permanent Supportive Housing (PSH) – 24 CFR Part 578.37**

Permanent Housing is community-based housing, the purpose of which is to provide housing without a designated length of stay. PSH can only provide assistance to individuals with disabilities and families in which one adult or child has a disability. Support services designed to meet the needs of the program participants must be made available to the program participants.

Access to Permanent Supportive Housing (PSH) is only through:

- Coordinated Entry Community Prioritization List

### **Eligibility Criteria**

- Must meet HUD Definition of Homeless
- Prior living situation must be ES or Unsheltered; if prior living situation is TH or institution for less than 90 days, must have been homeless prior to entering TH or institution
- Household member must have long-term disability
- VSPDAT score will generally be in the range of 8+

**Operational Standards** - Standards represent minimum local standards for all PSH programs. PSH providers may have funders who have additional requirements and/or may need to meet standards of the state or local government. In those cases, the more stringent standard applies.

- There is no prescribed or suggested length of stay in PSH
- Supportive services must be available to participants throughout their stay in PSH, but participation in services is not required. It is expected that providers will continue to attempt to engage participation in supportive services.
- Initial lease must be for at least one year and must be renewable and terminable by cause. Subsequent years lease, must be a minimum of term of one month.
- Participant rent cannot exceed 30% of their income
  - For participants where rent does not include utilities, the amount of rental assistance provided will incorporate the applicable utility allowance established by the Rochester Housing Authority will be used to calculate the tenant share of rent. Program participant must be reimbursed for any amount that the allowance exceeds the participant's share of rent.
- Units must pass Housing Quality Standards Inspection prior to rent being paid to the landlord
- Housing First principles will be utilized by all PSH programs
- Prioritization of potential participants is determined by Coordinated Entry policies and procedures
- VSPDAT score will generally be 8+

### **Standard Outcomes/Performance Measures**

- 92% of all participants will remain stable in PSH or exit to other permanent housing destinations
- 85% or more of adult participants will have non-cash benefits
- 85% or more of adult participants will have income from sources other than employment
- 20% or more of adult participants increase income from sources other than employment

- 20% or more of adult participants will have income (includes part-time) from on the books employment
- 20% or more of adult participants will increase income from employment
- Average time from referral to housing move in date is (45) forty-five days
- Minimum 95% occupancy rate based on number of households projected to be served in application and amount of rental assistance that is expended annually

## Emergency Shelter (ES) Written Standards

### **Definition: Emergency Shelter (24 CFR 576.2)**

Any facility, the primary purpose of which is to provide a temporary shelter for the homeless in general or for specific populations of the homeless and which do not require the occupants to sign leases or occupancy agreements.

The Emergency Shelter system currently consists of nineteen (19) shelters; sixteen (16) are year-round and three (3) are seasonal. In addition to the emergency housing programs, the Monroe County Department of Human Services can provide vouchers for hotel placements. The role of the emergency shelters in the CoC homeless system is to provide immediate safe shelter, with few or no barriers to admission.

Emergency Shelter can be accessed through MCDHS, “2-1-1”, hot-lines (DV and youth), self-referral (calling or going to a shelter), referrals from other community organizations, sent to shelter by hospitals or law enforcement.

### **Primary Access for Sub-Populations:**

- Victims of Domestic Violence 24-hour Hotline: 585-222-SAFE (7133)  
24-hour TTY: 585-232-1741
- Unaccompanied Youth (ages 12 – 18) and young adults (ages 18 – 24): 585-271-7670  
Or 2-1-1

### **Eligibility Criteria:**

- Must be literally homeless
- Fleeing Domestic Violence
- Unaccompanied Youth (ages 16 -17)
- Runaway Youth (not HUD Homeless)

**Operational Standards** – Standards represent minimum local standards for all Emergency Shelters. Shelters may have funders who have additional requirements and/or may need to meet standards of the state or local government. In those cases, the more stringent standard applies.

- A daily census of current participants must be kept in a central location;
- Food and basic personal care items will be provided;
- Emergency shelters will relax their standards and/or increase their occupancy (must still meet fire codes) during Code Blue conditions;
- Emergency shelters who serve children may have additional entry requirements to ensure the safety of children in the program;
- Emergency Shelters will have written discharge policies and procedures that provide a consistent approach and enforced in a consistent manner. Must provide due process and grievance procedure.
  - Whenever possible participants will not be asked to leave shelter evenings or weekends for reasons other than health or safety. On the rare occasions this does occur, shelter staff will follow After-Hour Discharge Procedures.

- Shelters, at a minimum, will provide the following services (Services can be provided by shelter staff or by another community based organization):
  - Completion of Assessment Tool – VSPDAT
  - Making referrals to the Coordinated Entry Prioritization List
  - Assistance with accessing permanent or other appropriate housing
  - Assistance with accessing mainstream resources

**Standard Outcomes/Performance Measures**

- Average length of stay is less than 30 days
- 60% of participants exit with a successful housing outcome
- 50% of participants exit to permanent housing
- Less than 20% of participants exit to an unknown location
- 50% of participants exit with/linked to cash income
- 60% of participants exit with/linked to non-cash resources
- Minimum 90% average occupancy rate will be maintained

**Application for Federal Assistance SF-424**

<b>* 1. Type of Submission:</b> <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	<b>* 2. Type of Application:</b> <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	<b>* If Revision, select appropriate letter(s):</b> <input type="text"/> <b>* Other (Specify):</b> <input type="text"/>
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<b>* 3. Date Received:</b> <input type="text" value="05/13/2022"/>	<b>4. Applicant Identifier:</b> <input type="text"/>
---	---

<b>5a. Federal Entity Identifier:</b> <input type="text"/>	<b>5b. Federal Award Identifier:</b> <input type="text"/>
---	--

**State Use Only:**

<b>6. Date Received by State:</b> <input type="text"/>	<b>7. State Application Identifier:</b> <input type="text"/>
--	--

**8. APPLICANT INFORMATION:**

<b>* a. Legal Name:</b> <input type="text" value="City of Rochester"/>
--

<b>* b. Employer/Taxpayer Identification Number (EIN/TIN):</b> <input type="text" value="16-6002551"/>	<b>* c. UEI:</b> <input type="text" value="CU87RABY53C9"/>
---	---

**d. Address:**

<b>* Street1:</b>	<input type="text" value="30 Church Street"/>
<b>Street2:</b>	<input type="text" value="City Hall, Room 224B"/>
<b>* City:</b>	<input type="text" value="Rochester"/>
<b>County/Parish:</b>	<input type="text" value="Monroe"/>
<b>* State:</b>	<input type="text" value="NY: New York"/>
<b>Province:</b>	<input type="text"/>
<b>* Country:</b>	<input type="text" value="USA: UNITED STATES"/>
<b>* Zip / Postal Code:</b>	<input type="text" value="14614-1206"/>

**e. Organizational Unit:**

<b>Department Name:</b> <input type="text" value="Neighborhood and Business Dev."/>	<b>Division Name:</b> <input type="text" value="Strategic Initiatives"/>
--	---

**f. Name and contact information of person to be contacted on matters involving this application:**

<b>Prefix:</b> <input type="text" value="Ms."/>	<b>* First Name:</b> <input type="text" value="Rita"/>
<b>Middle Name:</b> <input type="text"/>	
<b>* Last Name:</b> <input type="text" value="Rajca"/>	
<b>Suffix:</b> <input type="text"/>	

<b>Title:</b> <input type="text" value="CDBG Coordinator"/>
---

<b>Organizational Affiliation:</b> <input type="text" value="City of Rochester"/>
--

<b>* Telephone Number:</b> <input type="text" value="585-428-7816"/>	<b>Fax Number:</b> <input type="text"/>
--	---

<b>* Email:</b> <input type="text" value="rita.rajca@cityofrochester.gov"/>
---

**Application for Federal Assistance SF-424**

**\* 9. Type of Applicant 1: Select Applicant Type:**

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

\* Other (specify):

**\* 10. Name of Federal Agency:**

United States Department of Housing and Urban Development

**11. Catalog of Federal Domestic Assistance Number:**

14-241

CFDA Title:

Housing Opportunities for Persons with AIDS

**\* 12. Funding Opportunity Number:**

NYH22F003

\* Title:

Housing Opportunities For Persons with AIDS

**13. Competition Identification Number:**

Title:

**14. Areas Affected by Project (Cities, Counties, States, etc.):**

Add Attachment

Cancel Attachment

View Attachment

**\* 16. Descriptive Title of Applicant's Project:**

Various Projects

Attach supporting documents as specified in agency instructions.

Add Attachments

Cancel Attachments

View Attachments

**Application for Federal Assistance SF-424**

**16. Congressional Districts Of:**

\* a. Applicant

\* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

**17. Proposed Project:**

\* a. Start Date:

\* b. End Date:

**18. Estimated Funding (\$):**

* a. Federal	<input type="text" value="1,028,806.00"/>
* b. Applicant	<input type="text" value=""/>
* c. State	<input type="text" value=""/>
* d. Local	<input type="text" value=""/>
* e. Other	<input type="text" value=""/>
* f. Program Income	<input type="text" value=""/>
* g. TOTAL	<input type="text" value="1,028,806.00"/>

**\* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

**\* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

- Yes
- No

If "Yes", provide explanation and attach

**21. \*By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

\*\* I AGREE

\*\* The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

**Authorized Representative:**

Prefix:  \* First Name:   
Middle Name:   
\* Last Name:   
Suffix:

\* Title:

\* Telephone Number:  Fax Number:

\* Email:

\* Signature of Authorized Representative: 

\* Date Signed:



HOPWA  
ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009  
Expiration Date: 02/28/2025

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

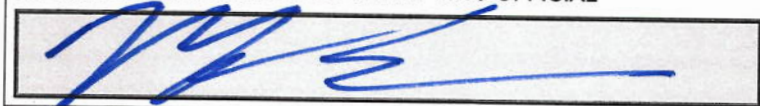

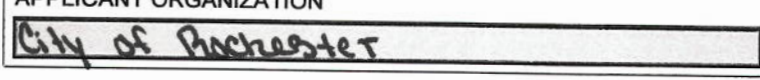

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.



11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE 
APPLICANT ORGANIZATION 	DATE SUBMITTED 



HO PWA

## ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**


**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee- 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.



9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
19. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE Mayor
APPLICANT ORGANIZATION City of Rochester	DATE SUBMITTED 8/4/2022

**Application for Federal Assistance SF-424**

\* 1. Type of Submission:

- Preapplication  
 Application  
 Changed/Corrected Application

\* 2. Type of Application:

- New  
 Continuation  
 Revision

\* If Revision, select appropriate letter(s):

\* Other (Specify):

\* 3. Date Received:

05/13/2022

4. Applicant Identifier:

5a. Federal Entity Identifier:

5b. Federal Award Identifier:

**State Use Only:**

6. Date Received by State:

7. State Application Identifier:

**8. APPLICANT INFORMATION:**

\* a. Legal Name:

City of Rochester

\* b. Employer/Taxpayer Identification Number (EIN/TIN):

16-6002551

\* c. UEI:

CU87RABY53C9

**d. Address:**

\* Street1:

30 Church Street

Street2:

City Hall, Room 224B

\* City:

Rochester

County/Parish:

Monroe

\* State:

NY: New York

Province:

\* Country:

USA: UNITED STATES

\* Zip / Postal Code:

14614-1206

**e. Organizational Unit:**

Department Name:

Neighborhood and Business Dev.

Division Name:

Strategic Initiatives

**f. Name and contact information of person to be contacted on matters involving this application:**

Prefix:

Ms.

\* First Name:

Rita

Middle Name:

\* Last Name:

Rajca

Suffix:

Title:

CDBG Coordinator

Organizational Affiliation:

City of Rochester

\* Telephone Number:

585-428-7816

Fax Number:

\* Email:

rita.rajca@cityofrochester.gov

**Application for Federal Assistance SF-424**

**\* 9. Type of Applicant 1: Select Applicant Type:**

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

\* Other (specify):

**\* 10. Name of Federal Agency:**

United States Department of Housing and Urban Developmet.

**11. Catalog of Federal Domestic Assistance Number:**

14-231

CFDA Title:

**\* 12. Funding Opportunity Number:**

E22MC360003

\* Title:

Emergency Solutions Grant

**13. Competition Identification Number:**

Title:

**14. Areas Affected by Project (Cities, Counties, States, etc.):**

Add Attachment

Delete Attachment

View Attachment

**\* 15. Descriptive Title of Applicant's Project:**

Various housing projects.

Attach supporting documents as specified in agency instructions.

Add Attachments

Remove Attachment

View Attachment

**Application for Federal Assistance SF-424**

**16. Congressional Districts Of:**

\* a. Applicant

\* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

**17. Proposed Project:**

\* a. Start Date:

\* b. End Date:

**18. Estimated Funding (\$):**

* a. Federal	<input type="text" value="704,815.00"/>
* b. Applicant	<input type="text" value=""/>
* c. State	<input type="text" value=""/>
* d. Local	<input type="text" value=""/>
* e. Other	<input type="text" value=""/>
* f. Program Income	<input type="text" value=""/>
* g. TOTAL	<input type="text" value="704,815.00"/>

**\* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

**\* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

- Yes
- No

If "Yes", provide explanation and attach

**21. \*By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

\*\* I AGREE

\*\* The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

**Authorized Representative:**

Prefix:  \* First Name:   
Middle Name:   
\* Last Name:   
Suffix:

\* Title:

\* Telephone Number:  Fax Number:

\* Email:

\* Signature of Authorized Representative: 

\* Date Signed:



## ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009  
Expiration Date: 02/26/2025

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

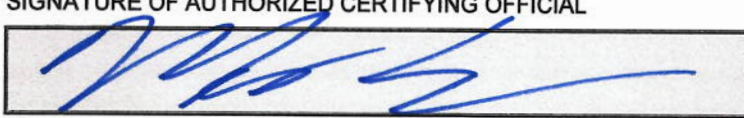

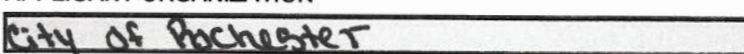

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
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18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE 
APPLICANT ORGANIZATION 	DATE SUBMITTED 



ES6

### ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.


**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
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SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE Mayor
APPLICANT ORGANIZATION City of Rochester	DATE SUBMITTED 8/11/2022

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/>
* 3. Date Received: 05/13/2022	4. Applicant Identifier: <input type="text"/>	
5a. Federal Entity Identifier: <input type="text"/>	5b. Federal Award Identifier: <input type="text"/>	
<b>State Use Only:</b>		
6. Date Received by State: <input type="text"/>	7. State Application Identifier: <input type="text"/>	
<b>8. APPLICANT INFORMATION:</b>		
* a. Legal Name: <input type="text" value="City of Rochester"/>		
* b. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text" value="16-6002551"/>	* c. UEI: <input type="text" value="CU87RABY53C9"/>	
<b>d. Address:</b>		
* Street1: <input type="text" value="30 Church Street"/>	Street2: <input type="text" value="City Hall, Room 224B"/>	
* City: <input type="text" value="Rochester"/>	County/Parish: <input type="text" value="Monroe"/>	
* State: <input type="text" value="NY: New York"/>	Province: <input type="text"/>	
* Country: <input type="text" value="USA: UNITED STATES"/>	* Zip / Postal Code: <input type="text" value="14614-1206"/>	
<b>e. Organizational Unit:</b>		
Department Name: <input type="text" value="Neighborhood and Business Dev."/>	Division Name: <input type="text" value="Strategic Initiatives"/>	
<b>f. Name and contact information of person to be contacted on matters involving this application:</b>		
Prefix: <input type="text" value="Ms."/>	* First Name: <input type="text" value="Rita"/>	
Middle Name: <input type="text"/>	* Last Name: <input type="text" value="Rajca"/>	
Suffix: <input type="text"/>	Title: <input type="text" value="CDBG Coordinator"/>	
Organizational Affiliation: <input type="text" value="City of Rochester"/>		
* Telephone Number: <input type="text" value="585-428-7816"/>	Fax Number: <input type="text"/>	
* Email: <input type="text" value="rita.rajca@cityofrochester.gov"/>		

**Application for Federal Assistance SF-424**

**\* 9. Type of Applicant 1: Select Applicant Type:**

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

\* Other (specify):

**\* 10. Name of Federal Agency:**

United States Department of Housing and Urban Developmet

**11. Catalog of Federal Domestic Assistance Number:**

14.239

CFDA Title:

HOME

**\* 12. Funding Opportunity Number:**

M22MC360504

\* Title:

HOME

**13. Competition Identification Number:**

Title:

**14. Areas Affected by Project (Cities, Counties, States, etc.):**

Add Attachment

Delete Attachment

View Attachment

**\* 15. Descriptive Title of Applicant's Project:**

Various Projects

Attach supporting documents as specified in agency instructions.

Add Attachments

Cancel Attachments

View Attachments



**Application for Federal Assistance SF-424**

**16. Congressional Districts Of:**

\* a. Applicant

\* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

**17. Proposed Project:**

\* a. Start Date:

\* b. End Date:

**18. Estimated Funding (\$):**

* a. Federal	<input type="text" value="2,755,981.00"/>
* b. Applicant	<input type="text" value=""/>
* c. State	<input type="text" value=""/>
* d. Local	<input type="text" value=""/>
* e. Other	<input type="text" value=""/>
* f. Program Income	<input type="text" value="200,000.00"/>
* g. TOTAL	<input type="text" value="2,955,981.00"/>

**\* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

**\* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

Yes  No

If "Yes", provide explanation and attach

**21. \*By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

\*\* I AGREE

\*\* The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

**Authorized Representative:**

Prefix:  \* First Name:   
Middle Name:   
\* Last Name:   
Suffix:

\* Title:

\* Telephone Number:  Fax Number:

\* Email:

\* Signature of Authorized Representative: 

\* Date Signed:

HOME

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009  
Expiration Date: 02/28/2025

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2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
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6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
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APPLICANT ORGANIZATION 	DATE SUBMITTED 



HOME

### ASSURANCES - NON-CONSTRUCTION PROGRAMS

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14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
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SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE Mayor
APPLICANT ORGANIZATION City of Rochester	DATE SUBMITTED 8/11/2022

**Application for Federal Assistance SF-424**

<b>* 1. Type of Submission:</b> <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	<b>* 2. Type of Application:</b> <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	<b>* If Revision, select appropriate letter(s):</b> <input type="text"/> <b>* Other (Specify):</b> <input type="text"/>
---	---	--

<b>* 3. Date Received:</b> <input type="text" value="05/13/2022"/>	<b>4. Applicant Identifier:</b> <input type="text"/>
---	---

<b>5a. Federal Entity Identifier:</b> <input type="text"/>	<b>5b. Federal Award Identifier:</b> <input type="text"/>
---	--

**State Use Only:**

<b>6. Date Received by State:</b> <input type="text"/>	<b>7. State Application Identifier:</b> <input type="text"/>
--	--

**8. APPLICANT INFORMATION:**

**\* a. Legal Name:**

<b>* b. Employer/Taxpayer Identification Number (EIN/TIN):</b> <input type="text" value="16-6002551"/>	<b>* c. UEI:</b> <input type="text" value="CU87RABY53C9"/>
---	---

**d. Address:**

<b>* Street1:</b>	<input type="text" value="30 Church Street"/>
<b>Street2:</b>	<input type="text" value="City Hall, Room 224B"/>
<b>* City:</b>	<input type="text" value="Rochester"/>
<b>County/Parish:</b>	<input type="text" value="Monroe"/>
<b>* State:</b>	<input type="text" value="NY: New York"/>
<b>Province:</b>	<input type="text"/>
<b>* Country:</b>	<input type="text" value="USA: UNITED STATES"/>
<b>* Zip / Postal Code:</b>	<input type="text" value="14614-1206"/>

**e. Organizational Unit:**

<b>Department Name:</b> <input type="text" value="Neighborhood and Business Dev."/>	<b>Division Name:</b> <input type="text" value="Strategic Initiatives"/>
--	---

**f. Name and contact information of person to be contacted on matters involving this application:**

<b>Prefix:</b> <input type="text" value="Ms."/>	<b>* First Name:</b> <input type="text" value="Rita"/>
<b>Middle Name:</b> <input type="text"/>	
<b>* Last Name:</b> <input type="text" value="Rajca"/>	
<b>Suffix:</b> <input type="text"/>	

**Title:**

**Organizational Affiliation:**

<b>* Telephone Number:</b> <input type="text" value="585-428-7816"/>	<b>Fax Number:</b> <input type="text" value="585-428-7899"/>
--	--

**\* Email:**

**Application for Federal Assistance SF-424**

**\* 9. Type of Applicant 1: Select Applicant Type:**

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

\* Other (specify):

**\* 10. Name of Federal Agency:**

United States Department of Housing and Urban Development

**11. Catalog of Federal Domestic Assistance Number:**

14.218

CFDA Title:

Community Development Block Grant

**\* 12. Funding Opportunity Number:**

B-22-MC-36-0003

\* Title:

Community Development Block Grant

**13. Competition Identification Number:**

Title:

**14. Areas Affected by Project (Cities, Counties, States, etc.):**

Add Attachment

Delete Attachment

View Attachment

**\* 15. Descriptive Title of Applicant's Project:**

Various projects

Attach supporting documents as specified in agency instructions.

Add Attachments

View Attachments

Delete Attachments



**Application for Federal Assistance SF-424**

**16. Congressional Districts Of:**

\* a. Applicant

\* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

**17. Proposed Project:**

\* a. Start Date:

\* b. End Date:

**18. Estimated Funding (\$):**

* a. Federal	<input type="text" value="8,175,063.00"/>
* b. Applicant	<input type="text" value=""/>
* c. State	<input type="text" value=""/>
* d. Local	<input type="text" value=""/>
* e. Other	<input type="text" value=""/>
* f. Program Income	<input type="text" value="500,000.00"/>
* g. TOTAL	<input type="text" value="8,675,063.00"/>

**\* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on .
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

**\* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

- Yes
- No

If "Yes", provide explanation and attach

**21. \*By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

\*\* I AGREE

\*\* The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

**Authorized Representative:**

Prefix:  \* First Name:   
Middle Name:   
\* Last Name:   
Suffix:

\* Title:

\* Telephone Number:  Fax Number:

\* Email:

\* Signature of Authorized Representative: 

\* Date Signed:

## ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009  
Expiration Date: 02/28/2025

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	Project
APPLICANT ORGANIZATION	DATE SUBMITTED
City of Rochester	



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2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee- 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-648) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
19. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE Mayor
APPLICANT ORGANIZATION City of Rochester	DATE SUBMITTED 8/11/2027



**CERTIFICATIONS**

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

**Affirmatively Further Fair Housing** --The jurisdiction will affirmatively further fair housing.

**Uniform Relocation Act and Anti-displacement and Relocation Plan** -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

**Anti-Lobbying** --To the best of the jurisdiction's knowledge and belief:


1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of Jurisdiction** --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with plan** --The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.

**Section 3** -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 75.

  
Signature of Authorized Official

  
Date

  
Title

## Specific Community Development Block Grant Certifications

The Entitlement Community certifies that:

**Citizen Participation** -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

**Community Development Plan** -- Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

**Following a Plan** -- It is following a current consolidated plan that has been approved by HUD.

**Use of Funds** -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include CDBG-assisted activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available (see Optional CDBG Certification).

2. Overall Benefit. The aggregate use of CDBG funds, including Section 108 guaranteed loans, during program year(s) 2022-23, 2023-24 & 2024-25 [a period specified by the grantee of one, two, or three specific consecutive program years], shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period.

3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

**Excessive Force** -- It has adopted and is enforcing:

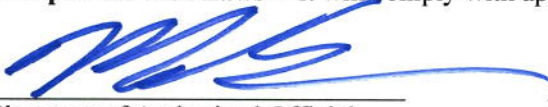
1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and


2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

**Compliance with Anti-discrimination laws** -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

**Lead-Based Paint** -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, Subparts A, B, J, K and R.

**Compliance with Laws** -- It will comply with applicable laws.

  
\_\_\_\_\_  
Signature of Authorized Official

  
\_\_\_\_\_  
Date

  
\_\_\_\_\_  
Title

**Specific HOME Certifications**

The HOME participating jurisdiction certifies that:

**Tenant Based Rental Assistance** -- If it plans to provide tenant-based rental assistance, the tenant-based rental assistance is an essential element of its consolidated plan.

**Eligible Activities and Costs** -- It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §§92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

**Subsidy layering** -- Before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;

  
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Signature of Authorized Official

  
\_\_\_\_\_  
Date

  
\_\_\_\_\_  
Title

## **Emergency Solutions Grants Certifications**

The Emergency Solutions Grants Program recipient certifies that:

**Major rehabilitation/conversion/renovation** – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation.

If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion.

In all other cases where ESG funds are used for renovation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

**Essential Services and Operating Costs** – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the recipient will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the recipient serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

**Renovation** – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

**Supportive Services** – The recipient will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal, State, local, and private assistance available for these individuals.


**Matching Funds** – The recipient will obtain matching amounts required under 24 CFR 576.201.

**Confidentiality** – The recipient has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

**Homeless Persons Involvement** – To the maximum extent practicable, the recipient will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

**Consolidated Plan** – All activities the recipient undertakes with assistance under ESG are consistent with its consolidated plan.

**Discharge Policy** – The recipient will establish and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

  
\_\_\_\_\_  
Signature of Authorized Official

8/4/2022  
\_\_\_\_\_  
Date

Mayor  
\_\_\_\_\_  
Title



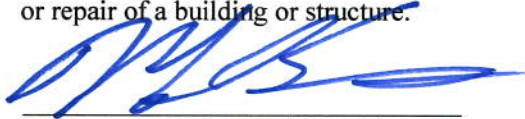
## Housing Opportunities for Persons With AIDS Certifications

The HOPWA grantee certifies that:

**Activities** -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

**Building** -- Any building or structure assisted under that program shall be operated for the purpose specified in the consolidated plan:

1. For a period of not less than 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility,
2. For a period of not less than 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.



Signature of Authorized Official



Date

  
Title

## **APPENDIX TO CERTIFICATIONS**

### **INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:**

#### **Lobbying Certification**

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.