

DRAFT

**CONSOLIDATED COMMUNITY
DEVELOPMENT PLAN**

**Annual Action Plan
2008—09**

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**CITY OF ROCHESTER
CONSOLIDATED COMMUNITY DEVELOPMENT PLAN
DRAFT ANNUAL ACTION PLAN (2008-09)**

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FOURTH PROGRAM YEAR ACTION PLAN

The CPMP Fourth Annual Action Plan includes the SF 424 and Narrative Responses to Action Plan questions that CDBG, HOME, HOPWA, and ESG grantees must respond to each year in order to comply with the Consolidated Planning Regulations.

Narrative Responses

GENERAL

Executive Summary

The Executive Summary is required. Include the objectives and outcomes identified in the plan and an evaluation of past performance.

Program Year 4 Action Plan Executive Summary:

The City of Rochester 2008-09 One-Year Action Plan contains the one-year plan to carry out housing and community development activities funded by Federal formula grant funds received in the 2008-09 program year from the U.S. Department of Housing and Urban Development (HUD). These funds are from the **Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), Emergency Shelter Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA) programs.**

The City of Rochester is submitting a Section 108 generic loan application simultaneously with the Action Plan to provide additional funding for the Business Loan Program. The program provides loans for machinery and equipment, purchases, working capital, real estate purchases construction and / or renovation.

A Housing Market Analysis was recently completed. The objective of the analysis was to evaluate the City's current, future, and potential housing market and provide recommendations to guide the development of a new Housing Policy and Plan for the City of Rochester.

A new Housing Policy was approved by the Rochester City Council on March 18, 2008. The new Housing Policy will guide the allocation of CDBG and HOME resources available to the City of Rochester to address priority housing needs and specific objectives.

The Goals and Policy Priorities adopted by the Administration also guided the development of the plan. These included:

- Promote policies that grow the local economy, ensuring adequate jobs and income for all residents;
- Make investing in city properties an affordable and competitive alternative to the suburbs;
- Sustain safe and vibrant city neighborhoods and businesses;

- Maximize home ownership;
- Assist property owners in meeting their financial obligations so they have a better chance of retaining their properties;
- Encourage owners and tenants to maintain their properties in ways that enhance value and condition;
- Provide incentives to motivate property owners to comply with building codes, to minimize the need for enforcement.

The following funded activities support our policy priorities:

- Provide more mortgage subsidies, grants, and loans to encourage home ownership and the growth of neighborhood businesses;
- Expand availability of programs that assist property investors, home buyers, and tenants to maintain the value and condition of their properties;
- Focus city financial resources to leverage private investment in City real estate;
- Rehabilitate salvageable vacant homes and develop vacant lots, in partnership with businesses, universities, and community development organizations, in ways that revive entire neighborhoods.

The amount of revenue expected to be available to fund plan activities is estimated to be \$17,315,630. Of this amount, \$13,765,630 represents new grants from the federal government and \$1,550,000 represents the anticipated receipt of loan and interest repayments on prior year Urban Development Action Grant and other program income. We are submitting an application in the amount of \$2,000,000 for a Section 108 loan.

Attachment I provides an indication of the grant amounts and compares them with the 2007-08 program year. A detailed summary of program income is presented in Attachment II.

Interim financing, referred to as a "float loan", is a procedure where a community uses CDBG funds for eligible activities that are expected to generate program income in time to carry out other activities included in the plan. Use of this procedure is based on the premise that the later activities do not require funds immediately and that the community's letter of credit will therefore contain a balance (the "float") that can be used on a temporary basis. Not only must the activity being financed with the float comply with all applicable CDBG requirements, including citizen participation requirements, but the program income generated from the activity is also subject to CDBG regulations.

The City participates in HUD's Asset Control Area Partnership program. A \$2 million CDBG "float loan" has been authorized for use by the Rochester Housing Development Fund Corporation. These funds are used for the acquisition and rehabilitation of vacant property. The loan was made available at no interest and will be repaid in October, 2008.

ATTACHMENT I
ANNUAL ACTION PLAN
ESTIMATED REVENUE SUMMARY

SOURCE	ACTUAL 2007-08	ESTIMATED 2008-09	CHANGE
Community Development Program			
Community Development Block Grant	\$ 9,870,989	\$ 9,521,039	\$ (349,950)
Section 108 Loan	\$ 4,000,000	\$ 2,000,000	\$ (2,000,000)
Program Income	\$ 1,500,000	\$ 900,000	\$ (600,000)
Total	\$ 15,370,989	\$ 12,421,039	\$ (2,949,950)
City Development Fund			
UDAG Repayments	\$ 500,000	\$ 600,000	\$ 100,000
HOME Program			
Home Investment Partnerships	\$ 3,267,751	\$ 3,160,365	\$ (107,386)
American Dream Down Payment Initiative	\$ 46,831	\$ 18,921	\$ (27,910)
Program Income	\$ 50,000	\$ 50,000	\$ -
Total	\$ 3,364,582	\$ 3,229,286	\$ (135,296)
Emergency Shelter Grant			
	\$ 426,425	\$ 425,305	\$ (1,120)
HOPWA			
	\$ 605,000	\$ 640,000	\$ 35,000
TOTAL	\$ 20,266,996	\$ 17,315,630	\$ (2,951,366)

ATTACHMENT II
ANNUAL ACTION PLAN
PROGRAM INCOME

DESCRIPTION	GRANTEE	SUBRECIPIENT
Demolition	\$ -	-
Proceeds from the Sale / Rental of Land	\$ -	-
Revolving Loan Fund NeighborWorks Revolving Loan Fund (*)	\$ -	70,300
Economic Development & Rehab Loan Repayments	\$ 950,000	-

Notes: (*) These funds are retained by NeighborWorks for a Revolving Loan Fund

The following table provides an indication of funding by program goal.

**Table 1.1
Funding By Program Goal**

GOAL	AMOUNT	PERCENT OF TOTAL
Promote Economic Stability	\$4,548,700	26%
Improve the Housing Stock	\$9,620,586	56%
Respond to General Community Needs	\$2,326,344	13%
Other	\$820,000	5%
Total	\$17,315,630	100%

The following table provides a summary of the housing and community development needs and proposed outcomes contained in the 2008-09 Annual Action Plan.

**Table 1.2
Summary of Needs and Outcomes**

NEED	PROPOSED OUTCOMES					
	BUSINESSES	JOBS	PEOPLE	HOUSEHOLDS	HOUSING UNITS	FACILITIES / IMPS
Economic Development	95	119	120,000	0	0	18
Owner Housing Rental Housing	0	0	2,310	349	1,045	0
Public Services	0	0	586	0	0	0
Homeless / HIV/ AIDS	0	0	11,861	230	0	0
Public Facilities	To Be Determined	To Be Determined	To Be Determined	To Be Determined	To Be Determined	To Be Determined
Infrastructure	To Be Determined	To Be Determined	To Be Determined	To Be Determined	To Be Determined	To Be Determined
Total	95	119	134,757	579	1,045	18

A summary of housing and community development objectives is provided in another section of this document.

The Department of Community Development and HUD **annually assess the program's performance** to determine whether the City of Rochester is in compliance with statutes and whether it has the continuing capacity to implement and administer federally assisted programs.

In summary, the program accomplished the following during the 2006 program year:

- Assisted 99 businesses and created 130 jobs through economic development activities.
- Assisted 941 housing units.
- Assisted 122 homebuyers.
- Provided public services and youth programs to more than 4,463 people.
- Assisted 28 projects that provided homeless assistance to 11,610 people.
- HOPWA funds provided assistance to 249 housing units.

The **HUD Annual Community Assessment** for the 2006 program year disclosed:

- The Consolidated Annual Performance and Evaluation Report revealed that activities were implemented during the program year consistent with the goals and objectives set forth in the 2005-2009 Consolidated Plan.
- Program activities were adequately described and no eligibility issues were detected.
- Financial information provided by the City appears to be complete, generally accurate, and with a sufficient level of detail to document the overall financial condition of the funded programs.
- The most recently completed Single Audit Report did not identify any deficiencies impacting on the management of the program.
- The city has carried out its program substantially as described in its five-year Consolidated Plan and one-year Action Plan submissions.
- The Consolidated Plan submission as implemented complies with the requirements of the Housing and Community Development Act of 1974, as amended, and other applicable laws and regulations.
- The City has the continuing capacity to carry out the approved program in a timely manner.

General Questions

1. ***Describe the geographic areas of the jurisdiction (including areas of low-income families and/or racial/minority concentration) in which assistance will be directed during the next year. Where appropriate, the jurisdiction should estimate the percentage of funds the jurisdiction plans to dedicate to target areas.***

2. ***Describe the basis for allocating investments geographically within the jurisdiction (or within the EMSA for HOPWA) (91.215(a)(1)) during the next year and the rationale for assigning priorities.***
3. ***Describe actions that will take place during the next year to address obstacles to meeting underserved needs.***
4. ***Identify the federal, state, and local resources expected to be made available to address the needs identified in the plan. Federal resources should include Section 8 funds made available to the jurisdiction. Low-Income Housing Tax Credits and competitive McKinney-Vento Homeless Assistance Act funds expected to be available to address priority needs and specific objectives identified in the strategic plan.***

Program Year 4 Action Plan General Questions Response:

Map 1 depicts census tracts - block groups where 51 percent or more of the residents have incomes that are equal to or less than the Section 8 low-income limit established by HUD. The City will use the updated Low/Mod Income Summary data for making official determinations of activity compliance with the low- and moderate-income area benefit national objective beginning on July 1, 2007.

Racial and ethnic concentrations are prevalent in the City of Rochester. A racial or ethnic concentration exists when the percentage of a particular racial or ethnic group in a certain area is 10 percentage points greater than it is for the City as a whole.

In Rochester, 37.4 percent of the population is identified as African American. In 31 of the 78 Census Tracts with more than 1,000 residents, the African American population is greater than 47.4 percent. (See Map 2).

The Hispanic/Latino population is 12.8 percent of Rochester's population; in 25 Census Tracts individuals of Hispanic/Latino origin make up more than 22.8 percent of the population (See Map 3).


The current Community Development Block Grant and HOME spending patterns reflect a widely dispersed spatial allocation. In order to achieve a greater impact, the Department will be defining smaller areas for public investment and focusing our expenditures over a multi-year period. This **Focused Investment Strategy (FIS)** will produce greater neighborhood impacts.

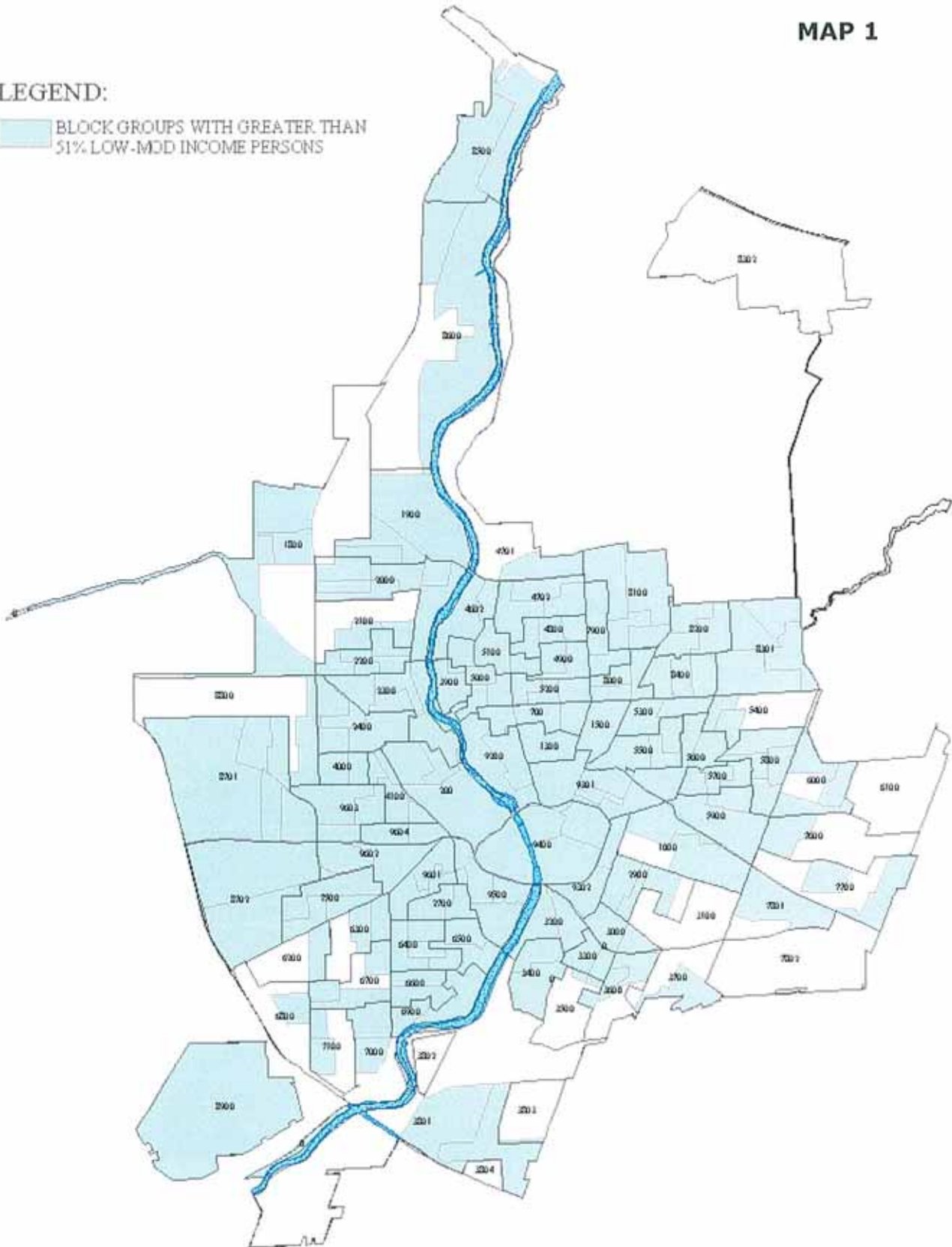
The development of criteria for neighborhood selection is very important. The Housing Market Study contains an analysis of eight sets of data such as vacancy, assessed value, and property crime to arrive at a measure of neighborhood health at the block group level. The eight indicators were combined with a block by block visual assessment of housing conditions to create six neighborhood classifications: exceptional, stable, transitional high, transitional low, depreciated, and distressed. The analysis will provide a benchmark to measure trends and the impact of investments and inform a decision making process regarding the types of investments and activities to stabilize and improve neighborhoods.

CONSOLIDATED COMMUNITY DEVELOPMENT PLAN LOW AND MODERATE INCOME AREAS

MAP 1

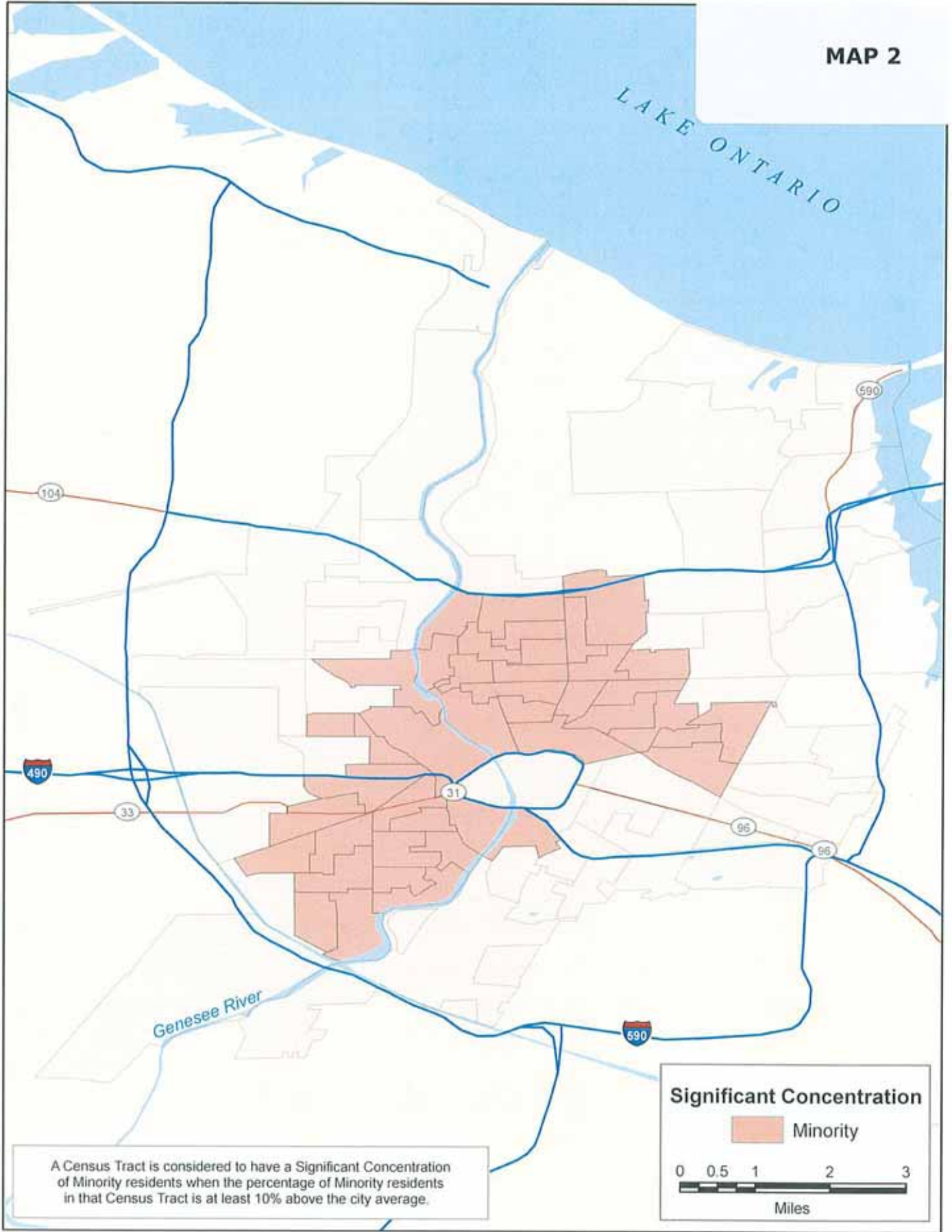
LEGEND:

 BLOCK GROUPS WITH GREATER THAN 51% LOW-MOD INCOME PERSONS



Minority Concentration In Rochester, NY

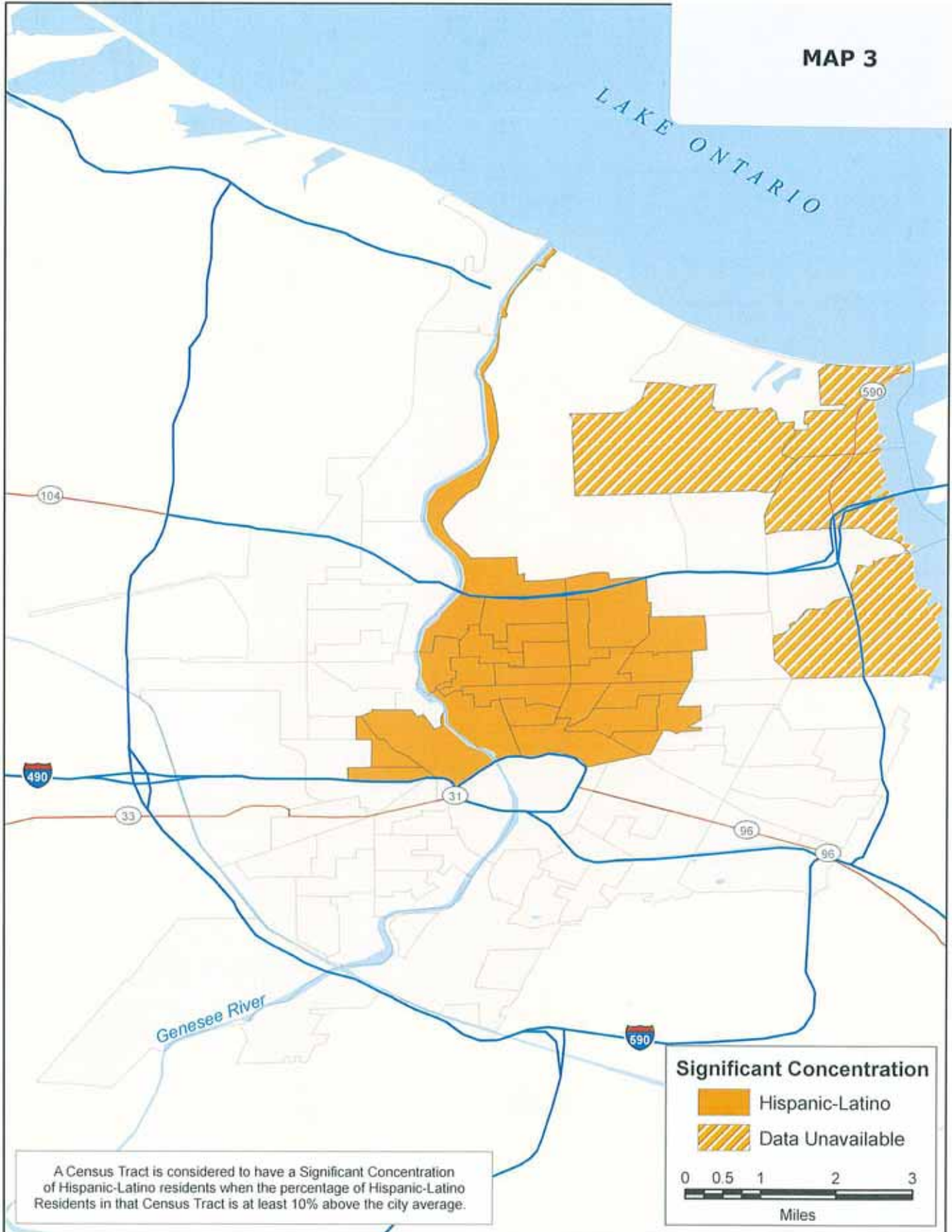
MAP 2



A Census Tract is considered to have a Significant Concentration of Minority residents when the percentage of Minority residents in that Census Tract is at least 10% above the city average.

Hispanic-Latino Concentration In Rochester, NY

MAP 3



The Mayor and City Council appointed the Focused Investment Strategy Advisory Group in August 2007. The main purpose of the Advisory Group was to recommend parameters and neighborhood selection criteria for focused investment using the Housing Market study as a baseline for information. Membership for the Advisory Group was comprised of more than thirty community representatives from sectors, neighborhoods, NPCs, funders, developers and business associations. Representatives from a variety of City departments were also present to provide technical assistance. The role of City staff on the committee was to facilitate meetings, keep to the agenda, provide information for discussion, provide follow-up between meetings and produce Advisory Group meeting notes and report. Advisory Group ground rules were established at the first meeting to include: consensus driven recommendations; no lobbying for specific neighborhoods; and, the use of the Market Study as the base document for discussions. There were a total of six meetings held between August 1 and October 24.

The Advisory Group produced a summary of recommendations that were used as the basis of the City of Rochester for selection of neighborhoods for focused investment. City Council and representatives from DCD, EDD, DES used the recommendations as the basis for selection of the first FIS areas.

The parameters below describe the basis for selection of the first phase of FIS neighborhoods agreed to by City Council and the Administration:

1. All areas selected must need public-sector assistance to jump start or stabilize the market.
2. All areas should be selected only from Transitional High, Transitional Low or Depreciated neighborhoods as described in the Housing Market Study Recommendations.
3. At least one area per quadrant or City Council District in the city.

In addition, the following are important parameters for the selection of areas due to constraints of implementation and funding sources.

- Each FIS area can be as few as two blocks (odd and even side of the street) but not more than eight blocks.
- The land use can either be residential or commercial or a combination of the two.
- The blocks must be in CDBG eligible areas.
- Although the selection of more than four FIS areas is possible, there are limitations to the number of areas that can be determined due to the amount of resources available, including funding and staffing.

The following are descriptions of the types of areas that may be appropriate for selection as focused investment areas:

- An area that currently is experiencing public and / or private investment or where investment is planned for the immediate future.
- An area that builds off existing initiatives.

- An area that offers an opportunity for surgical improvement of a troubled street in an otherwise stable area.
- Small individual areas where success can be relatively assured.
- Blocks that are less successful than the surrounding area and that threaten to “pull down” the areas around them.
- An area that borders significant investment.
- An area that borders stable neighborhoods.
- An area that demonstrates neighborhood-based capacity and commitment of residents.
- An area that has an existing plan that is ready for implementation.

The Advisory Committee appointed by City Council and the Administration to recommend criteria for the selection of FIS areas suggested the use of information gathered that pertains to the following:

A. Assets - *Can be identified as parks, green space, educational institutions, employers, vacant land, commercial enterprises, architecturally or historically significant building / sites. Cultural institutions, housing stock, infrastructures, social capital, entertainment venues, sports venues, etc.*

1. What are the neighborhood assets and why are they important?
2. How do the assets act as catalyst to attract or retain residents and commercial enterprises?

B. Leverage / Investment - *The ability or potential ability to plan and undertake FIS.*

1. What investments have occurred, and when, within the last ten years, quantify value?
2. What investments are planned for the area?
3. What partnerships exist or could be formed in the area?
4. What groups have been involved or plan on working in the area?
5. With public and private funds, what has been leveraged in the past?
6. How can the existing population benefit if they stay?
7. What has the area leveraged due to its uniqueness?

C. Capacity - *The ability or potential ability to plan and undertake FIS in the area.*

1. What public or private programs / projects have groups completed?
2. Describe the active organizations or those that could be active in the area.

3. Describe the capacity of residents or stakeholders who can plan for the area.
4. Is there an existing neighborhood plan in place?
5. Demonstrate ability to implement projects / programs.
6. Describe active / previous partnerships that play(ed) a role.
7. Identify any capacity gaps for technical support. (This is not a disqualifier). Provide requirements to overcome gaps.

D. Visibility / Impact - *Must pertain to the neighborhood instead of individual properties or projects.*

1. Will focused investment result in sustainability of the private market?
2. How could / can / will impact of FIS be measured, seen or felt by residents, rest of city, or region?
3. Can this area become a destination for the city, county or region?
4. How can FIS in the area enhance adjacent blocks, and / or leverage investment?
5. What are the unique characteristics that will be enhanced or protected by the area being selected for FIS?

It is recommended that we identify four neighborhoods and focus on 160 target blocks using an allocation of our federal and other resources over a 3-5 year period. At the end of the 3-5 year period, each neighborhood should be evaluated and a determination made whether to select new neighborhood(s) or continue the current neighborhood investment for a specific time frame.

City Council and representatives from DCD, EDD and DES met for an initial brainstorming session to identify a long list of appropriate neighborhoods for consideration as the first FIS areas. A total of eighteen areas were identified. The City of Rochester produced detailed information on each area in the report Preliminary Analysis: Focused Investment Strategy Area Selection to help in the discussion on selection of neighborhoods. It provides a number of city-wide contextual maps and the following detailed information for each of the 18 areas: a description of each area, answers to the questions asked of agreed upon FIS criteria, data on the properties in the area, photos of the area, and detailed maps of the area, including neighborhood classification, satellite image, land use, vacancy, poverty, and income.

City Council and representatives from DCD, EDD and DES met again and agreed that the first four FIS areas will be in the following four neighborhoods:

- **Northeast District - South Marketview Heights:** A FIS area will be identified to the southwest of the Public market.
- **East District - Beechwood:** A FIS area will be identified along Webster Avenue near Webster Park.
- **South District - Mayor's Heights:** A FIS area will be identified along Jefferson Avenue and adjacent to Anthony Square and / or Van Auker Apartments.

- **Northwest District - Maplewood:** A FIS area will be identified adjacent to the intersection of Dewey and Driving Park Avenue.

FIS areas within the above neighborhoods will be determined through a block-by-block analysis.

The focused investment strategy will be a combination of economic development, housing, capital improvements, and code enforcement.

It is important to remember that the FIS stabilization and improvement effort is only one part of our overall community development program and we will continue our work on activities in other city neighborhoods. These types of activities would, of course, vary depending on neighborhood type. Such work includes: community planning, demolition, vacant land management, acquisition and rehabilitation for housing, homebuyer activities, housing rehabilitation, rental housing development, foreclosure prevention, landlord services, special needs housing, lead hazard abatement, land banking, and economic development.

In addition, HUD guidelines for allocating other funds geographically are:

- **Planning projects are city-wide.**
- **Economic development projects are city-wide and assist businesses that create jobs for low- and moderate-income persons or provide needed goods and services to residents of low- and moderate-income residential areas.**
- **Housing projects are city-wide and assist units occupied by low- and moderate-income families.**
- **Neighborhood improvement projects are city-wide and are targeted to neighborhoods that are primarily residential and at least 51 percent of the residents are low and moderate income.**
- **Youth service and homeless projects are limited to a specific group of people, at least 51 percent of whom are low and moderate income.**



The City has been named by HUD as a renewal community through December 2009 to help residents gain employment. Map 4 illustrates the Renewal Community and the Empire Zone.

Renewal Community Tax Incentive includes:

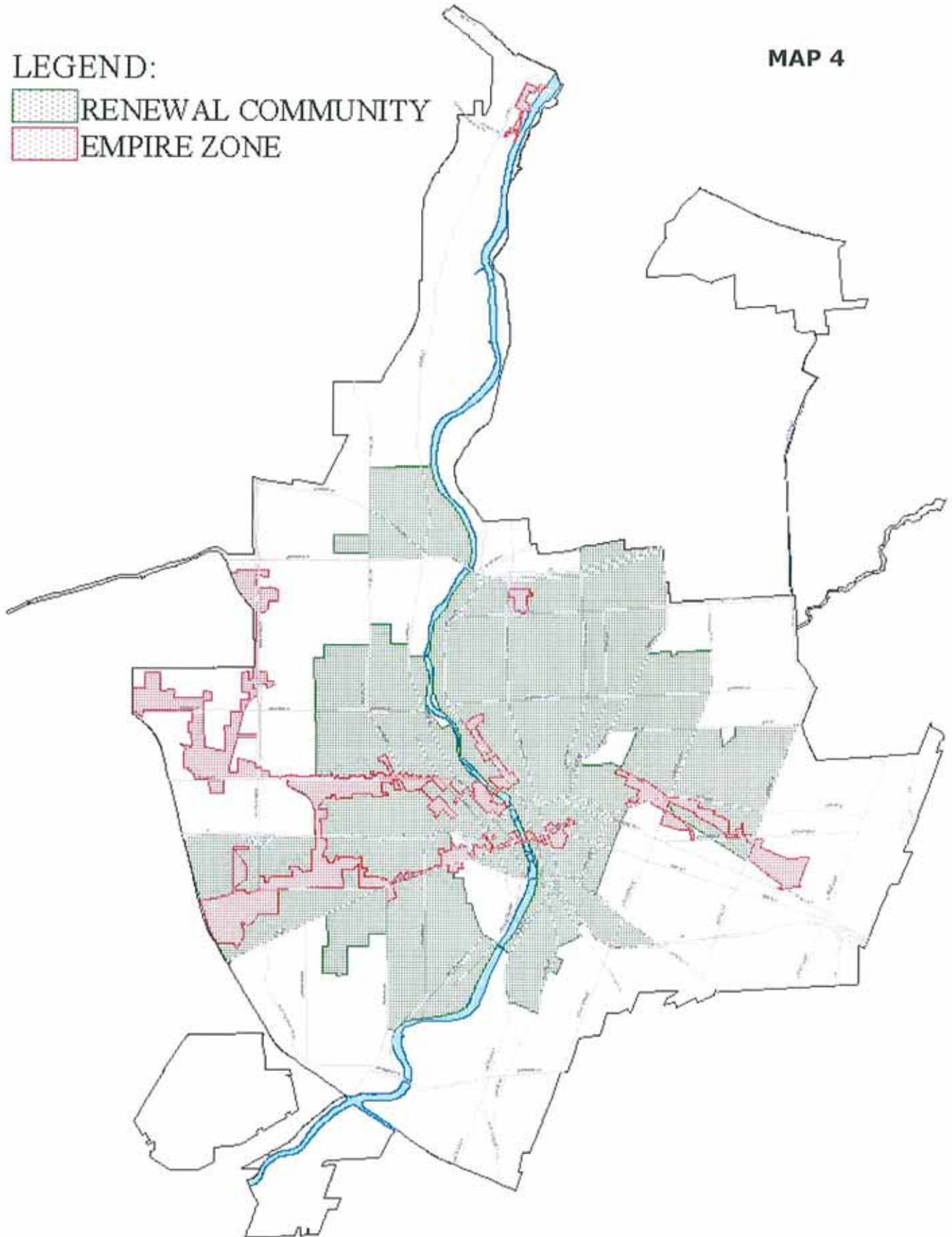
- **Renewal Community Employment Credit (RC Wage Credit):** Credit up to \$1,500 against Federal Taxes for businesses located in the RC during each year of RC designation for all existing employees and every new hire living in the RC.
- **Work Opportunity Tax Credit (WOTC):** Credit up to \$2,400 against Federal taxes for each new hire from groups with high unemployment rates or other special employment needs, including youth, ages 18-24 and summer hires, 16-17, who live in an RC.
- **Welfare to Work (WTC) Credit:** Two-year credit up to \$3,500 in the 1st year and \$5,000 in the 2nd against Federal taxes for each new hire who is a long-term family assistance recipient.

CONSOLIDATED COMMUNITY DEVELOPMENT PLAN RENEWAL COMMUNITY AND EMPIRE ZONE

LEGEND:

-  RENEWAL COMMUNITY
-  EMPIRE ZONE

MAP 4



- **Indian Employment Credit:** Credit against Federal taxes is calculated on wages of up to \$20,000 for each qualified employee.
- **Increased Section 179 deduction:** Allows businesses that qualify as a Renewal Community Business to claim an increased Section 179 deduction (up to \$35,000) on property such as equipment and machinery.
- **Commercial Revitalization Deduction:** Deduction of either one-half of Qualified Revitalization Expenditures (QREs) in the first year that a building is placed in service or all QREs on a prorated basis over 10 years if allocated to revitalizing a commercial building located in a RC.
- **Environmental Cleanup Cost Deduction (Brownfields):** Businesses can elect to deduct qualified cleanup costs of hazardous substances in certain areas (Brownfields) in the tax year that the cost is paid or incurred.
- **Depreciation of Property Used on Indian Reservations:** Special accelerated depreciation rules for qualified property in service on an Indian reservation after 1993.
- **Qualified Zone Academy Bonds (QZABs):** Allows state or local governments to issue bonds costing them zero percent interest for public school programs with private business partnerships.

Private businesses must contribute money, equipment, or services equal to 10 percent of bond proceeds (which may qualify as a charitable contribution). The Federal Government pays interest in tax credits to banks, insurance companies, and certain lending corporations holding QZABs.

- **Zero Percent Capital Gains Rate:** Entities that acquire RC assets between January 1, 2002, and December 31, 2009, and hold them for at least five years, will not have to include any qualified capital gain from the sale or exchange of that asset in its gross income.
- **Low-Income Housing Tax Credit (LIHTC):** A ten-year credit against Federal taxes for owners of newly constructed or renovated housing renting a specified percentage of units to low-income persons for at least 15 years.

Empire Zone Tax incentives include:

Wage Tax Credit (WTC) - This is a NYS income tax credit that:

- Lasts for up to five consecutive years;
- Can be used for hiring full-time employees in newly created jobs;
- Provides up to \$3,000 per year per new job filled by a targeted employee;
- Provides up to \$1,500 for other new hires;
- Increases the credit by \$500 for employees with wages over \$40,000.

Investment Tax Credit (ITC) - This is a NYS income tax credit that is:

- Aimed at EZ manufacturing, R&D, and investment firms that make "qualified investments" in the zone;
- Provides 10% for business corporations (C-Corps);
- Provides 8% for individuals, sole proprietors, partnerships or S-Corps; and
- Allows firms new to N.Y.S. 50% cash refunds for unused WTC and ITC amounts.

Employment Incentive Credit (EIC)

An additional 3% income tax credit (EIC) is allowed for each of the three years following the taxable years immediately following the taxable year that the ITC was allowed, provided certain employment requirements are met.

485-e Property Tax Abatement

- Provides for a reduction in the taxable assessment based upon physical renovation or new construction within the zone;
- Spread over a ten-year schedule;
- 100% exempt in the first seven years; and
- 25% per year there after.

Sales Tax Refund

A sales tax refund of 8% on purchases of building materials used in commercial and industrial improvement projects within the zone.

Zone Capital Credit - This is a NYS income tax credit that is:

- Available for qualified pre-approved investments in Zone Capital Corp.; or
- Available as a direct equity investment in a certified EZ business; or
- For use as a contribution to a pre-approved community development project within the zone; and
- Equal to 25% of the eligible investment or contribution.

Qualified Empire Zone Enterprises (QEZE)

Employment requirements must be met annually to qualify for the following QEZE benefits.

Real Property Tax Credit

Refundable NYS income tax credits for firms certified before April 1, 2005, that are:

- Based on the number of new jobs created/real property taxes paid in the zone;

- Available for a 14-year period; and
- Decreased by 20% each year after 10 years.

The benefit period for those certified on or after April 1, 2005, is reduced to 10 years, and is the greater of:

- 25% of total wages and benefits paid to net new employees, up to \$10,000 per new job; or
- The capital investment amount (not to exceed real property taxes paid).

Tax Reduction Credit - This NYS income tax credit for companies certified prior to April 1, 2005, is:

- Computed with a formula based on the number of new jobs created in the zone, the company's assets within the zone, and the state and income taxes owed;
- Available for a 14-year period;
- Reduced by 20% annually after 10 years; and
- If certified on-or-after April 1, 2005, the benefit period is reduced to 10 years.

Sales Tax Exemption - This 4% NYS sales and use tax exemption is for:

- Tangible personal property/services sold to qualified EZ businesses; and
- Property and services used predominately in the EZ.

The Department of Economic Development annually reviews the impact of its programs on specific sectors of the city and determines if there is a need to shift resources to reach underserved sectors.

The Department of Economic Development will also:

- Continue working on revitalization of the Midtown property;
- Seek opportunities to revitalize the Sibley property;
- Encourage the development of 400 East Main Street in the Cultural District for mixed-use residential;
- Assist in the redevelopment of the South-Capron Avenue; and
- Support the continued renovation of underutilized Center City commercial buildings for mixed use market rate residential uses.

ESL Federal Credit Union (ESL) will be relocating their headquarters to the City of Rochester at 225 Chestnut Street, and constructing a garage for their employees at 280 S. Clinton Avenue. This development which consists of the Rochester Urban Renewal Agency (RURA) selling 225 & 255 Chestnut Street and the City of Rochester selling 280 S. Clinton to ESL, will provide approximately \$50 million in investment and bring over 300 jobs to the City of Rochester.

The CDBG allocation for the Focused Investment Strategy \$2,084,300. The total dedicated to target areas is 20% of the grant plus program income.

It is important to note that the **funding priorities and decisions** are based on the needs and strategies contained in the Neighbors Building Neighborhoods Strategic Action Plans, Impact Rochester and the Housing Policy. There was also consultations with community groups, nonprofit groups, and City department staff. In addition, a Consolidated Community Development Plan prepared in 2005 contains a community profile, housing market analysis, and housing needs assessment.

The funding priorities will also adhere to the following guidelines:

- **At least 70 percent of Community Development Block Grant expenditures will be for activities that benefit low-and moderate-income families.**
- **The amount of funds proposed for public service activities will not exceed 15 percent of the annual Community Development Block Grant, including program income.**
- **The amount of funds proposed for planning and administration activities will not exceed 20 percent of the annual Community Development Block Grant, including program income.**

A **primary obstacle to meeting underserved** needs is the ability of developers, businesses, and property owners to secure the necessary financing to undertake and complete projects. It is typically the role of the public sector to fill the gaps of these financing needs. However, on occasion, these gaps exceed the assistance capabilities of the City. Another obstacle is the ability to secure inexpensive and convenient parking options for Center City businesses and projects.

Crime has been a major obstacle in respect to the revitalization of commercial strips. One program that has been adopted to help diminish crime includes the Security Lighting Grant Program.

The departments of Community Development, Economic Development, and Recreation and Youth Services annually review the impact of assisted projects and determine if there is a need to shift resources to reach underserved sectors.

The Housing Needs Assessment contained in the 2005 Consolidated Community Development Plan documents a large percentage of households that experienced one or more housing problems in 2000, including housing cost burden, overcrowding, and inadequate housing. A significant amount of the City's housing funds are directed towards addressing underserved needs.

There are many underserved groups such as the homeless. The Community's Homeless Continuum of Care Plan describes a system that ranges from emergency housing and supportive service to permanent housing with homeless prevention and support services that homeless individuals and families need to achieve independent living.

The United States Department of Housing and Urban Development annually awards **federal funds** to the City of Rochester for housing and community development activities. These funds are from the Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), Emergency Shelter Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA) programs. The City utilizes Urban Development Action Grant loan and interest payments for the City Development Fund.

Community Development Block Grant

Community Development Block Grant funds may be used to carry out a wide range of community and economic development activities specifically authorized by the Housing and Community Development Act. All assisted projects, with the exception of planning and administration, must address one of the three national objectives of the program: benefit low- and moderate-income persons; prevent or eliminate slums and blight; or when no other financial resources are available, meet other community development needs that are particularly urgent because existing conditions pose a serious and immediate threat to the health and welfare of the community.

Activities that can be carried out with CDBG funds include but are not limited to acquisition of real property, relocation, demolition, rehabilitation of residential and non-residential structures, and the construction of public facilities and improvements such as water and sewer facilities, streets, and neighborhood centers. In addition, CDBG funds may be used to pay for public services within certain limits and for activities relating to energy conservation and renewable resources. Communities may also provide assistance to businesses to carry out economic development activities.

The types of activities that are generally considered ineligible include the construction or rehabilitation of buildings for the general conduct of government, political activities, and certain income payments.

HOME Program

The City of Rochester was designated as an eligible jurisdiction for participation in the HOME Program on February 12, 1992. HOME funds may be used for a variety of housing activities according to local housing needs. Eligible uses of funds include developing rental housing, housing rehabilitation, assistance to first-time home buyers, and new construction. HOME funding may also be used for site acquisition, site improvements, demolition, and relocation. Funds may not be used for certain activities, including public housing modernization or operating subsidies for rental housing.

Participating jurisdictions must match their HOME funds and must also set aside 15 percent of their allocations for housing owned, developed, or sponsored by community housing development organizations. Rochester qualifies for a 100 percent reduction in the required local match amount for 2007-2008 as determined by HUD, since it meets the requisite distress criteria.

The **American Dream Downpayment Initiative (ADDI)** was authorized by Congress on December 16, 2003 and provides resources specifically intended to assist low-income ($\leq 80\%$ MFI) families who are first-time homebuyers in purchasing single-family housing that will serve as the family's principal residence. The City of Rochester will primarily utilize ADDI in financing existing home purchase assistance programs.

Emergency Shelter Grant

The Federal Homeless Assistance Act provides grants for safe, sanitary shelter, supportive services and other assistance to homeless people and families. Eligible activities include renovation, major rehabilitation, or conversion of buildings for use as emergency shelters for the homeless. Within certain limits, grantees may spend funds on essential social services for the homeless and for homeless prevention efforts. Funds may also be spent on operating costs such as maintenance, insurance, utilities, and furnishings as well as limited staff payroll expenses.

Housing Opportunities for Persons with AIDS

Funds for the Housing Opportunities for Persons with AIDS are provided by formula to certain states and localities based on population and other considerations. Housing assistance and services under the program are limited to low-income persons with AIDS or related diseases and their families, but housing information services are available to persons with AIDS and their families without regard to income. Funds may be used for all types of housing designed to prevent homelessness for persons with AIDS and their families, including emergency housing, shared housing arrangements, apartments, single room occupancy dwellings, and community residences. Appropriate supportive services must be provided in connection with HOPWA housing assistance.

City Development Fund

The City Development Fund was established in 1986. The objective of the fund is to provide for development incentives that leverage private investment in the City's housing stock, enhance the tax base, and retain and create jobs. The Development Fund, which operates primarily as a revolving loan fund, ensures that scarce resources are recycled to the maximum extent possible and that neighborhood and business initiatives are continued in the future.

Capitalization of the fund is provided chiefly by loan and interest repayments received from Urban Development Action Grant loan recipients. Use of these repayments primarily for new loans will not only leverage private funding but will generate further payments to fund new loans. Thus, the loan fund has cumulatively increasing effects.

Section 108 is the loan guarantee provision of the Community Development Block Grant (CDBG) Program. Section 108 provides CDBG entitlement communities with a source of financing for economic development, housing rehabilitation, public facilities, and large scale physical development projects. A Section 108 loan is not risk-free. A local government borrowing funds guaranteed by Section 108 must pledge their current and future CDBG allocations to cover the loan amount as security for the loan. The maximum repayment period for a Section 108 loan is twenty years.

Section 108 assisted activities must comply with CDBG rules and requirements. All projects must either principally benefit low- and moderate-income persons, aid in the elimination or prevention of slums and blight, or meet urgent needs of the community.

A city may apply for up to five times the latest approved CDBG entitlement amount, minus any outstanding Section 108 commitments and / or principal balances of Section 108 loans.

The City of Rochester is submitting a Section 108 generic loan application to provide additional funding for the Business Loan Program. The program provides loans for machinery and equipment, purchases, working capital, real estate purchases construction and / or renovation. CDBG funds will be used to lower interest costs of the Section 108 loan guarantee.

The loan fund will provide a pre-approved pool of loan guarantee authority to developers undertaking projects. The City of Rochester has several projects in the funding pipeline at any one time. Since the funds are pre-authorized, it allows the City to make loans in a shorter period of time.

The Rochester Housing Authority administers a **Section 8 Rental Assistance Program** which provides payments to owners of private market rate units or directly to tenants (vouchers). The **Low Income Housing Tax Credit Program** provides federal tax credits to individuals and corporations that invest in low-income housing. Tax credits are sold to people with high tax liability and proceeds are used to create housing. Tax credit allocations are awarded through New York State on a competitive basis.

The **McKinney-Vento Homeless Assistance Act** consists of several programs providing a range of services to homeless people, including the Continuum of Care Programs: the Supportive Housing Program, the Shelter Plus Care program, and the Single Room Occupancy Program, as well as the Emergency Shelter Grant Program. The Rochester / Irondequoit / Greece / Monroe County Continuum of Care received grants in the amount of \$7,930,010 in 2007 for permanent and transitional housing and services to homeless persons.

The Housing and Community Development Objectives Section of this document identifies additional resources to address the needs identified in the plan.

Managing the Process

- 1. Identify the lead agency, entity, and agencies responsible for administering programs covered by the consolidated plan.***
- 2. Identify the significant aspects of the process by which the plan was developed, and the agencies, groups and organizations, and others who participated in the process.***
- 3. Describe actions that will take place during the next year to enhance coordination between public and private housing, health, and social service agencies.***

Program Year 4 Action Plan Managing the Process response:

The **Department of Community Development is the lead agency** responsible for preparing and overseeing the Consolidated Community Development Plan and Annual Action Plan. The Department ensures that there is broad public participation in the development of the Plan and that ideas are obtained from a wide range of government agencies, service providers, and sector planning committees.

The departments of Community Development, Economic Development, and Recreation and Youth Services administer assisted projects.

The Department of Economic Development consults with a number of different entities to discuss economic development for specific projects and programs on a regular basis. For example, the Main Street Program was developed based on discussions with impacted property owners, businesses, and advocacy organizations. The Commercial Building Renovation Program was developed after a specific assistance need was identified by owners of underutilized commercial buildings.

For the West Main Street Revitalization Project, staff worked with twelve businesses in creating major investment to the area and creating a thematic design approach. This project utilized the four pronged approach of visioning, economic analysis, design, and a final action plan. Staff will continue to meet and partner with a consortium of micro-lenders to help develop an effective Micro Loan Program for small city businesses. In addition, staff will continue to survey our business constituents through our visitation program as well as our monthly President's Council meetings as to what we can do to meet small business needs in the city.

There are regular consultations with other economic development entities such as the County of Monroe, Greater Rochester Enterprise, NYS Empire Development, and the U.S. Small Business Administration regarding economic development issues.

The City of Rochester values its partners and recognizes the vital contributions of State agencies, nonprofit organizations, businesses, financial institutions, and other organizations that help carry out numerous housing and community development programs.

It is intended and expected that the City and housing providers in Rochester will continue their existing practices of coordination and networking with health, mental health, and human service agencies.

The City will continue to work with the HOME program community housing development organizations (CHDOs). It will coordinate housing and community activities with the Continuum of Care efforts. It will coordinate various physical improvement and housing efforts.

City staff will continue to work closely with neighborhood associations to develop and implement economic development programs.

The City will continue to advise agencies on which programs are available. The City will continue to refer agencies to experienced developers in the community.

Citizen Participation

- 1. Provide a summary of the citizen participation process.**
- 2. Provide a summary of citizen comments or views on the plan.**
- 3. Provide a summary of efforts made to broaden public participation in the development of the consolidated plan, including outreach to minorities and non-English speaking persons, as well as persons with disabilities.**

4. ***Provide a written explanation of comments not accepted and the reasons why these comments were not accepted.***

Program Year 4 Action Plan Citizen Participation response:

The City of Rochester prepared a detailed Citizen Participation Plan. The City encourages residents to participate in the planning and implementation of the Consolidated Community Development Plan. Public meetings are held at times convenient for citizens and at locations within the program's target areas that are accessible to the disabled. In addition, the City provides opportunities for citizens to submit written comments, proposals, and recommendations. It also publishes and distributes material in both English and Spanish.

A **planning meeting** was held on February 27, 2008 to solicit input and provide information regarding the Consolidated Community Development Plan.

A Draft Consolidated Community Development Plan was prepared and published. The plan will be available for 30 days for comment. It will be mailed to public libraries and published in a newspaper of general circulation. Copies will be available in the Department of Community Development and the Office of Communications in City Hall, which are accessible to the disabled. This Draft Plan will be submitted to City Council for its consideration.

The City Council held a public hearing on the Draft Plan. At this hearing, the public was invited to comment on all phases of the plan, including housing and community development needs, proposed activities, and program performance.

Institutional Structure

1. ***Describe actions that will take place during the next year to develop institutional structure.***

Program Year 4 Action Plan Institutional Structure response:

The Economic Development Department is responsible for implementation of economic development strategies and activities. The Department works closely with individual businesses, banks, business associations, the Rochester Business Alliance, and job training and referral agencies.

The Department of Community Development will review the program delivery structure for prospective home buyers to obtain information, financial counseling and assistance, and pre- and post-purchase counseling services relating to home ownership.

Monitoring

1. **Describe actions that will take place during the next year to develop institutional structure.**

Program Year 4 Action Plan Monitoring response:

The City of Rochester **continually monitors the performance of housing and community development activities to assure that they are carried out in accordance with applicable laws and regulations and to ensure that performance goals are achieved.** Following is a description of standards and procedures that the City will use to ensure compliance.

The City of Rochester converted to the Integrated Disbursement and Information System in December 1996. The system enables the City to review a program's progress and monitor performance on an ongoing basis.

A written Performance and Evaluation Report will be prepared annually. The report will contain a summary of resources and programmatic accomplishments, the status of actions taken to implement the strategy contained in the Consolidated Community Development Program and evaluation of progress made during the year in addressing identified priority needs and objectives.

The standards and procedures used to monitor economic development projects to ensure long term compliance with the program requirements include annual job verification reports and certifications to be submitted by the program recipients. We will continue to review our project monitoring procedures and policies with our Accounting and Legal Departments to ensure that we maintain tight fiscal controls. Our Industrial Development Division will employ standards and procedures such as maintaining current program guidelines, utilizing appropriate underwriting analysis and documentation. Additionally, the division maintains an active process of post-closing administration, which involves monitoring employment information. Staff monitor projects to ensure that projects are completed and program objectives are met.

Section 85.40(a) of the Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments requires the City to monitor the day-to-day operations of subrecipient activities to assure compliance with applicable federal requirements and ensure that performance goals are being achieved.

The goal of subrecipient monitoring is to identify any problems and to recommend corrections in order to reinforce and improve the subrecipient performance. The City approaches monitoring program activities proposed in the Community Development Program as an ongoing process involving continuous subrecipient communication and evaluation. The process involves frequent telephone contacts, written communications, analysis of reports and evaluations, periodic meetings, and on-site visits.

The departments of Community Development, Environmental Services, Economic Development, and Recreation and Youth Services will monitor each subrecipient receiving funds. Risk analysis is an important concept in determining the frequency and intensity of monitoring. Subrecipients that manage complex programs, handle program income, lack capacity, or have experienced recent problems such as incomplete performance reports are monitored more frequently.

In-house reviews of subrecipients are conducted on a monthly basis. The in-house review consists of a review of the subrecipient's monthly performance report and the supporting documentation submitted with the request for payment. The review of the monthly report includes a comparison of actual accomplishments to the objectives contained in the subrecipient agreement. Subrecipients are informed of any problems or concerns and asked to submit corrective action plans.

The City conducts on-site visits of each subrecipient on a quarterly basis. The subrecipient is given adequate notice in advance of the monitoring visit. To prepare for the on-site visit, the City will perform administrative monitoring by reviewing documents such as the subrecipient agreement, quarterly performance reports, evaluations, and correspondence to and from the subrecipient. The purpose of the review is to identify potential problems, program status, and to provide recommendations to correct any problem areas.

The City's monitor will meet with appropriate subrecipient officials and explain the purpose of the monitoring visit. All appropriate material generated by the subrecipient which provides more detailed information on program and budget performance and status are reviewed. The monitor completes a written evaluation of the monitoring session and retains same in the subrecipient/project file.

After the on-site visit, the subrecipient is informed by letter or a conference session of the results of the monitoring, including any problems or concerns and a schedule of any corrective action required.

The Bureau of Accounting is responsible for the financial monitoring of each activity and/or project, including a review of the subrecipient's financial records and handling of program income.

All grant applications that require a Certification of Consistency with the Consolidated Community Development Plan will be reviewed. An annual report on all activities certified by the City as being in accordance with the plan is prepared.

The City reserves the right, on an as needed basis, to request updates on Consolidated Plan certified activities and/or conduct site visits to ensure consistency with the original proposed activities and long-term compliance.

The City of Rochester will monitor housing related activities that are discussed in the plan. This monitoring will be limited to requests for information on a yearly, and as needed, basis.

We will continue to examine the best practices of other communities nationally to bring innovative programs to our community.

The City of Rochester will also continue with the **Outcome Performance Measurement System developed by the U.S. Department of Housing and Urban Development**. The system includes objectives, outcome measures and indicators that describe outputs. The objectives are Creating Suitable Living Environments, Providing Decent Affordable Housing, and Creating Economic Opportunities.

The outcome categories are Accessibility / Availability, Affordability, and Sustainability. There is a standardized list of output indicators to report on as appropriate for the chosen objectives and outcomes.

The objectives and indicators provided reflect the rationale for funding the activity. The indicators will describe, in numerical terms, any particular benefit that the activity produced. The system is designed to enable grantees to inform the public of the many outcomes of assisted programs. The goal is to focus on more outcome-oriented information and be able to report the results.

The system will be an important tool to report to citizens the many benefits provided by assisted activities.

The following table contains a summary of outcome indicators by activity.

Table 1.3
Outcome Performance Management System
Specific Outcome Indicators

ACTIVITY	OUTCOME INDICATORS
Public Facility or Infrastructure	Number of Persons Assisted
Public Services	Number of Persons Assisted
Commercial Facade Treatment / Business Building Rehab	Number of Commercial Facade Treatments / Business Building Rehab
Brownfields Remediation	Number of Acres of Brownfields Remediated
Rental Units Constructed	Number of Units
Rental Units Rehab	Number of Units
Homeownership Units Constructed, Acquired and/or Acquired with Rehabilitation	Number of Units
Owner-Occupied Units Rehabilitated or Improved	Number of Units
Direct Financial Assistance to Homebuyers	Number of First-Time Homebuyers
Homeless Persons	Number of Homeless Persons Given Overnight Shelter Number of Beds Created in Overnight Shelter or Other Emergency Housing
Homeless Prevention	Number of Persons Receiving Assistance
Jobs Created/Retained	Total Number of Jobs
Businesses Assisted	Total Businesses Assisted

The Consolidated Plan will also participate in the **Rochester By The Numbers Program** which is a City program designed to develop performance goals and measures, focus on customer service and efficiency of processes.

Lead-based Paint

- 1. Describe the actions that will take place during the next year to evaluate and reduce the number of housing units containing lead-based paint hazards in order to increase the inventory of lead-safe housing available to extremely low-income, low-income, and moderate-income families, and how the plan for the reduction of lead-based paint is related to the extent of lead poisoning and hazards.***

Program Year 4 Action Plan Lead-Based Paint Response:

Based on data compiled by the Monroe County Department of Public Health, 400 (5.6% of those tested) children in the City of Rochester under the age of 6 had elevated blood lead levels (equal to or above 10ug/dl) between July 2006 and June 2007. This represents a 43% reduction in child lead-poisoning since 2003 when 932 lead-poisoned children were reported (equal to or above 10ugg/dl). While these statistics are encouraging the fight is not over. Hundreds of children still remain at risk.

The majority of lead-poisoned children reside in the following inner-city neighborhoods where some of the city's oldest housing stock is located: 14621 (south); Edgerton; P.O.D (Dutchtown), B.E.S.T; 19th Ward; Marketview Heights (north); Maplewood (east); Beechwood; 14621 (north); and Genesee/Jefferson/Plymouth-Exchange. It is within these "High-Risk" neighborhoods where the City should continue concentrating its lead hazard control efforts. Since 2004, the City has produced 479 units of lead-safe housing. The goal is to produce 902 units by December 2010. It is projected that 200 units will be produced in the 2008-09 program year.

In November 2007, the City was awarded \$1.6 million from HUD to control lead-based paint hazards in 100 units of eligible rental and owner-occupied housing over a three year period. In addition to direct lead hazard control, these funds will be used to fund lead-safe work practices training and contractor training, child blood testing, marketing, and outreach and education. In December 2007, the City was awarded an additional \$1.5 million from the Greater Rochester Health Foundation. These funds will be used to control lead hazards in 170 units of eligible housing in a target area located in the 14621 neighborhood over a 3-year period. The City currently awaits an additional funding award from New York State for \$1.5 million and an application is pending with New York State for \$500,000. Both of these resources will be used for direct lead hazard control.

The Consolidated Community Development Plan documents several actions to evaluate and reduce lead-based paint hazards and describes how lead-based paint hazards will be integrated into housing policies and programs. **During the 2008-09 program year, the City will undertake the following activities:**

- Continue to provide funding through the City's Lead Hazard Control Grant Program to owner-occupants and investor-owners to control lead based paint hazards in a projected 200 housing units;
- Partner with the Great Rochester Health Foundation and Action for a Better Community to control lead hazards in housing located in a target area of the 14621 neighborhood;
- Educate property owners about the dangers of childhood lead poisoning and build community awareness of the City's Lead Hazard Control Grant Program;
- Partner with The Housing Council to provide application intake services for landlords that apply to the City Programs;
- Contract with Environmental Education Associates to offer free lead-safe work practices training to property owners and contractor training to produce a projected 25 EPA-certified Lead Supervisors and 75 EPA-certified Lead Workers;

- Apply to HUD for lead hazard control funding; and
- Seek "nontraditional" funding for lead hazard control.

HOUSING / COMMUNITY DEVELOPMENT OBJECTIVES

Specific Housing Objectives

Please also refer to the Housing Needs Table in the Appendix A

1. *Describe the priorities and specific objectives the jurisdiction hopes to achieve during the next year.*
2. *Describe how Federal, State, and local public and private sector resources that are reasonable expected to be available will be used to address identified needs for the period covered by this Action Plan.*

*Please also refer to the Community Development Table in the Appendix.

1. *Identify the jurisdiction's priority non-housing community development needs eligible for assistance by CDBG eligibility category specified in the Community Development Needs Table - public facilities, public improvements, public services, and economic development.*
2. *Identify specific long-term and short-term community development objectives (including economic development activities that create jobs), developed in accordance with the statutory goals described in section 24 CFR 91.1 and the primary objective of the CDBG program to provide decent housing and a suitable living environment and expand economic opportunities, principally for low- and moderate-income persons.*

**Note: Each specific objective developed to address a priority need, must be identified by number and contain proposed accomplishments, the time period (i.e., one, two, three, or more years), and annual program year numeric goals the jurisdiction hopes to achieve in quantitative terms, or in other measurable terms as identified and defined by the jurisdiction.*

Needs of Public Housing

1. *Describe the manner in which the plan of jurisdiction will help address the needs of public housing and activities it will undertake during the next year to encourage public housing residents to become more involved in management and participate in homeownership.*
2. *If the public housing agency is designated as "troubled" by HUD or otherwise is performing poorly, the jurisdiction shall describe the manner in which it will provide financial or other assistance in improving its operations to remove such designation during the next year.*

Program Year 4 Action Plan Housing / Community Development Response:

The City of Rochester prepared a Consolidated Community Development Plan in 2005. The Strategic Plan section lists the community's goals, objectives, and strategies to address priority needs. The plan contained an analysis of housing needs using specially tabulated 2000 census data provided by the U.S. Department of Housing and Urban Development. The City also identified priority needs in accordance with several needs tables prescribed by HUD. These tables are contained in Appendix A.

The strategies and objectives are designed to meet the three Federal goals for the Consolidated Plan. Regulations state that the Consolidated Plan's activities should meet one of the following three goals:

- **Provide decent housing**
- **Provide a suitable living environment**
- **Expand economic opportunity**

The City of Rochester has developed three key goal areas to guide the program. These are identified as:

- **Promote Economic Stability**
- **Improve the Housing Stock and General Property Conditions**
- **Respond to General Community Needs**

A summary of the specific annual goals and initiatives is shown on Attachment III. The attachment is organized so the community can see how some of the funded initiatives fit into the overall goals and strategies. Attachment IV provided a summary of specific annual objectives along with performance indicators for the 2005, 2006, 2007, and 2008 Annual Action Plans.

**ANNUAL ACTION PLAN
SUMMARY OF SPECIFIC ANNUAL GOALS AND INITIATIVES
ATTACHMENT III
2008-2009**

SPECIFIC OBJECTIVE/GOAL	SPECIFIC ANNUAL OBJECTIVE/STRATEGY	INITIATIVES
Sustainability of Economic Opportunity/ Promote Economic Stability	Improve economic opportunities for low-income persons	ED Financial Assistance Loan and Grant, Economic Development - Staff, High Technology of Rochester, Neighborhood Commercial Assistance Program, Section 108 Business Loan Program, Section 108 Loan Loss Reserve, Targeted Facade Improvement Programs
Affordability of Decent Housing/ Improve the Housing Stock and General Property Conditions	Improve the availability of affordable owner housing, Improve access to affordable owner housing, Improve the quality of owner housing, Increase the supply of affordable rental housing, Increase the quality of affordable rental housing	Housing Development Fund
Affordability of Decent Housing/ Improve the Housing Stock and General Property Conditions	Improve the availability of affordable owner housing, Improve access to affordable owner housing, Improve the quality of owner housing, Increase the supply of affordable rental housing, Increase the quality of affordable rental housing	Housing Program Delivery
Affordability of Decent Housing/ Improve the Housing Stock and General Property Conditions	Improve access to affordable owner housing, Improve the quality of owner housing, Improve the services for low / mod income persons	Homeownership Fund

**ANNUAL ACTION PLAN
SUMMARY OF SPECIFIC ANNUAL GOALS AND INITIATIVES
ATTACHMENT III
2008-2009**

SPECIFIC OBJECTIVE/GOAL	SPECIFIC ANNUAL OBJECTIVE/STRATEGY	INITIATIVES
Affordability of Decent Housing/ Improve the Housing Stock and General Property Conditions	Improve the quality of affordable rental housing, Improve the services for low/mod income persons	Rental Market Fund
Affordability of Decent Housing/ Improve the Housing Stock and General Property Conditions	Improve access to affordable housing, Increase range of housing options & related services for persons w/special needs, Improve access to affordable rental housing	Housing Choice Fund
Affordability of Decent Housing/ Improve the Housing Stock and General Property Conditions	Improve access to affordable owner housing, Improve the quality of owner housing, Increase the supply of affordable rental housing, Increase the quality of affordable rental housing, Improve the services for low/mod income persons.	Neighborhood and Asset Based Planning Fund
Availability/Accessibility of Suitable Living Environment/ General Community Needs	Improve quality / increase quality of neighborhood facilities for low-income persons.	Neighborhood Aquatics Plan, Neighborhood Recreation Facilities
Availability/Accessibility of Suitable Living Environment/ General Community Needs	Improve quality/increase quantity of public improvements for lower income persons	Neighborhood Right-of-Way Improvements, Neighbors Building Neighborhoods Streetscapes
Availability/Accessibility of Decent Housing/ General Community Needs	Increase range of housing options and related services for persons with special needs	HOPWA, Emergency Shelter Grant

**ANNUAL ACTION PLAN
SUMMARY OF SPECIFIC ANNUAL GOALS AND INITIATIVES
ATTACHMENT III
2008-2009**

SPECIFIC OBJECTIVE/GOAL	SPECIFIC ANNUAL OBJECTIVE/STRATEGY	INITIATIVES
Availability/Accessibility of Suitable Living Environment/General Community Needs	Improve the services for low/mod persons	Elite Basketball Program, Family Talk, Job Creation / Youth Development, Leader's Institute - School 44, Sisters Together Achieving Results, Young Father's Program, Youth Training Academy, YWCA young Mother's Program

New Specific Objective

Summary of Specific Annual Objectives

Specific Obj. #	Outcome/Objective Specific Annual Objectives	Sources of Funds	Performance Indicators	Year	Expected Number	Actual Number	Percent Completed	
EO-3	Sustainability of Economic Opportunity							
EO-3 (1)	Promote Economic Stability - Improve economic opportunities for low-income persons	CDBG	Businesses Assisted - Does not included the CDF	2005	117	155	132%	
				2006	129	99	77%	
				2007	77			
				2008	90			
				2009				
				MULTI-YEAR GOAL			254	
		CDBG	Jobs Created - Expected number was adjusted to reflect \$35,000 per job created	2005	32	183	572%	
				2006	23	130	565%	
				2007	82			
				2008	84			
2009								
		MULTI-YEAR GOAL			313			
				2005				
				2006				
				2007				
				2008				
				2009				
			MULTI-YEAR GOAL			0		



Summary of Specific Annual Objectives

Specific Obj. #	Outcome/Objective Specific Annual Objectives	Sources of Funds	Performance Indicators	Year	Expected Number	Actual Number	Percent Completed	
DH-2	Affordability of Decent Housing							
DH-2 (1)	Improve the Housing Stock and General Property Conditions - Improve the quality of owner housing, improve access to affordable owner housing, increase the availability of affordable owner housing, increase the supply of affordable rental housing and improve the quality of affordable rental housing Improve the Housing Stock and General Property Conditions - Improve services for low/mod income persons	CDBG	Units Assisted	2005	926	1086	117%	
				2006	431	941	218%	
		HOME / ADDI		2007	647			
				2008	1045			
				2009				
				MULTI-YEAR GOAL			2027	
		HOME / ADDI	Households Assisted	2005	191	69	36%	
				2006	50	122	244%	
				2007	29			
				2008	349			
		MULTI-YEAR GOAL			191			
CDBG	People Assisted	2005	3310	4203	127%			
		2006	3810	5473	144%			
HOME / ADDI		2007	3810					
		2008	2310					
		2009						
		MULTI-YEAR GOAL			9676			

New Specific Objective

Summary of Specific Annual Objectives

Specific Obj. #	Outcome/Objective Specific Annual Objectives	Sources of Funds	Performance Indicators	Year	Expected Number	Actual Number	Percent Completed
SL-1	Availability/Accessibility of Suitable Living Environment						
SL-1 (1)	General Community Needs - Improve the services for low / mod income persons	CDBG	People Assisted	2005	8087	30330	375%
				2006	7347	4463	61%
				2007	842		
				2008	706		
				2009			
	MULTI-YEAR GOAL					34793	
	General Community Needs - Increase the range of housing options and related services for persons w/ special needs	ESG	People Assisted	2005	24228	16713	69%
				2006	11610	48036	414%
				2007	10994		
				2008	11741		
2009							
MULTI-YEAR GOAL					64749		
	HOPWA	Households Assisted	2005	186	260	140%	
			2006	215	249	116%	
			2007	217			
			2008	230			
			2009				
MULTI-YEAR GOAL					509		

Summary of Specific Annual Objectives

Specific Obj. #	Outcome/Objective Specific Annual Objectives	Sources of Funds	Performance Indicators	Year	Expected Number	Actual Number	Percent Completed
SL-1	Availability / Accessibility of Suitable Living Environment						
SL-1(2)	General Community Needs - Improve quality / increase quality of neighborhood facilities for low-income persons	CDBG	People Assisted - Ryan Center is underway	2005	0	0	
				2006	14909	0	
				2007	0		
				2008	0		
				2009			
	MULTI-YEAR GOAL					0	
	General Community Needs - Improve quality / increase quantity of public improvements for lower income persons	CDBG	Public Facilities	2005	10	6	60%
				2006	10	5	50%
				2007	0		
				2008	0		
2009							
MULTI-YEAR GOAL					11		
MULTI-YEAR GOAL						0	

Goal: Promote Economic Stability

The City's economic development efforts concentrate on commercial and industrial development through a series of strategies and initiatives designed to encourage investment, and retain and create jobs while strengthening city neighborhoods by providing vital neighborhood services.

The primary goals will be to continue to provide economic development programs and services designed to assist projects which will result in new investment, new job creation or job retention.

Last year, the Economic Development Department combined certain existing Community Development Block Grant and City Development funded loan and grant programs as follows:

- Combination of the Industrial Loan Fund (CDBG funded), the Industrial Revolving Loan Fund (CDF funded) and the Commercial Loan Fund (CDBG funded and CDF funded) into the EDD Financial Assistance Program.
- Combination of the Industrial Building Renovation Grant Program (CDF funds), the Industrial Security Matching Grant (CDF funds) and the Commercial Building Renovation Grant Program (CDF) into one program.

The benefits of the above combinations included the following:

- Combination of these programs resulted in, among other things, a reduction of documentation and administrative functions by all City departments involved with the approval, commitment, and funding processes.
- Current City program guidelines, underwriting criteria and legal documentation of programs being combined are already similar.
- Combination resulted in better allocation of funds as one of the programs may run out of funding while the other does not use all allocated funds as quickly.

The Main Street Assistance, Targeted Business Assistance, and Pre-Development Grant Programs will remain in place.

Allocations of CDBG and CDF funds in the 2008-09 program year will be available for use in the following programs:

CDBG Funds Allocation

- EDD Financial Assistance Program
- Main Street Assistance Program
- Targeted Business Assistance Program
- Pre-Development Grant Program

CDF Funds Allocation

- EDD Financial Assistance Program
- EDD Building Renovation Matching Grant Program
- Targeted Business Assistance Program
- High Technology

We will continue **REVIVE**, which is a 4-step process for neighborhood commercial development. The process consists of the following:

- **Vision Session**

Neighborhood stakeholders, residents, business owners, property owners, not-for-profit community agencies, churches, police, NET, schools, etc. are invited to a session to "vision" the future of the neighborhood.

After some general instructions, the large group is broken into six small groups, each with a facilitator. Their job is to identify strengths, weaknesses, opportunities, and threats. After this group meets for one to one and one-half hours, the larger group meets and each group reviews their concepts.

The information is collected for comparison and to compile data to be shared with the stakeholders.

- **Economic Analysis**

Using demographics as well as consumer spending characteristics for the targeted area, EDD, and the "neighborhood" see if specific economic relationships exist between the neighborhood visions and neighborhood economics. This process helps to identify which goals are both realistic and supported by financial data.

- **Design Charette**

This phase allows stakeholders to determine the appropriate look for their neighborhood. Small groups will use GIS maps to actually draw in the amenities they desire. New street lights, curb cuts, parking lots, benches, trees, etc. Design guidelines are established for this targeted area as well as a unique look.

- **Action Plan**

The information collected in the prior steps is brought together to create a logical action plan with a time line and budget. The plan is truly holistic, to be successful all stakeholders must take a role in creating the new vision. Money alone cannot create the sustainable change desired by all the stakeholders.

The high priority needs are Economic Development Direct Financial Assistance to For-Profits and Economic Development Technical Assistance.

Goal: Improve the Housing Stock and General Property Conditions

The City of Rochester has developed a new **Housing Policy**. The Housing Policy is the culmination of a process that began with the commissioning of the Rochester Housing Market Study in September 2006. The policy is based on the July 2007 recommendations of the Study, comments received through an intensive public and stakeholder involvement process, and the recommendations of the City Planning Commission.

The Policy is intended to guide the City in the development of specific plans with the overall goals of improving City neighborhoods, stabilizing and enhancing the tax base, creating healthy real estate markets, and providing a broad array of housing options that address the needs of diverse households. Following is our new policy:

Housing Policy

In 2006 the City of Rochester commissioned the Housing Market study to be completed by Interface Studios of Philadelphia, PA. The study's analysis was released in March 2007 and its recommendations were made in July 2007. The Policy is based on the housing recommendations, comments received through an intensive public and stakeholder involvement process and the recommendations of the City Planning Commission. The Housing Policy was drafted cooperatively by the department of Community Development and the Rochester City Council.

On March 18, 2008, the Rochester City Council approved the new policy through Ordinance #2008-91 replacing the previous policy approved in 1993. With the adoption of the new Policy, the City's Comprehensive Plan was amended to incorporate the new Policy. The new Housing Policy will guide all City housing development and activities. It also is the foundation of the City's detailed housing plan that will be developed during the next fiscal year. The new Policy follows below in its entirety.

1. Promote rehabilitation, redevelopment and new construction of housing through:

- A. Maintenance, rehabilitation and / or historic preservation to enhance the well-built and diverse housing stock, which offers a variety of different products than are available throughout much of the region.
- B. Redevelopment of residential, non-residential and mixed use structures to address market demand for currently under represented housing types in the existing inventory and / or provide for the preservation of historic structures.
- C. Assembly of appropriate vacant land through management of the inventory of foreclosed properties, demolition of obsolete portions of the existing housing stock to reduce vacancy, and the strategic acquisition of land to create development opportunities and open space assets that enhance existing residential areas.
- D. Development of new housing and / or development of new housing types that address market demand. Efforts will include an emphasis on capitalizing on such unique assets as the Genesee River, Lake Ontario, and the Erie Canal; significant historical, architectural and landscape features; and economic, educational and cultural institutions.
- E. Enhancement of existing and creation of new public and private financial products that support rehabilitation, redevelopment and new construction.
- F. Encouragement of environmentally sensitive rehabilitation, redevelopment, demolition and new construction methods.
- G. Encouragement of housing development that supports neighborhood commercial corridors.

2. Promote home ownership:

- A. Helping homeowners retain their homes through the use of a variety of programs that prevent foreclosure and predatory lending.
- B. Cultivating new homeowners through marketing, pre- and post-purchase counseling and training programs, encouraging the development of quality financial products, and the developing housing types that create an inventory of housing options to address market demand.

3. Support efforts to strengthen the rental market through:

- A. Support for owners of rental property to be successful business owners while being accountable for providing quality local management and maintaining housing quality standards.
- B. Support for the coordination of tenant services that reduce unwanted transiency, encourage accountability, and result in longer-term tenancies.

4. Promote housing choice through:

- A. Support for fair housing programs that offer housing opportunities to members of protected classes, low- and moderate-income households, people with disabilities, and a full range of age groups.
- B. Working toward the deconcentrating of poverty in City neighborhoods through efforts that attract more middle- and upper-income households and that expand housing choices for lower-income households.
- C. Ongoing efforts with other jurisdictions to ensure that a fair share of housing opportunities are available throughout the region for households with restricted choices.
- D. Development of permanent supportive housing that meets the needs of populations requiring supportive services, and encouraging the fair share of such housing outside the City.

5. Support the implementation of neighborhood and asset-based planning through interdepartmental collaboration, and:

- A. Ensuring that citizen-based planning is at the core of efforts to establish a neighborhood vision and plan, advise the City and provide feedback on development projects.
- B. Cost effective use of federal and state grants in order to make dramatic improvements by identifying neighborhoods for the implementation of plans to improve housing market vitality, reduce code violations, decrease transiency, and increase assessed valuations.
- C. Conducting data-based research and ongoing measurement and monitoring of outcomes to drive decisions on public investments.
- D. Using market-based strategies as the foundation of all planning efforts.

The Department of Community is combining certain existing Community Development Block Grant and HOME programs as follows:

- Combination of the Community Housing Development Organization - Acquisition and Rehabilitation, Housing Development Support, Lead Hazard Reduction, and Rehab Rochester, Rental Housing, the Residential Assistance Program and Rehabilitation Loan into a **Housing Development Fund**.

- Combination of the Buyer Assistance, Foreclosure Prevention Homebuyer Training, Home Rochester and Mortgage Default Resolution Programs into a **Homeownership Promotion Fund**.
- Combination of all landlord and tenant services programs into a **Rental Market Fund**.
- Combination of all fair housing activities into a **Housing Choice Fund**.
- Combination of all planning and Focused Investment Strategy activities into a **Neighborhood and Asset-Based Planning fund**.

The benefits of the above combinations include the following:

- Combination of these programs will result in, among other things, a reduction of documentation and administrative functions by all City departments involved with the approval, commitment, and funding processes.
- Align a variety of programs with the new Housing Policy.
- Combination will result in better allocation of funds as one of the programs may run out of funding while the other does not use all allocated funds as quickly.

Allocations of CDBG and HOME funds in the 2008-09 program year will be available for use in the following programs:

Housing Development Fund

- Community Housing Development Organizations - Development
- Housing Development Support
- Lead Hazard Reduction and Rehab Rochester
- Rental Housing Fund
- Residential Assistance Program
- Rehabilitation Loan Fund

Homeownership Fund

- Buyer Assistance
- Foreclosure Prevention
- Homebuyer Training
- HOME Rochester
- Mortgage Default Resolution

Rental Market Fund

- Landlord and Tenant Services

Housing Choice Fund

- Fair Housing Activities
- Development Support for Permanent Supportive Housing

Neighborhood and Asset-Based Planning

- Planning Studies
- Planning Staff
- Neighbors Building Neighborhoods Activities
- Focused Investment Strategy

Attachment V is a summary table of the annual housing completion goals by federal resource.

ANNUAL AFFORDABLE HOUSING COMPLETION GOALS

Grantee Name:	Expected Annual Number of Units To Be Completed	Actual Annual Number of Units Completed	Resources used during the period			
			CDBG	HOME	ESG	HOPWA
Program Year:						
BENEFICIARY GOALS (Sec.215 Only)						
Homeless households			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Non-homeless households	1,045		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Special needs households			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Total Sec. 215 Beneficiaries*	1,045		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
RENTAL GOALS (Sec.215 Only)						
Acquisition of existing units			<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
Production of new units	154		<input type="checkbox"/>	<input checked="" type="checkbox"/>		<input type="checkbox"/>
Rehabilitation of existing units	234		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Rental Assistance			<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
Total Sec. 215 Affordable Rental	388		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
HOME OWNER GOALS (Sec.215 Only)						
Acquisition of existing units			<input type="checkbox"/>	<input type="checkbox"/>		
Production of new units	77		<input type="checkbox"/>	<input checked="" type="checkbox"/>		
Rehabilitation of existing units	580		<input type="checkbox"/>	<input type="checkbox"/>		
Homebuyer Assistance	97		<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
Total Sec. 215 Affordable Owner	754		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
COMBINED RENTAL AND OWNER GOALS (Sec.215 Only)						
Acquisition of existing units			<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
Production of new units	231		<input type="checkbox"/>	<input checked="" type="checkbox"/>		<input type="checkbox"/>
Rehabilitation of existing units	814		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Rental Assistance			<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
Homebuyer Assistance	97		<input type="checkbox"/>	<input checked="" type="checkbox"/>		<input type="checkbox"/>
Combined Total Sec. 215 Goals*	1,142		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
OVERALL HOUSING GOALS (Sec.215 + Other Affordable Housing)						
Annual Rental Housing Goal			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Annual Owner Housing Goal			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Total Overall Housing Goal			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

*The total amounts for "Combined Total Sec. 215 Goals: and "Total Sec. 215 Beneficiary Goals" should be the same number.

A major obstacle to addressing the affordable housing needs of the community is insufficient resources. By way of example, the locally controlled resources available for rental housing are dwarfed by the overwhelming need of extremely low income renters for cost relief. The Rental Strategy identifies HUD, Low Income Tax Credits, and NYS financing, as well as private lending, as the primary funding vehicles, while the City and the Greater Rochester Housing Partnership are envisioned as "gap" financiers. One problem presented by this financing scenario is the lack of local control over needed resources. Furthermore, HUD and NYS assistance programs, particularly Low Income Housing Tax Credits, are complicated and require a level of expertise which smaller, less experienced developers are unable to provide, thus limiting their access to these sources.

Significant funding is annually committed and expended to address the housing needs of lower income households by public agencies other than the City of Rochester. The annual operating budget for public housing and the Section 8 Rental Assistance Program operated by the Rochester Housing Authority is more than \$30 million. In addition, approximately \$75 million is expended annually through the Monroe County Department of Human and Health Services in shelter payments.

The primary program delivery models for much of the rental assistance funding available locally (i.e., Section 8 and public assistance) is "tenant-based." That is to say, funds are provided to, or on behalf of, a particular household for some portion of their monthly housing expenses, essentially functioning as an income supplement. The City has adopted a "project-based" model for the delivery of its rental assistance. In this manner, subsidies are provided for the development or acquisition of specific rental units which must remain affordable for an extended period of time (up to 30 years). The City's priority is to effectively utilize its limited resources in a manner which results in the delivery of affordable housing on a long-term basis, thus ensuring that lower income households will enjoy a long-term benefit.

While the City has developed and pursued new strategies to address affordable housing, it has not abandoned one of its principle methods of addressing the continuous need created by an aging housing stock-rehabilitation. While the U.S. Census characterizes the City's housing stock as having a median age of 51 years, locally developed estimates of the stock place the average age at over 70 years. Rehabilitation of housing stock has long been the cornerstone of the housing activities funded by the Community Development Block Grant. Over the past 30 years funding has annually been provided for both owner-occupant and investor-owned properties. Those activities remain as important elements of the City's affordable housing activities.

Access to capital is not only of concern because of the community's rental housing needs, but is a significant issue impacting homeownership as well. Information on mortgage lending practices made available through the Home Mortgage Disclosure Act has historically shown significant disparities between the central city and outlying suburban areas. The data for the Rochester MSA has consistently shown:

- Mortgage lending rates in the city are less than half that of the remainder of the MSA;
- Mortgage lending is limited in city census tracts with high minority concentrations;
- Loan denial rates in the city are as much as 70 percent higher than in the balance of the MSA;
- Members of minority groups are two to three times as likely to be denied a loan.

While progress has been made in recent years, local lenders must continue to re-examine their credit policies and practices. The City's goal of increasing homeownership and providing decent rental housing cannot be achieved if financing is not made available in a responsible fashion.

Goal: Respond to General Community Needs

Youth Services needs in the City continue to be varied and extensive as evidenced by both the high level and severity of urban poverty. Acknowledging the scope of need in the context of finite resources, major area funders, including the City of Rochester, County of Monroe, Rochester City School District, and the United Way have established a set of common "Community-Wide Outcomes" that focus dollars and effort on established priorities.

The City recognizes that advancement toward these outcomes can only occur through the continued, coordinated support of the following "Community Outcomes" which serve as major priority areas for CDBG funding:

- **Youth Leading Healthy Lives**
- **Strengthening Families**

As expected, the approach to funding youth services in the Rochester community continues to evolve. The Consolidated Plan served as a framework that produced a number of successful collaborations and collective problem solving initiatives. Community based collaborations and initiatives in areas such as neighborhood planning, after-school programs, teen pregnancy prevention, violence prevention, and homelessness, have helped marshal resources to achieve the goals established.

The guiding philosophy for youth services funding continues to rest on three tenets:

- **An emphasis on incorporating youth development principles in youth programming that focus on prevention rather than intervention;**
- **Focusing on services that enhance the employability and academic enrichment of City youth; and**
- **Collaboration among service providers by using City funds to leverage other resources;**

The Community Outcomes/CDBG Youth Services Priorities form a natural subset to the three HUD statutory goals for formula grants (decent housing, suitable living environment, expanded economic opportunities). Specific youth services priorities and program need area selection and development are based on a series of citizen, agency, and government input and review processes (i.e. Neighbors Building Neighborhoods [NBN]); the United Way, collaborative funders, service coalition studies, priorities emerging from community initiatives such as the Greater Rochester After School Alliance, the Workforce Investment Board and its Youth Council, and the Homeless Continuum of Care).

These processes, as well as others, comprise a community driven input/consultation mechanism that incorporates the best data information with broad based community, funder, and government input. Collectively, they allow us to maintain an accurate picture of ever evolving community needs and our progress toward their resolution.

Specific funding allocations are based on a number of factors including census and other social indicators, geographical location, target population need, area economic need, and pertinent survey analysis. Specifically, funding will be prioritized for the following programs / projects:

- Programs and / or activities that promote youth employment readiness and career development;
- Programs and / or activities that are inclusive of youth development programming; specifically the 40 Youth Development Assets; and

- Programs and / or activities that provide support to parents, as they support the developmental needs of their youth in the above two areas.

The HUD Community Development needs analysis identified the priority needs of Senior Centers, Neighborhood Facilities, Public Services, Youth Services, Substance Abuse Services, Battered and Abused Spouses, and Employment Training.

The condition of Rochester's Facilities and infrastructure is considered good as a result of a well-developed capital improvement program.

The City will use Community Development Block Grant funds for various improvements in low- and-moderate income neighborhoods, including the priority need of public facilities, neighborhood facilities and street improvements.

The following table provides a summary of priority needs, specific objectives and proposed accomplishments. Objectives have been assigned a number.

**Table 1.4
Summary of Housing And Community Development Objectives**

OBJ #	PRIORITY NEED	SPECIFIC OBJECTIVES	PROPOSED ACCOMPLISHMENT
PES-1	Economic Development	Improve economic opportunities for low-income persons	95 Businesses 119 Jobs 120,000 People 18 Impts
IHS-1	Owner Housing	Improve the quality of owner housing	349 Households 1,045 Housing Units 2,310 People
IHS-2	Owner Housing	Improve access to affordable owner housing	
IHS-3	Owner Housing	Increase the availability of affordable owner housing	
IHS-4	Rental Housing	Increase the supply of affordable rental housing	
IHS-5	Rental Housing	Improve the quality of affordable rental housing	
IHS-6	Public Services	Improve the services for low/mod income persons	

OBJ #	PRIORITY NEED	SPECIFIC OBJECTIVES	PROPOSED ACCOMPLISHMENT
GCN-1	Public Services	Improve the services for low / mod income persons	230 Households 12,447 People
GCN-2	Homeless / HIV / AIDS	Increase range of housing options and related services for persons w/ special needs	
GCN-3	Infrastructure	Improve quality / increase quantity of public improvements for low-income persons	
GCN-4	Public Facilities	Improve quality / increase quantity of neighborhood facilities for low-income persons	

The following table provides a listing of other private and non-federal resources expected to be available to address the needs identified in the Consolidated Community Development Plan and Annual Action Plan. The City of Rochester will use federal resources to leverage these non-federal public and private resources. Many assisted activities such as housing assistance, economic development, and human services require matching funds from other sources.

**Table 1.5
Other Resources**

Needs	Resources	
	Non-Federal	Private
HOUSING	City of Rochester - Operating / Capital Budget Low Income Tax Credit Monroe County Department of Social Services NYS Housing Finance Agency NYS Affordable Housing Corporation NYS Department of Social Services NYS Housing Trust Fund Rochester Housing Authority	Banks and Other Lenders Buyer and Investor Equity Enterprise Foundation Federal Home Loan Bank Flower City Habitat for Humanity Greater Rochester Health Foundation Greater Rochester Housing Partnership Neighborworks® Rochester United Way of Greater Rochester Rochester Housing Development Fund Rochester / MLK Fund
INFRASTRUCTURE IMPROVEMENT	City of Rochester - Capital Improvement Program	

Needs	Resources	
	Non-Federal	Private
PUBLIC SERVICE	<p>City of Rochester - Operating Budget Monroe County Department of Health Monroe County Department of Human Services Monroe County Office of the Aging City of Rochester - Operating Budget</p> <p>NYS Crime Victims Board NYS Department of Health NYS Department of Labor NYS Department of Social Services NYS Department of Transportation NYS Office of Children and Family Services NYS Division of Criminal Justice Services NYS Education Department NYS Office of the Aging NYS Office of Alcoholism and Substance Abuse NYS Office of Mental Retardation and Development Disabilities Rochester / Monroe County Youth Bureau Rochester Works</p>	<p>Academy for Career Development AIDS Rochester Baden Street Settlement House Cameron Community Ministries</p> <p>Catholic Charities Catholic Family Center Legal Aid Society Monroe County Legal Assistance Corporation Public Interest Law Office of Rochester Private Foundations Rochester Area Community Foundation Quad A</p>
OTHER COMMUNITY DEVELOPMENT	<p>City of Rochester - Operating Budget Monroe County Department of Health NYS Department of Social Services NYS Department of Health NYS Department of State NYS Energy Office NYS Office for the Aging Monroe County Department of Health</p>	<p>IBERO Small Business Investment Company Urban League Economic Development Corporation</p>
PLANNING	<p>City of Rochester - Operating Budget</p>	<p>Sector Committees</p>

The Rochester Housing Authority will be submitting to HUD a Section 32 application for the disposition and sale of single family homes within its public housing portfolio. This 2008 application is the first of four to be submitted to HUD over the next four years. The application will detail the disposition of 75-100 homes for affordable homeownership. The application will allow 75-100 homes for affordable homeownership. The application will allow purchase opportunity to public housing residents who are eligible to be affordable homeowners. The Authority may also provide eligible residents with Capital Funds to public housing families to purchase homes. The Capital Funds assistance may also be utilized to acquire homes that are to be sold to public housing or affordable housing families so homeownership is an attainable goal for them.

The Authority will partner with North Star Housing and Development in the administration of the disposition of the homes so that they may be managed and sold by local not-for-profit and / or for-profit community based organizations to affordable, low-income or public housing residents. Qualified residents will have completed an extensive homeownership counseling program sponsored by the Authority.

The Authority may also provide financial assistance, voucher assistance and / or support service with specified and qualified local not-for-profit development corporations seeking to further homeownership opportunities by leveraging funds with the New York State, Division of Housing and Community Renewal, whereby specific development calls for homeownership opportunities or for tenant buy out homeownership opportunities.

The Rochester Housing Authority (RHA) became a participant in the Public Housing Modernization / Comprehensive Grant Program (CGP) during FFY 1992. In FFY 2002, this program became known as the Comprehensive Fund Program (CFP). RHA has received HUD's approval of CGP / CFP plan since the program became available. The plan is a five year spending plan for physical improvements, management improvements, and support services for public housing residents. Historically, each year RHA receives an annual formula allocation to address identified needs. RHA will receive approximately \$4.7 million. The approved plan covers Federal Fiscal Years 2007-2011.

The CFP plan is based upon a complete evaluation of the nearly 2,380 public housing units in the city that are included in RHA's inventory. The plan presents a physical needs assessment of each of RHA's 26 project locations and its scattered sites. The plan also identifies activities to improve management and provide support services to residents.

A complete copy of the CFP plan may be reviewed at the following locations:

Rochester Housing Authority
Executive and Administrative Offices
675 West Main Street
Rochester, New York 14611
(585) 697-3602

City of Rochester
Bureau of Housing and Project Development
City Hall, Room 028-B
30 Church Street
Rochester, New York 14614
(585) 428-6150

Management and Operations

RHA is proposing \$4,000,000 in management / operational improvements through the Comprehensive Fund Program for FY 2008. Management improvements assist in enhancing property management operations and services, while expanding tenant involvement in property management. Management improvements for FY 2008 include:

- Provide additional maintenance staff training.
- Continue water management program.
- Update computer software including preventive maintenance scheduling software and provide related staff training.

Living Environment

The Rochester Housing Authority continues its activities to improve the living environment of RHA residents. Enrichment activities are available to youth and adults. The following is a listing of activities provided.

Youth Programs

- (1) Educational
 - a. After school and evening tutorial programs in conjunction with the City School District (School Nos. 2,4,6,9, and 50) and the Urban League of Rochester
- (2) Recreational
 - a. City of Rochester Youth Basketball League
 - b. Resident Summer Camp
 - c. City Recreation programs

Senior Citizen Programs

- (1) Consortium on Elderly Substance Abuse
- (2) RSVP program through the Red Cross
- (3) Grocery shopping bus service
- (4) Enriched Housing Program & Assisted Living Program / FSOR
- (5) Eldersource case managers / Lifespan (located at various sites and available for all our seniors)

Crime Prevention

- (1) Security consultant services
- (2) Crime prevention lectures, displays, security surveys and patrols
- (3) Resident crime prevention organizing and tenant security programs
- (4) High-rise guard stations
- (5) Security Road Patrol

Other Counseling / Support Services

- (1) HIV/AIDS Support Group
- (2) Enriched housing program at 3 locations
- (3) Catholic Family Center
- (4) Eviction Prevention Counseling
- (5) Social Services Counselor
- (6) Family Investment Center (FIC), activities include case management, education, training and employment service for residents to reach self-sufficiency and economic stability.
 - a. Employment
 - 1) Skills assessment
 - 2) Job search assistance
 - 3) Job placement
 - b. Training
 - 1) Computer skills
 - 2) Construction trades
 - 3) Child care provider
 - 4) Financial assistance for other training opportunities
 - 5) Job readiness training
 - 6) Section 3
 - c. Education
 - 1) Computer assisted GED classes
 - 2) Scholarship assistance
 - 3) Adult basic education
 - 4) SAT / ACT Preparation

- 5) Computer literacy
 - d. Business Start-Up
 - 1) Small business development workshop
 - 2) Financial assistance for business start-up (micro-loan program)
 - e. Family Self-Sufficiency
 - 1) Home buyer seminars
 - 2) Budgeting and household finances
 - 3) Time management
 - 4) Family support
 - 5) Financial counseling services
 - 6) Credit restoration and money management
 - f. Support Group
 - 1) Barriers to success
 - 2) Mental wellness
 - 3) Nutrition
 - 4) Values clarification
- (7) Resident Council, Resident Advisory Board

The Authority's actions are consistent with the housing needs assessment of low-income housing needs as evidenced in the Consolidated Plan.

RHA is planning to develop up to three additional scattered site units to complete a previously funded development program in the J.O.S. A.N.A neighborhood and five to ten more of a second phase of affordable or public housing units. The Authority will also be submitting, in February 2009, to the New York State Division of Housing a low-income housing tax credit application for the rehabilitation and preservation of Harriet Tubman Estates a family public housing community consisting of 65 two bedroom units, 51 three bedroom units and 14 four bedroom units for a total of 130 units.

In accordance with the Quality Housing and Work Responsibilities Act (QHWRA) of 1998, beginning with funding received in Federal Fiscal Year 2000, the Rochester Housing Authority has prepared five year plans and annual plans covering both its public housing and tenant-based assistance (Section 8) operations. QHWRA constituted a substantial overhaul of HUD's public housing, and Section 8 assistance programs. QHWRA constituted a substantial overhaul of HUD's public housing, and Section 8 assistance programs. Congressional purposes for this new requirement are to advise HUD, the residents / tenants, and members of the public of a public housing authority's mission for serving the needs of low-income, very low income and extremely low-income families; their strategy for addressing those needs; to provide a framework for local accountability; and to provide an easily identifiable source by which public housing residents, participants in the tenant-based assistance programs, and other members of the public may locate basic PHA policies, rules and requirements concerning its operations, program and services.

Congressional purposes for this new requirement are to advise HUD, the residents / tenants, and members of the public of a public housing authority's (PHA's) mission for serving the needs of low-income, very low-income, and extremely low-income families; their strategy for addressing those needs; to provide a framework for local accountability; and to provide an easily identifiable source by which public housing residents, participants in the tenant-based assistance programs, and other members of the public may locate basic PHA policies, rules and requirements concerning its operations, programs, and services.

The goals of this new comprehensive planning requirement are to make more efficient use of Federal assistance; more effectively operate programs; and better serve residents/tenants.

The Rochester Housing Authority has prepared an **Agency Plan** in compliance with Section 511 of the Quality Housing and Work responsibility Act of 1998. The **Agency Plan** embodies, in many respects, the concepts of the Consolidated Community Development Plan. Like the Consolidated Plan, HUD intends that the Agency Plan will provide a mechanism by which a PHA can examine its long-range and short-range needs, specifically the needs of the families that it serves, and design both long-term and short-term strategies for addressing those needs. Like the Consolidated Plan, the Agency Plan requires consultation with affected groups in the development of the plan.

Following are a few highlights of the Agency Plan:

- The Section 8 Homeownership program has enabled 37 Section 8 housing voucher program residents to become homeowners.
- The Resident Opportunities and Self-Sufficiency (ROSS) Homeownership Program has enabled three public housing residents to become homeowners.
- RHA has made significant progress in the past year to implement the Olean-Kennedy Revitalization plan, including arrangements for tax financing.
- Review is underway of the Public Housing Admissions and Continued Occupancy Policy, the Section 8 Administrative Plan, and the Public Housing Standard Lease.

These plans require RHA to examine its existing operations and to design long-range and short-range strategies to address those needs. The five-year plan is to describe the mission and the long-range goals and objectives for achieving its mission over the five-year period from October 1, 2005 to September 30, 2011. The annual plan is to provide details about operations; program participants and their needs; needed capital improvements; available financial resources (federal and non-federal); the strategies for addressing needs; and programs and services for the upcoming fiscal year.

RHA is obligated to ensure that the Agency Plan is consistent with this Consolidated Plan, including a description of the manner in which the applicable plan contents are consistent with the Consolidated Plan.

RHA is actively working in the J.O.S.A.N.A. neighborhood to provide 15 - 3 bedroom single family affordable rental housing units with the opportunity for affordable housing. RHA is also actively working to rehabilitate and preserve existing affordable housing opportunity in the 400 block of West Main Street.

Barriers to Affordable Housing

- 1. Describe the actions that will take place during the next year to remove barriers to affordable housing.**

Program Year 4 Action Plan Barriers to Affordable Housing response:

The majority of assisted, affordable housing in the Rochester region is located within the City of Rochester. The City has a long history of supporting the development and ongoing operation of affordable rental housing through site assembly activities, infrastructure development, providing construction and permanent financing, and payments in lieu of tax agreements.

The City of Rochester has established a high priority for the fostering and maintaining affordable housing for low- and moderate-income households. The City will:

- **expand the supply of affordable rental and homeownership housing;**
- **increase homeownership among low- and moderate-income prospective homebuyers;**
- **preserve and improve the existing stock of affordable housing; and,**
- **ensure equal access to housing.**

To implement these objectives, the City will offer first-time homebuyer assistance, housing rehabilitation, tenant-landlord counseling, fair housing programs, and develop new affordable housing. The City will continue to make capital improvements in low- and moderate-income areas.

The City enacted a new zoning code in 2003 and attention was given to assure that there would be no negative consequences on the development or preservation of affordable housing. The implementation of the new zoning code will be monitored during the program year to assure that any unanticipated negative consequences for affordable housing are identified and addressed.

Likewise, the State of New York enacted a new building code which became effective in January 2003. The implementation of that code will continue to be monitored for unanticipated negative consequences on affordable development or preservation.

HOME/ American Dream Down Payment Initiative (ADDI)

- 1. Describe other forms of investment not described in § 92.205(b).**
- 2. If the participating jurisdiction (PJ) will use HOME or ADDI funds for homebuyers, it must state the guidelines for resale or recapture, as required in § 92.254 of the HOME rule.**
- 3. If the PJ will use HOME funds to refinance existing debt secured by multi-family housing that is being rehabilitated with HOME funds, It must state its refinancing guidelines required under § 92.206(b). The guidelines shall describe the conditions under which the PJ will refinance existing debt. At a minimum these guidelines must:**
 - a. Demonstrate that rehabilitation is the primary eligible activity and ensure that this requirement is met by establishing a minimum level of rehabilitation per unit or a required ratio between rehabilitation and refinancing.**
 - b. Require a review of management practices to demonstrate that disinvestments in the property has not occurred; that the long-term needs of the project can be met; and that the feasibility of serving the targeted population over an**

- extended affordability period can be demonstrated.*
- c. State whether the new investment is being made to maintain current affordable units, create additional affordable units, or both.*
 - d. Specify the required period of affordability, whether it is the minimum 15 years or longer.*
 - e. Specify whether the investment of HOME funds may be jurisdiction-wide or limited to a specific geographic area, such as a neighborhood identified in a neighborhood revitalization strategy under 24 CFR 91.215(e)(2) or a Federally designated Empowerment Zone or Enterprise Community.*
 - f. State that HOME funds cannot be used to refinance multi-family loans made or insured by any federal program, including CDBG.*
- 4. If the PJ is going to receive American Dream Down payment Initiative (ADDI) funds, please complete the following narratives:**
- a. Describe the planned use of the ADDI funds.*
 - b. Describe the PJ's plan for conducting targeted outreach to residents and tenants of public housing and manufactured housing and to other families assisted by public housing agencies, for the purposes of ensuring that the ADDI funds are used to provide down payment assistance for such residents, tenants, and families.*
 - c. Describe the actions to be taken to ensure the suitability of families receiving ADDI funds to undertake and maintain homeownership, such as provision of housing counseling to homebuyers.*

Program Year 4 Action Plan HOME/ADDI Reponse:

The American Dream Downpayment Initiative (ADDI) was authorized by Congress on December 16, 2003 and provides resources specifically intended to assist low-income ($\leq 80\%$ MFI) families who are first-time homebuyers in purchasing single-family housing that will serve as the family's principal residence. The City of Rochester will primarily utilize ADDI in financing existing home purchase assistance programs.

Targeted Outreach

The Bureau of Housing and Project Development (HP&D) will coordinate its home purchase outreach activities with the Rochester Housing Authority's Family Investment Center (RHA / FIC) and Providence Housing Development Corporation (PHDC). RHA / FIC is administering a Resident Opportunities and Self - Sufficiency - Homeownership Support Services (ROSS-HSS) grant awarded in 2002, which will assist public housing tenants in becoming homeowners. PHDC operates RHA's Section 8 Homeownership Program.

BHPD will distribute program literature to both locations and will make staff available to present program information to the Section 8 and public housing clientele at sessions arranged by RHA / FIC and PHDC. Program information will also be distributed through the City's homeownership assistance center.

Homeownership Counseling

Comprehensive homeownership counseling, i.e. pre- and post-purchase education and training, is mandatory for all recipients for City of Rochester homeownership assistance. These services are delivered by the City of Rochester and Neighborworks® Rochester. Group training is offered on a regularly scheduled basis, together with one-on-one pre-purchase counseling. This training and counseling program has been operating for several years. It is estimated that 40 minority households are expected to be assisted in becoming homeowners.

HOMELESS

Specific Homeless Prevention Elements

***Please also refer to the Homeless Needs Table in the Appendix.**

- 1. Sources of Funds - Identify the private and public resources that the jurisdiction expects to receive during the next year to address homeless needs and to prevent homelessness. These include the McKinney-Vento Homeless Assistance Act programs, other special federal, state and local and private funds targeted to homeless individuals and families with children, especially the chronically homeless, the HUD formula programs, and publicly-owned land or property. Please describe, briefly, the jurisdiction's plan for the investment and use of funds directed toward homelessness.**
- 2. Homelessness - In a narrative, describe how the action plan will address the specific objectives of the Strategic Plan and, ultimately, the priority needs identified. Please also identify potential obstacles to completing these action steps.**
- 3. Chronic homelessness - The jurisdiction must describe the specific planned action steps it will take over the next year aimed at eliminating chronic homelessness by 2012. Again, please identify barriers to achieving this.**
- 4. Homelessness Prevention - The jurisdiction must describe its planned action steps over the next year to address the individual and families with children at imminent risk of becoming homeless.**

5. Discharge Coordination Policy - Explain planned activities to implement a cohesive, community-wide Discharge Coordination Policy, and how, in the coming year, the community will move toward such a policy.

Program Year 4 Action Plan Special Needs response:

The City of Rochester will receive and utilize Emergency Shelter Grant funds during this year to address the needs of homeless individuals and families and to prevent homelessness. The City does not provide shelter or services directly, but contracts with approximately 30 providers. Within this group of agencies are those with the specialty skills necessary to deliver services which meet the needs of each of the subpopulations: individuals, families, veterans, recovering substance abusers, chronically homeless, person with HIV/AIDS, victims of domestic abuse, and those at imminent risk of becoming homeless.

The City is involved in local oversight of other McKinney-Vento funds (which it does not directly receive), through its membership in the Rochester/Monroe County Continuum of Care Team and the Homeless Services Network. If any publicly-owned land or property is made available during the year, the Continuum of Care Team will conduct a review to determine if it was appropriate to meet any local need.

The City and other local agencies will continue to review the local system to determine if the needs of the chronically homeless are being met. The initial review indicates that many services are currently in place, and that all involved clearly understand that shelter placement is only the first step in a process which should lead ultimately to permanent housing. The opening in 2005 of the of the "Safe Haven," a limited-rules shelter dedicated to the chronically homeless, has increased the area's ability to serve this population. However, lack of additional funding, and/or the inability of this population to comply with the rules of funders, remains a barrier to eliminating chronic homelessness.

The City will continue to work to prevent homelessness of individuals and families by use of ESG funds, subject to the regulatory limits. As noted above, prevention efforts are delivered by experienced agencies, who provide food, eviction prevention/ advocacy, utility assistance, and other emergency services.

Discharge Coordination Policies have been developed and implemented by the Homeless Services Network (HSN) and the Continuum of Care Team, groups which include representatives from the City of Rochester. Community-wide plans to prevent homelessness upon discharge have been developed, and staff at the discharging facilities are aware of the need to make appropriate arrangements for departing individuals. Specific planning has occurred for Youth, for those leaving Prison/Jail, for those with Mental Health issues, and for those leaving hospitals. The HSN Special Needs Population Committee will work with other institutions, i.e. inpatient rehab programs, other residential programs to develop similar discharge protocols.

In February 2006, the City's Bureau of Youth Services issued a Request for Proposals under the Emergency Shelter Grant. Of the proposals received and reviewed, twenty-eight projects were approved for funding for Plan Year 2006-07. During the 2006-07 program year, two additional projects were identified and approved for funding, bringing the total number of projects to 30. Program monitoring and assessment showed that all 30 projects were operating according to contract requirements and achieving their goals and objectives.

These projects will therefore receive continuation funding in 2008-09. As a targeted approach to supporting the reduction of homelessness among veterans, the administration will provide a one-time only increment to the Veterans Outreach Center for the rehabilitation of a transitional residence.

Agencies are required to provide a 100 percent match for Emergency Shelter Grant funding. In general, matching funds may include:

- Amount of funds from other sources;
- Salary paid to staff (not included in the award) to carry out the project of the recipient;
- Time contributed by volunteers (currently determined at the rate of \$5 per hour); and
- The value of any donated material or building, or of any lease, calculated using a reasonable method to establish a fair market value.

Antipoverty Strategy

1. Describe the actions that will take place during the next year to reduce the number of poverty level families.

Program Year 4 Action Plan Antipoverty Strategy response:

During the 2008-09 program year, the City will continue to fund specific programs that help reduce the number of families in poverty. Mortgage Default provides default resolution services and funds to prevent mortgage foreclosure, in case of emergency, to 200 households annually. The Residential Assistance Program (RAP) provides grants to homeowners to assist in making emergency and exterior repairs. The City will also continue its economic development activities to expand employment activities.

The Rochester/Monroe Continuum of Care system consists of a full range of housing options and services to support homeless individuals and families, and encompasses services that range from homeless prevention to the actual construction of permanent housing. The goal of the system is to appropriately transition individuals from homelessness to their maximum level of self-sufficiency.

NON-HOMELESS SPECIAL NEEDS HOUSING

Non-homeless Special Needs (91.220 ©) and (e))

***Please refer to the Non-homeless Special Needs Table in the Appendix.**

1. Describe the priorities and specific objectives the jurisdiction hopes to achieve for the period covered by the Action Plan.

2. ***Describe how Federal, State, and local public and private sector resources that are reasonably expected to be available will be used to address identified needs for the period covered by this Action Plan.***

Program Year 4 Action Plan Specific Objectives response:

A number of activities will be undertaken by the City to address the special needs of persons who are not homeless. Funding is provided for an Aging In Place project which will improve the life for senior citizens by helping them stay in their homes. The City will continue to support the development of affordable rental and owner housing for persons that are physically-challenged.

A variety of housing assistance and rehabilitation programs are planned for the coming year. Funding is available for promotional efforts designed to increase awareness of these programs. Special outreach efforts will be made to persons with special needs.

Similar to past years, the City will promote support services designed to meet the needs of non-homeless special needs populations.

Funding under the Housing Opportunities for Persons with AIDS Program will be used by two agencies to provide needed housing and support services to low-income persons with AIDS.

Housing Opportunities for People with AIDS

***Please also refer to the HOPWA Table in the Appendix.**

1. ***Provide a brief description of the organization, the area of service, the name of the program contacts, and a broad overview of the range/ type of housing activities to be done during the next year.***
2. ***Report on the actions taken during the year that addressed the special needs of persons who are not homeless but required supportive housing, and assistance for persons who are homeless.***
3. ***Evaluate the progress in meeting its specific objective of providing affordable housing, including a comparison of actual outputs and outcomes to proposed goals and progress made on the other planned actions indicated in the strategic and action plans. The evaluation can address any related program adjustments or future plans.***

4. ***Report on the accomplishments under the annual HOPWA output goals for the number of households assisted during the year in: (1) short-term rent, mortgage and utility payments to avoid homelessness; (2) rental assistance programs; and (3) in housing facilities, such as community residences and SRO dwellings, where funds are used to develop and/or operate these facilities. Include any assessment of client outcomes for achieving housing stability, reduced risks of homelessness and improved access to care.***
5. ***Report on the use of committed leveraging from other public and private resources that helped to address needs identified in the plan.***
6. ***Provide an analysis of the extent to which HOPWA funds were distributed among different categories of housing needs consistent with the geographic distribution plans identified in its approved Consolidated Plan.***
7. ***Describe any barriers (including non-regulatory) encountered, actions in response to barriers, and recommendations for program improvement.***
8. ***Please describe the expected trends facing the community in meeting the needs of persons living with HIV/AIDS and provide additional information regarding the administration of services to people with HIV/AIDS.***
9. ***Please note any evaluations, studies or other assessments that will be conducted on the local HOPWA program during the next year.***

Program Year 4 Action Plan HOPWA response:

The City of Rochester is the designated HOPWA grant recipient for the five-county Metropolitan area, including Monroe, Livingston, Orleans, Wayne, and Ontario counties.

A review of the housing needs and available housing services for people with HIV/AIDS was conducted by the University of Rochester in 1999, when the City was first designated the grant recipient for HOPWA. This review involved interviews with representatives of the organizations that had previously received HOPWA funds (through New York State) and other agencies that serve people with AIDS. The review resulted in the recommendation that the annual grants to these organizations be continued. Since that time, the lack of funding for a further study and the agencies' continued good performance, has resulted in a decision to continue to re-fund them.

During this program year, the City of Rochester will distribute HOPWA funds to two local service providers. These subcontractors have close working relationships with each other, as well as with the medical community, the state rehabilitation agency and community-based organizations. They have developed partnerships with companies managing low-income housing.

**Table 1.6
HOPWA Service Providers**

ORGANIZATION	AMOUNT
AIDS Rochester, Inc.	\$384,900
Catholic Charities of the Diocese of Rochester	\$235,900

Through these agencies, the City will continue to fund short-term rent, mortgage and utility payments (which help to avoid homelessness) and long-term client-based rental assistance. Individuals served are living in scattered-site apartments. No funding will be used to develop or operate purpose-built congregate housing facilities. In the experience of local providers of HIV/AIDS services, these are not suitable and/or practical to operate/fund.

Less than 2 percent of clients seeking housing assistance in 2004 from the largest provider of HOPWA-funded services were homeless or coming from shelters. An additional 3 percent were doubled-up with family or friends. As a result, most HOPWA funding is being used preventively, to maintain people in their apartments.

Based upon historical data, it is expected that about 90 percent of total clients will be residents of the City of Rochester, with the remainder scattered in the suburbs of Monroe County and in the other four counties. Services are provided to all applicants on a first-come, first-serve basis, without regard to where they reside in the five-county service area.

Specific HOPWA Objectives

Describe how Federal, State, and local public and private sector resources that are reasonably expected to be available will be used to address identified needs for the period covered by the Action Plan.

Program Year 4 Specific HOPWA Objective response:

The objective for the use of HOPWA funds during this year will continue to be the maintenance of people in stable housing. The planned number to be served is 230 households. Funding will provide individualized assistance to persons in scattered-site housing, through short-term rent, mortgage and utility payments and long-term client-based rental assistance.

OTHER NARRATIVE

Include any Action Plan information that was not covered by a narrative in any other section.

Attachment VI contains project workbooks. They describe the projects and activities that the City of Rochester will fund with federal resources to address its priority needs and objectives. For each activity, a priority need category and specific objectives are identified. The project's proposed accomplishments, outcomes, and performance measures are also presented. The proposed activities by program's goals and funding sources are shown in Attachment VII. This attachment also contains additional detail for the housing funds.

If as a result of a program activity, any residential displacement and relocation must occur, the City of Rochester ensures that it will develop an Anti-Displacement and Relocation Plan in connection with that project in accordance with federal regulations. Specifically, the City will comply with the anti-displacement and relocation requirements of the Uniform Relocation Act and the Housing and Community Development Act of 1974, as amended, and implementing regulations of 24 CFR Part 42.

Section 3 of the Housing and Community Development Act of 1968 requires that economic opportunities generated by federally assisted community development programs shall, to the greatest extent feasible, be given to low- and very low-income persons and to businesses that provide economic opportunities for these persons. The City of Rochester's Section 3 Implementation Plan is included in Appendix B. Information on minority and women business enterprise outreach procedures and the HOME Program are included in Appendix C and D.

The Section 108 Loan application is contained in Appendix E.

The City plans to utilize 100 percent of its Community Development Block Grant funds on activities that benefit low -and moderate-income families.

**ATTACHMENT VI
PROJECT WORKBOOKS**

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Economic Development – Administration, Planning and Program Delivery

Priority Need: Economic Development

Specific Objectives: Improve economic opportunities for low-income persons

Description: This allocation provides funds for the development of overall economic development policies and provides support services. Funding is provided for the coordination of real estate development and high technology projects and the management of feasibility and consultant studies, monitoring of legal and professional service agreements, preparation of site and financial analyses, performing market research, outreach to businesses; preparation of loan packages and support and pre-development services. This allocation provides outreach, financial, technical and site selection assistance to industrial businesses. EDD staff organizes neighborhood business associations, establishes business association support and administers several neighborhood commercial business assistance programs.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide

CDBG National Objective: Low / Mod Jobs, Low / Mod Jobs Location Based, Low / Mod Area

Proposed Outcome: Sustainability for the purpose of creating economic opportunity

Performance Measure: Jobs Created / Business Assisted

Type of Recipient: Economic Development Department

HUD Matrix Code/CDBG Citation: 18B ED Technical Assistance 570.203(b), 20 Planning 570.205 and 21A General Program Administration 570.206

Expected Start / Completion Date:
07/01/2008 - 06/30/2009

Accomplishment Type: Not Applicable

Proposed Annual / Upon Completion Units: Not Applicable

Funding sources:

CDBG	_____ \$988,700	Prior Year Funds	_____
ESG	_____	Assisted Housing	_____
HOME	_____	PHA - CDF	_____
HOPWA	_____	Other	_____
Total Formula	_____ \$988,700	Total	_____

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: High Technology of Rochester

Priority Need: Economic Development

Specific Objectives: Improve economic opportunities for low-income persons

Description: The project provides technical assistance to new/start-up high tech businesses. Provides venture capital forums and small business innovation research grant training sessions. They will assist manufacturing businesses to operate more effectively (MEP).

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide

CDBG National Objective: Not Applicable

Proposed Outcome: Sustainability for the Purpose of Creating Economic Opportunity

Performance Measure: Jobs Created / Businesses Assisted

Type of Recipient: Subrecipient

HUD Matrix Code/CDBG Citation: 18B ED Technical Assistance

Expected Start / Completion Date:
07/01/2008 - 06/30/2009

Accomplishment Type: Jobs

Proposed Annual / Upon Completion Units: 35 Jobs

Funding sources:

CDBG	_____	Prior Year Funds	_____
ESG	_____	Assisted Housing	_____
HOME	_____	PHA - CDF	\$10,000
HOPWA	_____	Other	_____
Total Formula	_____	Total	\$10,000

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Section 108 Business Loan Program

Priority Need: Economic Development

Specific Objectives: Improve economic opportunities for low-income persons

Description: The project provides loans to commercial businesses for real estate, machinery/equipment, and working capital.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide

CDBG National Objective: Low / Mod Jobs, Low Mod Jobs Location Based

Proposed Outcome: Sustainability for the Purpose of Creating Economic Opportunity

Performance Measure: Jobs Created / Businesses Assisted

Type of Recipient: Economic Development Department

HUD Matrix Code/CDBG Citation: 18A ED Direct Financial Assistance to For-Profits 570.203(b)

Expected Start / Completion Date:
07/01/2008 - 06/30/2009

Accomplishment Type: Jobs

Proposed Annual / Upon Completion Units: 60 Jobs

Funding sources:

CDBG	_____	Prior Year Funds	_____
ESG	_____	Section 108	\$2,000,000
HOME	_____	PHA - CDF	_____
HOPWA	_____	Other	\$6,000,000
Total Formula	_____	Total	\$8,000,000

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Targeted Façade Improvement Program

Priority Need: Economic Development

Specific Objectives: Improve economic opportunities for low-income persons

Description: This program provides funds for façade improvements. Grants are \$30,000 each.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide

CDBG National Objective: Not Applicable

Proposed Outcome: Sustainability for the Purpose of Creating Economic Opportunity

Performance Measure: Jobs Created / Businesses Assisted

Type of Recipient: Economic Development Department

HUD Matrix Code/CDBG Citation: 18A ED Direct Financial Assistance to For-Profits

Expected Start / Completion Date:
07/01/2008 - 06/30/2009

Accomplishment Type: Businesses

Proposed Annual / Upon Completion Units: 5 Businesses

Funding sources:

CDBG	_____	Prior Year Funds	_____
ESG	_____	Assisted Housing	_____
HOME	_____	PHA - CDF	\$150,000
HOPWA	_____	Other	\$15,000
Total Formula	_____	Total	\$165,000

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Housing Development Fund

Priority Need: Owner Occupied Housing and Rental Housing

Specific Objectives: Improve the availability of affordable owner housing, Improve access to affordable owner housing, Improve the quality of owner housing, Increase the supply of affordable rental housing and Increase the quality of affordable rental housing

Description: This fund provides financing for the development of owner housing by Community Housing Development Organizations through the acquisition, rehabilitation and resale of vacant structures; provides rehabilitation grants and loans for owner occupants for minor rehabilitation and lead hazard reduction for both owner occupants and landlords. Funds are provided for operating assistance for housing development support for Home Rochester and the Focused Investment Strategy. Funding will be provided for moderate rehabilitation under a Residential Assistance Program, for the development of rental projects and the administration of the Neighborworks Revolving Loan Fund.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide	CDBG National Objective: Low / Mod Housing
Proposed Outcome: Affordability for the Purpose of Creating Decent Housing	Performance Measure: Housing Units, Affordable Units, Number of Units Made Lead Safe
Type of Recipient: Department of Community Development, Subrecipients	HUD Matrix Code/CDBG Citation: 12 Construction of Housing 570.201(m), 14A Rehab; Single Unit Residential 570.202, 14B Rehab; Multi-Unit Residential 570.202, 14G Acquisition – for Rehabilitation 570.202, 14H 570.202 Rehabilitation Administration 570.202, 14I Lead-Based / Lead Hazard Test / Abate 570.202
Expected Start / Completion Date: 07/01/2008 - 06/30/2009	
Accomplishment Type: Housing Units	Proposed Annual / Upon Completion Units: 706 Housing Units

Funding sources:

CDBG	\$1,683,500	Prior Year Funds	
ESG		Assisted Housing	
HOME	\$2,076,286	PHA – CDF	
HOPWA		Other	\$23,427,000
Total Formula	\$3,759,786	Total	\$23,427,000

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
 UOG Code: NY365544 Rochester

Project / Local ID:
 IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Housing – Program Delivery

Priority Need: Owner Occupied Housing and Rental Housing

Specific Objectives: Improve the availability of affordable owner housing, Improve access to affordable owner housing, Improve the quality of owner housing, Increase the supply of affordable rental housing and Increase the quality of affordable rental rousing

Description: These funds are used for staff involved in the planning, coordinating, and monitoring of the City’s housing initiatives.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide	CDBG National Objective: Low / Mod Housing
Proposed Outcome: Affordability for the Purpose of Creating Decent Housing	Performance Measure: Not Applicable
Type of Recipient: Department of Community Development	HUD Matrix Code/CDBG Citation: 14H Rehabilitation Administration 570.202, 21A General Program Administration 570.206
Expected Start / Completion Date: 07/01/2008 - 06/30/2009	
Accomplishment Type: Not Applicable	Proposed Annual / Upon Completion Units: Not Applicable

Funding sources:

CDBG	\$1,181,300	Prior Year Funds	
ESG		Assisted Housing	
HOME	\$316,000	PHA – CDF	
HOPWA		Other	
Total Formula	\$1,497,300	Total	

The primary purpose of the project is to help:

- the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Housing Choice Fund

Priority Need: Rental Housing and Planning / Administration

Specific Objectives: Improve the quality of affordable rental housing, Improve the services for low/mod income persons.

Description: The City is charged with affirmatively furthering fair housing choice under federal requirements governing the use of CDBG and HOME funding. Funding would provide development support for permanent supportive housing.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide

CDBG National Objective: Low / Mod Housing

Proposed Outcome: Affordability for the Purpose of Creating Decent Housing

Performance Measure: Housing Units

Type of Recipient: Department of Community Development, Subrecipient

HUD Matrix Code/CDBG Citation: 14B Rehab: Multi-Unit Residential 570.202, 21D Fair Housing Activities (subject to 20% Admin cap) 570.206

Expected Start / Completion Date:
07/01/2008 - 06/30/2009

Accomplishment Type: Housing Units

Proposed Annual / Upon Completion Units: To Be Determined

Funding sources:

CDBG	<u> \$80,000 </u>	Prior Year Funds	<u> \$120,000 </u>
ESG	<u> </u>	Assisted Housing	<u> </u>
HOME	<u> </u>	PHA - CDF	<u> </u>
HOPWA	<u> </u>	Other	<u> </u>
Total Formula	<u> \$80,000 </u>	Total	<u> \$120,000 </u>

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Neighborhood and Asset Planning Fund

Priority Need: Planning / Administration, Owner Occupied and Rental Housing

Specific Objectives: Improve access to affordable owner housing, Improve the quality of owner housing, Increase the supply of affordable rental housing and Increase the quality of affordable rental housing, Improve the services for low/mod income persons

Description: These funds are for planning activities and technical assistance and resources to neighborhood groups participating in the Neighbors Building Neighborhoods process. Funds are also included for activities to be developed through an asset based planning process to stabilize and improve four selected neighborhoods. The initiative will focus on activities that will increase homeownership, stabilize existing owner-occupants, stabilize existing investment properties, demolition, new housing construction and the redevelopment of multi-family buildings.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide and Four Selected Neighborhoods

CDBG National Objective: Low / Mod Limited Clientele, Low Mod Housing

Proposed Outcome: Affordability for the Purpose of Creating Decent Housing

Performance Measure: Housing Units Assisted, Households Assisted, People Assisted

Type of Recipient: Department of Community Development

HUD Matrix Code/CDBG Citation:

Expected Start / Completion Date:
07/01/2008 - 06/30/2009

Accomplishment Type: Housing Units, Households Assisted

Proposed Annual / Upon Completion Units: 300 Housing Units, 320 Households

Funding sources:

CDBG	<u>\$2,881,500</u>	Prior Year Funds	<u> </u>
ESG	<u> </u>	Assisted Housing	<u> </u>
HOME	<u> </u>	PHA - CDF	<u>\$120,000</u>
HOPWA	<u> </u>	Other	<u> </u>
Total Formula	<u>\$2,881,500</u>	Total	<u>\$120,000</u>

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
 UOG Code: NY365544 Rochester

Project / Local ID:
 IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: AIDS Rochester

Priority Need: Homeless/HIV/AIDS

Specific Objectives: Increase range of housing options and related services for persons w/ special needs

Description: The project will provide long-term rental assistance and short-term rent / mortgage and utility assistance, and other services to individuals with HIV/AIDS and their families.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide

CDBG National Objective: Not Applicable

Proposed Outcome: Accessibility for the Purpose of Providing Decent Housing

Performance Measure: Units for Persons with HIV/AIDS

Type of Recipient: Project Sponsor

HUD Matrix Code/CDBG Citation: 31F Tenant based rental assistance, 31G Short term rent mortgage utility payments, 31D Administration - project sponsor

Expected Start / Completion Date:
 07/01/2008 - 06/30/2009

Accomplishment Type: Households

Proposed Annual / Upon Completion Units: 130 Households

Funding sources:

CDBG	_____	Prior Year Funds	_____
ESG	_____	Assisted Housing	_____
HOME	_____	PHA - CDF	_____
HOPWA	\$384,900	Other	_____
Total Formula	\$384,900	Total	_____

The primary purpose of the project is to help:

- the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Alternatives for Battered Women

Priority Need: Homeless/HIV/AIDS

Specific Objectives: Increase range of housing options and related services for persons w/ special needs

Description: This program assists women with or without dependent children in crisis situations who are victims of domestic violence to make the transition to self-sufficiency and end violence in their lives, providing temporary emergency shelter, food and / or support services for up to 30 days. Services include counseling, education, employment assistance, legal assistance, and medical services.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide

CDBG National Objective: Not Applicable

Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable Living Environments

Performance Measure: Homeless Persons / Persons Assisted

Type of Recipient: Recipient

HUD Matrix Code/CDBG Citation: 03T / Operating Costs of Homeless/AIDS Patients Program

Expected Start / Completion Date:
07/01/2008 - 06/30/2009

Accomplishment Type: People

Proposed Annual / Upon Completion Units: 481 People

Funding sources:

CDBG	_____	Prior Year Funds	_____
ESG	\$21,490	Assisted Housing	_____
HOME	_____	PHA - CDF	_____
HOPWA	_____	Other	\$21,490
Total Formula	\$21,490	Total	\$21,490

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Asbury Dining and Caring Center

Priority Need: Homeless/HIV/AIDS

Specific Objectives: Increase range of housing options and related services for persons w/ special needs

Description: This program provides clothing, haircuts, meals, phone service, and other support services to those at-risk of homelessness (mostly male, many with drug and / or alcohol problems).

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide

CDBG National Objective: Not Applicable

Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable Living Environments

Performance Measure: Homeless Persons / Persons Assisted

Type of Recipient: Recipient

HUD Matrix Code/CDBG Citation: 03T / Operating Costs of Homeless/AIDS Patients Program

Expected Start / Completion Date:
07/01/2008 - 06/30/2009

Accomplishment Type: People

Proposed Annual / Upon Completion Units: 500 People

Funding sources:

CDBG	_____	Prior Year Funds	_____
ESG	\$2,010	Assisted Housing	_____
HOME	_____	PHA - CDF	_____
HOPWA	_____	Other	\$2,010
Total Formula	\$2,010	Total	\$2,010

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Baden Street Settlement – Emergency and Family Assistance

Priority Need: Homeless/HIV/AIDS

Specific Objectives: Increase range of housing options and related services for persons w/special needs

Description: In order to stabilize families in their homes, this program provides short-term subsidies to defray rent/mortgage arrearage for families who have received an eviction notice or mortgage foreclosure warning, and utility arrearage.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide

CDBG National Objective: Not Applicable

Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable Living Environments

Performance Measure: Homeless Persons / Persons Assisted

Type of Recipient: Recipient

HUD Matrix Code/CDBG Citation: 05Q
Subsistence Payments

Expected Start / Completion Date:
07/01/2008 - 06/30/2009

Accomplishment Type: People

Proposed Annual / Upon Completion Units: 75
People

Funding sources:

CDBG	_____	Prior Year Funds	_____
ESG	\$9,550	Assisted Housing	_____
HOME	_____	PHA - CDF	_____
HOPWA	_____	Other	\$9,550
Total Formula	\$9,550	Total	\$9,550

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Blessed Sacrament Church

Priority Need: Homeless/HIV/AIDS

Specific Objectives: Increase range of housing options and related services for persons w/ special needs

Description: This program provides supper to the homeless five evenings per week (Monday-Friday), distribution of food baskets and clothing, and eye exams.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide

CDBG National Objective: Not Applicable

Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable Living Environments

Performance Measure: Homeless Persons / Persons Assisted

Type of Recipient: Recipient

HUD Matrix Code/CDBG Citation: 05 Public Services (General)

Expected Start / Completion Date:
07/01/2008 - 06/30/2009

Accomplishment Type: People

Proposed Annual / Upon Completion Units: 900 People

Funding sources:

CDBG	_____	Prior Year Funds	_____
ESG	\$11,780	Assisted Housing	_____
HOME	_____	PHA - CDF	_____
HOPWA	_____	Other	\$11,780
Total Formula	\$11,780	Total	\$11,780

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Cameron Community Ministries

Priority Need: Homeless/HIV/AIDS

Specific Objectives: Increase range of housing options and related services for persons w/ special needs

Description: This program provides hot lunches. The program also refers individuals to auxiliary services such as clothing, food stamps, youth groups, tutoring, and medical care.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide

CDBG National Objective: Not Applicable

Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable Living Environments

Performance Measure: Homeless Persons / Persons Assisted

Type of Recipient: Recipient

HUD Matrix Code/CDBG Citation: 03T Operating Costs of Homeless/AIDS Patients Programs

Expected Start / Completion Date:
07/01/2008 - 06/30/2009

Accomplishment Type: People

Proposed Annual / Upon Completion Units:
2,600 People

Funding sources:

CDBG	_____	Prior Year Funds	_____
ESG	\$7,640	Assisted Housing	_____
HOME	_____	PHA - CDF	_____
HOPWA	_____	Other	\$7,640
Total Formula	\$7,640	Total	\$7,640

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Catholic Charities

Priority Need: Homeless/HIV/AIDS

Specific Objectives: Increase range of housing options and related services for persons w/special needs

Description: This program delivers multiple services to persons with HIV/AIDS and persons with developmental disabilities. Provides grant assistance for security deposits, rental and/or utility arrearage.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide

CDBG National Objective: Not Applicable

Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable Living Environments

Performance Measure: Homeless Persons / Persons Assisted

Type of Recipient: Recipient

HUD Matrix Code/CDBG Citation: 05Q
Subsistence Payments

Expected Start / Completion Date:
07/01/2008 - 06/30/2009

Accomplishment Type: People

Proposed Annual / Upon Completion Units: 60
People

Funding sources:

CDBG	_____	Prior Year Funds	_____
ESG	\$11,600	Assisted Housing	_____
HOME	_____	PHA - CDF	_____
HOPWA	_____	Other	\$11,600
Total Formula	\$11,600	Total	\$11,600

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Catholic Charities

Priority Need: Homeless/HIV/AIDS

Specific Objectives: Increase range of housing options and related services for persons w/ special needs

Description: The project will provide long-term rental assistance and short-term rent / mortgage and utility assistance, and other services to individuals with HIV/AIDS and their families.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide

CDBG National Objective: Not Applicable

Proposed Outcome: Accessibility for the Purpose of Providing Decent Housing

Performance Measure: Units for Persons with HIV/AIDS

Type of Recipient: Project Sponsor

HUD Matrix Code/CDBG Citation: 31F Tenant based rental assistance, 31G Short term rent mortgage utility payments, 31D Administration - project sponsor

Expected Start / Completion Date:
07/01/2008 - 06/30/2009

Accomplishment Type: Households

Proposed Annual / Upon Completion Units: 100 Households

Funding sources:

CDBG	_____
ESG	_____
HOME	_____
HOPWA	\$235,900
Total Formula	\$235,900

Prior Year Funds	_____
Assisted Housing	_____
PHA - CDF	_____
Other	_____
Total	_____

The primary purpose of the project is to help:

- the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Catholic Family Center/ Emergency Services

Priority Need: Homeless/HIV/AIDS

Specific Objectives: Increase range of housing options and related services for persons w/ special needs

Description: The Community Resource Services Program will provide case management to prevent homelessness. Grant assistance will be used for security deposits, rental and/or utility arrearage.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide

CDBG National Objective: Not Applicable

Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable Living Environments

Performance Measure: Homeless Persons / Persons Assisted

Type of Recipient: Recipient

HUD Matrix Code/CDBG Citation: 03T Operating Costs of Homeless/AIDS Patients Programs

Expected Start / Completion Date:
07/01/2008 - 06/30/2009

Accomplishment Type: People

Proposed Annual / Upon Completion Units: 75 People

Funding sources:

CDBG	_____	Prior Year Funds	_____
ESG	\$11,940	Assisted Housing	_____
HOME	_____	PHA - CDF	_____
HOPWA	_____	Other	\$11,940
Total Formula	\$11,940	Total	\$11,940

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Catholic Family Center/ Francis Center

Priority Need: Homeless/HIV/AIDS

Specific Objectives: Increase range of housing options and related services for persons w/ special needs

Description: The activity will provide shelter beds, meals, and support services to homeless men.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide

CDBG National Objective: Not Applicable

Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable Living Environments

Performance Measure: Homeless Persons / Persons Assisted

Type of Recipient: Recipient

HUD Matrix Code/CDBG Citation: 03T / Operating Costs of Homeless/AIDS Patients programs

Expected Start / Completion Date:
07/01/2008 - 06/30/2009

Accomplishment Type: People

Proposed Annual / Upon Completion Units: 771 People

Funding sources:

CDBG	_____	Prior Year Funds	_____
ESG	\$16,710	Assisted Housing	_____
HOME	_____	PHA - CDF	_____
HOPWA	_____	Other	\$16,710
Total Formula	\$16,710	Total	\$16,710

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Catholic Family Center / Sanctuary House

Priority Need: Homeless/HIV/AIDS

Specific Objectives: Increase range of housing options and related services for persons w/ special needs

Description: This program provides shelter, meals, and case management services for women and women with children. Also serves teen moms and unaccompanied teens.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide

CDBG National Objective: Not Applicable

Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable Living Environments

Performance Measure: Homeless Persons / Persons Assisted

Type of Recipient: Recipient

HUD Matrix Code/CDBG Citation: 03T / Operating Costs of Homeless/AIDS Patients Programs

Expected Start / Completion Date:
07/01/2008 - 06/30/2009

Accomplishment Type: People

Proposed Annual / Upon Completion Units: 600 People

Funding sources:

CDBG	_____	Prior Year Funds	_____
ESG	\$7,640	Assisted Housing	_____
HOME	_____	PHA - CDF	_____
HOPWA	_____	Other	\$7,640
Total Formula	\$7,640	Total	\$7,640

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Catholic Family Center / Women's Place

Priority Need: Homeless/HIV/AIDS

Specific Objectives: Increase range of housing options and related services for persons w/ special needs

Description: This project provides shelter beds, meals, and support services to homeless women and their children. Women's Place will accommodate teens and teen moms.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide

CDBG National Objective: Not Applicable

Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable Living Environments

Performance Measure: Homeless Persons / Persons Assisted

Type of Recipient: Recipient

HUD Matrix Code/CDBG Citation: 03T / Operating Costs of Homeless/AIDS Patients Programs

Expected Start / Completion Date:
07/01/2008 - 06/30/2009

Accomplishment Type: People

Proposed Annual / Upon Completion Units:
1,075 People

Funding sources:

CDBG	_____	Prior Year Funds	_____
ESG	\$19,100	Assisted Housing	_____
HOME	_____	PHA - CDF	_____
HOPWA	_____	Other	\$19,100
Total Formula	\$19,100	Total	\$19,100

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Dimitri House

Priority Need: Homeless/HIV/AIDS

Specific Objectives: Increase range of housing options and related services for persons w/ special needs

Description: This program provides shelter beds for men and serves as a drop-in center during daytime hours. Also provides individuals access to groceries through their food cupboard. Auxiliary services include a message service, transportation to doctor's appointments, and use of office equipment to develop and send resumes.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide

CDBG National Objective: Not Applicable

Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable Living Environments

Performance Measure: Homeless Persons / Persons Assisted

Type of Recipient: Recipient

HUD Matrix Code/CDBG Citation: 03T / Operating costs of Homeless/AIDS Patients Programs

Expected Start / Completion Date:
07/01/2008 - 06/30/2009

Accomplishment Type: People

Proposed Annual / Upon Completion Units: 50 People

Funding sources:

CDBG	_____	Prior Year Funds	_____
ESG	\$16,710	Assisted Housing	_____
HOME	_____	PHA - CDF	_____
HOPWA	_____	Other	\$16,710
Total Formula	\$16,710	Total	\$16,710

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
 UOG Code: NY365544 Rochester

Project / Local ID:
 IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Elite Basketball Program

Priority Need: Public Services

Specific Objectives: Improve services for low/mod income persons

Description: The City Recreation Elite Basketball League will add improvements to the City's current basketball program, to enhance the program offering to attract more teams and additional youth. The City Recreation Elite Basketball League will consist of 24 separate teams (up from 22 teams) of 15 members each, competing in league play. Enhancements include the addition of uniforms, field trips, referee's, and **mandatory** youth asset development and character education workshops, and a technology based literacy component to support academic enrichment.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide

CDBG National Objective: Low / Mod Limited Clientele

Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable Living Environment

Performance Measure: People Assisted

Type of Recipient: Recreation and Youth Services

HUD Matrix Code/CDBG Citation: 05D Youth Services 570.201e

Expected Start / Completion Date:
 07/01/2008 - 06/30/2009

Accomplishment Type: People

Proposed Annual / Upon Completion Units:
 360 People

Funding sources:

CDBG	_____ \$10,000	Prior Year Funds	_____
ESG	_____	Assisted Housing	_____
HOME	_____	PHA - CDF	_____
HOPWA	_____	Other	_____
Total Formula	_____ \$10,000	Total	_____

The primary purpose of the project is to help:

- the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Enriche House

Priority Need: Homeless HIV/AIDS

Specific Objectives: Increase range of housing options and related services for persons w/ special needs

Description: This program provides shelter and support programs designed to address alcohol and drug addiction problems of homeless men.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide

CDBG National Objective: Not Applicable

Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable Living Environments

Performance Measure: Homeless Persons / Persons Assisted

Type of Recipient: Recipient

HUD Matrix Code/CDBG Citation: 03T / Operating Costs of Homeless/AIDS Patients Programs

Expected Start / Completion Date:
07/01/2008 - 06/30/2009

Accomplishment Type: People

Proposed Annual / Upon Completion Units: 19 People

Funding sources:

CDBG	_____	Prior Year Funds	_____
ESG	\$14,320	Assisted Housing	_____
HOME	_____	PHA - CDF	_____
HOPWA	_____	Other	\$14,320
Total Formula	\$14,320	Total	\$14,320

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Family Talk Program

Priority Need: Public Services

Specific Objectives: Improve services for low/mod income persons

Description: This program provides training to parents by trained facilitators in this best-practices curriculum, to improve communications between parents and their children on difficult to discuss topics. This program will increase parent knowledge and parent communications about health, sexuality, and values to support children in making healthy decisions.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide

CDBG National Objective: Low / Mod Limited Clientele

Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable Living Environment

Performance Measure: People Assisted

Type of Recipient: Subrecipient

HUD Matrix Code/CDBG Citation: 05D Youth Services 570.201e

Expected Start / Completion Date:
07/01/2008 - 06/30/2009

Accomplishment Type: People

Proposed Annual / Upon Completion Units:
120 People

Funding sources:

CDBG	_____	\$10,000
ESG	_____	
HOME	_____	
HOPWA	_____	
Total Formula	_____	\$10,000

Prior Year Funds	_____
Assisted Housing	_____
PHA - CDF	_____
Other	_____
Total	_____

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Grace Urban Ministries

Priority Need: Homeless/HIV/AIDS

Specific Objectives: Increase range of housing options and related services for persons w/ special needs

Description: This program is a drop-in center that conducts outreach, educational workshops, counseling, support groups, referral assistance, case management, covering community and safety issues for women who are HIV positive or living lives at risk, including those who are homeless or at risk of homelessness. Food and clothing referrals, medical referrals, shelter referrals, anonymous and rehabilitation support meetings.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide

CDBG National Objective: Not Applicable

Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable Living Environments

Performance Measure: Homeless Persons / Persons Assisted

Type of Recipient: Recipient

HUD Matrix Code/CDBG Citation: 05 Public Services (General)

Expected Start / Completion Date:
07/01/2008 - 06/30/2009

Accomplishment Type: People

Proposed Annual / Upon Completion Units: 700 People

Funding sources:

CDBG	_____	Prior Year Funds	_____
ESG	\$13,880	Assisted Housing	_____
HOME	_____	PHA - CDF	_____
HOPWA	_____	Other	\$13,880
Total Formula	\$13,880	Total	\$13,880

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Hillside Children's Center

Priority Need: Homeless/HIV/AIDS

Specific Objectives: Increase range of housing options and related services for persons w/special needs

Description: Hillside has apartments in scattered sites in this transitional living program for youth (subsidized apartments along with case management). Services include individual and family counseling, placement, planning and referrals and, when indicated, psychiatric and psychological services.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide

CDBG National Objective: Not Applicable

Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable Living Environments

Performance Measure: Homeless Persons / Persons Assisted

Type of Recipient: Recipient

HUD Matrix Code/CDBG Citation: 03T Operating Costs of Homeless/AIDS Patients Programs

Expected Start / Completion Date:
07/01/2008 - 06/30/2009

Accomplishment Type: People

Proposed Annual / Upon Completion Units: 30 People

Funding sources:

CDBG	_____	Prior Year Funds	_____
ESG	\$9,550	Assisted Housing	_____
HOME	_____	PHA - CDF	_____
HOPWA	_____	Other	\$9,5000
Total Formula	\$9,550	Total	\$9,500

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Job Creation / Youth Development

Priority Need: Public Services

Specific Objectives: Improve services for low/mod income persons

Description: Funding will be used to lend support to programs or activities that are identified as providing an integrated environment for the provision of job readiness, work awareness, and basic occupational skills development for low/mod income City youth seeking employment or career exploration services. Projects will also provide asset development opportunities for youth.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide

CDBG National Objective: Low / Mod Limited Clientele

Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable Living Environments

Performance Measure: People Assisted

Type of Recipient: Subrecipient

HUD Matrix Code/CDBG Citation: 05D Youth Services 570.201e

Expected Start / Completion Date:
07/01/2008 - 06/30/2009

Accomplishment Type: People

Proposed Annual / Upon Completion Units: To be Determined

Funding sources:

CDBG	<u> \$92,139 </u>
ESG	<u> </u>
HOME	<u> </u>
HOPWA	<u> </u>
Total Formula	<u> \$92,139 </u>

Prior Year Funds	<u> </u>
Assisted Housing	<u> </u>
PHA - CDF	<u> </u>
Other	<u> </u>
Total	<u> </u>

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Mercy Residential / Families First

Priority Need: Homeless/HIV/AIDS

Specific Objectives: Increase range of housing options and related services for persons w/ special needs

Description: This program provides transitional housing (adult supervised) for minor parents and their babies. Also provided are parenting classes, health and nutrition classes, child development instruction, and case management.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide

CDBG National Objective: Not Applicable

Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable Living Environments

Performance Measure: Homeless Persons / Persons Assisted

Type of Recipient: Recipient

HUD Matrix Code/CDBG Citation: 05 Public Services (General)

Expected Start / Completion Date:
07/01/2008 - 06/30/2009

Accomplishment Type: People

Proposed Annual / Upon Completion Units: 21 People

Funding sources:

CDBG	_____	Prior Year Funds	_____
ESG	\$13,370	Assisted Housing	_____
HOME	_____	PHA - CDF	_____
HOPWA	_____	Other	\$13,370
Total Formula	\$13,370	Total	\$13,370

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Mercy Residential / Melita House

Priority Need: Homeless/HIV/AIDS

Specific Objectives: Increase range of housing options and related services for persons w/ special needs

Description: This program provides shelter beds and social services to homeless single pregnant girls aged 13-30. It provides employment referrals and assistance, counseling, meals and assistance in obtaining proper prenatal care. Specific in-house programs include hands-on parenting education and independent living skills such as budgeting, nutrition, childbirth preparation, and infant care. The project also acts as advocate and liaison with human services agencies, church and social action groups, legal, social, and health services.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide

CDBG National Objective: Not Applicable

Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable Living Environments

Performance Measure: Homeless Persons / Persons Assisted

Type of Recipient: Recipient

HUD Matrix Code/CDBG Citation: 03T Operating Costs of Homeless/AIDS Patients Programs

Expected Start / Completion Date:
07/01/2008 - 06/30/2009

Accomplishment Type: People

Proposed Annual / Upon Completion Units: 232 People

Funding sources:

CDBG	_____	Prior Year Funds	_____
ESG	\$12,410	Assisted Housing	_____
HOME	_____	PHA - CDF	_____
HOPWA	_____	Other	\$12,410
Total Formula	\$12,410	Total	\$12,410

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
 UOG Code: NY365544 Rochester

Project / Local ID:
 IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Neighborhood Aquatics Plan

Priority Need: Public Facilities

Specific Objectives: Improve quality / increase quantity of neighborhood facilities for low-income persons

Description: Implementation of aquatics program city wide.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide

CDBG National Objective: Low / Mod Area

Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable living Environments

Performance Measure: Persons Assisted

Type of Recipient: Department of Environmental Services

HUD Matrix Code/CDBG Citation: 03F Parks, Recreational Facilities 570.201c

Expected Start / Completion Date:
 07/01/2008 - 06/30/2009

Accomplishment Type: People

Proposed Annual / Completion Units: To be Determined

Funding sources:

CDBG	_____ \$160,000	Prior Year Funds	_____
ESG	_____	Assisted Housing	_____
HOME	_____	PHA - CDF	_____
HOPWA	_____	Other Funding	_____
Total Formula	_____ \$160,000	Total	_____

The primary purpose of the project is to help:

- the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Neighborhood Recreation Facilities

Priority Need: Public Facilities

Specific Objectives: Improve quality / increase quantity of neighborhood facilities for low-income persons

Description: Reconstruction, rehabilitation and related work at eligible recreation facilities.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide

CDBG National Objective: Low / Mod Area

Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable living Environments

Performance Measure: Persons Assisted

Type of Recipient: Department of Environmental Services

HUD Matrix Code/CDBG Citation: 03F Parks, Recreational Facilities 570.201c

Expected Start / Completion Date:
07/01/2008 - 06/30/2009

Accomplishment Type: People

Proposed Annual / Upon Completion Units: To be Determined

Funding sources:

CDBG	_____	\$335,000
ESG	_____	
HOME	_____	
HOPWA	_____	
Total Formula	_____	\$335,000

Prior Year Funds	_____
Assisted Housing	_____
PHA - CDF	_____
Other Funding	_____
Total	_____

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Neighborhood Right-of-Way Improvements

Priority Need: Infrastructure

Specific Objectives: Improve quality / increase quantity of public improvements for low-income persons

Description: Improvements of the rights-of-way in CDBG eligible locations including the upgrade of road surfaces, curbing, tree lawns, and catch basins.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide

CDBG National Objective: Low / Mod Area

Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable living Environments

Performance Measure: Persons Assisted

Type of Recipient: Department of Environmental Services

HUD Matrix Code/CDBG Citation: 03K Street Improvements 570.201c

Expected Start / Completion Date:
07/01/2009 - 06/30/2009

Accomplishment Type: People

Proposed Annual / Completion Units: To be Determined

Funding sources:

CDBG	_____	\$234,000
ESG	_____	
HOME	_____	
HOPWA	_____	
Total Formula	_____	\$234,000

Prior Year Funds	_____
Assisted Housing	_____
PHA - CDF	_____
Other Funding	_____
Total	_____

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
 UOG Code: NY365544 Rochester

Project / Local ID:
 IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Neighbors Building Neighborhoods Streetscapes

Priority Need: Infrastructure	Specific Objectives: Improve quality / increase quantity of public improvements for low-income persons
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Description: Streetscape improvements including public safety initiatives in eligible neighborhoods.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide	CDBG National Objective: Low / Mod Area
Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable living Environments	Performance Measure: Persons Assisted
Type of Recipient: Department of Environmental Services	HUD Matrix Code/CDBG Citation: 03K Street Improvements 570.201c
Expected Start / Completion Date: 07/01/2008 - 06/30/2009	
Accomplishment Type: People	Proposed Annual / Completion Units: To be Determined

Funding sources:

CDBG	\$266,000	Prior Year Funds	
ESG		Assisted Housing	
HOME		PHA - CDF	
HOPWA		Other Funding	
Total Formula	\$266,000	Total	

The primary purpose of the project is to help:

- the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Recovery House

Priority Need: Homeless/HIV/AIDS

Specific Objectives: Increase range of housing options and related services for persons w/ special needs

Description: Recovery House will operate transitional shelters. The shelters will house chronically homeless male residents, 18 years of age and older who are transitioning from substance abuse and chemically dependency treatment services.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide

CDBG National Objective: Not Applicable

Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable Living Environments

Performance Measure: Homeless Persons / Persons Assisted

Type of Recipient: Recipient

HUD Matrix Code/CDBG Citation: 03T Operating Costs of Homeless/AIDS Patients Programs

Expected Start / Completion Date:
07/01/2008 - 06/30/2009

Accomplishment Type: People

Proposed Annual / Upon Completion Units: 21 People

Funding sources:

CDBG	_____	Prior Year Funds	_____
ESG	\$20,150	Assisted Housing	_____
HOME	_____	PHA - CDF	_____
HOPWA	_____	Other	\$20,150
Total Formula	\$20,150	Total	\$20,150

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Rochester Area Interfaith Hospitality Network

Priority Need: Homeless/HIV/AIDS

Specific Objectives: Increase range of housing options and related services for persons w/ special needs

Description: This program uses a network of volunteer families of all faiths to deliver shelter and support to homeless individuals and families. Funds will help pay for a professional case worker.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide

CDBG National Objective: Not Applicable

Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable Living Environments

Performance Measure: Homeless Persons / Persons Assisted

Type of Recipient: Recipient

HUD Matrix Code/CDBG Citation: 03T Operating Costs of Homeless/AIDS Patients Program

Expected Start / Completion Date:
07/01/2008 - 06/30/2009

Accomplishment Type: People

Proposed Annual / Upon Completion Units: 110 People

Funding sources:

CDBG	_____	Prior Year Funds	_____
ESG	\$11,460	Assisted Housing	_____
HOME	_____	PHA - CDF	_____
HOPWA	_____	Other	\$11,460
Total Formula	\$11,460	Total	\$11,460

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Salvation Army/Booth Haven

Priority Need: Homeless/HIV/AIDS

Specific Objectives: Increase range of housing options and related services for persons w/ special needs

Description: This program provides case management to link homeless clients with necessary support services and resources in order to lead to self-sufficiency.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide

CDBG National Objective: Not Applicable

Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable Living Environments

Performance Measure: Homeless Persons / Persons Assisted

Type of Recipient: Recipient

HUD Matrix Code/CDBG Citation: 03T Operating Costs of Homeless/AIDS Patients Programs

Expected Start / Completion Date:
07/01/2008 - 06/30/2009

Accomplishment Type: People

Proposed Annual / Upon Completion Units: 725 People

Funding sources:

CDBG	_____	Prior Year Funds	_____
ESG	\$28,650	Assisted Housing	_____
HOME	_____	PHA - CDF	_____
HOPWA	_____	Other	\$28,650
Total Formula	\$28,650	Total	\$28,650

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
 UOG Code: NY365544 Rochester

Project / Local ID:
 IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Sisters Together Achieving Results - Boys and Girls Club

Priority Need: Public Services	Specific Objectives: Improve services for low/mod income persons
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Description: Will provide young females in grades 11 - 12 (possibly younger) with mentoring, assistance in job search, tutoring, self-improvement workshops, and community service projects. Focus on reducing teen pregnancy and improving school performance (attendance and grades).

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide	CDBG National Objective: Low / Mod Limited Clientele
Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable Living Environments	Performance Measure: People Assisted
Type of Recipient: Subrecipient	HUD Matrix Code/CDBG Citation: 05D Youth Services 570.201e
Expected Start / Completion Date: 07/01/2008 - 06/30/2009	
Accomplishment Type: People	Proposed Annual / Upon Completion Units: 26 People

Funding sources:

CDBG	_____ \$10,000	Prior Year Funds	_____
ESG	_____	Assisted Housing	_____
HOME	_____	PHA - CDF	_____
HOPWA	_____	Other	_____
Total Formula	_____ \$10,000	Total	_____

The primary purpose of the project is to help:

- the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Sojourner House

Priority Need: Homeless/HIV/AIDS

Specific Objectives: Increase the range of housing options and related services for persons w/ special needs

Description: This program provides transitional housing and supportive services for low-income women and their children. The program assists women to work toward more independent lifestyles, both economically and emotionally. Life skills instruction, parenting, child care skills training, and career development are emphasized.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide

CDBG National Objective: Not Applicable

Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable Living Environments

Performance Measure: Homeless Persons / Persons Assisted

Type of Recipient: Recipient

HUD Matrix Code/CDBG Citation: 03T Operating Costs of Homeless/AIDS Patients Programs

Expected Start / Completion Date:
07/01/2008 - 06/30/2009

Accomplishment Type: People

Proposed Annual / Upon Completion Units: 95 People

Funding sources:

CDBG	_____	Prior Year Funds	_____
ESG	\$23,870	Assisted Housing	_____
HOME	_____	PHA - CDF	_____
HOPWA	_____	Other	\$23,870
Total Formula	\$23,870	Total	\$23,870

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Spiritus Christi/Jennifer House

Priority Need: Homeless/HIV/AIDS

Specific Objectives: Increase range of house options and related services for persons w/ special needs

Description: This program provides transportation, food, and housing expenses to homeless women and their children.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide

CDBG National Objective: Not Applicable

Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable Living Environments

Performance Measure: Homeless Persons / Persons Assisted

Type of Recipient: Recipient

HUD Matrix Code/CDBG Citation: 03T Operating Costs of Homeless/AIDS Patients Program

Expected Start / Completion Date:
07/01/2008 - 06/30/2009

Accomplishment Type: People

Proposed Annual / Upon Completion Units: 60 People

Funding sources:

CDBG	_____	Prior Year Funds	_____
ESG	\$13,370	Assisted Housing	_____
HOME	_____	PHA - CDF	_____
HOPWA	_____	Other	\$13,370
Total Formula	\$13,370	Total	\$26,740

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Spiritus Christi/Neilsen House

Priority Need: Homeless/HIV/AIDS

Specific Objectives: Increase range of house options and related services for persons w/ special needs

Description: This is a residential program for homeless men entering the community from jail or prison, in-patient facilities, or other situations of homelessness. In the first month, the program will provide basic needs such as food and shelter, along with structure and guidance. In the following two months, the men will participate in individual treatment programs designed to address the underlying circumstances of their homelessness.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide

CDBG National Objective: Not Applicable

Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable Living Environments

Performance Measure: Homeless Persons / Persons Assisted

Type of Recipient: Recipient

HUD Matrix Code/CDBG Citation: 03T Operating Costs of Homeless/AIDS Patients Program

Expected Start / Completion Date:
07/01/2008 - 06/30/2009

Accomplishment Type: People

Proposed Annual / Upon Completion Units: 72 People

Funding sources:

CDBG	_____	Prior Year Funds	_____
ESG	\$9,550	Assisted Housing	_____
HOME	_____	PHA - CDF	_____
HOPWA	_____	Other	\$9,550
Total Formula	\$9,550	Total	\$9,550

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: St. Martin's Place

Priority Need: Homeless/HIV/AIDS

Specific Objectives: Increase range of housing options and related services for persons w/ special needs

Description: This program provides a hot daily meal, telephone access, transportation to DSS and medical appointments, and assistance in locating affordable housing.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide

CDBG National Objective: Not Applicable

Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable Living Environments

Performance Measure: Homeless Persons / Persons Assisted

Type of Recipient: Recipient

HUD Matrix Code/CDBG Citation: 05 Public Services (General)

Expected Start / Completion Date:
07/01/2008 - 06/30/2009

Accomplishment Type: People

Proposed Annual / Upon Completion Units:
1,375 People

Funding sources:

CDBG	_____	Prior Year Funds	_____
ESG	\$8,590	Assisted Housing	_____
HOME	_____	PHA - CDF	_____
HOPWA	_____	Other	\$8,590
Total Formula	\$8,590	Total	\$8,590

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
 UOG Code: NY365544 Rochester

Project / Local ID:
 IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: The Center for Youth/Runaway Homeless Youth

Priority Need: Homeless/HIV/AIDS

Specific Objectives: Increase range of housing options and related services for persons w/ special needs

Description: Provides street outreach and intake programs designed to reach homeless and runaway youth. Shelter and support services are provided. Also provided are counseling, case management, prevention education, meals, transportation, clothing, life skills training, and academic support.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide

CDBG National Objective: Not Applicable

Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable Living Environments

Performance Measure: Homeless Persons / Persons Assisted

Type of Recipient: Recipient

HUD Matrix Code/CDBG Citation: 03T Operating Costs of Homeless/AIDS Patients Program

Expected Start / Completion Date:
 07/01/2008 - 06/30/2009

Accomplishment Type: People

Proposed Annual / Upon Completion Units: 235 People

Funding sources:

CDBG	_____	Prior Year Funds	_____
ESG	\$28,650	Assisted Housing	_____
HOME	_____	PHA - CDF	_____
HOPWA	_____	Other	\$28,650
Total Formula	\$28,650	Total	\$28,650

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: The Community Place / PHANS

Priority Need: Homeless/HIV/AIDS

Specific Objectives: Increase range of housing options and related services for persons w/ special needs

Description: This program provides rent subsidies, mortgage assistance, and meal vouchers for homeless and at-risk homeless.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide

CDBG National Objective: Not Applicable

Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable Living Environments

Performance Measure: Homeless Persons / Persons Assisted

Type of Recipient: Recipient

HUD Matrix Code/CDBG Citation: 05Q
Subsistence Payments

Expected Start / Completion Date:
07/01/2008 - 06/30/2009

Accomplishment Type: People

Proposed Annual / Upon Completion Units: 54
People

Funding sources:

CDBG	_____	Prior Year Funds	_____
ESG	\$11,270	Assisted Housing	_____
HOME	_____	PHA - CDF	_____
HOPWA	_____	Other	\$11,270
Total Formula	\$11,270	Total	\$11,270

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Veterans Outreach Center

Priority Need: Homeless/HIV/AIDS

Specific Objectives: Increase range of housing options and related services for persons w/ special needs

Description: This program provides housing, counseling, assistance in securing benefits, and employment and training programs to veterans and their families. Funds will be used to support a case manager and employment coordinator. Funds will also be used for the rehabilitation of emergency and transitional shelter facilities.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide

CDBG National Objective: Not Applicable

Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable Living Environments

Performance Measure: Homeless Persons / Persons Assisted

Type of Recipient: Recipient

HUD Matrix Code/CDBG Citation: 03C Homeless Facilities (not operating costs) - 05 Public Services (General)

Expected Start / Completion Date:
07/01/2008 - 06/30/2009

Accomplishment Type: People

Proposed Annual / Upon Completion Units: 60 People

Funding sources:

CDBG	_____	Prior Year Funds	_____ \$40,000
ESG	_____ \$8,090	Assisted Housing	_____
HOME	_____	PHA - CDF	_____
HOPWA	_____	Other	_____ \$48,090
Total Formula	_____ \$8,090	Total	_____ \$88,090

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
 UOG Code: NY365544 Rochester

Project / Local ID:
 IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Volunteers of America

Priority Need: Homeless/HIV/AIDS

Specific Objectives: Increase range of housing options and related services for persons w/ special needs

Description: Provides operating expenses for the Emergency Family Shelter, which first meets the immediate physical crisis needs of the homeless family and then addresses underlying issues to help the family move to a higher level of self-sufficiency. Also provides funding for the Homeless Prevention Center which helps to locate housing for homeless individuals & families and / or prevents evictions the help at-risk households maintain housing.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide

CDBG National Objective: Not Applicable

Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable Living Environments

Performance Measure: Homeless Persons / Persons Assisted

Type of Recipient: Recipient

HUD Matrix Code/CDBG Citation: 03T Operating Costs of Homeless/AIDS Patients Programs

Expected Start / Completion Date:
 07/01/2008 - 06/30/2009

Accomplishment Type: People

Proposed Annual / Upon Completion Units: 200 People

Funding sources:

CDBG	_____	Prior Year Funds	_____
ESG	\$17,190	Assisted Housing	_____
HOME	_____	PHA - CDF	_____
HOPWA	_____	Other	\$17,190
Total Formula	\$17,190	Total	\$17,190

The primary purpose of the project is to help:

- the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Wilson Commencement Park

Priority Need: Homeless/HIV/AIDS

Specific Objectives: Increase range of housing options and related services for persons w/special needs

Description: The Wilson Commencement Park offers housing and comprehensive support services to homeless and other low-income single-parent families struggling for permanent housing and self-sufficiency. Funds will help with security deposits and initial rent payments for women transitioning into residential housing.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide

CDBG National Objective: Not Applicable

Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable Living Environments

Performance Measure: Homeless Persons / Persons Assisted

Type of Recipient: Recipient

HUD Matrix Code/CDBG Citation: 03T Operating Costs of Homeless/AIDS Patients Programs

Expected Start / Completion Date:
07/01/2008 - 06/30/2009

Accomplishment Type: People

Proposed Annual / Upon Completion Units: 525 People

Funding sources:

CDBG	_____	Prior Year Funds	_____
ESG	\$16,340	Assisted Housing	_____
HOME	_____	PHA - CDF	_____
HOPWA	_____	Other	\$16,340
Total Formula	\$16,340	Total	\$16,340

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
 UOG Code: NY365544 Rochester

Project / Local ID:
 IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: YWCA

Priority Need: Homeless/HIV/AIDS

Specific Objectives: Increase range of housing options and related services for persons w/ special needs

Description: The agency provides ten emergency rooms, plus transitional rooms and apartments. Referrals to food cupboards in addition to weekly food baskets and six dinners per month. Funds will be used to purchase new furniture for the emergency housing units.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide

CDBG National Objective: Not Applicable

Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable Living Environments

Performance Measure: Homeless Persons / Persons Assisted

Type of Recipient: Recipient

HUD Matrix Code/CDBG Citation: 03T Operating Costs of Homeless/AIDS Patients Programs

Expected Start / Completion Date:
 07/01/2008 - 06/30/2009

Accomplishment Type: People

Proposed Annual / Upon Completion Units: 20 People

Funding sources:

CDBG	_____	Prior Year Funds	_____
ESG	\$7,160	Assisted Housing	_____
HOME	_____	PHA - CDF	_____
HOPWA	_____	Other	\$7,160
Total Formula	\$7,160	Total	\$7,160

The primary purpose of the project is to help:

- the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Youth Services Planning and Monitoring

Priority Need: Planning/Administration

Specific Objectives: Improve the services for low/mod income persons

Description: Provides funds for the administration of the Emergency Shelter Grant and Housing Opportunities with AIDS Programs.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide

CDBG National Objective: Not Applicable

Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable Living Environments

Performance Measure: Not Applicable

Type of Recipient: Recreation and Youth Services

HUD Matrix Code/CDBG Citation: 21A General Program Administration 570.206 31B Administration - Grantee

Expected Start / Completion Date:
07/01/2008 - 06/30/2009

Accomplishment Type: Not Applicable

Proposed Annual / Upon Completion Units: Not Applicable

Funding sources:

CDBG	_____	Prior Year Funds	_____
ESG	\$21,265	Assisted Housing	_____
HOME	_____	PHA - CDF	_____
HOPWA	\$19,200	Other	_____
Total Formula	\$40,465	Total	_____

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Youth Training Academy

Priority Need: Public Services

Specific Objectives: Improve services for low/mod income persons

Description: Program will teach core skills sets in business office and customer service skills to youth ages 15 – 17, in three sessions during the school year, to prepare youth to work in office positions. Core skills training include but are not limited to communication skills, business technology skills, and social and interpersonal skills sets, such as conflict resolution and team work skills. Upon completion, students are placed in sheltered internships to continue to develop their newly learned skills before being placed in unstructured employment.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide

CDBG National Objective: Low / Mod Limited Clientele

Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable Living Environment

Performance Measure: People Assisted

Type of Recipient: Recreation and Youth Services

HUD Matrix Code/CDBG Citation: 05D Youth Services 570.201e

Expected Start / Completion Date:
07/01/2008 - 06/30/2009

Accomplishment Type: People

Proposed Annual / Upon Completion Units: 60 People

Funding sources:

CDBG	_____ \$55,700	Prior Year Funds	_____
ESG	_____	Assisted Housing	_____
HOME	_____	PHA - CDF	_____
HOPWA	_____	Other	_____
Total Formula	_____ \$55,700	Total	_____

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
 UOG Code: NY365544 Rochester

Project / Local ID:
 IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Indirect Costs – Unallocated Staff

Priority Need: Planning/Administration

Specific Objectives: Improve the services for low/mod income persons

Description: This program provides funds for City services, such as legal and financial services, that benefit more than one activity.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide	CDBG National Objective: Not Applicable
Proposed Outcome: Not Applicable	Performance Measure: Not Applicable
Type of Recipient: City of Rochester	HUD Matrix Code/CDBG Citation: 21B Indirect Costs 570.206
Expected Start / Completion Date: 07/01/2008 - 06/30/2009	
Accomplishment Type: Not Applicable	Proposed Annual / Upon Completion Units: Not Applicable

Funding sources:

CDBG	_____ \$698,300	Prior Year Funds	_____
ESG	_____	Assisted Housing	_____
HOME	_____	PHA – CDF	_____
HOPWA	_____	Other	_____
Total Formula	_____ \$698,300	Total	_____

The primary purpose of the project is to help:

- the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
 UOG Code: NY365544 Rochester

Project / Local ID:
 IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Program Management Staff

Priority Need: Planning/Administration

Specific Objectives: Improve the services for low/mod income persons

Description: This program provided funds to partially finance the cost of management, coordination, and monitoring of federal formula programs.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City-wide

CDBG National Objective: Not Applicable

Proposed Outcome: Not Applicable

Performance Measure: Not Applicable

Type of Recipient: Department of Community Development

HUD Matrix Code/CDBG Citation: 21A General Program Administration 570.206

Expected Start / Completion Date:
 07/01/2008 - 06/30/2009

Accomplishment Type: Not Applicable

Proposed Annual / Upon Completion Units: Not Applicable

Funding sources:

CDBG	_____	\$121,700
ESG	_____	
HOME	_____	
HOPWA	_____	
Total Formula	_____	\$121,700

Prior Year Funds	_____
Assisted Housing	_____
PHA - CDF	_____
Other	_____
Total	_____

The primary purpose of the project is to help:

- the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**ATTACHMENT VII
PROPOSED ACTIVITY SUMMARY**

ATTACHMENT VII
ANNUAL ACTION PLAN
PROPOSED PROJECT / ACTIVITY SUMMARY BY GOAL

GOAL / PROJECT / ACTIVITY	CDBG	SECTION 108	HOME	ESG	HOPWA	CDF	TOTAL
PROMOTE ECONOMIC STABILITY							
ED Financial Assistance Loan and Grant	\$ 500,000	-	-	\$ -	-	\$ 320,000	\$ 820,000
Economic Development - Staff	\$ 988,700	-	-	-	-	-	\$ 988,700
High Technology of Rochester	\$ 330,000	-	-	-	-	\$ 10,000	\$ 330,000
Neighborhood Commercial Assistance Program	\$ 250,000	2,000,000	-	-	-	-	\$ 2,000,000
Section 108 Business Loan Program	\$ -	-	-	-	-	-	\$ -
Section 108 Loan Loss Reserve	\$ -	-	-	-	-	\$ 150,000	\$ 150,000
Targeted Façade Improvement Program	\$ 2,068,700	2,000,000	-	-	-	\$ 480,000	\$ 4,548,700
Total							
IMPROVE THE HOUSING STOCK AND GENERAL PROPERTY CONDITIONS							
Housing Development Fund	\$ 1,683,500	-	2,076,286	\$ -	-	-	\$ 3,759,786
Housing - Program Delivery	\$ 1,181,300	-	316,000	-	-	-	\$ 1,497,300
Homeownership Fund	\$ 375,000	-	837,000	-	-	-	\$ 1,212,000
Rental Market Fund	\$ 70,000	-	-	-	-	-	\$ 70,000
Housing Choice Fund	\$ 80,000	-	-	-	-	-	\$ 80,000
Neighborhood and Asset-Based Planning Fund	\$ 2,881,500	-	-	-	-	\$ 120,000	\$ 3,001,500
Total	\$ 6,271,300	-	\$ 3,229,286	\$ -	-	\$ 120,000	\$ 9,620,586
GENERAL COMMUNITY NEEDS							
AIDS Rochester	\$ -	-	-	-	\$ 384,900	-	\$ 384,900
Alternatives for Battered Women	\$ -	-	-	\$ 21,490	-	-	\$ 21,490
Asbury Dining & Caring Center	\$ -	-	-	\$ 2,010	-	-	\$ 2,010
Baden Street Settlement	\$ -	-	-	\$ 9,550	-	-	\$ 9,550
Blessed Sacrament Church	\$ -	-	-	\$ 11,780	-	-	\$ 11,780
Cameron Community Ministries	\$ -	-	-	\$ 7,640	-	-	\$ 7,640
Catholic Charities	\$ -	-	-	\$ 11,600	235,900	-	\$ 247,500
Catholic Family Center / Emergency Services	\$ -	-	-	\$ 11,940	-	-	\$ 11,940
Catholic Family Center / Francis Center	\$ -	-	-	\$ 16,710	-	-	\$ 16,710
Catholic Family Center / Sanctuary House	\$ -	-	-	\$ 7,640	-	-	\$ 7,640
Catholic Family Center / Women's Place	\$ -	-	-	\$ 19,100	-	-	\$ 19,100
Dimitri House	\$ -	-	-	\$ 16,710	-	-	\$ 16,710
Elite Basketball Program	\$ 10,000	-	-	-	-	-	\$ 10,000
Enriche House	\$ 10,000	-	-	\$ 14,320	-	-	\$ 24,320
Family Talk	\$ -	-	-	\$ 13,880	-	-	\$ 13,880
Hillside Children's Center	\$ 92,139	-	-	\$ 9,550	-	-	\$ 9,550
Job Creation / Youth Development	\$ 18,000	-	-	-	-	-	\$ 18,000
Leader's Institute - School 44	\$ -	-	-	\$ 13,370	-	-	\$ 13,370
Mercy Residential / Families First	\$ -	-	-	\$ 12,410	-	-	\$ 12,410
Mercy Residential / Melita House	\$ 160,000	-	-	-	-	-	\$ 160,000
Neighborhood Aquatics Plan	\$ 335,000	-	-	-	-	-	\$ 335,000
Neighborhood Recreation Facilities	\$ 234,000	-	-	-	-	-	\$ 234,000
Neighborhood Right of Way Improvements	\$ 266,000	-	-	-	-	-	\$ 266,000
Neighbors Building Neighborhood Streetscapes	\$ -	-	-	\$ 20,150	-	-	\$ 20,150
Recovery House	\$ -	-	-	\$ 11,460	-	-	\$ 11,460
Rochester Area Interfaith Hospitality Network	\$ -	-	-	\$ 28,650	-	-	\$ 28,650
Salvation Army / Booth Haven	\$ -	-	-	-	-	-	\$ -
Sisters Together Achieving Results	\$ 10,000	-	-	-	-	-	\$ 10,000

ATTACHMENT VII
ANNUAL ACTION PLAN
PROPOSED PROJECT / ACTIVITY SUMMARY BY GOAL

GOAL / PROJECT / ACTIVITY	CD8G	SECTION 108	HOME	ESG	HOPWA	CDF	TOTAL
Sojourner House	\$ -	\$ -	\$ -	23,870	\$ -	\$ -	\$ 23,870
Spiritus Christi - Jennifer House	\$ -	\$ -	\$ -	13,370	\$ -	\$ -	\$ 13,370
Spiritus Christi - Neilsen House	\$ -	\$ -	\$ -	9,550	\$ -	\$ -	\$ 9,550
St Martin's Place	\$ -	\$ -	\$ -	8,590	\$ -	\$ -	\$ 8,590
The Center for Youth / Runaway Homeless Youth:	\$ -	\$ -	\$ -	28,650	\$ -	\$ -	\$ 28,650
The Community Place / PHANS	\$ -	\$ -	\$ -	11,270	\$ -	\$ -	\$ 11,270
Veterans Outreach Center	\$ -	\$ -	\$ -	8,090	\$ -	\$ -	\$ 8,090
Volunteers of America	\$ -	\$ -	\$ -	17,190	\$ -	\$ -	\$ 17,190
Wilson Commencement Park	\$ -	\$ -	\$ -	16,340	\$ -	\$ -	\$ 16,340
YWCA	\$ -	\$ -	\$ -	7,160	\$ -	\$ -	\$ 7,160
Youth Services Planning and Monitoring	\$ -	\$ -	\$ -	21,265	19,200	\$ -	\$ 40,465
Young Father's Program	\$ 34,200	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 34,200
Youth Training Academy	\$ 55,700	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 55,700
YWCA Young Mother's Program	\$ 36,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 36,000
Total	\$ 1,261,039	\$ -	\$ -	\$ 425,305	\$ 640,000	\$ -	\$ 2,326,344
OTHER PROGRAMS							
Indirect Costs - Unallocated Staff	\$ 698,300	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 698,300
Program Management Staff	\$ 121,700	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 121,700
Total	\$ 820,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 820,000
TOTAL	\$ 10,421,039	\$ 2,000,000	\$ 3,229,286	\$ 425,305	\$ 640,000	\$ 600,000	\$ 17,315,630

ATTACHMENT VII
ANNUAL ACTION PLAN
HOUSING FUND SUMMARY - PROPOSED PROJECTS / ACTIVITIES

GOAL / PROJECT / ACTIVITY	CDBG	HOME	CDF	TOTAL
Housing Development Fund				
Community Housing Development Organizations	\$ -	\$ 576,286	-	\$ 576,286
Housing Development Support	\$ 328,500	-	-	\$ 328,500
Lead Hazard Reduction and Rehab Rochester	\$ 300,000	-	-	\$ 300,000
NeighborWorks Rochester - Revolving Loan Fund	\$ 55,000	-	-	\$ 55,000
Rental Housing Fund	\$ -	\$ 1,500,000	-	\$ 1,500,000
Residential Assistance Program	\$ 1,000,000	-	-	\$ 1,000,000
Total	\$ 1,683,500	\$ 2,076,286	-	\$ 3,759,786
Housing - Program Delivery				
	\$ 1,181,300	\$ 316,000	-	\$ 1,497,300
Homeownership Fund				
Buyer Assistance	\$ -	\$ 175,000	-	\$ 175,000
Foreclosure Prevention	\$ 75,000	-	-	\$ 75,000
Homebuyer Training	\$ -	\$ 75,000	-	\$ 75,000
Home Rochester	\$ 300,000	\$ 587,000	-	\$ 887,000
Mortgage Default	\$ 375,000	\$ 837,000	-	\$ 1,212,000
Total	\$ 750,000	\$ 1,677,000	-	\$ 2,427,000
Rental Market Fund				
Landlord Services	\$ 70,000	-	-	\$ 70,000
Promote Housing Choice				
Development Support for Permanent Supportive Housing	\$ 80,000	-	-	\$ 80,000
Neighborhood and Asset-Based Planning Fund				
Planning Staff	\$ 702,900	-	-	\$ 702,900
NBN Planning, Communications and Training	\$ 80,000	-	-	\$ 80,000
College Internships - Planning	\$ 4,300	-	-	\$ 4,300
Vacant Land Policy Development	\$ 10,000	-	-	\$ 10,000
Focused Investment Strategy	\$ 2,084,300	-	120,000	\$ 2,204,300
	\$ 2,881,500	-	120,000	\$ 3,001,500
TOTAL	\$ 6,271,300	\$ 3,229,286	\$ 120,000	\$ 9,620,586

**APPENDIX A
PRIORITY NEEDS TABLES**

Rochester

Community Development Needs

Community Development Needs	Needs	Current	Gap	5-Year Quantities				% of Goal	Priority Need: H, M, L	Dollars to Address	Plan to Fund?	Fund Source
				Year 4		Cumulative						
				Goal	Actual	Goal	Actual					
01 Acquisition of Real Property 570.201(a)	0	0	0					N		N		
02 Disposition 570.201(b)	0	0	0					N		N		
03 Public Facilities and Improvements (General) 570.201(c)	17	17	0	9	25	53	47%	H	\$350,000	Y	CDBG	
03A Senior Centers 570.201(c)	0	0	0					N		N		
03B Handicapped Centers 570.201(c)	0	0	0					N		N		
03C Homeless Facilities (not operating costs) 570.201(c)	0	0	0					N		N		
03D Youth Centers 570.201(c)	35	35	0	0	0	0	0%	N	\$0	N	CDBG	
03E Neighborhood Facilities 570.201(c)	1	1	0	0	1	0	0%	H	\$1,539,600	Y	CDBG	
03F Parks, Recreational Facilities 570.201(c)	116	116	0	0	0	0		L	\$0	N		
03G Parking Facilities 570.201(c)	7	8	1	0	0	0		L	\$0	N		
03H Solid Waste Disposal Improvements 570.201(c)	1	1	0	0	0	0		L	\$0	N		
03I Flood Drain Improvements 570.201(c)	0	0	0	0	0	0		L	\$0	N		
03J Water/Sewer Improvements 570.201(c)	20	20	0	0	0	0	0%	L	\$0	N		
03K Street Improvements 570.201(c)	21	20	1	0	1	0		H	\$586,000	Y	CDBG	
03L Sidewalks 570.201(c)	20	20	0	0	0	0		L	\$0	N		
03M Child Care Centers 570.201(c)	0	0	0	0	0	0		L	\$0	N		
03N Tree Planting 570.201(c)	453	453	0	0	0	0		L	\$0	N		
03O Fire Stations/Equipment 570.201(c)	16	16	0	0	0	0		L	\$0	N		
03P Health Facilities 570.201(c)	3	3	0	0	0	0		L	\$0	N		
03Q Abused and Neglected Children Facilities 570.201(c)	0	0	0	0	0	0		N		N		
03R Asbestos Removal 570.201(c)	0	0	0	0	0	0		N		N		
03S Facilities for AIDS Patients (not operating costs) 570.201(c)	0	0	0	0	0	0		N		N		
03T Operating Costs of Homeless/AIDS Patients Programs	0	0	0	0	0	0		N		N		
04 Clearance and Demolition 570.201(d)	0	0	0	0	0	0		N		N		
04A Clean-up of Contaminated Sites 570.201(d)	0	0	0	0	0	0		N		N		
05 Public Services (General) 570.201(e)	219999	153000	66999	126600	633000	64783	10%	H	\$1,595,000	Y	CDBG	
05A Senior Services 570.201(e)	7360	7360	0	0	0	358		L	\$0	N		
05B Handicapped Services 570.201(e)	23150	23150	0	0	0	0		L	\$0	N		
05C Legal Services 570.201(e)	10000	10000	0	0	0	135		L	\$0	N		
05D Youth Services 570.201(e)	23336	6500	16836	1300	6500	3376	52%	H	\$2,275,000	Y	CDBG	
05E Transportation Services 570.201(e)	40500	40500	0	0	0	0		L	\$0	N		
05F Substance Abuse Services 570.201(e)	21154	4475	16679	895	4475	0	0%	H	\$235,000	Y	E	
05G Battered and Abused Spouses 570.201(e)	5256	3905	1351	781	3905	0	0%	H	\$115,000	Y	E	
05H Employment Training 570.201(e)	11880	1250	10630	250	1250	588	47%	H	\$350,000	Y	CDBG	
05I Crime Awareness 570.201(e)	780	780	0	0	0	0		L	\$0	N		
05J Fair Housing Activities (if CDBG, then subject to 570.201(e))	2020	404	1616	0	0	26		H	\$1,500,000	Y	CDBG	
05K Tenant/Landlord Counseling 570.201(e)	26563	1000	25563	1000	5000	1873	37%	H	\$825,000	Y	CDBG	
05L Child Care Services 570.201(e)	9437	9437	0	0	0	222		L	\$0	N		
05M Health Services 570.201(e)	99999	21900	78099	0	0	630		L	\$0	N		
05N Abused and Neglected Children 570.201(e)	2963	984	1979	0	0	0		L	\$0	N		
05O Mental Health Services 570.201(e)	31668	6813	24855	0	0	0		L	\$0	N		
05P Screening for Lead-Based Paint/Lead Hazards Poison 570.201(e)	20633	8469	12164	0	0	67		L	\$0	N		
05Q Subsidence Payments 570.204	28200	24204	3996	0	0	46		L	\$0	N		
05R Homeownership Assistance (not direct) 570.204	9704	2125	7579	0	10000	0	0%	H	\$375,000	Y	CDBG	
05S Rental Housing Subsidies (if HOME, not part of 5% 570.204)	25431	1765	23666	413	2065	0	0%	H	\$5,051,500.00	Y	E/CDBG/H	
05T Security Deposits (if HOME, not part of 5% Admin c)	0	0	0	0	0	0		N		N		
06 Interim Assistance 570.201(f)	0	0	0	0	0	0		N		N		
07 Urban Renewal Completion 570.201(h)	0	0	0	0	0	0		N		N		
08 Relocation 570.201(i)	0	0	0	0	0	0		N		N		
09 Loss of Rental Income 570.201(j)	0	0	0	0	0	0		N		N		
10 Removal of Architectural Barriers 570.201(k)	0	0	0	0	0	0		N		N		

Non-Homeless Special Needs Including HOPWA	Needs	Currently Available	GAP	3-5 Year Quantities												Total	
				Year 1		Year 2		Year 3		Year 4*		Year 5*		Goal	Actual	% of Goal	
				Goal	Complete	Goal	Complete	Goal	Complete	Goal	Complete	Goal	Complete				
Housing Needed	52. Elderly	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	###
	53. Frail Elderly	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	###
	54. Persons w/ Severe Mental Illness	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	###
	55. Developmentally Disabled	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	###
	56. Physically Disabled	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	###
	57. Alcohol/Other Drug Addicted	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	###
	58. Persons w/ HIV/AIDS & their families	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	###
	59. Public Housing Residents	4339	22	4317	62	36	27	0	0	0	0	0	0	0	98	63	64%
	Total	4339	22	4317	62	36	27	0	0	0	0	0	0	0	98	63	64%
Supportive Services Needed	60. Elderly	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	###
	61. Frail Elderly	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	###
	62. Persons w/ Severe Mental Illness	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	###
	63. Developmentally Disabled	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	###
	64. Physically Disabled	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	###
	65. Alcohol/Other Drug Addicted	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	###
	66. Persons w/ HIV/AIDS & their families	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	###
	67. Public Housing Residents	150	140	10	60	80	140	0	0	0	0	0	0	0	140	180	129%
	Total	150	140	10	60	80	140	0	0	0	0	0	0	0	140	180	129%

Continuum of Care Homeless Population and Subpopulations Chart

Part 1: Homeless Population		Sheltered		Un-sheltered	Total	Rochester													
		Emergency	Transitional																
1.	Homeless Individuals	224	112	6	342														
2.	Homeless Families with Children	49	50	0	99														
	2a. Persons in Homeless with Children Families	112	118	0	230														
Total (lines 1 + 2a)		336	230	6	572														
Part 2: Homeless Subpopulations		Sheltered		Un-sheltered	Total	Rochester													
		Emergency	Transitional																
1.	Chronically Homeless		75	6	81														
2.	Severely Mentally Ill		190	0	190														
3.	Chronic Substance Abuse		227	0	227														
4.	Veterans		43	0	43														
5.	Persons with HIV/AIDS		32	0	32														
6.	Victims of Domestic Violence		79	0	79														
7.	Youth (Under 18 years of age)		6	0	6														
Part 3: Homeless Needs Table: Individuals		Needs	Currently Available	Gap	5-Year Quantities										Total	% of Goal	Priority H, M, L	Plan to Fund? Y N	Fund Source: CDBG, HOME, HOPWA, ESG or Other
					Year 1		Year 2		Year 3		Year 4		Year 5						
Beds	Emergency Shelters	230	208	22	5	0	5	0	5	0	2	0	22	0	0%	L	N	Other	
	Transitional Housing	147	133	14	3	0	3	0	3	0	2	0	14	0	0%	M	N	Other	
	Permanent Supportive Housing	338	253	85	20	0	20	0	20	0	5	0	85	0	0%	H	N	Other	
	Total	715	594	121	5	0	5	0	5	0	2	0	22	0	0%	H	N	Other	
Chronically Homeless		81	75																

Part 4: Homeless Needs Table: Families		Needs	Currently Available	Gap	5-Year Quantities										Total		Priority H. M. L.	Plan to Fund? Y N	Fund Source: CDBG, HOME, HOPWA, ESG or Other
					Year 1		Year 2		Year 3		Year 4		Year 5		Goal	% of Goal			
					Goal	Complete	Goal	Complete	Goal	Complete	Goal	Complete	Goal	Complete					
Emergency Shelters	148	137	11	2	0	2	0	2	0	2	0	3	0	11	0	0%	L	N	Other
Transitional Housing	149	132	17	3	0	3	0	3	0	4	0	4	0	17	0	0%	M	N	Other
Permanent Supportive Housing	960	752	208	40	0	40	0	40	0	40	0	48	0	208	0	0%	H	N	Other
Total	1257	1021	236	45	0	45	45	0	46	0	55	0	191	45	24%				

Completing Part 1: Homeless Population. This must be completed using statistically reliable, unduplicated counts or estimates of homeless persons in sheltered and unsheltered locations at a one-day point in time. The counts must be from: (A) administrative records, (N) enumerations, (S) statistically reliable samples, or (E) estimates. The quality of the data presented in each box must be identified as: (A), (N), (S) or (E).

Completing Part 2: Homeless Subpopulations. This must be completed using statistically reliable, unduplicated counts or estimates of homeless persons in sheltered and unsheltered locations at a one-day point in time. The numbers must be from: (A) administrative records, (N) enumerations, (S) statistically reliable samples, or (E) estimates. The quality of the data presented in each box must be identified as: (A), (N), (S) or (E).

Sheltered Homeless. Count adults, children and youth residing in shelters for the homeless. "Shelters" include all emergency shelters and transitional shelters for the homeless, including domestic violence shelters, residential programs for runaway/homeless youth, and any hotel/motel/apartment voucher arrangements paid by a public/private agency because the person or family is homeless. Do not count: (1) persons who are living doubled up in conventional housing; (2) formerly homeless persons who are residing in Section 8 SRO, Shelter Plus Care, SHP permanent housing or other permanent housing units; (3) children or youth, who because of their own or a parent's homelessness or abandonment, now reside temporarily and for a short anticipated duration in hospitals, residential treatment facilities, emergency foster care, detention facilities and the like; and (4) adults living in mental health facilities, chemical dependency facilities, or criminal justice facilities.

Unsheltered Homeless. Count adults, children and youth sleeping in places not meant for human habitation. Places not meant for human habitation include streets, parks, alleys, parking ramps, parts of the highway system, transportation depots and other parts of transportation systems (e.g. subway tunnels, railroad car), all-night commercial establishments (e.g. movie theaters, laundromats, restaurants), abandoned buildings, building roofs or stairwells, chicken coops and other farm outbuildings, caves, campgrounds, vehicles, and other similar places.

Rochester	HOPWA Performance Chart 1										Needs	Current	Gap	Year 4						Cumulative						Plan to Fund? Y/N	Fund Source
	Outputs			Funding			Outputs Households			Funding				Outputs Households			Funding										
	HOPWA Assistance		Non-HOPWA	HOPWA Budget		HOPWA Actual	Leveraged Non-HOPWA		HOPWA Assistance					Non-HOPWA		HOPWA Budget		HOPWA Actual	Leveraged Non-HOPWA								
	Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual				% of Goal	Goal	Actual	% of Goal	Goal	Actual	% of Goal							
Tenant-based Rental Assistance	200	130	70	110	20				530	213	40%	80	411180		\$661,750	0	0	0	0	H	H						
Short-term Rent, Mortgage and Utility payments	380	380	0	330	50				1385	226	16%	261	101321		\$181,250	0	0	0	0	H	H						
Facility-based Programs	0	0	0	0	0				0	0		0	0		\$0	0	0	0	0	L	N/A						
Units in facilities supported with operating costs	0	0	0	0	0				0	0		0	0		\$0	0	0	0	0	L	N/A						
Units in facilities developed with capital funds and placed in service during the program year	0	0	0	0	0				0	0		0	0		\$0	0	0	0	0	L	N/A						
Units in facilities being developed with capital funding but not yet opened (show units of housing planned)	0	0	0	0	0				0	0		0	0		\$0	0	0	0	0	L	N/A						
Stewardship (developed with HOPWA but no current operation or other costs) Units of housing subject to three- or ten-year use agreements	0	0	0	0	0				0	0		0	0		\$0	0	0	0	0	L	N/A						
Adjustment for duplication of households (i.e., moving between types of housing)																											
Subtotal unduplicated number of households/units of housing assisted	580	510	70						439			341	512501		0 #####	0	0	0	0								
Supportive Services																											
Supportive Services in conjunction with housing activities (for households above in HOPWA or leveraged other units)	0	0	0						0	0		0	0		0	0	0	0	0								
Housing Placement Assistance																											
Housing Information Services	0	0	0						0	0		0	0		0	0	0	0	0								
Permanent Housing Placement Services	0	0	0						0	0		0	0		0	0	0	0	0								
Housing Development, Administration, and Management Services																											
Resource Identification to establish, coordinate and develop housing assistance resources																											
Project Outcomes/Program Evaluation (if approved)																											
Grantee Administration (maximum 3% of total) (i.e., costs for general management, oversight, coordination, evaluation, and reporting)																											
Project Sponsor Administration (maximum 7% of total) (i.e., costs for general management, oversight, coordination, evaluation, and reporting)																											
Other Activity (if approved in grant agreement) Specify:																											
1	0	0	0						0	0		0	0		0	0	0	0	0								
2	0	0	0						0	0		0	0		0	0	0	0	0								
3	0	0	0						0	0		0	0		0	0	0	0	0								
4	0	0	0						0	0		0	0		0	0	0	0	0								

**APPENDIX B
SECTION 3 IMPLEMENTATION PLAN**

CITY OF ROCHESTER
SECTION 3 OF THE HOUSING AND URBAN DEVELOPMENT ACT
IMPLEMENTATION PLAN

BACKGROUND

Section 3 of the Housing and Urban Development Act of 1968 requires that economic opportunities generated by federally assisted housing and community development programs shall, to the greatest extent feasible, be given to low and very low income persons and to businesses that provide economic opportunities for these persons.

Section 3 makes economic development a "benefit" for all seeking assistance to find decent, safe and sanitary housing and a suitable living environment. Section 3 applies to all U.S. Department of Housing and Urban Development formula grant recipients and their contractors and covers opportunities for training, employment and contracts for work arising in connection with:

- *housing rehabilitation;*
- *housing construction; and*
- *other public construction.*

The requirements of Section 3 apply to the entire project no matter whether the project is fully or partially funded federal assistance. Section 3 also applies to a contractor or subcontractor when the amount of assistance to the project exceeds \$200,000 and the contract or subcontract exceeds \$100,000.

POLICY

It is the policy of the City of Rochester to take affirmative steps to give preference for training and jobs to low and very low income City residents. Preference for contracts is given to business concerns which are owned (51 percent) by or which employ (30 percent) low and very low income residents.

IMPLEMENTATION PLAN

A. Contractor Utilization

1. The City of Rochester annually enters into approximately 700 contracts and subcontracts, including professional service contracts, for implementation of projects funded by federal housing and community development programs. The dollar amount of these contracts and subcontracts is approximately \$9,000,000. A number of these contracts are awarded for work under Section 3 covered projects.
2. The City of Rochester will take any necessary affirmative steps to assure that Section 3 business concerns are used when possible including:
 - ▶ notifying potential contractors of Section 3 requirements and incorporating a Section 3 clause in all solicitations and business concerns;
 - ▶ placing Section 3 business concerns on solicitation lists;

- ▶ assuring that Section 3 business concerns are solicited whenever they are potential sources;
- ▶ dividing the total project requirements, where economically feasible, into smaller tasks to permit maximum participation by Section 3 business concerns; and,
- ▶ requiring the prime contractor, if subcontracts are to be awarded, to take similar affirmative steps.

Section 3 business concerns that provide economic opportunities for Section 3 residents in the service area or neighborhood of the project will be given maximum priority.

3. The Economic Development Department will continue to provide financial and technical assistance to establish or expand Section 3 business concerns.
4. Continue implementation of City Council Resolution 91-25, which authorizes a policy of providing preferential treatment to local firms in the award of professional service agreements by the City of Rochester.
5. To focus on a results oriented approach, the following annual goals are established and represent minimum targets:
 - ▶ at least 10 percent of the total dollar amount of all Section 3 covered contracts for building trades work arising in connection with housing rehabilitation, housing construction, and other public construction will go to Section 3 business concerns; and
 - ▶ at least 3 percent of the total dollar amount of all other covered Section 3 contracts will go to Section 3 business concerns.

B. TRAINING AND EMPLOYMENT

The training and employment procedures would apply to all contracts and subcontracts over \$100,000.

1. For each contract, a projected work force by trade would have to be submitted by the contractor. The projection should indicate the need for new employees by trade and residency of existing employees.
2. If the contractor requires new employees, Section 3 goals will be established. Maximum preference will be given to Section 3 residents residing in the service areas or neighborhood where the covered project is located.
3. The following training and employment goals have been established:
 - ▶ 30 percent of the aggregate number of new hires for the period from July 1, 2007 to June 30, 2008 should be Section 3 residents.

- ▶ 30 percent of the aggregate number of new hires for the period from July 1, 2008 to June 30, 2009 should be Section 3 residents.
- ▶ 30 percent of the aggregate number of new hires for the period from July 1, 2009 to June 30, 2010 should be Section 3 residents.

Section 3 residents will be encouraged to participate in existing training and supportive services and utilize services offered by the Bureau of Youth Services.

C. SECTION 3 AWARENESS

1. To increase citizen awareness, the Section 3 Implementation Plan will be appended to the Consolidated Community Development Plan / Annual Action Plan.
2. The City of Rochester's Section 3 goals will be publicized through meetings with community organizations, presentations as part of contractor orientation of bid requirements, incorporation of the Section 3 clause in all covered contracts and inclusion of Section 3 information in the solicitations or requests for proposals by advising prospective contractors of Section 3 requirements.

D. SECTION 3 MONITORING AND REPORTING

1. The Department of Finance will be responsible for Section 3 implementation and monitoring.
2. An annual report will be prepared for the purpose of determining the effectiveness of Section 3. The report will show the number of residents hired and the number of Section 3 business concerns receiving contracts.
3. The City will maintain records showing compliance with Section 3 including copies of advertisements, procurement procedures, solicitations, mailing lists and bid proposals, documentation of preconstruction conferences, letters to community organizations, complaints and records of contact made with unions or contractors' associations.

E. COMPLAINT PROCESS

1. Any Section 3 resident or business may file a complaint alleging noncompliance of Section 3 by the City, contractor or subcontractor. Complaints regarding the Section 3 Plan should be addressed to the Director of Finance, City Hall, Room 109-A, 30 Church Street, Rochester, New York 14614. The City will make every reasonable effort to provide a written response within 15 days.

APPENDIX C
MINORITY AND WOMEN BUSINESS ENTERPRISE

CONSOLIDATED COMMUNITY DEVELOPMENT PLAN
MINORITY AND WOMEN'S BUSINESS ENTERPRISE
OUTREACH PROCEDURES

The City of Rochester will establish and oversee a program to encourage the use of minority and women's business enterprises in connection with Consolidated Plan funded activities. To the maximum extent possible, this program will ensure the inclusion of minorities and women, and entities owned by minorities and women, including, without limitation, real estate firms, construction firms, appraisal firms, management firms, financial institutions, investment banking firms, underwriters, accountants, and providers of legal services in all contracts entered into by the City which involve assistance.

The Department of Community Development administers a number of independent MBE activities for programs financed from the Consolidated Community Development Plan and other HUD grant programs.

The MBE/WBE outreach program to be developed for Consolidated Community Development Plan funded activities will be based upon the following standards, guidelines and actions prescribed by HUD:

Outreach Standards

- 1) **Outreach efforts shall be undertaken in good faith and be comprehensive and continuing.**

The City of Rochester has an impressive record in operating MBE outreach programs. Consolidated Plan outreach efforts will be expanded to include WBE activities.

- 2) **Outreach efforts shall be supported by a statement of public policy and commitment published in the print media of widest local circulation.**

A public statement regarding the City's MBE/WBE policies for the Consolidated Plan will be published in the local Gannett-Rochester newspapers. Media coverage will also be encouraged through a written news release.

- 3) **MBE/WBE outreach efforts shall be supported by an office and/or a key, ranking staff person with oversight responsibilities and access to the chief elected official.**

The Consolidated Community Development Plan MBE/WBE outreach efforts will be supported by the Commissioner of Community Development and the Director of Housing and Project Development.

- 4) **MBE/WBE outreach efforts shall be designed to use all available and appropriate public and private sector local resources.**

The Consolidated Community Development Plan MBE/WBE outreach efforts will be coordinated through the Bureau of Housing and Project Development for housing program activities.

Outreach Guidelines

- 1) **Develop a systematic method for identifying and maintaining an inventory of certified MBE's and WBE's.**

The Bureau of Housing and Project Development will maintain and publish an inventory of certified MBE's and WBE's for participation in housing programs. The inventory will include the types of entities identified in the first paragraph of these procedures.

- 2) **Use the local media, electronic and print, to market and promote contract and business opportunities for MBE's and WBE's.**

The City will pursue a media campaign to solicit MBE & WBE participation in the Consolidated Community Development Plan.

- 3) **Develop information and documentary materials on contract/subcontract opportunities for MBE's and WBE's.**

The Bureau of Housing and Project Development will provide written notice of all competitive bidding to certified MBE's and WBE's.

- 4) **Develop solicitation and procurement procedures that facilitate opportunities for MBE's and WBE's.**

In addition to the direct solicitation procedure stated in 3), the Department of Community Development requires that at a minimum, one MBE bid out of four total bids be solicited for all Owner Occupied Rehabilitation and Investor-Owner Loan projects. Consolidated Plan procedures will be coordinated with other existing MBE/WBE solicitation efforts. All prime contractors will be required to incorporate MBE/WBE solicitation requirements.

- 5) **Sponsor business opportunity-related meetings, conferences, seminars, etc. with MBE's AND WBE's.**

The City will work with minority and women owned business associations to sponsor informational meetings to explain City contract opportunities and bid processes necessary for participation and to produce a useful and complete minority business directory. To help improve our outreach, the City on an ongoing basis will review best practices from other businesses and communities. Also, the City will continue to support and network with the Rochester Minority Business Development Center, Inc. and other organizations committed to expanding the pool of qualified minority and women contractors.

- 6) **Maintain centralized records on the use and participation of MBE's AND WBE's.**

The Department of Community Development maintains records and annually reports on MBE and WBE participation for CDBG program activities. Record keeping practices will be amended to incorporate the Consolidated Plan.

**APPENDIX D
HOME PROGRAM**

HOME PROGRAM
AFFIRMATIVE MARKETING REQUIREMENTS AND PROCEDURES
FOR MULTIPLE FAMILY HOUSING

The City of Rochester will require that the owners of all HOME-assisted housing containing five (5) or more units shall affirmatively market said units to attract eligible persons from all racial, ethnic, and gender groups in the housing market area. The City will annually assess the affirmative marketing program to determine the success of the affirmative marketing activities and the need for any necessary corrective measures.

The owner will make good faith efforts to affirmatively market the units in accordance with the following procedures:

A. Fair Housing Information

1. The owner and the City shall agree upon an initial affirmative marketing strategy.
2. The owner shall prominently display a federal Fair Housing poster in his/her rental office.
3. The owner shall direct all Fair Housing questions from applicants to the City.

B. Marketing Strategy

1. The owner and the City shall agree upon an initial affirmative marketing strategy.
2. The strategy shall establish occupancy goals for the units based upon the demographic characteristics of the market area.
3. The strategy shall describe the specific marketing and outreach activities to be employed by the owners.
4. The marketing strategy may be amended, upon the written approval of the City, to reflect changed market conditions.

C. Marketing Contacts

1. The owner may utilize printed and/or electronic (radio and television) news media to advertise the availability of the units.
2. The owner may utilize local newspapers of general circulation, local magazines, newsletters of neighborhood organizations and housing advocacy organizations, or other approved publications which are known to circulate among low income populations for the placement of written advertisements.
3. The owner shall contact and provide information to any groups or individuals known or believed to be interested in the availability of the affordable rental units.

D. **Targeted Outreach**

1. The owner shall undertake specific efforts to inform and solicit rental applications from persons in the housing market area who are not likely to apply for the housing without specified outreach. These efforts may be directed toward non-English speaking populations, the developmentally or physically disabled, or other low income populations unlikely to become informed about the availability of the units through the efforts required in paragraph C above.
2. Specialized outreach efforts may be undertaken through direct contact or contacts with organizations known to represent the interests of the population of special concern, e.g. community or advocacy organizations, places of worship, employment centers, fair housing groups, housing counseling agencies, neighborhood health centers, and government agencies delivering services to the populations of special concerns.

E. **Record Keeping**

1. The owner shall maintain a copy of the approved marketing strategy.
2. The owner shall maintain records documenting specific efforts taken in accordance with paragraphs A, B, C, and D.
3. The owner shall annually report to the City on the activities and results of this affirmative marketing effort and shall make all affirmative marketing records available to the City at its request.
4. Affirmative marketing files shall include copies of advertisements; the racial, ethnic, and gender characteristics of potential tenants (applicants) who respond to the ad; and the characteristics of the tenants who actually rented the units.

F. **Annual Assessment**

1. The City will independently evaluate and assess the adequacy of the owner's affirmative marketing efforts on an annual basis.
2. The annual assessment will be based upon a number of factors, including but not limited to: consistency with the approved marketing strategy; the owner's success in meeting occupancy goals; the cumulative number of vacancy days; the number of rental applications generated from targeted groups; and the extent to which handicap-accessible units, if any, are occupied by the people for whom they were designed to serve.
3. When it can be determined that affirmative marketing efforts have been limited or unsuccessful, the City will require changes to improve their effectiveness.

In furtherance of the City's commitment to non-discrimination and equal opportunity in housing, it is the City's affirmative marketing goal to assure that individuals who normally might not apply for vacant rental units because of their race, color, ethnicity, religion, sex, handicap, or familial status be made aware of vacancies in housing units funded through the HOME program; feel welcome to apply; and have the opportunity to rent the units.

This policy will be carried out through the affirmative marketing procedures set forth in Section I above and through the following direct actions:

- A. The City will inform the public about: its affirmative marketing policy under the HOME program; the Fair Housing Act; Title VI of the Civil Rights Act of 1964; Executive Order 11063, as amended; and Section 504 of the Rehabilitation Act of 1973.
- B. The City will carry out "A" above by : the placement of public notices in local newspapers of general circulation; the placement of public service announcements through electronic media; the distribution of printed materials; and through community contacts.

HOME PROGRAM
HOMEBUYER RESALE and HOME SUBSIDY RECAPTURE GUIDELINES

The City of Rochester will comply with the following HOME regulations (24 CFR Part 92.254(a)(5) regarding resale and recapture of HOME subsidies. In addition, prescribed language for both resale and recapture provisions is also provided below.

[Code of Federal Regulations]

[Title 24, Volume 1]

[Revised as of April 1, 2005] From the U.S. Government Printing Office via GPO Access

TITLE 24--HOUSING AND URBAN DEVELOPMENT
 PART 92_HOME INVESTMENT PARTNERSHIPS PROGRAM

24 CFR Part 92.254(a)(5)

- (5) Resale and recapture. To ensure affordability, the participating jurisdiction must impose either resale or recapture requirements, at its option. The participating jurisdiction must establish the resale or recapture requirements that comply with the standards of this section and set forth the requirements in its consolidated plan. HUD must determine that they are appropriate.
- (1) Resale. Resale requirements must ensure, if the housing does not continue to be the principal residence of the family for the duration of the period of affordability, that the housing is made available for subsequent purchase only to a buyer whose family qualifies as a low-income family and will use the property as its principal residence. The resale requirement must also ensure that the price at resale provides the original HOME-assisted owner a fair return on investment (including the homeowner's investment and any capital improvement) and ensure that the housing will remain affordable to a reasonable range of low-income homebuyers. The period of affordability is based on the total amount of HOME funds invested in the housing.
- (A) Except as provided in paragraph (a)(5)(i)(B) of this section, deed restrictions, covenants running with the land, or other similar mechanisms must be used as the mechanism to impose the resale requirements. The affordability restrictions may terminate upon occurrence of any of the following termination events: foreclosure, transfer in lieu of foreclosure or assignment of an FHA insured mortgage to HUD. The participating jurisdiction may use purchase options, rights of first refusal or other preemptive rights to purchase the housing before foreclosure to preserve affordability. The affordability restrictions shall be revived according to the original terms if, during the original affordability period, the owner of record before the termination event, obtains an ownership interest in the housing.
- (B) Certain housing may be presumed to meet the resale restrictions (i.e., the housing will be available and affordable to a reasonable range of low-income homebuyers; a low-income homebuyer will occupy the housing as the family's principal residence; and the original owner will be afforded a fair return on investment) during the period of affordability without the imposition of enforcement mechanisms by the participating jurisdiction. The presumption must be based upon a market analysis of the neighborhood in

which the housing is located. The market analysis must include an evaluation of the location and characteristics of the housing and residents in the neighborhood (e.g., sale prices, age and amenities of the housing stock, incomes of residents, percentage of owner-occupants) in relation to housing and incomes in the housing market area. An analysis of the current and projected incomes of neighborhood residents for an average period of affordability for homebuyers in the neighborhood must support the conclusion that a reasonable range of low-income families will continue to qualify for mortgage financing. For example, an analysis shows that the housing is modestly priced within the housing market area and that families with incomes of 65% to 80% of area median can afford monthly payments under average FHA terms without other government assistance and housing will remain affordable at least during the next five to seven years compared to other housing in the market area; the size and amenities of the housing are modest and substantial rehabilitation will not significantly increase the market value; the neighborhood has housing that is not currently owned by the occupants, but the participating jurisdiction is encouraging homeownership in the neighborhood by providing homeownership assistance and by making improvements to the streets, sidewalks, and other public facilities and services. If a participating jurisdiction in preparing a neighborhood revitalization strategy under Sec. 91.215(e)(2) of its consolidated plan or Empowerment Zone or Enterprise Community application under 24 CFR part 597 has incorporated the type of market data described above, that submission may serve as the required analysis under this section. If the participating jurisdiction continues to provide homeownership assistance for housing in the neighborhood, it must periodically update the market analysis to verify the original presumption of continued affordability.

- (ii) Recapture. Recapture provisions must ensure that the participating jurisdiction recoups all or a portion of the HOME assistance to the homebuyers, if the housing does not continue to be the principal residence of the family for the duration of the period of affordability. The participating jurisdiction may structure its recapture provisions based on its program design and market conditions. The period of affordability is based upon the total amount of HOME funds subject to recapture described in paragraph (a)(5)(ii)(A)(5) of this section.
 - (A) The following options for recapture requirements are acceptable to HUD. The participating jurisdiction may adopt, modify or develop its own recapture requirements for HUD approval. In establishing its recapture requirements, the participating jurisdiction is subject to the limitation that when the recapture requirement is triggered by a sale (voluntary or involuntary) of the housing unit, and there are no net proceeds or the net proceeds are insufficient to repay the HOME investment due, the participating jurisdiction can only recapture the net proceeds, if any. The net proceeds are the sales price minus superior loan repayment (other than HOME funds) and any closing costs.
 - (1) Recapture entire amount. The participating jurisdiction may recapture the entire amount of the HOME investment from the homeowner.

- (2) Reduction during affordability period. The participating jurisdiction may reduce the HOME investment amount to be recaptured on a prorata basis for the time the homeowner has owned and occupied the housing measured against the required affordability period.
- (3) Shared net proceeds. If the net proceeds are not sufficient to recapture the full HOME investment (or a reduced amount as provided for in paragraph (a)(5)(ii)(A)(2) of this section) plus enable the homeowner to recover the amount of the homeowner's downpayment and any capital improvement investment made by the owner since purchase, the participating jurisdiction may share the net proceeds. The net proceeds are the sales price minus loan repayment (other than HOME funds) and closing costs. The net proceeds may be divided proportionally as set forth in the following mathematical formulas:
 - (4) Owner investment returned first. The participating jurisdiction may permit the homebuyer to recover the homebuyer's entire investment (downpayment and capital improvements made by the owner since purchase) before recapturing the HOME investment.
 - (5) Amount subject to recapture. The HOME investment that is subject to recapture is based on the amount of HOME assistance that enabled the homebuyer to buy the dwelling unit. This includes any HOME assistance that reduced the purchase price from fair market value to an affordable price, but excludes the amount between the cost of producing the unit and the market value of the property (i.e., the development subsidy). The recaptured funds must be used to carry out HOME-eligible activities in accordance with the requirements of this part. If the HOME assistance is only used for the development subsidy and therefore not subject to recapture, the resale option must be used.

END OF CITATION FROM 24 CFR Part 92.254(a)(5)

If the City of Rochester uses the resale option above, then the following deed restrictive covenant shall be used:

Restrictive Covenant

Title to this property (the "Property") is conveyed subject to the following restrictions:

The Grantee has acquired this property with the assistance of funds from the City of Rochester provided under 24 CFR Part 92, the HOME Investment Partnership Program (the "Regulations"). As a condition of the subsidy, the Grantee agrees:

- A. The Property must be the Grantee's principal residence for ten (10) years from the date of this deed.
- B. If the above ten (10) year period has not expired and the Grantee sells the Property, the Grantee agrees to sell the Property to a low-

income family as defined in the Regulations that will use the Property as its principal residence for the duration of the ten (10) year period. Documentation must be provided to the City of Rochester verifying the prospective buyer's income eligibility. The price at resale must: 1) ensure that the Property will remain affordable (as defined in the Regulations) to a reasonable range of low-income homebuyers, and 2) provide the Grantee a fair return on investment (including the Grantee's investment and any capital improvement).

Notwithstanding the aforementioned provisions, the affordability restrictions and residency requirement shall terminate upon occurrence of any of the following termination events:

1. Foreclosure;
2. Transfer in lieu of foreclosure; or
3. Assignment of an FHA insured mortgage to HUD.

However, the affordability restrictions and residency requirement shall be revived according to their original terms if, during the original residency period of ten years, the Grantee before the termination event, or any entity that includes the Grantee or those with whom the Grantee has or had family or business ties, obtains an ownership in the project or property.

In the event that the HOME-assisted Grantee wishes to sell the assisted home, the City of Rochester shall be afforded the right of first refusal to purchase the subject property.

If the City of Rochester uses the recapture option, then the following language shall be used in the Note and Mortgage securing the HOME subsidy:

The homeowner shall be required to repay a portion of the HOME funds used to assist the homeowner with the original purchase of the home on a pro-rated basis in accordance with the following schedule:

<u>Equal to/ More Than</u>	<u>Less Than</u>	<u>Repayment Factor</u>
1 day	1 year	1.000
1 year	2 years	.90
2 years	3 years	.80
3 years	4 years	.70
4 years	5 years	.60
5 years	6 years	.50
6 years	7 years	.40
7 years	8 years	.30
8 years	9 years	.20
9 years	10 years	.10

APPENDIX E
SECTION 108 LOAN APPLICATION

ANNUAL ACTION PLAN **SECTION 108 LOAN APPLICATION**

Section 108 is the loan guarantee provision of the Community Development Block Grant (CDBG) Program. Section 108 provides CDBG entitlement communities with a source of financing for economic development, housing rehabilitation, public facilities, and large scale physical development projects. A Section 108 loan is not risk-free. A local government borrowing funds guaranteed by Section 108 must pledge their current and future CDBG allocations to cover the loan amount as security for the loan. The maximum repayment period for a Section 108 loan is twenty years.

Section 108 assisted activities must comply with CDBG rules and requirements. All projects must either principally benefit low- and moderate- income persons, aid in the elimination or prevention of slums and blight, or meet urgent needs of the community.

A city may apply for up to five times the latest approved CDBG entitlement amount, minus any outstanding Section 108 commitments and / or principal balances of Section 108 loans. The following table provides an indication of our current Section 108 loan capacity.

Table 2.1
Section 108 Loan Capacity

ITEM	AMOUNT
2008-2009 Entitlement Grant	\$9,521,039
Multiplication Factor	5
Maximum Loan Authority	\$47,605,195
Current Approved Loan Balances	\$9,238,000
Remaining Section 108 Loan Capacity	\$38,367,195

It is proposed that we use a Section 108 loan financing in lieu of CDBG funds in order to keep CDBG funds free for other community and economic development activities.

The City of Rochester is submitting a Section 108 generic loan application to provide additional funding for the Business Loan Program which was approved last year.

The program provides a pre-approved pool of loan guarantee authority to business customers to undertaking projects.

The Business Loan Program provides loans for machinery and equipment purchases, working capital, real estate purchases, construction and / or renovation.

The Business Loan Program (See Attached) will be available to all business firms located in or moving to the City of Rochester. Assisted projects must create jobs for low- and moderate-income persons or provide needed goods and services to residents of low- and moderate-income residential areas.

Customers applying for an Business Loan Program must discuss the project with an account representative of the City's Economic Development Department. The customer submits items which are deemed necessary by the Economic Development Department to perform an appropriate credit underwriting. The Economic Development Department will then perform a complete underwriting / credit analysis. All loans must be approved by the Economic Development Department. Following approval, a commitment letter is executed between the borrower and the City. Following acceptance of the commitment letter, the City of Rochester Law Department prepares the appropriate loan documents. A loan closing is scheduled and disbursement of the loan funds follows the schedule outlined in the commitment letter.

The City will file a project summary with the U.S. Department of Housing and Urban Development - Buffalo Area Office. The summary will contain a description, CDBG eligibility determination, and an evaluation of project cost and financial requirements. Funds will be released for the project within 15 days.

The City may use Urban Development Action Grant loan and interest repayments and other miscellaneous revenues to pre-finance a project if necessary.

The proposed loan funds will support the following Consolidated Community Development Plan economic development goals and objectives.

Table 2.2
Section 108 Loan Fund Goals and Objectives

GOAL	OBJECTIVE
Promote Economic Stability	Improve Economic Opportunities for Low- and Moderate- Income Persons

The loan funds will be used for the following eligible activities.

Table 2.4
Loan Fund Eligible Activities

HUD CODE TITLE	REGULATION CITATION
Rehab: Publicly or Private Owned Commercial / Industrial	570.703(I)
ED Direct Financial Assistance to For-Profits	570.703(I)
Special Activities by Community-Based Development Organizations: New Housing Construction	570.703(I)

Projects will meet the following national objectives.

**Table 2.5
Loan Fund National Objectives**

NATIONAL OBJECTIVES	REGULATION CITATION
Low/Mod area benefit: the service area identified for activities is primarily low/mod income	570.208(a)(1)
Low/mod job creation/retention: activities designed to create or retain permanent jobs, at least 51% of which will involve the employment of low/mod persons	570.208(a)(4)
Low/mod job creation, location based: activities where a job is held by or made available to a low/mod person based on the location of the person's residence or the location of the assisted business.	570.208(a)(4)(iv)

The City of Rochester will use the following standards for evaluating economic development project costs and financial requirements:

- *The proposed project costs are reasonable;*
- *That all sources of project financing are committed;*
- *That to the extent practicable, CDBG funds are not substituted for non-Federal financial support;*
- *That the project is financially feasible;*
- *That to the extent practicable, the return on the owner's equity investment will not be unreasonably high;*
- *To the extent practicable, CDBG funds are disbursed on a pro rata basis with other finance provided to the project.*

Customers of the Business Loan Program must demonstrate adequate cash flow to service all existing and proposed new debt required to provide sufficient collateral to secure the loan. Guidelines for obtaining program financing are:

- *Minimum of 10% cash equity based on the amount of the project.*
- *Maximum loan-to-value of 90% of the lower cost or appraised value (by a firm acceptable to the City) on owner-occupied real estate.*
- *For existing businesses, historical results must show sufficient cash flow to repay all existing and proposed new debt service coverage ratio of at least 1:25:1.*
- *For new businesses, historical results must show sufficient cash flow to repay all existing and proposed new debt with a debt service coverage ratio of at least 1.25:1.*
- *Management experience or appropriate education.*
- *Personal Credit History - always paid on time or reasonable explanation of the variances.*

- ***Collateral - first lien position on all assets of the business or on the assets being financed with the City loan proceeds, plus any other available collateral deemed necessary to strengthen the application. The City may consider a subordinate lien position to loans from financing institutions which are part of the project on a case-by-case basis.***
- ***Personal guarantees required of owner and / or management, unless loan fully-secured by a bank letter of credit.***
- ***Corporate guarantees may be required in certain cases (for example, a real estate project where the Borrower is an LLC and the operating company is the tenant).***

Section 108 assisted industrial and commercial projects must meet CDBG public benefit standards. All recipients of funding are required to report to the City annually the number of jobs created and / or retained and the wage level of those jobs during the term of the loan. The City may inspect the company facility to verify employment numbers. All projects will meet national objectives.

The proposed activities will generate program income which will be used to make repayment of the guaranteed loan. The City of Rochester will pledge its current and future CDBG allocations to cover the loan amount as security for the loan.

The City will have additional security for the loan through liens on real and personal property and a loan loss reserve.

A repayment schedule will be established for each assisted project.

Additional information on this application and proposed activities may be obtained from the Assistant to the Commissioner of Community Development, City Hall, Room #125-B, 30 Church Street, Rochester, New York, 14614.



City of Rochester



FAX (585) 428-6042
TDD/Voice 428-6054

Economic Development
Department
R. Carlos Carballada, Commissioner

City Hall, Room 005A
30 Church Street
Rochester, N Y 14614-1290
(585) 428-6808

City of Rochester U.S. Department of Housing and Urban Development (HUD) \$2.0 Million Section 108 Business Loan Program Program Guidelines

I. Description

This program is a jobs-based program that provides loans to assist private sector job creation for new or expanding businesses located in the city of Rochester. Loan amounts will be based upon a minimum formula of \$35,000 in loan funds per one new job created over a three year period. Eligible projects include furniture, fixture and equipment purchases; working capital; real estate acquisition; and building construction and/or renovation.

II. Purpose

Providing loans to commercial businesses located within the city of Rochester for projects resulting in: (1) the creation and/or retention of private sector jobs; and (2) additional investment within the city of Rochester.

III. Job/Wage Reporting

All recipients of funding are required to report to the City annually the number of jobs created and/or retained and the wage level of those jobs during the term of the assistance provided. The City will reserve the right to inspect the company facility to verify employment numbers.

IV. Eligibility Requirements

A. Location and Building Use

Businesses must be located within the city of Rochester. The building use must be a permitted or specially permitted use in the property's zoning district. Properties, uses, or individuals which are in violation of Federal, State, County or City codes, ordinances, or laws are ineligible for the program.

B. Business Ownership and Operations

The applicant may be a corporation, partnership or sole proprietorship. Publicly owned or not-for-profit organizations are ineligible.

C. Eligible Projects

The following activities are eligible for program assistance:

- Working capital
- Purchase of furniture, fixtures, and equipment
- Acquisition, construction, and/or renovation of real estate



D. Ineligible Activities

The following activities cannot be undertaken with program funds:

- Refinancing of loans
- Funds for investment not related to job retention/creation
- Purchase or financing of equity in private businesses
- Land banking

V. Funding Source

All assistance provided is subject to the applicable CDBG Program regulations as set forth by HUD. Applicable CDBG regulations include:

- It is required that one job be created and/or retained for each \$35,000 in financial assistance provided. For CDBG purposes, a retained job is one that would be completely lost (not just a job which is moved out of the City of Rochester or New York State).
- At least 51% of the jobs created and/or retained must be held by, or made available to, low- or moderate-income individuals as defined by HUD.
- Davis-Bacon federal wage rates must be utilized for the project if the program funds are used for construction. The wage rate requirement is also triggered for the project when the installation costs for equipment exceeds 14.8% of the purchase price for the equipment.

VI. Available Assistance

A. Loans

Generally, loan amounts will be from \$50,000 to \$500,000. Loans over \$500,000 may be considered on a case-by-case basis.

1. Loan Terms

- ***Furniture, Fixtures & Equipment*** - The typical term and amortization for equipment loans is five years. A seven-year amortization may be considered in cases where the borrower's cash flow demonstrates a need for more than a five-year amortization. Any equipment loan amortized over seven years will have a five-year balloon maturity.
- ***Working Capital*** - The term and amortization for working capital loans will not exceed five years.
- ***Real Estate*** - The term and amortization for real estate loans will not exceed ten years, however, terms up to 20 years may be considered on an exception basis. For real estate construction and/or renovation projects, the program will provide permanent financing only.

2. Interest Rates and Fees

The interest rate will be fixed at 150 basis points over the interest rate charged by HUD to the City of Rochester, with a floor of 3.0%. Loans secured by an acceptable bank Letter of Credit will be priced at 50 basis points over the interest rate charged by HUD to the City of Rochester, with a floor of 3.0%.

Each loan will have a 1% closing fee due and payable at the loan closing. The borrower will be responsible for any out-of-pocket expenses incurred by the City at the loan closing (i.e., recording and filing fees).



VII. Financial Ability

Applicants will demonstrate adequate cash flow to service all existing and proposed new debt and will be required to provide sufficient collateral to secure the loan. Guidelines for obtaining program funding are:

- Minimum of 10% cash equity based on the amount of the project.
- For existing businesses, historical results must show sufficient cash flow to repay all existing and proposed new debt with a debt service coverage ratio of at least 1.25:1.
- For new businesses (in operation for less than two years) and when applicable for existing businesses, three-year projections will be required and must show sufficient cash flow to repay all existing and proposed new debt with a debt service coverage ratio of at least 1.25:1.
- Management experience or appropriate education.
- Personal Credit History - Always paid on time or reasonable explanation of the variances
- Collateral - (1) First lien position on all assets of the business or on the assets being financed with the loan proceeds, plus any other available collateral deemed necessary to strengthen the application; or (2) A letter of credit issued by a bank acceptable to the City. The City may consider a subordinate lien position to loans from financing institutions which are part of the project on a case-by-case exception basis. For assistance being secured by real estate, maximum loan-to-value of 90% of the lower of cost or appraised value (by a firm acceptable to the City).
- Personal Guarantees - Will be required of owners and/or management, unless the loan is fully-secured by an acceptable bank letter of credit.
- Corporate Guarantees - May be required in certain cases (for example, a real estate project where the borrower is an LLC and the operating company is the tenant).

VIII. Procedures

- The applicant discusses the project with Economic Development Department (EDD) staff.
- The applicant submits items which are deemed necessary to perform an appropriate credit underwriting. EDD staff will then perform a complete underwriting/credit analysis.
- All assistance must be approved by the Commissioner of EDD.
- A commitment letter is issued by the City of Rochester Law Department.
- Following acceptance of the commitment letter and satisfaction of all Conditions Precedent listed in the commitment letter, the City's attorney prepares the appropriate closing documents.
- A closing is scheduled and disbursement of the program funding follows the schedule outlined in the commitment letter.

NOTE: The Commissioner of Economic Development reserves the right to modify the preceding Program guidelines on a case-by-case basis for high public benefit projects.



City of Rochester U.S. Department of Housing and Urban Development (HUD)
\$2.0 Million Section 108 Business Loan Program
Submission Checklist

Applicants must submit all of the following items to initiate the approval process.

For New Businesses and Businesses in Operation Less Than Two Years:

- ___ A business plan which includes the following:
 - ___ Detailed description of the business and its products, markets and operations.
 - ___ Three-year financial projections which include balance sheets, income statements, and cash flow statements for each year, as well as all assumptions used in preparing the projections.
 - ___ Monthly cash flow statements for the first twelve months of operation.
 - ___ Market strategy of the business including analysis of the local competition
 - ___ Management and key personnel resumes
 - ___ If an existing business, historical financial statements (including balance sheets, income statements and cash flow statements) and interim financial statements as available.

For Businesses in Operation for More Than Two Years:

- ___ Historical financial statements for the past three years, (including balance sheets, income statements and cash flow statements for each year), and interim financial statements as available.
- ___ Interim financial statements, including a balance sheet, income statement and cash flow statement.
- ___ If historical performance does not show sufficient cash flow to repay all existing and proposed new debt, three-year projections, including balance sheets, income statements and cash flow statements for each year, will be required.

For ALL Applicants:

- ___ Sources and uses of funds for the project, including the terms and conditions of all other project financing.
- ___ Current number of employees and number of employees who are City residents.
- ___ Projected employment increases over the next three years and the estimated amount of jobs to be filled by City residents.
- ___ For real estate projects, complete cost budget including all soft costs.
- ___ For machinery and equipment projects, complete listing of all machinery and equipment to be acquired plus cost detail.
- ___ For working capital projects, complete schedules of projected uses of funds.



