

FINAL
**CONSOLIDATED COMMUNITY
DEVELOPMENT PLAN**

**Annual Action Plan
2009—10**

**Robert J. Duffy, Mayor
City of Rochester**

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**APPLICATION FOR
FEDERAL ASSISTANCE**

OMB Approved No. 3076-0006

Version 7/03

1. TYPE OF SUBMISSION: Application		2. DATE SUBMITTED June 1, 2009	Applicant Identifier
<input checked="" type="checkbox"/> Construction	<input type="checkbox"/> Pre-application	3. DATE RECEIVED BY STATE	State Application Identifier
<input checked="" type="checkbox"/> Non-Construction	<input type="checkbox"/> Construction	4. DATE RECEIVED BY FEDERAL AGENCY	Federal Identifier
	<input type="checkbox"/> Non-Construction		

5. APPLICANT INFORMATION

Legal Name: City of Rochester	Organizational Unit: Department: Community Development
Organizational DUNS: 002465805	Division: Office of the Commissioner
Address: Street: City Hall, Room 125B 30 Church Street	Name and telephone number of person to be contacted on matters involving this application (give area code) Prefix: Ms. First Name: Mary
City: Rochester	Middle Name Kay
County: Monroe	Last Name Kenrick
State: New York Zip Code 14614	Suffix:
Country: U.S. A.	Email: kenrickm@cityofrochester.gov

6. EMPLOYER IDENTIFICATION NUMBER (EIN):
1 6 - 6 0 0 2 5 5 1

Phone Number (give area code) (585) 428-6309	Fax Number (give area code) (585) 428-6042
-------------------------------------------------	-----------------------------------------------

8. TYPE OF APPLICATION:
 New Continuation Revision
If Revision, enter appropriate letter(s) in box(es)
(See back of form for description of letters.)

Other (specify)

7. TYPE OF APPLICANT: (See back of form for Application Types)
C-Municipal
Other (specify)

9. NAME OF FEDERAL AGENCY:
United States Department of Housing & Urban Development

10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER:
1 4 - 2 1 8

TITLE (Name of Program):
Community Development Block Grant

11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT:
Various Projects

12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.):
City of Rochester, New York

13. PROPOSED PROJECT

Start Date: 7/1/09	Ending Date: 6/30/10
-----------------------	-------------------------

14. CONGRESSIONAL DISTRICTS OF:
a. Applicant 28 b. Project 28

15. ESTIMATED FUNDING:

a. Federal	\$	9,637,040 ⁰⁰
b. Applicant	\$	702,964 ⁰⁰
c. State	\$	⁰⁰
d. Local	\$	⁰⁰
e. Other	\$	8,824,200 ⁰⁰
f. Program Income	\$	600,000 ⁰⁰
g. TOTAL	\$	19,764,204 ⁰⁰

16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?
a. Yes. THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON
DATE:
b. No. PROGRAM IS NOT COVERED BY E. O. 12372
 OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW

17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?
 Yes If "Yes" attach an explanation. No

18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.

a. Authorized Representative

Prefix Mr.	First Name Robert	Middle Name J.
Last Name Duffy	Suffix	
b. Title Mayor	c. Telephone Number (give area code) (585) 428-7045	
d. Signature of Authorized Representative	e. Date Signed 5/29/09	

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County: Monroe	Last Name Kenrick
State: New York Zip Code 14614	Suffix:
Country: U.S. A.	Email: kenrickm@cityofrochester.gov

6. EMPLOYER IDENTIFICATION NUMBER (EIN): 16-6002551	Phone Number (give area code) (585) 428-6309	Fax Number (give area code) (585) 428-6042
---------------------------------------------------------------	-------------------------------------------------	-----------------------------------------------

8. TYPE OF APPLICATION: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) (See back of form for description of letters.) Other (specify)	7. TYPE OF APPLICANT: (See back of form for Application Types) C-Municipal Other (specify)
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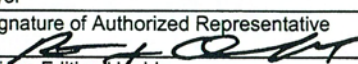
10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: TITLE (Name of Program): HOME	9. NAME OF FEDERAL AGENCY: United States Department of Housing & Urban Development
---------------------------------------------------------------------------------------------------	----------------------------------------------------------------------------------------------

11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: Various Projects	12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.): City of Rochester, New York
--------------------------------------------------------------------------	-------------------------------------------------------------------------------------------------------

13. PROPOSED PROJECT Start Date: 7/1/09 Ending Date: 6/30/10	14. CONGRESSIONAL DISTRICTS OF: a. Applicant 28 b. Project 28
------------------------------------------------------------------------------	-------------------------------------------------------------------------------

15. ESTIMATED FUNDING:	16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?
a. Federal \$ 3,509,102. ⁰⁰ b. Applicant \$. ⁰⁰ c. State \$. ⁰⁰ d. Local \$. ⁰⁰ e. Other \$ 8,029,300. ⁰⁰ f. Program Income \$ 105,154. ⁰⁰ g. TOTAL \$ 11,643,556. ⁰⁰	a. Yes. <input type="checkbox"/> THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON DATE: b. No. <input checked="" type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372 <input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW
17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT? <input type="checkbox"/> Yes If "Yes" attach an explanation. <input checked="" type="checkbox"/> No	

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Prefix Mr.	First Name Robert	Middle Name J.
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 Other (specify)

10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER:
 -

TITLE (Name of Program):
Emergency Shelter Grant

11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT:
 Various Projects

12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.):
 City of Rochester, New York

13. PROPOSED PROJECT

Start Date: 7/1/09	Ending Date: 6/30/10
-----------------------	-------------------------

14. CONGRESSIONAL DISTRICTS OF:
 a. Applicant 28 b. Project 28

15. ESTIMATED FUNDING:

a. Federal	\$	423,909 ⁰⁰
b. Applicant	\$	⁰⁰
c. State	\$	⁰⁰
d. Local	\$	⁰⁰
e. Other	\$	423,909 ⁰⁰
f. Program Income	\$	⁰⁰
g. TOTAL	\$	847,818 ⁰⁰

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a. Authorized Representative

Prefix Mr.	First Name Robert	Middle Name J.
Last Name Duffy		Suffix
b. Title Mayor		c. Telephone Number (give area code) (585) 428-7045
d. Signature of Authorized Representative <i>Robert K. Duffy</i>		e. Date Signed 6/1/09

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9. NAME OF FEDERAL AGENCY: United States Department of Housing & Urban Development

10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: 14-241 TITLE (Name of Program): Housing Opportunities for Persons with AIDS	11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: Various Projects
------------------------------------------------------------------------------------------------------------------------------------------------	--------------------------------------------------------------------------

12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.): City of Rochester, New York, Monroe County

13. PROPOSED PROJECT Start Date: 7/1/09	Ending Date: 6/30/10	14. CONGRESSIONAL DISTRICTS OF: a. Applicant 28	b. Project 28
------------------------------------------------------	-------------------------	--------------------------------------------------------------	------------------

15. ESTIMATED FUNDING:	16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?
a. Federal \$ 658,519.00	a. Yes. <input type="checkbox"/> THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON
b. Applicant \$.00	DATE:
c. State \$.00	b. No. <input checked="" type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372
d. Local \$.00	<input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW
e. Other \$.00	17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?
f. Program Income \$.00	<input type="checkbox"/> Yes If "Yes" attach an explanation. <input checked="" type="checkbox"/> No
g. TOTAL \$ 658,519.00	

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FIFTH PROGRAM YEAR ACTION PLAN

The CPMP Fifth Annual Action Plan includes Narrative Responses to Action Plan questions that CDBG, HOME, HOPWA, and ESG grantees must respond to each year in order to comply with the Consolidated Planning Regulations.

Narrative Responses

GENERAL

EXECUTIVE SUMMARY

The Executive Summary is required. Include the objectives and outcomes identified in the plan and an evaluation of past performance.

Program Year 5 Action Plan Executive Summary:

The City of Rochester 2009-10 One-Year Action Plan contains the one-year plan to carry out housing and community development activities funded by Federal formula grant funds received in the 2009-10 program year from the U.S. Department of Housing and Urban Development (HUD). These funds are from the Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), Emergency Shelter Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA) programs.

A Housing Market Analysis was completed in July 2007. The objective of the analysis was to evaluate the City's current, future, and potential housing market and provide recommendations to guide the development of a new Housing Policy and Plan for the City of Rochester.

A new Housing Policy was approved by the Rochester City Council on March 18, 2008. The new Housing Policy will guide the allocation of federal and other resources available to the City of Rochester to address priority housing needs and specific objectives.

The goals and policy priorities adopted by the administration also guided the development of the plan.

Our Mission: We provide unparalleled customer service and sound business practices in delivering every aspect of City services. We also work to alleviate concentration of poverty in the city by reinforcing education, employment and home ownership.

Neighborhood and Business Development Key Result Area: We will provide high quality housing, an environment that encourages successful businesses of all sizes, and safe and attractive neighborhoods to retain our current customers and attract new ones. We will use a team-oriented approach with our residents, investors and community partners to solve problems, address quality of life concerns, support our businesses and enhance our neighborhoods.

Policy Imperative for Neighborhood and Business Development:

- Improve the "look and feel" of every city neighborhood through increased business activity, real estate development, neighborhood revitalization, housing production and rehabilitation.
- Achieve more pro-active, timelier resolution of our priority quality of life issues, using empowered, problem solving teams in quadrant Neighborhood Service Centers.

- Firmly establish the City's reputation as customer-friendly and open for business, by seeking compliance with codes/regulations using the most streamlined and cost-effective practices.
- Recruit businesses that increase our population, jobs, valuation and economic opportunities.

The following funded activities support our policy priorities:

- Provide more mortgage subsidies, grants, and loans to encourage home ownership and the growth of neighborhood businesses;
- Expand availability of programs that assist property investors, home buyers and tenants to maintain the value and condition of their properties;
- Focus City financial resources to leverage private investment in city real estate;
- Rehabilitate salvageable vacant homes and develop vacant lots, in partnership with businesses, universities and community development organizations, in ways that revive entire neighborhoods.

The amount of revenue expected to be available to fund plan activities is estimated to be \$15,636,688. Of this amount, \$14,228,570 represents new grants from the federal government; \$1,408,118 represents anticipated receipt of loan and interest repayments on prior year Urban Development Action Grant and other program income.

Attachment I provides an indication of the grant amounts and compares them with the 2008-09 program year. A detailed summary of program income is presented in Attachment II.

Interim financing, referred to as a "Float Loan", is a procedure where a community uses CDBG funds for eligible activities that are expected to generate program income in time to carry out other activities included in the plan. Use of this procedure is based on the premise that the later activities do not require funds immediately and that the community's letter of credit will therefore contain a balance (the "float") that can be used on a temporary basis. Not only must the activity being financed with the float comply with all applicable CDBG requirements, including citizen participation requirements, but the program income generated from the activity is also subject to CDBG regulations.

The City participates in HUD's Asset Control Area Partnership Program. This program involves the acquisition and rehabilitation of vacant property. The Rochester City Council amended the 2005-06 Annual Action Plan to authorize a \$2 million CDBG "Float Loan" for use by the Rochester Housing Development Corporation for ACAP Phase 3. Of this amount \$845,052.58 has been repaid. The balance will be repaid by October 2009. The 2007-08 Annual Action Plan was amended to provide a \$2,000,000 CDBG "Float Loan" for ACAP Phase 4. These funds will be repaid by August 2010. It should be noted that the repayments will be used to finance activities that have been described in previous action plans that were amended to provide the funds. If a "Float Loan" is not repaid, the City will amend or delete funded activities. The selection of activities will be based on current needs and priorities and a substantial amendment will be prepared in accordance with the Citizen Participation Plan.

The following table provides an indication of funding by program goal.

Table 1.1
Funding by Program Goal

Goal	AMOUNT	PERCENT OF TOTAL
Promote Economic Stability	\$1,782,064	11.4%
Improve the Housing Stock	\$11,576,485	74%
Respond to General Community Needs	\$1,306,039	8.4%
Other	\$972,100	6.2%
Total	\$15,636,688	100%

The following table provides a summary of the specific objectives and proposed outcomes contained in the 2009-10 Annual Action Plan.

Table 1.2
Summary of Needs and Outcomes

NEED	Proposed Outcomes					
	Businesses	Jobs	People	Households	Housing Units	Facilities/Imps
Economic Development	37	110	0	0	0	0
Owner Housing Rental Housing	0	0	2,310	385	917	0
Homeless/HIV/AIDS	0	0	11,490	0	0	0
Public Services	0	0	837	0	0	0
Public Facilities	0	0	TBD	0	0	0
Infrastructure	0	0	1,554	0	0	0
Total	37	110	16,191	385	917	0

A summary of housing and community development objectives is provided in another section of this document.

The Department of Neighborhood and Business Development (formerly Departments of Community Development, Economic Development Department, and Neighborhood Service Centers, now reorganized into one department) and HUD annually assess the program's performance to determine whether the City of Rochester is in compliance with statutes and whether it has the continuing capacity to implement and administer federally assisted programs.

In summary, the program accomplished the following during the 2007 program year:

- Assisted 78 businesses and created 198 jobs through economic development activities.
- Assisted 1,055 housing units.
- Assisted 123 homebuyers.
- Provided public services and youth programs to 21,424 people.
- Assisted 30 projects that provided homeless assistance to 56,823 people.
- HOPWA funds were used to provide assistance to 204 housing units.

The HUD Annual Community Assessment for the 2007 program year disclosed:

- The Consolidated Annual Performance and Evaluation Report revealed that activities were implemented during the program year consistent with the goals and objectives set forth in the 2005-09 Consolidated Plan.
- Program activities were adequately described and no eligibility issues were detected.
- Financial information provided by the City appears to be complete, generally accurate, and with a sufficient level of detail to document the overall financial condition of the funded programs.
- The most recently completed Single Audit Report did not identify any deficiencies impacting on the management of the program.
- The City has carried out its program substantially as described in its five-year Consolidated Plan and one-year Action Plan submissions.
- The Consolidated Plan submission as implemented complies with the requirements of the Housing and Community Development Act of 1974, as amended, and other applicable laws and regulations.
- The City has the continuing capacity to carry out the approved program in a timely manner.

GENERAL QUESTIONS

1. *Describe the geographic areas of the jurisdiction (including areas of low-income families and /or racial/minority concentration) in which assistance will be directed during the next year. Where appropriate, the jurisdiction should estimate the percentage of funds the jurisdiction plans to dedicate to target areas.*
2. *Describe the basis for allocating investments geographically within the jurisdiction (or within the EMSA for HOPWA) (91.215(a)(1)) during the next year and the rationale for assigning priorities.*
3. *Describe actions that will take place during the next year to address obstacles to meeting underserved needs.*
4. *Identify the federal, state, and local resources expected to be made available to address the needs identified in the plan. Federal resources should include Section 8 funds made available to the jurisdiction. Low-income Housing Tax Credits and competitive McKinney-Vento Homeless Assistance Act funds expected to be available to address priority needs and specific objectives identified in the strategic plan.*

Program Year 5 Action Plan General Questions Response:

Map 1 depicts census tracts-block groups where 51% or more of the residents have incomes that are equal to or less than the Section 8 low-income limit established by HUD. The City will use the updated Low/Mod Income Summary data for making official determinations of activity compliance with the low- and moderate-income area benefit national objective beginning on July 1, 2007.

Racial and ethnic concentrations are prevalent in the city of Rochester. A racial or ethnic concentration exists when the percentage of a particular racial or ethnic group in a certain area is 10 percentage points greater than it is for the city as a whole.

In Rochester, 37.4% of the population is identified as African American. In 31 of the 78 census tracts with more than 1,000 residents, the African American population is greater than 47.4%. (See Map 2)

The Hispanic/Latino population is 12/8% of Rochester's population. In 25 census tracts, individuals of Hispanic/Latino origin make up more than 22.8% of the population. (See Map 3)

The previous Community Development Block Grant and HOME spending patterns reflected a widely dispersed spatial allocation. In order to achieve a greater impact, the department defined smaller areas for public investment and focused our expenditures over a multi-year period. This Focused Investment Strategy (FIS) will produce greater neighborhood impacts.

The development of criteria for neighborhood selection is very important. The Housing Market Study contains an analysis of eight sets of data such as vacancy, assessed value and property crime to arrive at a measure of neighborhood health at the block group level. The eight indicators were combined to create six neighborhood classifications: exceptional, stable, transitional high, transitional low, depreciated, and distressed. The analysis will provide a benchmark to measure trends and the impact of investments and inform a decision making process regarding the targeting of investments and what types of activities are necessary to stabilize and improve neighborhoods.

In an effort to achieve greater impact, the City has implemented the Focused Investment Strategy Initiative. The goal is to focus expenditures for a visible difference in neighborhoods within three to five years. Four neighborhoods have been identified. The selection was based on criteria developed by a broad-based community advisory committee and representatives from each City department. The areas selected and the rationale for selection are included below:

Marketview Heights Area

- The FIS Area includes the High Priority Streets and the area bounded by the railroad tracks and Central Park to the north, Scio Street between Lyndhurst and the railroad to the west; E. Main Street to the south and Prince Street to the east.
- The High Priority Streets are Union Street from E. Main Street and north to the Public Market; Lyndhurst and Weld Streets between Union and Scio; E. Main Street between Union and Prince; Kenilworth Terrace between Union and Prince.
- The Impact Area is expected to include the area bounded by the Public Market and Central Park to the north and First Street to the east, Trinidad Street to the south; Scio Street to the west, and Main Street to the south.

Rationale: Union Street was chosen as a High Priority Street because of its unique gateway quality to the Public Market. The other High Priority Streets to the west and east of Union were selected for different reasons. The streets to the west of Union Street will require more spot acquisitions and rehabilitation, demolitions, and vacant lot development. The streets to the east of Union will require mostly rehabilitation assistance to strengthen its owner-occupancy quality. The intent is to support and complement the major planned investments in the Public Market, Corpus Christi School, and the Dental Dispensary sites.

Beechwood Area

- The FIS Area includes the High Priority Streets and extends north to Bay Street, Greeley to Melville to the east, Melville Street between Greeley and Webster, and Webster Avenue to Lamont Place on the west.
- The High Priority Streets are Webster Avenue between the park and Melville Street, and Rosewood Terrace between Webster Avenue and Greeley Street.

- The Impact Area includes the blocks bounded by Bay, Culver, Parsells and Webster/Ackerman. Challenged Streets – Rehabilitation grant dollars for residential properties on Webster Crescent, Diamond and Lamont Place.

Rationale: Webster Avenue was selected because it is a gateway to Webster Park and the Thomas P. Ryan Center. There has been recent significant investment on Webster Avenue. It is adjacent to the Challenged Streets area. Rosewood Terrace was selected because it defines the border between the transitional high streets to the north and the distressed streets to the south.

Dewey/Driving Park Area

- The FIS Area includes the High Priority Streets and the area bounded in the north by Selye Terrace between Dewey and Archer, to the east by Pierpont between Selye and Lexington, on the west by Archer and Oriole between Selye and Lexington, and to the south by Lexington between Oriole and Pierpont.
- The High Priority Streets include Dewey Avenue between Kislingbury and Lexington; Driving Park between Oriole and Pierpont.
- The Impact Area is expected to include Bryan Street to the north, Lakeview Terrace and Tacoma on the east, Glenwood to the south, and Lark on the west.

Rationale: The High Priority Streets were selected because of the recent investment in Price Rite, the high visibility and gateways, and the potential to attract services to benefit the surrounding residential uses. There is also a potential façade grant program for the commercial uses.

Jefferson Avenue Area

- The FIS Area will include the High Priority Streets and the blocks bounded by Troup Street to the north, Van Auker to the east, Adams Street in the south, and Jefferson Avenue to the west.
- The High Priority Streets are Jefferson Avenue between Tremont Street and W. Main Street; Clifton Street between Jefferson Avenue and Van Auker Street; and Troup between Prospect and Van Auker Street.
- The Impact Area is expected to include the blocks along W. Main Street to the north, Dr. Samuel McCree Way to the south, and east to Ford Street.
- Four-Part Planning Initiative Area – on Jefferson Avenue, for economic development.

Rationale: The high priority streets were selected because of the ongoing multi-million dollar investment in the Van Auker Apartments, the Jefferson Avenue visioning area for economic development, the recent development at Anthony Square, and the need for stabilization along the transitional streets.

Organizational Structure:

Advisory Committee: Includes representation from each City department. This committee provides an opportunity for feedback and assures coordination between all City departments. The group meets as needed, approximately once a quarter.

Policy Team: Responsible for providing overall direction, management and policy development recommendations for the FIS Teams and the administration. The team meets biweekly as

needed. The Policy Team includes the Team Leaders, Director of Planning, Director of Housing and Business Development, Manager of Housing, and Senior City Planner.

Team Leaders: The FIS team leaders are responsible for the day to day activities of FIS, meeting with community stakeholders and other City staff to develop and implement strategies for each area. The team leaders meet with the Policy Team biweekly as needed.

FIS Teams: Each team is led by the Team Leader and has representation from each of the City departments to ensure coordination. While every department is involved, Neighborhood Service Centers, Economic Development, and Community Development meet more frequently. In addition, community stakeholders are a part of each FIS Team.

FIS Implementation Activities To Date:

- Selection of Focused Investment Strategy Areas and Target Blocks.
- Advisory/Coordination Meeting to Announce the Start of the FIS.
- Selection of Team Leaders.
- Policy Team Meetings.
- Team Leader Meetings.
- Team Meetings including Community Stakeholders.
- FIS Property Condition Survey.
- Development of immediate strategies for all FIS Areas.
- Development of immediate strategies specific to each FIS Area.
- Engaged FIS Consultant to develop action plans for each area.
- Immediate strategies were developed for the overall FIS Initiative and each individual FIS area. Immediate strategies are initiatives that could be undertaken between January and June 2009.

FIS Immediate Strategies for all FIS Areas:

Residential Property Renovation and Reinvestment:

- Development and implementation of a property improvement program for owner occupants.
- Development and implementation of a property improvement program for investor owners.
- Development of a repair and improvement program for seniors that promotes aging in place.

Commercial Property Reinvestment

- Development and implementation of a program for commercial building façade improvements.
- Outreach and support to local and potential new business owners.

Home Ownership:

- Identification of opportunities for acquisition/rehabilitation of vacant and underutilized properties for home buyers, including identification of blocks and/or developments suitable for middle-income, market-rate or mixed-income developments.
- Development of a program for middle/upper-income property owners that encourages investment and owner occupancy including identification of blocks and/or developments suitable for middle-income, market-rate or mixed-income developments.
- Outreach to home owners to help prevent foreclosure.

Public Safety and Blight Removal:

- Identification of properties suitable for demolition and development of strategies to

demolish each property, and identification of blocks and/or development sites resulting from demolition program that would be suitable for middle-income, market-rate or mixed-income developments.

- Identification of problem properties and development of strategies to address each property.
- Develop means to acquire properties for reinvestment opportunities.

Planning:

- Secure designation from HUD as Neighborhood Stabilization Areas.
- Engage FIS consultant.
- Begin the creation of individual FIS Area Implementation Plans to be implemented beginning in July 2009.

Public Improvements:

- Coordinate with projects that are planned or underway in each FIS area (i.e., street or sidewalk improvement projects, greening strategies for vacant lots).
- Work with Police and NSC to address public safety issues.

Over the next year, five year plans for each FIS area will be developed, adopted, and implemented. A performance measurement tool to help determine the impact of the focused effort will also be established.

It is important to remember that the FIS stabilization and improvement effort is only one part of our overall community development program and we will continue our work on activities in other city neighborhoods. These types of activities would, of course, vary depending on neighborhood type. Such work includes: community planning, demolition, vacant land management, acquisition and rehabilitation for housing, homebuyer activities, housing rehabilitation, rental housing development, foreclosure prevention, landlord services, special needs housing, lead hazard abatement, land banking, and economic development.

In addition, HUD guidelines for allocating other funds geographically are:

- Planning projects are citywide.
- Economic development projects are citywide and assist businesses that create jobs for low- and moderate-income persons or provide needed goods and services to residents of low- and moderate-income residential areas.
- Housing projects are citywide and assist units occupied by low- and moderate-income families.
- Neighborhood improvement projects are citywide and are targeted to neighborhoods that are primarily residential and at least 51% of the residents are low and moderate income.
- Youth service and homeless projects are limited to a specific group of people, at least 51% of whom are low and moderate income.

The City has been named by HUD as a Renewal Community through December 31, 2009, to help residents gain employment. Map 4 illustrates the Renewal Community and the Empire Zone.

Renewal Community Tax Incentives include:

- Renewal Community Employment Credit (RC Wage Credit): Credit up to \$1,500 against Federal taxes for businesses located in the RC during each year of RC designation for all existing employees and every new hire living in the RC that performs substantially all of their work in the RC.

- Work Opportunity Tax Credit (WOTC): Credit up to \$2,400 against Federal taxes for each new hire from groups with high unemployment rates or other special employment needs, including youth, ages 18-24 and summer hires, 16-17, who live in an RC.
- Indian Employment Credit: Credit against Federal taxes is calculated on wages of up to \$20,000 for each qualified employee.
- Increased Section 179 Deduction: Allows businesses that qualify as a Renewal Community Business to claim an increased Section 179 Deduction on property like equipment and machinery.
- Commercial Revitalization Deduction: Deduction of either one-half of Qualified Revitalization Expenditures (QREs) in the first year that a building is placed in service or all QREs on a prorated basis over 10 years if allocated to revitalizing a commercial building located in a Renewal Community.
- Environmental Cleanup Cost Deduction (Brownfields): Businesses can elect to deduct qualified cleanup costs of hazardous substances in certain areas (Brownfields) in the tax year that the cost is paid or incurred.
- Depreciation of Property Used on Indian Reservations: Special accelerated depreciation rules for qualified property in service on an Indian reservation after 1993.
- Qualified Zone Academy Bonds (QZABs): Allows state or local governments to issue bonds costing them zero percent interest for public school programs with private business partnerships. Private businesses must contribute money, equipment, or services equal to 10% of bond proceeds (which may qualify as a charitable contribution). The Federal Government pays interest in tax credits to banks, insurance companies, and certain lending corporations holding QZABs.
- Zero Percent Capital Gains Rate: Entities that acquire RC assets between January 1, 2002, and December 31, 2009, and hold them for at least five years, will not have to include any qualified capital gain from the sale or exchange of that asset in its gross income.
- Low-Income Housing Tax Credit (LIHTC): A ten-year credit against Federal taxes for owners of newly constructed or renovated housing renting a specified percentage of units to low-income persons for at least 15 years.

Empire Zone Tax Incentives Include:

- Wage Tax Credit (WTC) - This is a NYS income tax credit that:
 1. Lasts for up to five consecutive years;
 2. Can be used for hiring full-time employees in newly created jobs;
 3. Provides up to \$3,000 per year per new job filled by a targeted employee;
 4. Provides up to \$1,500 for other new hires;
 5. Increases the credit by \$4,500 for employees with wages over \$40,000.
- Investment Tax Credit (ITC) - This is a NYS income tax credit that is:
 1. Aimed at EZ manufacturing, R&D and investment firms that make "qualified investments" in the zone;
 2. Provides 10% for business corporations (C-Corps);
 3. Provides 8% for individuals, sole proprietors, partnerships or S-Corps; and
 4. Allows firms new to N.Y. S. 50% cash refunds for unused WTC and ITC amounts.
- Employment Incentive Credit (EIC) - An additional 3% income tax credit (EIC) is allowed for each of the three years following the taxable years immediately following the taxable year that the ITC was allowed, provided certain employment requirements are met.
- 485-e Property Tax Abatement

1. Provides for a reduction in the taxable assessment based upon physical renovation or new construction within the zone;
 2. Spread over a ten-year schedule;
 3. 100% exempt in the first seven years; and
 4. 25% per year thereafter
- Sales Tax Refund - A sales tax refund of 8% on purchases of building materials used in commercial and industrial improvement projects within the zone.
 - Zone Capital Credit - This is a NYS income tax credit that is:
 1. Available for qualified pre-approved investments in Zone Capital Corp.; or
 2. Available as a direct equity investment in a certified EZ business; or
 3. For use as a contribution to a pre-approved community development project within the zone; and
 4. Equal to 25% of the eligible investment or contribution.

Qualified Empire Zone Enterprises (QEZE)

Employment requirements must be met annually to qualify for the following QEZE benefits.

- Real Property Tax Credit - Refundable NYS income tax credits for firms certified before April 1, 2005, that are:
 1. Based on the number of new jobs created/real property taxes paid in the zone;
 2. Available for a 14-year period; and
 3. Decreased by 20% each year after 10 years.

The benefit period for those certified on/or after April 1, 2005, is reduced to 10 years, and is the greater of:

1. 25% of total wages and benefits paid to net new employees, up to \$10,000 per new job; or
 2. The capital investment amount (not to exceed real property taxes paid).
- Tax Reduction Credit - This NYS income tax credit for companies certified prior to April 1, 2005, is:
 1. Computed with a formula based on the number of new jobs created in the zone, the company's assets within the zone, and the state and income taxes owed;
 2. Available for a 14-year period;
 3. Reduced by 20% annually after 10 years; and
 4. If certified on/or after April 1, 2005, the benefit period is reduced to 10 years.
 - Sales Tax Exemption - This 4% NYS sales and use tax exemption is for:
 1. Tangible personal property/services sold to qualified EZ business; and
 2. Property and services used predominantly in the EZ.

The Department of Neighborhood and Business Development annually reviews the impact of its programs on specific sectors of the city and determines if there is a need to shift resources to reach underserved sectors.

The Department of Neighborhood and Business Development will also:

- Continue working on revitalization of the Midtown property;
- Seek opportunities to revitalize the Sibley property;

- Encourage the development of 400 East Main Street in the Cultural District for mixed-use residential;
- Assist in the redevelopment of the South-Capron Avenue; and
- Support the continued renovation of underutilized Center City commercial buildings for mixed-use market rate residential uses
- Focus on retention/expansion of significant industrial companies such as Delphi Corporation and Hickey Freeman;
- Continue to assist small to medium size industrial companies involved in expansion projects; and
- Continue its pre-development activities on the former Phototech site for future development of a new 12 acre industrial park.

Construction of Eastman Savings and Loan Federal Credit Union (ESL) corporate headquarters is underway in the Center City District. The \$50 million project involves the construction of a new midrise building and parking garage. The project will result in 300 new permanent jobs in the city and will be completed in 2010.

The City has completed the environmental review required for the Midtown Plaza Revitalization Project. The City acquired the property in 2008 and will commence environmental remediation and demolition of the 8.6 acre site in 2009. PAETEC Holdings Corp. is planning to construct a new headquarters and operations center at a portion of the site. Currently located in Fairport, NY, the project would result in approximately 1,000 new jobs being created in the Center City District.

The CDBG and CDF allocation for the Focused Investment Strategy is \$2,188,001. The total dedicated to target areas is 20% of the grant plus program and miscellaneous income.

It is important to note that the funding priorities and decisions are based on the needs and strategies contained in the Neighbors Building Neighborhoods Strategic Action Plans, Impact Rochester, and the Housing Policy. It should be noted that our community planning process is being reviewed and any changes will be made during 2009-10. There were also consultations with community groups, nonprofit groups, and City department staff. In addition, a Consolidated Community Development Plan prepared in 2005 contains a community profile, housing market analysis, and housing needs assessment.

The funding priorities will also adhere to the following guidelines:

- At least 70% of Community Development Block Grant expenditures will be for activities that benefit low- and moderate-income families.
- The amount of funds proposed for public service activities will not exceed 15% of the annual Community Development Block Grant, including program income.
- The amount of funds proposed for planning and administration activities will not exceed 20% of the annual Community Development Block Grant, including program income.

A primary obstacle to meeting underserved needs is the ability of developers, businesses, and property owners to secure the necessary financing to undertake and complete projects. It is typically the role of the public sector to fill the gaps of these financing needs. However, on occasion, these gaps exceed the assistance capabilities of the City. Another obstacle is the ability to secure inexpensive and convenient parking options for Center city businesses and projects.

Crime has been a major obstacle in respect to the revitalization of commercial strips. One program that has been adopted to help diminish crime is the Security Lighting Grant Program.

The City annually reviews the impact of assisted projects and determines if there is a need to shift resources to reach underserved sectors.

The Housing Needs Assessment contained in the 2005 Consolidated Community Development Plan documents a large percentage of households that experienced one or more housing problems in 2000, including housing cost burden, overcrowding, and inadequate housing. A significant amount of the City's housing funds are directed towards addressing underserved needs.

There are many underserved groups such as the homeless. The Community's Homeless Continuum of Care Plan describes a system that ranges from emergency housing and supportive services to permanent housing with homeless prevention and support services that homeless individuals and families need to achieve independent living.

The United States Department of Housing and Urban Development annually awards federal funds to the City of Rochester for housing and community development activities. These funds are from the Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), Emergency Shelter Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA) programs. The City utilizes Urban Development Action Grant loan and interest payments for the City Development Fund.

Community Development Block Grant

Community Development Block Grant funds may be used to carry out a wide range of community and economic development activities specifically authorized by the Housing and Community Development Act. All assisted projects, with the exception of planning and administration, must address one of the three national objectives of the program: benefit low- and moderate-income persons; prevent or eliminate slums and blight; or when no other financial resources are available, meet other community development needs that are particularly urgent because existing conditions pose a serious and immediate threat to the health and welfare of the community.

Activities that can be carried out with CDBG funds include but are not limited to acquisition of real property, relocation, demolition, rehabilitation of residential and non-residential structures, and the construction of public facilities and improvements such as water and sewer facilities, streets, and neighborhood centers. In addition, CDBG funds may be used to pay for public services within certain limits and for activities relating to energy conservation and renewable resources. Communities may also provide assistance to businesses to carry out economic development activities.

The types of activities that are generally considered ineligible include the construction or rehabilitation of buildings for the general conduct of government, political activities, and certain income payments.

HOME Program

The City of Rochester was designated as an eligible jurisdiction for participation in the HOME Program in 1992. HOME funds may be used for a variety of housing activities according to local housing needs. Eligible uses of funds include developing rental housing, housing rehabilitation, assistance to first-time home buyers, and new construction. HOME funding may also be used for site acquisition, site

improvements, demolition, and relocation. Funds may not be used for certain activities, including public housing modernization or operating subsidies for rental housing.

Participating jurisdictions must match their HOME funds and must also set aside 15% of their allocations for housing owned, developed, or sponsored by community housing development organizations. Rochester qualifies for a 100% reduction in the required local match amount for 2008-09 as determined by HUD, since it meets the requisite distress criteria.

The American Dream Downpayment Initiative (ADDI) was authorized by Congress in 2003 and provides resources specifically intended to assist low-income (\leq 80% MFI) families who are first-time homebuyers in purchasing single-family housing that will serve as the family's principal residence. The City of Rochester will primarily utilize ADDI in financing existing home purchase assistance programs.

Emergency Shelter Grant

The Federal Homeless Assistance Act provides grants for safe, sanitary shelter, supportive services and other assistance to homeless people and families. Eligible activities include renovation, major rehabilitation, or conversion of buildings for use as emergency shelters for the homeless. Within certain limits, grantees may spend funds on essential social services for the homeless and for homeless prevention efforts. Funds may also be spend on operating costs such as maintenance, insurance, utilities, and furnishings as well as limited staff payroll expenses.

Housing Opportunities for Persons with AIDS

Funds for the Housing Opportunities for Persons with AIDS are provided by formula to certain states and localities based on population and other considerations. Housing assistance and services under the program are limited to low-income persons with AIDS or related diseases and their families, but housing information services are available to persons with AIDS and their families without regard to income. Funds may be used for all types of housing designed to prevent homelessness for persons with AIDS and their families, including emergency housing, shared housing arrangements, apartments, single room occupancy dwellings and community residences. Appropriate supportive services must be provided in connection with HOPWA housing assistance.

City Development Fund

The City Development Fund was established in 1986. The objective of the fund is to provide for development incentives that leverage private investment in the City's housing stock, enhance the tax base, and retain and create jobs. The Development Fund, which operates primarily as a revolving loan fund, ensures that scarce resources are recycled to the maximum extent possible and that neighborhood and business initiatives are continued in the future.

Capitalization of the fund is provided chiefly by loan and interest repayments received from Urban Development Action Grant loan recipients. Use of these repayments primarily for new loans will not only leverage private funding, but will generate further payments to fund new loans. Thus, the loan fund has cumulatively increasing effects.

The Rochester Housing Authority administers a Section 8 Rental Assistance Program which provides payments to owners of private market rate units or directly to tenants (vouchers). The Low-Income

Housing Tax Credit Program provides federal tax credits to individuals and corporations that invest in low-income housing. Tax credits are sold to people with high tax liability and proceeds are used to create housing. Tax credit allocations are awarded through New York State on a competitive basis.

The McKinney-Vento Homeless Assistance Act consists of several programs providing a range of services to homeless people, including the Continuum of Care Programs: the Supportive Housing Program, the Shelter Plus Care Program, and the Single Room Occupancy Program, as well as the Emergency Shelter Grant Program. The Rochester/Irondequoit/Greece/Monroe County Continuum of Care received grants in the amount of \$8,859,080 in 2008 for permanent and transitional housing and services to homeless persons. The Housing and Community Development Objectives section of this document identifies additional resources to address the needs identified in the plan.

The City of Rochester will receive an additional \$2,585,159 in CDBG funds under the American Recovery and Reinvestment Act of 2009. The 2008-09 Annual Action Plan was amended to reflect the use of these funds. It is proposed that these funds be used for the following:

EDD Financial Assistance Loans & Grants	\$2,585,159
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Funding in the amount of \$3,954,235 will also be available under the Homeless Prevention Fund. The fund will provide financial assistance and services to prevent individuals and families from becoming homeless and help those who are experiencing homelessness to be quickly rehoused and stabilized. The use of these funds are currently being developed.

Managing the Process

1. *Identify the lead agency, entity, and agencies responsible for administering programs covered by the consolidated plan.*
2. *Identify the significant aspects of the process by which the plan was developed, and the agencies, group and organizations, and others who participated in the process.*
3. *Describe actions that will take place during the next year to enhance coordination between public and private housing, health, and social service agencies.*

Program Year 5 Action Plan Managing the Process Response:

The Department of Neighborhood and Business Development (NBD) is the lead agency responsible for preparing and overseeing the Consolidated Community Development Plan and Annual Action Plan. The Department ensures that there is broad public participation in the development of the Plan and that ideas are obtained from a wide range of government agencies, service providers, and sector planning committees. NBD, the Department of Recreation and Youth Services, and the Department of Environmental Services will administer assisted projects.

The City consults with a number of different entities to discuss economic development for specific projects and programs on a regular basis. For example, the Main Street Program was developed based on discussions with impacted property owners, businesses, and advocacy organizations. The Commercial Building Renovation Program was developed after a specific assistance need was identified by owners of underutilized commercial buildings.

Staff work with areas utilizing a four step approach of visioning, economic analysis, design, and development of a final action plan. In addition, staff will continue to survey our business constituents

through our visitation program as well as monthly Presidents Council meetings as to what we can do to meet small business needs in the city. Staff resources will be focused according to quadrants so that they will become more familiar with the needs of a given area to better serve our customers.

There are regular consultations with other economic development entities such as the County of Monroe, Greater Rochester Enterprise, Empire State Development, and the U.S. Small Business Administration regarding economic development issues.

The City of Rochester values its partners and recognizes the vital contributions of State agencies, nonprofit organizations, businesses, financial institutions, and other organizations that help carry out numerous housing and community development programs.

It is intended and expected that the City and housing providers in Rochester will continue their existing practices of coordination and networking with health, mental health, and human service agencies.

The City will continue to work with the HOME program community housing development organizations (CHDOs). It will coordinate housing and community activities with the Continuum of Care efforts. It will coordinate various physical improvement and housing efforts.

City staff will continue to work closely with neighborhood associations to develop and implement economic development programs.

The City will continue to advise agencies on which programs are available. The City will continue to refer agencies to experienced developers in the community.

Citizen Participation

1. *Provide a summary of the citizen participation process.*
2. *Provide a summary of citizen comments or views on the plan.*
3. *Provide a summary of efforts made to broaden public participation in the development of the consolidated plan, including outreach to minorities and non-English speaking persons, as well as persons with disabilities.*
4. *Provide a written explanation of comments not accepted and the reasons why these comments were not accepted.*

Program Year 5 Action Plan Citizen Participation Response

The City of Rochester prepared a detailed Citizen Participation Plan. The City encourages residents to participate in the planning and implementation of the Consolidated Community Development Plan. Public meetings are held at times convenient for citizens and at a location that is accessible to the disabled. In addition, the City provides opportunities for citizens to submit written comments, proposals, and recommendations. It also publishes and distributes material in both English and Spanish.

A planning meeting was held on March 11, 2009 to solicit input and provide information regarding the Consolidated Community Development Plan.

A Draft Consolidated Community Development Plan was prepared and published. The plan was available for 30 days for comment. It was mailed to public libraries and published in a newspaper of

general circulation. Copies were available in the Department of Community Development and the Office of Communications in City Hall, which is accessible to the disabled. The Draft Plan was submitted to City Council for its consideration.

The City Council held a public hearing on the Draft Plan. At this hearing, the public was invited to comment on all phases of the plan, including housing and community development needs, proposed activities, and program performance. One comment was received and is Attachment VIII. The Plan was approved by Council on May 12, 2009 and amended on May 28, 2009.

Institutional Structure

1. *Describe actions that will take place during the next year to develop institutional structure.*

Program Year 5 Action Plan Institutional Structure Response:

The City is responsible for implementation of economic strategies and activities. The City works closely with individual businesses, banks, business associations, the Rochester Business Alliance, and job training and referral agencies.

The responsibility of the management of the ESG and HOPWA Programs is transferred from the Department of Recreation and Youth Services to the Department of Neighborhood and Business Development. The transfer of this function will improve compliance and increase coordination between assisted homeless and housing programs. It will provide for a uniform administrative process for all federally assisted programs.

Monitoring

1. *Describe actions that will take place during the next year to monitor its housing and community development projects and ensure long-term compliance with program requirements and comprehensive planning requirements.*

Program Year 5 Action Plan Monitoring Response:

The City of Rochester continually monitors the performance of housing and community development activities to assure that they are carried out in accordance with applicable laws and regulations and to ensure that performance goals are achieved. The following is a description of standards and procedures that the City will use to ensure compliance.

The City of Rochester converted to the Integrated Disbursement and Information System in 1996. The system enables the City to review a program's progress and monitor performance on an ongoing basis.

A written Performance and Evaluation Report will be prepared annually. The report will contain a summary of resources and programmatic accomplishments, the status of actions taken to implement the strategy contained in the Consolidated Community Development Program, and evaluation of progress made during the year in addressing identified priority needs and objectives.

The standards and procedures used to monitor economic development projects to ensure long term compliance with the program requirements include annual job verification reports and certifications to be submitted by the program recipients. We will continue to review our project monitoring procedures

and policies with our accounting and legal departments to ensure that we maintain tight fiscal controls. The department will employ standards and procedures such as maintaining current program guidelines and utilizing appropriate underwriting analysis and documentation. Also continued will be an active process of post-closing administration, which involves monitoring employment information. Staff monitors projects to ensure that projects are completed and program objectives are met.

Section 85.40(a) of the Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments requires the City to monitor the day-to-day operations of subrecipient activities to assure compliance with applicable federal requirements and ensure that performance goals are being achieved.

The goal of subrecipient monitoring is to identify any problems and to recommend corrections in order to reinforce and improve the subrecipient performance. The City approaches monitoring program activities proposed in the Community Development Program as an ongoing process involving continuous subrecipient communication and evaluation. The process involves frequent telephone contacts, written communications, analysis of reports and evaluations, periodic meetings, and on-site visits.

The City will monitor each subrecipient receiving funds. Risk analysis is an important concept in determining the frequency and intensity of monitoring. Subrecipients that manage complex programs, handle program income, lack capacity, or have experienced recent problems such as incomplete performance reports are monitored more frequently.

In-house reviews of subrecipients are conducted on a monthly basis. The in-house review consists of a review of the subrecipient's monthly performance report and the supporting documentation submitted with the request for payment. The review of the monthly report includes a comparison of actual accomplishments to the objectives contained in the subrecipient agreement. Subrecipients are informed of any problems or concerns and asked to submit corrective action plans.

The City conducts on-site visits of each subrecipient on a quarterly basis. The subrecipient is given adequate notice in advance of the monitoring visit. To prepare for the on-site visit, the City will perform administrative monitoring by reviewing documents such as the subrecipient agreement, quarterly performance reports, evaluations, and correspondence to and from the subrecipient. The purpose of the review is to identify potential problems, program status, and to provide recommendations to correct any problem areas.

The City's monitor will meet with appropriate subrecipient officials and explain the purpose of the monitoring visit. All appropriate material generated by the subrecipient which provides more detailed information on program and budget performance and status are reviewed. The monitor completes a written evaluation of the monitoring session and retains same in the subrecipient/project file.

After the on-site visit, the subrecipient is informed by letter or a conference session of the results of the monitoring, including any problems or concerns and a schedule of any corrective action required.

The Bureau of Accounting is responsible for the financial monitoring of each activity and/or project, including a review of the subrecipient's financial records and handling of program income.

All grant applications that require a Certification of Consistency with the Consolidated Community Development Plan will be reviewed. An annual report on all activities certified by the City as being in accordance with the plan is prepared.

The City reserves the right, on an as needed basis, to request updates on Consolidated Plan certified activities and/or conduct site visits to ensure consistency with the original proposed activities and long-term compliance.

The City will monitor housing-related activities that are discussed in the plan. This monitoring will be limited to requests for information on a yearly and as needed basis.

We will continue to examine the best practices of other communities nationally to bring innovative programs to our community.

The City will also continue with the Outcome Performance Measurement System developed by the U.S. Department of Housing and Urban Development. The system includes objectives, outcome measures and indicators that describe outputs. The objectives are Creating Suitable Living Environments, Providing Decent Affordable Housing, and Creating Economic Opportunities.

The outcome categories are Accessibility/Availability, Affordability, and Sustainability. There is a standardized list of output indicators to report on as appropriate for the chosen objectives and outcomes.

The objectives and indicators provided reflect the rationale for funding the activity. The indicators will describe, in numerical terms, any particular benefit that the activity produced. The system is designed to enable grantees to inform the public of the many outcomes of assisted programs. The goal is to focus on more outcome-oriented information and be able to report the results.

The system will be an important tool to report to citizens the many benefits provided by assisted activities.

The following table contains a summary of outcome indicators by activity.

Table 1.3
Outcome Performance Management System
Specific Outcome Indicators

ACTIVITY	OUTCOME INDICATORS
Public Facility or Infrastructure	Number of Persons Assisted
Public Services	Number of Persons Assisted
Commercial Façade Treatment/Business Building Rehabilitation	Number of Commercial Façade Treatments/Business Building Rehabilitations
Brownfields Remediation	Number of Acres of Brownfields Remediated
Rental Units Constructed	Number of Units
Rental Units Rehabilitated	Number of Units
Home Ownership Units Constructed, Acquired and/or Acquired with Rehabilitation	Number of Units
Owner Occupied Units Rehabilitated or Improved	Number of Units
Direct Financial Assistance to Homebuyers	Number of First Time Homebuyers
Homeless Persons	Number of Homeless Persons Given Overnight Shelter Number of Beds Created in Overnight Shelter or Other Emergency Housing
Homeless Prevention	Number of Persons Receiving Assistance
Jobs Created/Retained	Total Number of Jobs
Businesses Assisted	Total Businesses Assisted

The Consolidated Plan will also participate in the Rochester by the Numbers Program, which is a City program designed to develop performance goals and measures, and focus on customer service and efficiency of processes.

Lead-Based Paint

1. *Describe the actions that will take place during the next year to evaluate and reduce the number of housing units containing lead-based paint hazards in order to increase the inventory of lead-based paint hazards in order to increase the inventory of lead-safe housing available to extremely low-income, low-income, and moderate-income families, and how the plan for the reduction of lead-based paint is related to the extent of lead poisoning and hazards.*

Program Year 5 Action Plan Lead-Based Paint Response:

Based on data compiled by the Monroe County Department of Public Health, 400 children (5.6% of those tested) in the city of Rochester under the age of 6 had elevated blood lead levels (equal to or above 10ug/dl) between July 2006 and June 2007. This represents a 43% reduction in child lead-poisoning since 2002 when 932 lead-poisoned children were reported (equal to or above 10ug/dl). While these statistics are encouraging, the battle is not over. Hundreds of children still remain at risk.

As reported in prior years, the majority of lead-poisoned children reside in the following inner-city neighborhoods where some of the city's oldest housing stock is located: 14621 South; Edgerton; POD; CHAC; BEST; 19th Ward; Marketview Heights (north); Maplewood (east); Beechwood; 14621 (north); and Genesee/Jefferson/Plymouth-Exchange. It is within these high risk neighborhoods where the City will continue concentrating its lead hazard control efforts.

The City has closed out its three HUD funding awards received 2003-2004, producing a grant total of 635 units of lead-safe housing. By December 2011, the City will produce an additional 560 units of lead-safe housing utilizing the resources listed below. It is projected that 210 of these units will be produced during the 2009-10 program year.

- The City is currently operating its program with funding received in 2007 from HUD for \$1.6 million. To date, this program is fully enrolled and is expected to be closed out by December 2009. An estimated 100 units of lead-safe housing will be produced through this program.
- The City continues to operate the Lead-Safe Homes Program with funding from Greater Rochester Health Foundation for \$1.5 million. These funds are being used to control lead hazards in eligible housing located in a target neighborhood in the 14621 community. This program is expected to be closed out by December 2010. An estimated 170 units of lead-safe housing will be produced through this program.
- In spring 2009, the City will begin spending a funding award from New York State for \$1.5 million in combination with the City's 2008 funding award from HUD for \$4 million. This program is expected to be closed out by December 2011. An estimated 250 units of lead-safe housing will be produced through this program.
- The City was recently awarded \$500,000 from the New York State Affordable Housing Corporation. This program is expected to be closed out by December 2011. An estimated 40 units of lead-safe housing will be produced through this program.

The Consolidated Community Development Plan documents several actions to evaluate and reduce lead-based paint hazards and describes how lead-based paint hazards will be integrated into housing policies and programs. During the 2009-10 program year, the City will undertake the following activities:

- Continue to provide funding through the City's Lead Hazard Control Grant Program to owner occupants and investor owners to control lead based paint hazards in a projected 210 housing units;
- Provide lead hazard control resources to neighborhoods targeted for the City's Focused Investment Strategy Initiative.
- Partner with the Greater Rochester Health Foundation and Action for a Better Community to control lead hazards in housing located in a target area of the 14621 neighborhood;
- Educate property owners about the dangers of childhood lead poisoning and build community awareness of resources available for lead hazard control;
- Partner with The Housing Council to provide application intake services for landlords that apply to the City program;
- Contract with Environmental Education Associates to offer free lead-safe work practices training to property owners and free contractor training to receive EPA certification;
- Apply to HUD for lead hazard control funding; and
- Seek "non-traditional" funding for lead hazard control.

HOUSING/COMMUNITY DEVELOPMENT OBJECTIVES

Specific Housing Objectives—Also refer to the Housing Needs and Community Development Tables in the Appendix.

1. *Describe the priorities and specific objectives the jurisdiction hopes to achieve during the next year.*
2. *Describe how Federal, State, and local public and private sector resources that are reasonable expected to be available will be used to address identified needs for the period covered by this action plan.*
3. *Identify the jurisdiction's priority non-housing community development needs eligible for assistance by CDBG eligibility category specified in the Community Development Needs Table, public facilities, public improvement, public services, and economic development.*
4. *Identify specific long-term and short-term community development objectives (including economic development activities that create jobs), developed in accordance with the statutory goals described in Section 24 CFR 91.1 and the primary objective of the CDBG program to provide decent housing and a suitable living environment and expand economic opportunities, principally for low- and moderate-income persons.*

**Note: Each specific objective developed to address a priority need must be identified by number and contain proposed accomplishments, the time period (i.e., one, two three or more years), and annual program year numeric goals the jurisdiction hopes to achieve in quantitative terms, or in other measurable terms as identified and defined by the jurisdiction.*

Needs of Public Housing

1. *Describe the manner in which the plan of jurisdiction will help address the needs of public housing and activities it will undertake during the next year to encourage public housing residents to become more involved in management and participate in home ownership.*
2. *If the public housing agency is designated as "troubled" by HUD or otherwise is performing poorly, the jurisdiction shall describe the manner in which it will provide financial or other assistance in improving its operations to remove such designation during the next year.*

Program Year 5 Action Plan Housing/Community Development Response:

The City of Rochester prepared a Consolidated Community Development Plan in 2005. The Strategic Plan section lists the community's goals, objectives, and strategies to address priority needs. The plan contained an analysis of housing needs using specially tabulated 2000 census data provided by the U.S. Department of Housing and Urban Development. The City also identified priority needs in accordance with several needs tables prescribed by HUD. These tables are contained in Appendix A.

The strategies and objectives are designed to meet the three Federal goals for the Consolidated Plan. Regulations state that the Consolidated Plan's activities should meet one of the following three goals:

- Provide decent housing
- Provide a suitable living environment
- Expand economic opportunity

The City has developed three key goal areas to guide the program. These are identified as:

- Promote Economic Stability
- Improve the Housing Stock and General Property Conditions
- Respond to General Community Needs

A summary of the specific annual goals and initiatives is shown on Attachment III. The attachment is organized so the community can see how some of the funded initiatives fit in to the overall goals and strategies. Attachment IV provides a summary of specific annual objectives along with performance indicators for the 2005, 2006, 2007, 2008, and 2009 Annual Action Plans.

Goal: Promote Economic Stability

The City's economic development efforts concentrate on commercial and industrial development through a series of strategies and initiatives designed to encourage investment, and create and retain jobs while strengthening city neighborhoods by providing vital neighborhood services. The primary goals will be to continue to provide economic development programs and services designed to assist projects which will result in new investment, new job creation, and/or job retention.

We will continue REVIVE, which is a four step process for neighborhood commercial development. The process consists of the following:

- Visioning Session - Neighborhood stakeholders, residents, business owners, property owners, not-for-profit community agencies, churches, police, NET, schools, etc. are invited to a session to "vision" the future of the neighborhood. After some general instructions, the large group is broken into smaller groups, each with a facilitator. Their job is to identify strengths, weaknesses, opportunities and threats. After this group meets, the larger group meets and each group reviews their concepts. The information is collected for comparison and to compile data to be shared with the stakeholders.
- Economic Analysis - Using demographics as well as consumer spending characteristics for the targeted area, City staff and the "neighborhood" see if specific economic relationships exist between the neighborhood visions and neighborhood economics. This process helps to identify which goals are both realistic and supported by financial data.
- Design Charette - This phase allows stakeholders to determine the appropriate look for their neighborhood. Small groups will use GIS maps to actually draw in the amenities they desire- new street lights, curb cuts, parking lots, benches, trees, etc. Design guidelines are established for this targeted area as well as a unique look.
- Action Plan - The information collected in the prior steps is brought together to create a logical action plan with a timeline and budget. The plan is truly holistic. To be successful, all stakeholders must take a role in creating the new vision. Money alone cannot create the sustainable change desired by all the stakeholders.

The high priority needs are Economic Development Direct Financial Assistance to For-Profits and Economic Development Technical Assistance.

Goal: Improve the Housing Stock and General Property Conditions

The City completed the Housing Market Study and developed a new Housing Policy. The Housing Policy is the culmination of a process that began with the commissioning of the Rochester Housing Market Study in September 2006. The policy is based on the July 2007 recommendations of the study,

comments received through an intensive public and stakeholder involvement process, and the recommendations of the City Planning Commission.

The policy is intended to guide the City in the development of specific plans with the overall goals of improving city neighborhoods, stabilizing and enhancing the tax base, creating healthy real estate markets, and providing a broad array of housing options that address the needs of diverse households. Following is our new policy:

HOUSING POLICY

In 2006, the City of Rochester commissioned the Housing Market Study to be completed by Interface Studios of Philadelphia, PA. The study's analysis was released in March 2007 and its recommendations were made in July 2007. The policy is based on the housing recommendations, comments received through an intensive public and stakeholder involvement process and the recommendations of the City Planning Commission. The Housing Policy was drafted cooperatively by the Department of Community Development and the Rochester City Council.

On March 18, 2008, the Rochester City Council approved the new policy through Ordinance 2008-91 replacing the previous policy approved in 1993. With the adoption of the new policy, the City's Comprehensive Plan was amended to incorporate the new policy. The new Housing Policy will guide all City housing development and activities. It also is the foundation of the City's detailed housing plan that will be developed during the next fiscal year. The new policy follows below in its entirety:

1. Promote rehabilitation, redevelopment, and new construction of housing through:
 - A. Maintenance, rehabilitation and/or historic preservation to enhance the well-built and diverse housing stock, which offers a variety of different products than are available throughout much of the region.
 - B. Redevelopment of residential, non-residential and mixed use structures to address market demand for currently under-represented housing types in the existing inventory and/or provide for the preservation of historic structures.
 - C. Assembly of appropriate vacant land through management of the inventory of foreclosed properties, demolition of obsolete portions of the existing housing stock to reduce vacancy, and the strategic acquisition of land to create development opportunities and open space assets that enhance existing residential areas.
 - D. Development of new housing and/or development of new housing types that address market demand. Efforts will include an emphasis on capitalizing on such unique assets as the Genesee River, Lake Ontario, and the Erie Canal; significant historical, architectural and landscape features; and economic, educational and cultural institutions.
 - E. Enhancement of existing and creation of new public and private financial products that support rehabilitation, redevelopment, and new construction.
 - F. Encouragement of environmentally sensitive rehabilitation, redevelopment, demolition, and new construction methods.
 - G. Encouragement of housing development that supports neighborhood commercial corridors.
2. Promote homeownership:
 - A. Helping homeowners retain their homes through the use of a variety of programs that prevent foreclosure and predatory lending.

- B. Cultivating new homeowners through marketing, pre- and post-purchase counseling and training programs, encouraging the development of quality financial products, and the developing housing types that create an inventory of housing options to address market demand.
- 3. Support efforts to strengthen the rental market through:
 - A. Support for owners of rental property to be successful business owners while being accountable for providing quality local management and maintaining housing quality standards.
 - B. Support for the coordination of tenant services that reduce unwanted transiency, encourage accountability, and result in longer-term tenancies.
- 4. Promote housing choice through:
 - A. Support for fair housing programs that offer housing opportunities to members of protected classes, low- and moderate-income households, people with disabilities, and a full range of age groups.
 - B. Working toward the deconcentrating of poverty in City neighborhoods through efforts that attract more middle- and upper-income households, and that expand housing choices for lower-income households.
 - C. Ongoing efforts with other jurisdictions to ensure that a fair share of housing opportunities is available throughout the region for households with restricted choices.
 - D. Development of permanent supportive housing that meets the needs of populations requiring supportive services, and encouraging the fair share of such housing outside the city.
- 5. Support the implementation of neighborhood and asset-based planning through interdepartmental collaboration:
 - A. Ensuring that citizen-based planning is at the core of efforts to establish a neighborhood vision and plan, advise the City and provide feedback on development projects.
 - B. Cost effective use of federal and state grants in order to make dramatic improvements by identifying neighborhoods for the implementation of plans to improve housing market vitality, reduce code violations, decrease transiency, and increase assessed valuations.
 - C. Conducting data-based research and ongoing measurement and monitoring of outcomes to drive decisions on public investments.
 - D. Using market-based strategies as the foundation of all planning efforts.

Attachment V is a summary table of the annual housing completion goals by federal resource.

A major obstacle to addressing the affordable housing needs of the community is insufficient resources. By way of example, the locally controlled resources available for rental housing are dwarfed by the overwhelming need of extremely low-income renters for cost relief. The Rental Strategy identifies HUD, Low Income Tax Credits, and NYS financing, as well as private lending, as the primary funding vehicles, while the City and the Greater Rochester Housing Partnership are envisioned as “gap” financiers. One problem presented by this financing scenario is the lack of local control over needed resources. Furthermore, HUD and NYS assistance programs, particularly Low-Income Housing Tax Credits, are complicated and require a level of expertise which smaller, less experienced developers are unable to provide, thus limiting their access to these sources.

Significant funding is annually committed and expended to address the housing needs of lower income households by public agencies other than the City of Rochester. The annual operating budget for public housing and the Section 8 Rental Assistance Program operated by the Rochester Housing Authority is more than \$43 million. In addition, the Monroe County Department of Human and Health Services spends significant funds for shelter payments.

The primary program delivery models for much of the rental assistance funding available locally (i.e., Section 8 and public assistance) is “tenant-based”. That is, funds are provided to, or on behalf of, a particular household for some portion of their monthly housing expenses, essentially functioning as an income supplement. The City has adopted a “project-based” model for the delivery of its rental assistance. In this manner, subsidies are provided for the development or acquisition of specific rental units which must remain affordable for an extended period of time (up to 30 years). The City’s priority is to effectively utilize its limited resources in a manner which results in the delivery of affordable housing on a long-term basis, thus ensuring that lower income households will enjoy a long-term benefit.

RHA may also provide financial assistance, voucher assistance and/or support service with specified and qualified local not-for-profit development corporations seeking to further homeownership opportunities by leveraging funds with the New York State Division of Housing and Community Renewal, whereby specific development calls for homeownership opportunities or for tenant buy out homeownership opportunities.

While the City has developed and pursued new strategies to address affordable housing, it has not abandoned one of its principal methods of addressing the continuous need created by an aging housing stock-rehabilitation. While the U.S. Census characterizes the City’s housing stock as having a median age of 51 years, locally developed estimates of the stock place the average age at over 70 years. Rehabilitation of housing stock has long been the cornerstone of the housing activities funded by the Community Development Block Grant. Over the past 30 years funding has annually been provided for both owner-occupant and investor-owned properties. Those activities remain as important elements of the City’s affordable housing activities.

Access to capital is not only of concern because of the community’s rental housing needs, but is a significant issue impacting homeownership as well. Information on mortgage lending practices made available through the Home Mortgage Disclosure Act has historically shown significant disparities between the central city and outlying suburban areas. The data for the Rochester MSA has consistently shown:

- Mortgage lending rates in the city are less than half that of the remainder of the MSA;
- Mortgage lending is limited in city census tracts with high minority concentrations;
- Loan denial rates in the city are as much as 70% higher than in the balance of the MSA;
- Members of minority groups are two to three times as likely to be denied a loan.

While progress has been made in recent years, local lenders must continue to re-examine their credit policies and practices. The City’s goal of increasing homeownership and providing decent rental housing cannot be achieved if financing is not made available in a responsible fashion.

Goal: Respond to General Community Needs

Youth Services needs in the city continue to be varied and extensive as evidenced by both the high level and severity of urban poverty. Acknowledging the scope of need in the context of finite resources, major area funders including the City of Rochester, County of Monroe, Rochester City School District,

and the United Way have established a set of common “Communitywide Outcomes” that focus dollars and effort on established priorities.

As expected, the approach to funding youth services in the Rochester community continues to evolve. The Consolidated Plan served as a framework that produced a number of successful collaborations and collective problem solving initiatives. Community based collaborations and initiatives in areas such as neighborhood planning, after-school programs, teen pregnancy prevention, violence prevention, and homelessness, have helped marshal resources to achieve common goals.

The guiding philosophy for youth services funding continues to rest on four tenets:

1. An emphasis on incorporating youth development principles in youth programming that focus on prevention rather than intervention;
2. Collaboration among service providers by using City funds to leverage other resources;
3. Increasing accessibility to services by providing them in targeted neighborhoods rather than centralized locations; and
4. Focusing on services that enhance the employability and academic enrichment of City youth.

Specific youth services priorities and program need area selection and development are based on a series of citizen, agency, and government input and review processes. (i.e., Neighbors Building Neighborhoods, the United Way, collaborative funders, service coalition studies, priorities emerging from community initiatives such as the Greater Rochester After School Alliance, the Workforce Investment Board and its Youth Council, and the Homeless Continuum of Care).

These processes, as well as others, comprise a community driven input/consultation mechanism that incorporates the best data with broad-based community, funder, and government input. Collectively, they allow us to maintain an accurate picture of ever evolving community needs and our progress toward their resolution.

Specific funding allocations are based on a number of factors including census and other social indicators, geographical location, target population need, area economic need, and pertinent survey analysis. Specifically, funding will be prioritized for the following programs/projects:

- Programs and/or activities that promote youth employment readiness and career development;
- Programs and/or activities that are inclusive of youth development programming, specifically the 40 Youth Developmental Assets; and
- Programs and/or activities that provide support to parents as they support the developmental needs of their youth in the above two areas.

The HUD Community Development Needs Analysis identified the priority needs of Neighborhood Facilities, Parks, Recreational Facilities, Street Improvements, Sidewalks, Public Services, Youth Services, Substance Abuse Services, Battered and Abused Spouses, Employment Training, and Fair Housing.

The condition of Rochester’s facilities and infrastructure is considered good as a result of a well-developed capital improvement program.

The City will use CDBG funds for various improvements in low- and moderate-income neighborhoods, including priority need of public facilities, neighborhood facilities, and street improvements.

The following table provides a summary of priority needs, specific objectives and proposed accomplishments. Objectives have been assigned a number.

Table 1.4
Objectives

OBJECTIVE NUMBER	PRIORITY NEED	SPECIFIC OBJECTIVES	PROPOSED ACCOMPLISHMENT
PES-1	Economic Development	Improve economic opportunities for low-income persons	37 Businesses 110 Jobs
IHS-1	Owner Housing	Improve the quality of owner housing	385 Households 917 Housing Units 14,190 People
IHS-2	Owner Housing	Improve access to affordable owner housing	
IHS-3	Owner Housing	Increase the availability of affordable owner housing	
IHS-4	Rental Housing	Increase the supply of affordable rental housing	
IHS-5	Rental Housing	Improve the quality of affordable rental housing	
IHS-6	Public Services	Improve the services for low/mod income persons	
IHS-7	Homeless/HIV/AIDS	Increase range of housing options and related services for persons with special needs	
GCN-1	Public Services	Improve the services for low/mod income persons	2,001 People
GCN-2	Infrastructure	Improve quality/increase quantity of public improvements for low-income persons	
GCN-3	Public Facilities	Improve quality/increase quantity of neighborhood facilities for low-income persons	

The following table provides a listing of other private and non-federal resources expected to be available to address the needs identified in the Consolidated Community Development Plan and Annual Action Plan. The City of Rochester will use federal resources to leverage these non-federal public and private resources. Many assisted activities such as housing assistance, economic development, and human services require matching funds from other sources.

Table 1.5
Other Resources

NEEDS	RESOURCES	
	NON-FEDERAL	PRIVATE
Housing	City of Rochester Operating/Capital Budget Low Income Tax Credit Monroe County Dept. of Human Services Monroe County Dept. of Public Health NYS Housing Finance Agency NYS Affordable Housing Corporation NYS Department of Social Services NYS Housing Trust Fund Rochester Housing Authority	Banks and Other Lenders Buyer and Investor Equity Enterprise Foundation Federal Home Loan Bank Flower City Habitat for Humanity Greater Rochester Housing Partnership NeighborWorks Rochester United Way of Greater Rochester Rochester Housing Development Fund Rochester/MLK Fund
Homeless	NYS Department of Human Services NYS Division for Youth NYS Office of Mental Health Monroe County Department of Human Services Monroe County Department of Public Health	AIDS Rochester Alternatives for Battered Women Asbury Center Blessed Sacrament Cameron Community Ministries Mercy Residential/Families First Mercy Residential/Melita House Catholic Charities Catholic Family Center The Center for Youth Dimitri House Enriche House Hillside Children's Center Recovery House Rochester Area Interfaith Hospitality Network St. Martin's Place Salvation Army/Booth Haven Sojourner House Spiritus Christi Veterans Outreach Center Volunteers of America Wilson Commencement Park

		YWCA
Infrastructure Improvement	City of Rochester Capital Improvement	
Public Service	City of Rochester Operating Budget Monroe County Department of Human Services Monroe County Department of Public Health NYS Crime Victims Board NYS Department of Health NYS Department of Social Services NYS Department of Transportation NYS Division for Youth NYS Division of Criminal Justice Services NYS Education Department NYS Office of the Aging NYS Office of Alcoholism and Substance Abuse NYS Office of Mental Retardation and Developmental Disabilities	Baden Street Settlement Boys and Girls Club of Rochester Borinquen Dance Theatre Catholic Charities Catholic Family Center Center for Change Legal Aid Society Monroe County Legal Assistance Corporation Public Interest Law Office of Rochester Private Foundations Rochester Area Community Foundation Society for the Protection and Care of Children YWCA of Rochester and Monroe County
Other Community Development	City of Rochester Operating Budget Monroe County Department of Public Health NYS Department of Social Services NYS Department of Health NYS Department of State NYS Division of Housing & Community Renewal NYS Energy Office NYS Office for the Aging	IBERO Small Business Investment Company Urban League Economic Development Corporation
Planning	City of Rochester Operating Budget	Neighborhood Service Centers

ROCHESTER HOUSING AUTHORITY

The Rochester Housing Authority (RHA) became a participant in the Public Housing Modernization/Comprehensive Grant Program (CGP) during FFY 1992. In FFY 2002, this program became known as the Comprehensive Fund Program (CFP). RHA has received HUD's approval of CGP/CFP plan since the program became available. The plan is a five year spending plan for physical improvements, management improvements, and support services for public housing residents.

Historically, each year RHA receives an annual formula allocation to address identified needs. RHA will receive approximately \$4.5 million. The approved plan covers Federal Fiscal Years 2005-2010.

The CFP Plan is based upon a complete evaluation of the nearly 2,438 public housing units in the city that are included in RHA's inventory. The plan presents physical needs assessment of each of RHA's 26 project locations and its scattered sites. The plan also identifies activities to improve management and provide support services to residents.

A complete copy of the CFP plan may be reviewed at the following locations:

Rochester Housing Authority
Executive & Administrative Offices
675 West Main Street
Rochester, NY 14611
(585) 697-3602

City of Rochester
Bureau of Business and Housing Development
City Hall, Room 028B
30 Church Street
Rochester, NY 14614
(585) 428-6150

Management and Operations

RHA is proposing \$60,000 in management/operational improvements through the CFP for FY 2009. Management improvements assist in enhancing property management operations and services, while expanding tenant involvement in property management. Management improvements for FY 2009 include:

- Installation of hand held inventory and work order systems;
- Continue water management program;
- Update computer software, including preventive maintenance scheduling software, and provide related staff training.

Living Environment

The RHA continues its activities to improve the living environment of RHA residents. Enrichment activities are available to youth and adults. The following is a listing of activities provided:

1. Youth Programs
 - a. Educational-After school and evening tutorial programs in conjunction with the Rochester City School District (Schools 2, 4, 6, 9, and 50) and the Urban League of Rochester
 - b. Recreational-City of Rochester youth basketball league; Resident summer camp; City recreation programs
2. Senior Citizen Programs
 - a. Consortium on Elderly Substance Abuse
 - b. RSVP Program and I'm okay program through the Red Cross
 - c. Grocery shopping bus service
 - d. Enriched Housing Program and Assisted Living Program/FSOR
 - e. Eldersource case managers/Lifespan (located at various sites and available for all our seniors)
 - f. Resident monthly van service
3. Crime Prevention
 - a. Security consultant services
 - b. Crime prevention lectures, displays, security surveys and patrols
 - c. Resident crime prevention organizing and tenant security programs
 - d. High rise guard stations

- e. Security road patrol
- 4. Other Counseling/Support Services
 - a. Enriched housing program at 3 locations
 - b. Catholic Family Center
 - c. Eviction prevention counseling
 - d. Social Services counselor
 - e. Family Investment Center (FIC), activities include case management, education, training and employment services to residents to reach self-sufficiency and economic stability
 - 1. Employment - Skills assessment; job search assistance; job placement
 - 2. Training - Computer skills, construction trades, child care provider; financial assistance for other training opportunities; job readiness training; Section 3
 - 3. Education - Computer assisted GED classes; scholarship assistance; adult basic education; SAT/ACT preparation; computer literacy
 - 4. Business Start up - Small business development workshop; financial assistance for business start-up (micro loan program)
 - 5. Family Self-Sufficiency - Home buyer seminars; budgeting and household finances; time management; family support; financial counseling services; credit restoration and money management
 - 6. Support Group - Barriers to success; mental wellness; nutrition; values clarification
 - f. Resident council, Resident Advisory Board
 - g. Resident worker program
 - h. Various on site resident educational trainings such as fire safety, nutrition/wellness, blood pressure screening, flu clinics, etc.

The RHA's actions are consistent with the housing needs assessment of low-income housing needs as evidenced in the Consolidated Plan.

RHA is planning to develop a minimum of four additional scattered site units within the city of Rochester. In the J.O.S.A.N.A. neighborhood, RHA plans to joint venture partner with North Star Housing Development Corporation for the construction of up to fifteen additional single family houses in the J.O.S.A.N.A. neighborhood of which 4 may be public housing units. The RHA through its affiliate Homestead Management Enterprises, LLC will actively seek joint venture partnership with 501c(3) Housing and Development companies in order to further the development and creation of affordable housing throughout the city of Rochester and Monroe County.

The Rochester Housing Authority intends to voluntarily convert some or all of its scattered site public housing inventory to Section 8 project based (tenant based) or enhanced voucher assistance. This conversion will be submitted to HUD under the Inventory Removal Application Section 22/Section 18 (24 CFR 941 Subpart F- Voluntary Conversion (HUD-52860). The Rochester Housing Authority intends to acquire new housing units through 24 CFR 941. Acquisition and rehabilitation of these properties will occur with either Section 8 reserve funds, unrestricted funds available to the Housing Authority and/or CFP dollars may include CFP funds as well.

The Rochester Housing Authority intends to voluntarily convert some or all of its scattered site public housing inventory to Homeownership. This conversion will be submitted to HUD under the Inventory Removal Application Section 32 (HUD-52-860). Homeownership conversion may occur under the Section 8 homeownership program and may involve a partnership with local CHDO organizations or

501c3 organizations in a collaborative effort with the City of Rochester and the Rochester Housing Development Fund Corporation.

The Rochester Housing Authority intends to voluntarily demolish up to 5 units of its single family scattered site units. This will involve vacant units where the cost to modernize the home meets or exceeds the cost of new construction of a home on the same site or involves conditions in the area surrounding the Development (density, or industrial or commercial development) adversely affect the health or safety of the residents or the feasible operation of the Development by the Rochester Housing Authority.

Obsolescence-24 CFR 970.15(a)(1): The Development (or affected portion of the Development) is obsolete as to physical condition, location or other factors (as defined by 24 CFR 970.15), making it unsuitable for housing purposes and no reasonable program of modification or rehabilitation of the Development is cost-effective to return the Development (or portion of the Development proposed for demolition) to its useful life.

In accordance with the Quality Housing and Work Responsibilities Act (QHWRA) of 1998, beginning with funding received in FFY 2000, RHA has prepared five year plans and annual plans covering both its public housing and tenant-based assistance (Section 8) operations. QHWRA constituted a substantial overhaul of HUD's public housing and Section 8 assistance programs. Congressional purposes for this new requirement are to advise HUD, the residents/tenants, and members of the public of a public housing authority's mission for serving the needs of low-income, very low-income and extremely low-income families; their strategy for addressing those needs; to provide a framework for local accountability; and to provide an easily identifiable source by which public housing residents, participants in the tenant-based assistance programs, and other members of the public may locate basic PHA policies, rules and requirements concerning its operations, programs, and services.

Congressional purposes for this new requirement are to advise HUD, the residents/tenants, and members of the public of a public housing authority's (PHA) mission for serving the needs of low-income, very low-income, extremely low-income families; their strategy for addressing those needs; to provide a framework for accountability; and to provide an easily identifiable source by which public housing residents, participants in the tenant-based assistance programs, and other members of the public may locate basic PHA policies, rules and requirements concerning its operations, programs, and services.

The goals of this comprehensive planning requirement are to make more efficient use of federal assistance; more effectively operate programs; and better serve residents/tenants.

RHA has prepared an Agency Plan in compliance with Section 511 of the QHWRA. The Agency Plan embodies, in many respects, the concepts of the Consolidated Community Development Plan. Like the Consolidated Plan, HUD intends that the Agency Plan will provide a mechanism by which a PHA can examine its long-range and short-range needs, specifically the needs of the families that it serves, and design both long-term and short-term strategies for addressing those needs. Like the Consolidated Plan, the Agency Plan requires consultation with affected groups in the development of the plan.

The following are highlights of the Agency Plan:

- The Section 8 Homeownership Program has enabled 68 Section 8 Housing Voucher Program residents to become homeowners.

- The Resident Opportunities and Self-Sufficiency (ROSS) Homeownership Program has enabled 6 public housing residents to become homeowners.
- Review is underway of the Section 8 Administrative Plan. The Public Housing Admissions and Continued Occupancy Policy has been updated and ratified as of 1/2/09 and will be reviewed on a yearly basis.

These plans require RHA to examine its existing operations and to design long-range and short-range strategies to address those needs. The five year plan is to describe the mission and the long range goals and objectives for achieving its mission over the five year period from October 1, 2005 to September 30, 2010. The annual plan is to provide details about operations; program participants and their needs; needed capital improvements; available financial resources (federal and non-federal); the strategies for addressing needs; and programs and services for the upcoming fiscal year.

RHA is obligated to ensure that the Agency Plan is consistent with this Consolidated Plan; including a description of the manner in which the applicable plan contents are consistent with the Consolidated Plan.

BARRIERS TO AFFORDABLE HOUSING

1. *Describe the actions that will take place during the next year to remove barriers to affordable housing.*

Program Year 5 Action Plan Barriers to Affordable Housing Response

The majority of assisted, affordable housing in the Rochester region is located within the city of Rochester. The City has a long history of supporting the development and ongoing operation of affordable rental housing through site assembly activities, infrastructure development, providing construction and permanent financing, and payments in lieu of tax agreements.

The City of Rochester has established a high priority for the fostering and maintaining affordable housing for low- and moderate-income households. The City will:

- Expand the supply of affordable rental and homeownership housing;
- Increase homeownership among low- and moderate-income prospective homebuyers;
- Preserve and improve the existing stock of affordable housing; and
- Ensure equal access to housing.

To implement these objectives, the City will offer first-time homebuyer assistance, housing rehabilitation, tenant-landlord counseling, fair housing programs, and develop new affordable housing. The City will continue to make capital improvements in low- and moderate-income areas.

The City enacted a new zoning code in 2003 and attention was given to assure that there would be no negative consequences on the development or preservation of affordable housing. The zoning code is currently being evaluated and any unanticipated negative consequences for affordable housing will be identified and addressed.

Likewise, the State of New York enacted a new building code which became effective in January 2003. The implementation of that code will continue to be monitored for unanticipated negative consequences on affordable development or preservation.

HOME/AMERICAN DREAM DOWN PAYMENT INITIATIVE (ADDI)

1. *Describe other forms of investment not described in 92.205(b).*
2. *If the participating jurisdiction (PJ) will use HOME or ADDI funds for homebuyers, it must state the guidelines for resale or recapture, as required in 92.254 of the HOME rule.*
3. *If the PJ will use HOME funds to refinance existing debt secured by multi-family housing that is being rehabilitated with HOME funds, it must state its refinancing guidelines required under 92.206(b). The guidelines shall describe the conditions under which the PJ will refinance existing debt. At a minimum, these guidelines must:*
 - a. *Demonstrate that rehabilitation is the primary eligible activity and ensure that this requirement is met by establishing a minimum level of rehabilitation per unit or a required ratio between rehabilitation and refinancing.*
 - b. *Require a review of management practices to demonstrate that disinvestments in the property has not occurred; that the long-term needs of the project can be met; and that the feasibility of serving the targeted population over an extended affordability period can be demonstrated.*
 - c. *State whether the new investment is being made to maintain current affordable units, create additional affordable units, or both.*
 - d. *Specify the required period of affordability, whether it is the minimum 15 years or longer.*
 - e. *Specify whether the investment of HOME funds may be jurisdiction-wide or limited to a specific geographic area, such as a neighborhood identified in a neighborhood revitalization strategy under 24 CFR 91.215(e)(2) or a Federally designated Empowerment Zone or Enterprise Community.*
 - f. *State that HOME funds cannot be used to refinance multi-family loans made or insured by any federal program, including CDBG.*
4. *If the PJ is going to receive American Dream Down Payment Initiative (ADDI) funds, please complete the following narratives:*
 - a. *Describe the planned use of the ADDI funds.*
 - b. *Describe the PJ's plan for conducting targeted outreach to residents and tenants of public housing and manufactured housing and to other families assisted by public housing agencies for the purpose of ensuring that the ADDI funds are used to provide down payment assistance for such residents, tenants, and families.*
 - c. *Describe the actions to be taken to ensure the suitability of families receiving ADDI funds to undertake and maintain homeownership, such as provision of housing counseling to homebuyers.*

Program Year 5 Action Plan HOME/ADDI Response:

The American Dream Down Payment Initiative (ADDI) was authorized by Congress in 2003 and provides resources specifically intended to assist low-income ($\leq 80\%$ MFI) families who are first-time homebuyers in purchasing single-family housing that will serve as the family's principal residence. The City of Rochester has primarily utilized ADDI in financing existing home purchase assistance programs. There is no funding for the American Dream Downpayment Initiative (ADDI) in FY 2009.

Targeted Outreach

The Bureau of Business and Housing Development will coordinate its home purchase outreach activities with the Rochester Housing Authority's Family Investment Center (RHA/FIC) and Providence Housing Development Corporation (PHDC). RHA/FIC is administering a Resident Opportunities and Self-Sufficiency Homeownership Support Services (ROSS-HSS) grant awarded in 2002, which will assist public housing tenants in becoming homeowners. PHDC operates RHA's Section 8 Homeownership Program.

The Bureau of Business and Housing Development will distribute program literature and will make staff available to present program information to the Section 8 and public housing clientele at sessions arranged by RHA/FIC and PHDC. Program information will also be distributed through the City's homeownership outreach program.

Homeownership Counseling

Comprehensive homeownership counseling, i.e., pre- and post-purchase education and training, is mandatory for all recipients for City of Rochester homeownership assistance. These services are delivered by the City of Rochester and NeighborWorks Rochester. Group training is offered on a regularly scheduled basis, together with one-on-one pre-purchase counseling. This training and counseling program has been operating for several years. It is estimated that 40 minority households are expected to be assisted in becoming homeowners.

HOMELESS

SPECIFIC HOMELESS PREVENTION ELEMENTS

(Please also refer to the Homeless Needs Table in the Appendix.)

1. *Sources of funds-Identify the private and public resources that the jurisdiction expects to receive during the next year to address homeless needs and to prevent homelessness. These include the McKinney-Vento Homeless Assistance Act programs, other special federal, state, local and private funds targeted to homeless individuals and families with children, especially the chronically homeless, the HUD formula programs, and publicly-owned land or property. Please describe briefly, the jurisdiction's plan for the investment and use of funds directed toward homelessness.*
2. *Homelessness-In a narrative, describe how the action plan will address the specific objectives of the Strategic Plan and, ultimately, the priority needs identified. Please also identify potential obstacles to completing these action steps.*
3. *Chronic homelessness-The jurisdiction must describe the specific planned action steps it will take over the next year aimed at eliminating chronic homelessness by 2010. Again, please identify barriers to achieving this.*
4. *Homelessness Prevention-The jurisdiction must describe its planned action steps over the next year to address the individual and families with children at imminent risk of becoming homeless.*
5. *Discharge Coordination Policy-Explain planned activities to implement a cohesive, community-wide Discharge Coordination Policy, and how, in the coming year, the community will move toward such a policy.*

Program Year 5 Action Plan Specific Homeless Prevention Elements Response:

The City of Rochester will receive and utilize Emergency Shelter Grant funds during this year to address the needs of homeless individuals and families and to prevent homelessness. Funds will be used in harmony with the City's Housing Policy.

The City does not provide shelter or services directly, but contracts with approximately 30 providers. Within this group of agencies are those with the specialty skills necessary to deliver services which meet the needs of each of the subpopulations: individuals, families, veterans, recovering substance abusers, chronically homeless, person with HIV/AIDS, victims of domestic abuse, and those at imminent risk of becoming homeless.

The City is involved in local oversight of the McKinney-Vento funds (which it does not directly receive), through its membership in the Rochester/Monroe County Continuum of Care Team and the Homeless Services Network. If any publicly-owned land or property is made available during the year, the Continuum of Care Team will conduct a review to determine if it is appropriate to meet local needs.

The City and other local agencies will continue to review the local system to determine if the needs of the chronically homeless are being met. The initial review indicates that many services are currently in place, and that all involved clearly understand that shelter placement is only the first step in a process which should lead ultimately to permanent housing. The opening in 2005 of the "Safe Haven," a limited rules shelter dedicated to the chronically homeless, has increased the area's ability to serve this population. However, the lack of additional funding, and/or the inability of this population to comply with the rules of funders remains a barrier to eliminating chronic homelessness.

The City will continue to work to prevent homelessness of individuals and families through the use of ESG funds, subject to regulatory limits. As noted above, prevention efforts are delivered by experienced agencies that provide food, eviction prevention/advocacy, utility assistance, and other emergency services.

Discharge Coordination Policies have been developed and implemented by the Homeless Services Network (HSN) and the Continuum of Care Team, groups which include representatives from the City of Rochester. Communitywide plans to prevent homelessness upon discharge have been developed, and staff at the discharging facilities are aware of the need to make appropriate arrangements for departing individuals. Specific planning has occurred for youth, those leaving prison/jail, those with mental health issues, and for those leaving hospitals. The HSN Special Needs Population Committee will work with other institutions (i.e., inpatient rehab programs, other residential programs) to develop similar discharge protocols.

In February 2006, the City issued a Request for Proposals under the Emergency Shelter Grant. Of the proposals received and reviewed, 28 projects were approved for 2006-07. During that year, program monitoring and assessment showed that all 28 projects were operating according to contract requirements and achieving their goals and objectives. These same programs continued to receive funding under the same premise in 2007-08, 2008-09 and now 2009-10. The administration of the Emergency Shelter Grant Program will move to the Bureau of Business and Housing Development this year. This management transfer will better serve the community as we align all of the City's housing activities into an effective continuum of housing programs within one department.

During the 2009-10 program year, it is anticipated that an evaluation will be completed to determine the consistency with the City's adopted Housing Policy, 10 Year Plan to End Chronic Homelessness, the Supportive Housing Plan and the Continuum of Care/Homeless Service Network's priorities. It will also provide recommendations on how best to utilize the ESG funds for maximum impact. A Request for Proposals will then be issued to determine future funding decisions.

Agencies are required to provide a 100% match for Emergency Shelter Grant funds. In general, matching funds may include:

- Amount of funds from other sources;
- Salary paid to staff (not included in the award) to carry out the project of the recipient;
- Time contributed by volunteers (currently determined at the rate of \$5 per hour); and
- The value of any donated material or building, or of any lease, calculated using a reasonable method to establish a fair market value.

It should be noted that the City will receive funding under the American Recovery and Reinvestment Act of 2009 for homeless prevention activities.

ANTIPOVERTY STRATEGY

1. *Describe the actions that will take place during the next year to reduce the number of poverty level families.*

Program Year 5 Action Plan Antipoverty Strategy Response:

During the 2009-10 program year, the City will continue to fund specific programs that help reduce the number of families in poverty. Mortgage Default provides default resolution services and funds to prevent mortgage foreclosure, in case of emergency to 200 households annually. The Emergency Assistance Repair Program provides grants to homeowners to assist in making emergency and exterior repairs. The City will also continue its economic development activities to expand employment opportunities.

The Rochester/Monroe County Continuum of Care system consists of a full range of housing options and services to support homeless individuals and families, and encompasses services that range from homelessness prevention to the actual construction of permanent housing. The goal of the system is to appropriately transition individuals from homelessness to their maximum level of self-sufficiency.

NON-HOMELESS SPECIAL NEEDS HOUSING

Non-Homeless Special Needs (91.220(c) and (e))

(Please refer to the Non-Homeless Special Needs Table in the Appendix.)

1. *Describe the priorities and specific objectives the jurisdiction hopes to achieve for the period covered by the action plan.*
2. *Describe how federal, state, and local public and private sector resources that are reasonably expected to be available will be used to address identified needs for the period covered by the action plan.*

Program Year 5 Action Plan Non Homeless Special Needs Response:

A number of activities will be undertaken by the City to address the special needs of persons who are not homeless. Funding is provided for an Aging In Place Home Modification Program which will improve the life for senior citizens by helping them stay in their homes. The City will continue to support the development of affordable rental and owner housing for persons that are physically challenged.

A variety of housing assistance and rehabilitation programs are planned for the coming year. Funding is available for promotional efforts designed to increase awareness of these programs. Special outreach efforts will be made to persons with special needs.

Similar to past years, the City will promote support services designed to meet the needs of non-homeless special needs populations.

Funding under the Housing Opportunities for Persons with AIDS Program will be used to provide needed housing and support services to low-income persons with AIDS.

HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS

(Please refer to the HOPWA Table in the Appendix.)

1. *Provide a brief description of the organization, the area of service, the name of the program contacts, and a broad overview of the range/type of housing activities to be done during the next year.*
2. *Report on the actions taken during the year that addressed the special needs of persons who are not homeless but required supportive housing, and assistance for persons who are homeless.*
3. *Evaluate the progress in meeting its specific objective of providing affordable housing, including a comparison of actual outputs and outcomes to proposed goals and progress made on the other planned actions indicated in the strategic and action plans. The evaluation can address any related program adjustments or future plans.*
4. *Report on the accomplishments under the annual HOPWA output goals for the number of households assisted during the year in: (1) short-term rent, mortgage and utility payments to avoid homelessness; (2) rental assistance programs; and (3) in housing facilities, such as community residences and SRO dwellings, where funds are used to develop and/or operate these facilities. Include any assessment of client outcomes for achieving housing stability, reduced risks of homelessness and improved access to care.*
5. *Report on the use of committed leveraging from other public and private resources that helped to address needs identified in the plan.*
6. *Provide an analysis of the extent to which HOPWA funds were distributed among different categories of housing needs consistent with the geographic distribution plans identified in its approved Consolidated Plan.*
7. *Describe any barriers (including non-regulatory) encountered, actions in response to barriers, and recommendations for program improvement.*
8. *Please describe the expected trends facing the community in meeting the needs of persons living with HIV/AIDS and provide additional information regarding the administration of services to people with HIV/AIDS.*
9. *Please note any evaluations, studies or other assessments that will be conducted on the local HOPWA program during the next year.*

Program Year 5 Action Plan HOPWA Response:

The City of Rochester is designated the HOPWA grant recipient for the five county metropolitan area that includes Monroe, Livingston, Orleans, Wayne, and Ontario counties.

A review of the housing needs and available housing services for people with HIV/AIDS was conducted by the University of Rochester in 1999 when the City was first designated the grant recipient for HOPWA. The review involved interviews with representatives of the organizations that had previously received HOPWA funds (through New York State) and other agencies that serve people with AIDS. The review resulted in the recommendation that the annual grants to these organizations be continued. Since that time the continued good performance of the agencies has resulted in a decision to continue funding current providers.

During 2008-09, the City of Rochester distributed HOPWA funds to two local service providers as shown below. They have close working relationships with each other as well as with the medical community, the State rehabilitation agency, and community based organizations. They have developed partnerships with companies managing low-income housing.

Table 1.6
HOPWA SERVICE PROVIDERS

ORGANIZATION	AMOUNT
AIDS Rochester, Inc.	\$384,900
Catholic Charities of the Diocese of Rochester	\$235,900

The agreements with these agencies expire in March 2010.

Through these agencies, the City will continue to fund short-term rent, mortgage and utility payments (which help to prevent homelessness) and long-term, client-based rental assistance. Individuals served are living in scattered site apartments. No funding will be used to develop or operate purpose-built, congregate housing facilities. In the experience of local providers of HIV/AIDS services, these are not suitable and/or practical to fund and operate.

Less than 2% of clients seeking housing assistance in 2004 from the largest provider of HOPWA-funded services were homeless or coming from shelters. An additional 3% were doubled up with family or friends. As a result, most HOPWA funding is being used for prevention, to maintain people in their apartments.

Based upon historical data, it is expected that about 90% of total clients will be residents of the city of Rochester, with the remainder scattered in the suburbs of Monroe County and in the other four counties. Services are provided to all applicants on a first-come, first-served basis without regard to where they reside in the five county service area.

An analysis of the services being provided will take place and funding decisions for 2009-10 will be based upon the analysis findings.

SPECIFIC HOPWA OBJECTIVES

1. *Describe how federal, state, and local public and private sector resources that are reasonably expected to be available will be used to address identified needs for the period covered by the action plan.*

Program Year 5 Specific HOPWA Objective Response:

The objective for the use of HOPWA funds during this year will continue to be the maintenance of people in stable housing. The planned number to be served is 230 households. Funding will provide individualized assistance to persons in scattered site housing, through short-term rent, mortgage and utility payments and long-term, client-based rental assistance.

OTHER NARRATIVE

1. *Include any action plan information that was not covered by a narrative in any other section.*

Attachment VI contains project worksheets. They describe the projects and activities that the City of Rochester will fund with federal resources to address its priority needs and objectives. For each activity, a priority need category and specific objectives are identified.

The project's proposed accomplishments, outcomes, and performance measures are also presented. The proposed activities by program goals and funding sources are shown in Attachment VII. This attachment contains additional detail for the housing funds.

If, as a result of a program activity, any residential displacement and relocation must occur, the City of Rochester ensures that it will develop an Anti-Displacement and Relocation Plan in connection with that project, in accordance with federal regulations. Specifically, the City will comply with the anti-displacement and relocation requirements of the Uniform Relocation Act and the Housing and Community Development Act of 1974, as amended, and implementing regulations of 24 CFR Part 42.

Section 3 of the Housing and Community Development Act of 1968 requires that economic opportunities generated by federally assisted community development programs shall, to the greatest extent feasible, be given to low- and very low-income persons and to businesses that provide economic opportunities for these persons. The City of Rochester's Section 3 Implementation Plan is included in Appendix B. Information on minority and women business enterprise outreach procedures and the HOME Program are included in Appendix C and D.

The City plans to utilize 100% of its Community Development Block Grant funds on activities that benefit low- and moderate-income families.

Attachments

- I. Estimated Revenue Summary
- II. Program Income
- III. Summary of Specific Annual Goals and Initiatives
- IV. Summary of Specific Annual Objectives
- V. Annual Housing Completion Goals
- VI. Project Workbooks
- VII. Proposed Activity Summary
- VIII. Public Comments

ATTACHMENT I
ANNUAL ACTION PLAN
ESTIMATED REVENUE SUMMARY

SOURCE	ACTUAL 2008-09	ACTUAL 2009-10	CHANGE
Community Development Program			
Community Development Block Grant	\$ 9,521,039	\$ 9,637,040	\$ 116,001
Program Income	\$ 900,000	\$ 600,000	\$ (300,000)
Total	\$ 10,421,039	\$ 10,237,040	\$ (183,999)
City Development Fund			
UDAG Repayments	\$ 600,000	\$ 702,964	\$ 102,964
HOME Program			
Home Investment Partnerships	\$ 3,160,365	\$ 3,509,102	\$ 348,737
American Dream Down Payment Initiative	\$ 18,921	\$ -	\$ (18,921)
Program Income	\$ 50,000	\$ 105,154	\$ 55,154
Total	\$ 3,229,286	\$ 3,614,256	\$ 384,970
Emergency Shelter Grant	\$ 425,305	\$ 423,909	\$ (1,396)
HOPWA			
	\$ 640,000	\$ 658,519	\$ 18,519
TOTAL	\$ 15,315,630	\$ 15,636,688	\$ 321,058

**ATTACHMENT II
ANNUAL ACTION PLAN
PROGRAM INCOME**

DESCRIPTION	GRANTEE	SUBRECIPIENT
Demolition	\$ -	\$ -
Proceeds from the Sale / Rental of Land	\$ -	\$ -
Revolving Loan Fund	\$ -	\$ -
NeighborWorks Revolving Loan Fund (*)	\$ 102,700	\$ 102,700
MESBIC(*)	\$ 4,400	\$ 4,400
Economic Development & Rehab Loan Repayments	\$ 705,154	\$ -

Notes: (*) These funds are retained by the subrecipients for revolving loan funds.

**ANNUAL ACTION PLAN
SUMMARY OF SPECIFIC ANNUAL GOALS AND INITIATIVES
ATTACHMENT III
2009-2010**

SPECIFIC OBJECTIVE/GOAL	SPECIFIC ANNUAL OBJECTIVE/STRATEGY	INITIATIVES
Sustainability of Economic Opportunity/ Promote Economic Stability	Improve economic opportunities for low-income persons	ED Financial Assistance Loan and Grant, High Technology of Rochester, NBD Project Assistance Fund, Section 108 Loan Loss Reserve, Targeted Facade Improvement Programs
Affordability of Decent Housing/ Improve the Housing Stock and General Property Conditions	Improve the availability of affordable owner housing, Improve access to affordable owner housing, Improve the quality of owner housing, Increase the supply of affordable rental housing, Increase the quality of affordable rental housing	Housing Development Fund
Affordability of Decent Housing/ Improve the Housing Stock and General Property Conditions	Improve the availability of affordable owner housing, Improve access to affordable owner housing, Improve the quality of owner housing, Increase the supply of affordable rental housing, Increase the quality of affordable rental housing	Neighborhood and Business - Program Delivery
Affordability of Decent Housing/ Improve the Housing Stock and General Property Conditions	Improve access to affordable owner housing, Improve the quality of owner housing, Improve the services for low / mod income persons	Homeownership Fund

**ANNUAL ACTION PLAN
SUMMARY OF SPECIFIC ANNUAL GOALS AND INITIATIVES
ATTACHMENT III
2009-2010**

SPECIFIC OBJECTIVE/GOAL	SPECIFIC ANNUAL OBJECTIVE/STRATEGY	INITIATIVES
Affordability of Decent Housing/ Improve the Housing Stock and General Property Conditions	Improve the quality of affordable rental housing, Improve the services for low/mod income persons	Rental Market Fund
Availability/Accessibility/Affordability of Decent Housing/ Improve the Housing Stock and General Property Conditions	Improve access to affordable housing, Increase range of housing options & related services for persons w/special needs, Improve access to affordable rental housing	Housing Choice Fund, HOPWA, Emergency Shelter Grant
Affordability of Decent Housing/ Improve the Housing Stock and General Property Conditions	Improve access to affordable owner housing, Improve the quality of owner housing, Increase the supply of affordable rental housing, Increase the quality of affordable rental housing, Improve the services for low/mod income persons.	Neighborhood and Asset Based Planning Fund
Availability/Accessibility of Suitable Living Environment/ General Community Needs	Improve quality / increase quality of neighborhood facilities for low-income persons.	Neighborhood Aquatics Plan
Availability/Accessibility of Suitable Living Environment/ General Community Needs	Improve quality/increase quantity of public improvements for lower income persons	Neighborhood Right-of-Way Improvements, Neighborhood Streetscapes, Susan B. Anthony Pedestrian Access

**ANNUAL ACTION PLAN
SUMMARY OF SPECIFIC ANNUAL GOALS AND INITIATIVES
ATTACHMENT III
2009-2010**

SPECIFIC OBJECTIVE/GOAL	SPECIFIC ANNUAL OBJECTIVE/STRATEGY	INITIATIVES
Availability/Accessibility of Suitable Living Environment/General Community Needs	Improve the services for low/mod persons	Borinquen Dance, Family Talk, Fire Department Small Equipment Job Creation / Youth Development, MCTP Wise Guys, Sisters Together Achieving Results, Youth News Team-RCTV, Young Father's Program, Youth Training Academy, YWCA Young Mother's Program

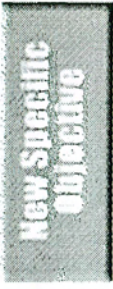
Attachment IV

Summary of Specific Annual Objectives

New Specific Objective

Summary of Specific Annual Objectives

Specific Obj. #	Outcome/Objective Specific Annual Objectives	Sources of Funds	Performance Indicators	Year	Expected Number	Actual Number	Percent Completed	
EO-3 Sustainability of Economic Opportunity								
EO-3 (1)	Promote Economic Stability - Improve economic opportunities for low-income persons	CDBG	Businesses Assisted - Does not included the CDF	2005	117	155	132%	
				2006	129	99	77%	
				2007	77	78	101%	
				2008	90			
				2009	24			
		MULTI-YEAR GOAL				437	332	
		CDBG	Jobs Created - Expected number was adjusted to reflect \$35,000 per job created	2005	32	183	572%	
				2006	23	130	565%	
				2007	82	198	241%	
				2008	84			
2009	74							
MULTI-YEAR GOAL				295	511			
MULTI-YEAR GOAL					0	0		



Summary of Specific Annual Objectives

Specific Obj. #	Outcome/Objective Specific Annual Objectives	Sources of Funds	Performance Indicators	Year	Expected Number	Actual Number	Percent Completed
DH-2 Affordability of Decent Housing							
DH-2 (1)	Improve the Housing Stock and General Property Conditions - Improve the quality of owner housing, Improve access to affordable owner housing, Increase the availability of affordable owner housing, Increase the supply of affordable rental housing and Improve the quality of affordable rental housing	CDBG	Units Assisted	2005	926	1086	117%
				2006	431	941	218%
				2007	647	1055	163%
				2008	1045		
				2009	917		
				3966	3082		
		HOME / ADDI	Households Assisted	2005	191	69	36%
				2006	50	122	244%
				2007	29	123	424%
				2008	349		
2009	352						
		971	314				
CDBG	People Assisted	2005	3310	4203	127%		
		2006	3810	5473	144%		
		2007	3810	4962	130%		
		2008	2310				
		2009	2310				
		15550	14638				



Summary of Specific Annual Objectives

Specific Obj. #	Outcome/Objective Specific Annual Objectives	Sources of Funds	Performance Indicators	Year	Expected Number	Actual Number	Percent Completed
SL-1	Availability/Accessibility of Suitable Living Environment						
SL-1 (1)	General Community Needs - Improve the services for low / mod income persons Improve the Housing Stock and General Property Conditions-Improve services for low/mod income persons	CDBG	People Assisted	2005	8087	30330	375%
				2006	7347	4463	61%
				2007	842	21424	2544%
				2008	706		
				2009	837		
	MULTI-YEAR GOAL				17819	56217	
	Improve the quality of affordable rental housing; Increase range of housing options and related services for persons with special needs; Improve access to affordable rental housing;	ESG	People Assisted	2005	24228	16713	69%
				2006	11610	48036	414%
				2007	10994	56823	517%
				2008	11741		
2009				11260			
MULTI-YEAR GOAL				69833	121572		
	HOPWA	Households Assisted	2005	186	260	140%	
			2006	215	249	116%	
			2007	217	204	94%	
			2008	230			
			2009	230			
MULTI-YEAR GOAL				1078	713		

Summary of Specific Annual Objectives

Specific Obj. #	Outcome/Objective Specific Annual Objectives	Sources of Funds	Performance Indicators	Year	Expected Number	Actual Number	Percent Completed
SL-1	Availability / Accessibility of Suitable Living Environment						
SL-1(2)	General Community Needs - Improve quality / increase quality of neighborhood facilities for low-income persons	CDBG	People Assisted - Ryan Center is underway	2005	0	0	
				2006	14909	0	
				2007	0	0	
				2008	0		
				2009	TBD		
	MULTI-YEAR GOAL				14909	0	
	General Community Needs - Improve quality / increase quantity of public improvements for lower income persons	CDBG	Public Facilities	2005	10	6	60%
				2006	10	5	50%
				2007	0	3	
				2008	0		
2009				TBD			
MULTI-YEAR GOAL				20	14		
			Infrastructure	2005			
				2006			
				2007			
				2008			
				2009	1554		
MULTI-YEAR GOAL				1554	0		

Attachment V

ANNUAL AFFORDABLE HOUSING COMPLETION GOALS

City of Rochester	Expected Annual Number of Units to be Completed	Actual Annual Number of Units Completed	Resources Used During the Period			
			CDBG	HOME	ESG	HOPWA
Beneficiary Goals (Sec. 215 Only)	794					
Homeless Households						
Non-Homeless Households	794		x	x		x
Special Needs Households	230					x
Total Sec. 215 Beneficiaries	794		x	x		x
Rental Goals (Sec. 215 Only)	325			x		x
Acquisition of existing units						
Production of new units	95			x		
Rehabilitation of existing units						
Rental Assistance	230					x
Total Sec. 215 Affordable Rental	325			x		x
Homeowner Goals (Sec. 215 Only)	469			x	x	
Acquisition of existing units						
Production of new units	52			x		
Rehabilitation of existing units	375			x	X	

Homebuyer Assistance	42	42				
Total Sec. 215 Affordable Owner	469		x	x		
Combined Rental and Owner Goals (Sect. 215 Only)	794		x	x		x
Acquisition of existing units						
Production of new units	147			x		
Rehabilitation of existing units	375		x	x		
Rental Assistance	230					x
Homebuyer Assistance	42			x		
Combined Total Sec. 215 Goals*	794		x	x		x
Overall Housing Goals (Sec. 215 + Other Affordable Housing)	794		x	x		x
Annual Rental Housing Goal	325			x		x
Annual Owner Housing Goal	469		x	x		
Total Overall Housing Goal	794		x	x		x

*The total amounts for "Combined Total Sec. 215 Goals: and "Total Sec. 215 Beneficiary Goals" should be the same number.

Attachment VI

Project Workbooks

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: ED Financial Assistance Loan and Grant Program

Priority Need: Economic Development

Specific Objectives: Improve economic opportunities for low-income persons

Description: This program will provide various forms of financial assistance to city businesses, including low-interest loans, interest rate subsidy grants, and matching grants for building improvements and pre-development studies. This allocation funds the following economic development programs: Financial Assistance Program, Building Renovation Matching Grant Program, Targeted Business Assistance Program, Main Street Assistance Program, and Pre-Development Grant Program.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Citywide	CDBG National Objective: Low / Mod Jobs, Low / Mod Jobs Location Based, Low / Mod Area
Proposed Outcome: Sustainability for the Purpose of Creating Economic Opportunity	Performance Measure: Jobs Created / Businesses Assisted
Type of Recipient: Department of Neighborhood & Business Development	HUD Matrix Code/CDBG Citation: 04A Clean-up of Contaminated Sites 570.201(d), 14E Rehab; Publicly or Privately-Owned Commercial/Industrial, 18A ED Direct Financial Assistance to For-Profits 570.203(b), 20 Planning 570.205
Expected Start / Completion Date: 07/01/2009 - 06/30/2010	
Accomplishment Type: Jobs, Businesses	Proposed Annual / Upon Completion Units: 75 Jobs, 25 Businesses

Funding sources:

CDBG	<u>\$979,693</u>	Prior Year Funds	_____
ESG	_____	Assisted Housing	_____
HOME	_____	PHA - CDF	<u>\$40,000</u>
HOPWA	_____	Other	<u>\$4,000,000</u>
Total Formula	<u>\$979,693</u>	Total	<u>\$5,019,693</u>

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: High Technology of Rochester

Priority Need: Economic Development

Specific Objectives: Improve economic opportunities for low-income persons

Description: The project provides technical assistance to new/start-up high tech businesses. Provides venture capital forums and small business innovation research grant training sessions. They will assist manufacturing businesses to operate more effectively (MEP).

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Citywide

CDBG National Objective: Not Applicable

Proposed Outcome: Sustainability for the Purpose of Creating Economic Opportunity

Performance Measure: Jobs Created / Businesses Assisted

Type of Recipient: Subrecipient

HUD Matrix Code/CDBG Citation: 18B ED
Technical Assistance

Expected Start / Completion Date:
07/01/2009 - 06/30/2010

Accomplishment Type: Jobs

Proposed Annual / Upon Completion Units: 35
Jobs

Funding sources:

CDBG	_____	Prior Year Funds	_____
ESG	_____	Assisted Housing	_____
HOME	_____	PHA - CDF	\$10,000
HOPWA	_____	Other	_____
Total Formula	_____	Total	\$10,000

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: NBD Project Assistance Fund

Priority Need: Economic Development, Owner Occupied and Rental Housing

Specific Objectives: Improve economic opportunities and the number of quality owner-occupied and rental housing units.

Description: The project provides low interest loan or grant assistance for commercial, retail, and industrial businesses and the development of housing.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Citywide	CDBG National Objective: N/A
Proposed Outcome: Sustainability for the Purpose of Creating Economic Opportunity and Affordability for the Purpose of Creating Decent Housing	Performance Measure: Units assisted/created and jobs created/businesses assisted
Type of Recipient: Department of Neighborhood & Business Development, Subrecipients	HUD Matrix Code/CDBG Citation: 12 Construction of Housing 570.201(m) 14A Rehab; Single Unit Residential 570.202 14B Rehab; Multi Unit Residential 570.202 14G Acquisition-for Rehabilitation 570.202 18A ED Direct Financial Assistance to For-Profits 570.203(b)
Expected Start / Completion Date: 07/01/2009 - 06/30/2010	
Accomplishment Type: Business and Housing Units	Proposed Annual / Upon Completion Units: 2 Businesses, 20 Housing Units

Funding sources:

CDBG	_____	Prior Year Funds	_____
ESG	_____	Assisted Housing	_____
HOME	_____	CDF	\$152,371
HOPWA	_____	Other	_____
Total Formula	_____	Total	\$152,371

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Targeted Façade Improvement Program

Priority Need: Economic Development

Specific Objectives: Improve economic opportunities for low-income persons

Description: This program provides funds for façade improvements in targeted areas. Grants are up to \$30,000 each.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Citywide	CDBG National Objective: Not Applicable
Proposed Outcome: Sustainability for the Purpose of Creating Economic Opportunity	Performance Measure: Jobs Created / Businesses Assisted
Type of Recipient: Department of Neighborhood & Business Development	HUD Matrix Code/CDBG Citation: 18A ED Direct Financial Assistance to For-Profits
Expected Start / Completion Date: 07/01/2009 - 06/30/2010	
Accomplishment Type: Businesses	Proposed Annual / Upon Completion Units: 10 Businesses

Funding sources:

CDBG	_____	Prior Year Funds	_____
ESG	_____	Assisted Housing	_____
HOME	_____	CDF	\$300,000
HOPWA	_____	Other	_____
Total Formula	_____	Total	\$300,000

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Housing Development Fund

Priority Need: Owner Occupied Housing and Rental Housing

Specific Objectives: Improve the availability of affordable owner housing; Improve access to affordable owner housing; Improve the quality of owner housing; Increase the supply of affordable rental housing; and Increase the quality of affordable rental housing

Description: This fund provides financing for the development of owner housing by Community Housing Development Organizations through the acquisition, rehabilitation and resale of vacant structures; provides rehabilitation grants and loans for owner occupant repairs and lead hazard reduction for both owners and landlords. Funds are provided for operating assistance for housing development support for the Greater Rochester Housing Partnership, Rochester Housing Development Fund Corp. and Enterprise Community Partners for the Home Rochester Program and the Focused Investment Strategy. Funding will be provided for emergency repairs under the new Emergency Assistance Repair Program, development of rental housing, and the administration of the NeighborWorks Revolving Loan Fund.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Citywide	CDBG National Objective: Low / Mod Housing
Proposed Outcome: Affordability for the Purpose of Creating Decent Housing	Performance Measure: Units Assisted, Affordable Units Created and Number of Units Made Lead-Safe
Type of Recipient: Department of Neighborhood & Business Development, Subrecipients	HUD Matrix Code/CDBG Citation: 12 Construction of Housing 570.201(m) 14A Rehab; Single Unit Residential 570.202 14B Rehab; Multi-Unit Residential 570.202 14G Acquisition; for Rehabilitation 570.202 14H Rehabilitation Administration 570.202 14I Lead-Based/Lead Hazard Test/Abate 570.202
Expected Start / Completion Date: 07/01/2009 - 06/30/2010	
Accomplishment Type: Housing Units	Proposed Annual / Upon Completion Units: 532 Housing Units

Funding sources:

CDBG	\$1,346,000	Prior Year Funds	
ESG		Assisted Housing	
HOME	\$2,857,267	PHA - CDF	
HOPWA		Other	\$12,233,500
Total Formula	\$4,203,267	Total	\$16,436,767

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Neighborhood and Business Program Delivery

Priority Need: Economic Development; Planning/Administration; Owner Occupied Housing and Rental Housing

Specific Objectives: Improve economic opportunities for low-income persons; Improve services for low/mod income persons; Improve the availability of affordable owner housing; Improve access to affordable owner housing; Improve the quality of owner housing; Increase the supply of affordable rental housing; Increase the quality of affordable rental housing

Description: The allocation provides funds for the development of overall economic development policies and provides support services, coordination of real estate development, management of feasibility and consultant studies, monitoring of legal and professional service agreements, preparation of site and financial analyses, performing market research, outreach to businesses, preparation of loan packages and support, pre-development services, outreach, financial, technical and site selection assistance to businesses, organization of neighborhood business associations, establish business association support, administration of neighborhood commercial business assistance programs. ESG and HOPWA funds are used for the administration of the Emergency Shelter Grant and HOPWA programs. Funds are used for staff involved in the planning, coordinating, and monitoring of the City's housing initiatives.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Citywide	CDBG National Objective: Low/Mod Jobs, Low/Mod Jobs Location Based, Low/Mod Area; Low/Mod Housing
Proposed Outcome: Sustainability for the Purpose of Creating Economic Opportunity; Availability/Accessibility for the Purpose of Creating Suitable Living Environments; Affordability for the Purpose of Creating Decent Housing	Performance Measure: Jobs Created / Business Assisted
Type of Recipient: Department of Neighborhood & Business Development	HUD Matrix Code/CDBG Citation: 14H Rehabilitation Administration 570.202 18B ED Technical Assistance 570.203(b) 20 Planning 570.205 21A General Program Administration 570.206 31B Administration-Grantee
Expected Start / Completion Date: 07/01/2009 - 06/30/2010	
Accomplishment Type: Not Applicable	Proposed Annual / Upon Completion Units: Not Applicable

Funding sources:

CDBG	\$2,331,800	Prior Year Funds	
ESG	\$21,195	Assisted Housing	
HOME	\$350,910	PHA - CDF	
HOPWA	\$19,755	Other	
Total Formula	\$2,723,660	Total	

The primary purpose of the project is to help:

- the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Homeownership Fund

Priority Need: Owner Occupied Housing, Public Services

Specific Objectives: Improve the quality of owner housing; Improve access to affordable owner housing; Improve the services for low/mod income persons

Description: Provides down payment/closing cost assistance to first-time homebuyers, pre-and post-purchase education and training; write-down subsidies for the acquisition and rehabilitation and resale of vacant structures; foreclosure prevention services and mortgage default resolution.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Citywide

CDBG National Objective: Low/Mod Housing, Low/Mod Limited Clientele

Proposed Outcome: Affordability for the Purpose of Creating Decent Housing

Performance Measure: Housing Units Assisted, Households Assisted, People Assisted

Type of Recipient: Department of Neighborhood & Business Development, Subrecipients

HUD Matrix Code/CDBG Citation:
13 Direct Homeownership Assistance 570.201(n)
05 Public Services (General) 570.201(e)
5Q Subsistence Payments 570.204
14A Rehab; Single-Unit Residential 570.202
14G Acquisition; for Rehabilitation 570.202
21H HOME Admin/Planning Costs of PJ (subject to 5% cap)

Expected Start / Completion Date:
07/01/2009 - 06/30/2010

Accomplishment Type: Housing Units, Households Assisted, People

Proposed Annual / Upon Completion Units: 42 Housing Units, 2,310 People, 42 Households

Funding sources:

CDBG	<u>\$375,000</u>	Prior Year Funds	<u> </u>
ESG	<u> </u>	Assisted Housing	<u> </u>
HOME	<u>\$406,079</u>	PHA – CDF	<u>\$60,000</u>
HOPWA	<u> </u>	Other	<u>\$620,000</u>
Total Formula	<u>\$781,079</u>	Total	<u>\$1,461,079</u>

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Rental Market Fund

Priority Need: Public Services

Specific Objectives: Improve the quality of affordable rental housing, Improve the services for low/mod income persons

Description: Provides support to landlords to successfully maintain rental housing. Services may include landlord training and education courses, and individual counseling. Tenant education, owner-tenant mediation, and eviction prevention services will be provided for renters.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Citywide	CDBG National Objective: Low / Mod Limited Clientele
Proposed Outcome: Affordability for the Purpose of Creating Decent Housing	Performance Measure: People Assisted
Type of Recipient: Subrecipient	HUD Matrix Code/CDBG Citation: 05K Tenant / Landlord Counseling 570.201(e)
Expected Start / Completion Date: 07/01/2009 - 06/30/2010	
Accomplishment Type: People	Proposed Annual / Upon Completion Units: 390 People

Funding sources:

CDBG	\$70,000	Prior Year Funds	
ESG		Assisted Housing	
HOME		PHA – CDF	
HOPWA		Other	
Total Formula	\$70,000	Total	

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Consolidated Plan Listing of Projects

Project Title: Housing Choice Fund

Priority Need: Rental Housing, Planning/ Administration and Homeless/HIV/AIDS

Specific Objectives:

Improve the quality of affordable rental housing, Increase range of housing options and related services for persons with special needs, Improve the services for low/mod income persons

Description: The City is charged with affirmatively furthering fair housing choice under federal requirements governing the use of CDBG and HOME funding. Funding would finance the implementation of specific activities in the Community Choice Action Plan. Funds provide services to the homeless and persons with AIDS that enable their ability to obtain and maintain permanent housing solutions. Funds may be used for homeless prevention and the renovation of emergency shelters. The ESG funds would be used as follows: Prevention (16%), Operations (56%), Essential Services (23%), and Administration (5%).

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Citywide

CDBG National Objective: Low / Mod Housing

Proposed Outcome: Availability/Accessibility the Purpose of Creating Suitable Living Environments, Affordability for the Purpose of Creating Decent Housing

Performance Measure: People, Homeless Persons/Persons Assisted, Units for Persons with HIV/AIDS

Type of Recipient: Subrecipients, Recipients, Project Sponsors

HUD Matrix Code/CDBG Citation:

03T Operating Costs of Homeless/AIDS Patients Program
 05 Public Services (General)
 05Q Subsistence Payments
 21D Fair Housing Activities (subject to 20% Admin cap) 570.206
 31D Administration-Project Sponsor
 31F Tenant Based Rental Assistance
 31G Short Term Rent Mortgage Utility Payments

Expected Start / Completion Date:
 07/01/2009 - 06/30/2010

Accomplishment Type: People

Proposed Annual / Upon Completion Units:
 11,490 People to be assisted

Funding sources:

CDBG	\$70,000	Prior Year Funds	_____
ESG	\$402,714	Assisted Housing	_____
HOME	_____	PHA - CDF	_____
HOPWA	\$638,764	Other	_____
Total Formula	\$1,111,478	Total	_____

The project also helps:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
 UOG Code: NY365544 Rochester

Project / Local ID:
 IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Neighborhood and Asset Based Planning Fund

Priority Need: Planning / Administration,
 Owner Occupied and Rental Housing

Specific Objectives: Improve access to affordable owner housing; Improve the quality of owner housing; Increase the supply of affordable rental housing; Increase the quality of affordable rental housing; Improve the services for low/mod persons

Description: Comprehensive Planning and planning activities, technical assistance, and resources to neighborhood groups participating in the NSC Quadrant management of the community planning process (formerly Neighbors Building Neighborhoods Planning). The funds will also be used for the Focused Investment Strategy (FIS) that will stabilize and improve four neighborhoods. FIS will focus on activities that will increase homeownership, stabilize existing owner-occupants, stabilize existing investment properties, demolition, new housing construction, and the redevelopment of multifamily buildings.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Citywide and Four Selected Neighborhoods	CDBG National Objective: Low/Mod Housing
Proposed Outcome: Affordability for the Purpose of Creating Decent Housing	Performance Measure: Housing Units Assisted, Households Assisted, People Assisted
Type of Recipient: Department of Neighborhood & Business Development, Subrecipients	HUD Matrix Code/CDBG Citation: 14A Rehab; Single-Unit Residential 570.202 14B Rehab; Multi-Unit Residential 570.202 20 Planning 570.205
Expected Start / Completion Date: 07/01/2009 - 06/30/2010	
Accomplishment Type: Housing Units, Households Assisted	Proposed Annual / Upon Completion Units: 323 Housing Units, 343 Households

Funding sources:

CDBG	<u>\$2,486,408</u>	Prior Year Funds	_____
ESG	_____	Assisted Housing	_____
HOME	_____	PHA – CDF	<u>\$140,593</u>
HOPWA	_____	Other Funding	_____
Total Formula	<u>\$2,486,408</u>	Total	<u>\$2,627,001</u>

The primary purpose of the project is to help:

- the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Borinquen Dance Program

Priority Need: Public Services

Specific Objectives: Improve services for low/mod income persons

Description: Year round, structured, after school program for youth providing dance, culturally significant workshops, leadership development (public speaking, financial literacy, social competencies, etc.), workforce preparation, career exploration, a health and wellness curriculum. The program goals are increased academic achievement, school attendance and school retention, increased skills and self-confidence through professional-quality performances, and increased knowledge and understanding of Hispanic cultural heritage.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Citywide

CDBG National Objective: Low / Mod Limited Clientele

Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable Living Environments

Performance Measure: People Assisted

Type of Recipient: Subrecipient

HUD Matrix Code/CDBG Citation: 05D Youth Services 570.201e

Expected Start / Completion Date:
07/01/2009 - 06/30/2010

Accomplishment Type: People

Proposed Annual / Upon Completion Units: 50 People

Funding sources:

CDBG	_____ \$10,000	Prior Year Funds	_____
ESG	_____	Assisted Housing	_____
HOME	_____	PHA - CDF	_____
HOPWA	_____	Other	_____
Total Formula	_____ \$10,000	Total	_____

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Fire Department Small Equipment

Priority Need: Public Services

Specific Objectives: Improve the services for low/mod income persons

Description: The Rochester Fire Department will provide and install free smoke and carbon monoxide detectors to low- and moderate-income families living in residential properties. The department will also install replacement batteries in existing detectors at no charge.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Citywide

CDBG National Objective: Low/Mod Area

Proposed Outcome: Availability/Accessibility for the Purpose of Creating Suitable Living Environments

Performance Measure: People Assisted

Type of Recipient: Rochester Fire Department

HUD Matrix Code/CDBG Citation:

Expected Start / Completion Date:
07/01/2009 - 06/30/2010

05 Public Services (General)

Accomplishment Type: People

Proposed Annual / Upon Completion Units: To Be Determined

Funding sources:

CDBG \$50,000

Prior Year Funds _____

ESG _____

Assisted Housing _____

HOME _____

PHA - CDF _____

HOPWA _____

Other _____

Total Formula \$50,000

Total _____

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Job Creation / Youth Development

Priority Need: Public Services

Specific Objectives: Improve services for low/mod income persons

Description: Funding will be used to lend support to programs or activities that are identified as providing an integrated environment for the provision of job readiness, work awareness, and basic occupational skills development for low/mod income City youth seeking employment or career exploration services. Projects will also provide asset development opportunities for youth.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Citywide	CDBG National Objective: Low / Mod Limited Clientele
Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable Living Environments	Performance Measure: People Assisted
Type of Recipient: Subrecipient	HUD Matrix Code/CDBG Citation: 05D Youth Services 570.201e
Expected Start / Completion Date: 07/01/2009 - 06/30/2010	
Accomplishment Type: People	Proposed Annual / Upon Completion Units: To be Determined

Funding sources:

CDBG	_____	\$83,239	Prior Year Funds	_____
ESG	_____		Assisted Housing	_____
HOME	_____		PHA - CDF	_____
HOPWA	_____		Other	_____
Total Formula	_____	\$83,239	Total	_____

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Wise Guys-Metro Council for Teen Potential

Priority Need: Public Services

Specific Objectives: Improve services for low/mod income persons

Description: An interactive and evidence-based life skills and teen pregnancy prevention curriculum for young males, ages 11 to 16 and above. The goal of the project is to engage young men in a structured program to develop skills and attitudes that will lead to prevention of teen pregnancies and better decision making.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Citywide

CDBG National Objective: Low / Mod Limited Clientele

Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable Living Environments

Performance Measure: People Assisted

Type of Recipient: Subrecipient

HUD Matrix Code/CDBG Citation: 05D Youth Services 570.201e

Expected Start / Completion Date:
07/01/2009 - 06/30/2010

Accomplishment Type: People

Proposed Annual / Upon Completion Units:
150 People

Funding sources:

CDBG _____ \$15,000

ESG _____

HOME _____

HOPWA _____

Total Formula _____ **\$15,000**

Prior Year Funds _____

Assisted Housing _____

PHA - CDF _____

Other _____

Total _____

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Neighborhood Aquatics Plan

Priority Need: Public Facilities

Specific Objectives: Improve quality / increase quantity of neighborhood facilities for low-income persons

Description: Implementation of aquatics program citywide.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Citywide

CDBG National Objective: Low / Mod Area

Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable living Environments

Performance Measure: Persons Assisted

Type of Recipient: Department of Environmental Services

HUD Matrix Code/CDBG Citation: 03F Parks, Recreational Facilities 570.201c

Expected Start / Completion Date:
07/01/2009 - 06/30/2010

Accomplishment Type: People

Proposed Annual / Completion Units: To be Determined

Funding sources:

CDBG	_____	\$200,000
ESG	_____	
HOME	_____	
HOPWA	_____	
Total Formula	_____	\$200,000

Prior Year Funds	_____
Assisted Housing	_____
PHA - CDF	_____
Other Funding	_____
Total	_____

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Susan B. Anthony Pedestrian Access

Priority Need: Infrastructure

Specific Objectives: Improve quality / increase quantity of public improvements for low-income persons

Description: This project involves design and construction of a new mid-block lighted walkway connecting W. Main Street sidewalk with the Troup Street Park, and the commemoration of the site of Susan B. Anthony's first vote. This area is targeted for neighborhood revitalization. The West Main Street Enhancements to the ROW Improvements at the Troup Street Playground (\$340K), housing initiatives in the Susan B. Anthony Historic District, and Interpretive signage for the Rochester Heritage Trail that links this area to Center City.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Susan B. Anthony Neighborhood	CDBG National Objective: Low / Mod Area
Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable Living Environments	Performance Measure: Persons Assisted
Type of Recipient: Department of Environmental Services	HUD Matrix Code/CDBG Citation: 03L Sidewalks 570.201C
Expected Start / Completion Date: 07/01/2009 - 06/30/2010	
Accomplishment Type: People	Proposed Annual / Upon Completion Units: 1,554 People

Funding sources:

CDBG	_____ \$290,000	Prior Year Funds	_____
ESG	_____	Assisted Housing	_____
HOME	_____	PHA - CDF	_____
HOPWA	_____	Other Funding	_____
Total Formula	_____ \$290,000	Total	_____

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Neighborhood Right-of-Way Improvements

Priority Need: Infrastructure

Specific Objectives: Improve quality / increase quantity of public improvements for low-income persons

Description: Improvements of the rights-of-way in CDBG eligible locations including the upgrade of road surfaces, curbing, tree lawns, and catch basins.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Citywide	CDBG National Objective: Low / Mod Area
Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable living Environments	Performance Measure: Persons Assisted
Type of Recipient: Department of Environmental Services	HUD Matrix Code/CDBG Citation: 03K Street Improvements 570.201c
Expected Start / Completion Date: 07/01/2009 - 06/30/2010	
Accomplishment Type: People	Proposed Annual / Completion Units: To be Determined

Funding sources:

CDBG	_____ \$226,000	Prior Year Funds	_____
ESG	_____	Assisted Housing	_____
HOME	_____	PHA - CDF	_____
HOPWA	_____	Other Funding	_____
Total Formula	_____ \$226,000	Total	_____

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Neighborhood Streetscapes

Priority Need: Infrastructure

Specific Objectives: Improve quality / increase quantity of public improvements for low-income persons

Description: Streetscape improvements including public safety initiatives in eligible neighborhoods.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Citywide

CDBG National Objective: Low / Mod Area

Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable living Environments

Performance Measure: Persons Assisted

Type of Recipient: Department of Environmental Services

HUD Matrix Code/CDBG Citation: 03K Street Improvements 570.201c

Expected Start / Completion Date:
07/01/2009 - 06/30/2010

Accomplishment Type: People

Proposed Annual / Completion Units: To be Determined

Funding sources:

CDBG	\$274,000	Prior Year Funds	_____
ESG	_____	Assisted Housing	_____
HOME	_____	PHA - CDF	_____
HOPWA	_____	Other Funding	_____
Total Formula	\$274,000	Total	_____

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Youth News Team-RCTV

Priority Need: Public Services

Specific Objectives: Improve services for low/mod income persons

Description: After-school program where students will design and produce a short monthly youth news program incorporating studio interviews, news reporting and footage from the field. The productions will also include video public service announcements produced by the participants and other local youth groups. The program gives participants the opportunity to improve their production and editing skills, provides mentoring relationships, and supports youth civic engagement.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Citywide

CDBG National Objective: Low / Mod Limited Clientele

Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable Living Environments

Performance Measure: People Assisted

Type of Recipient: Subrecipient

HUD Matrix Code/CDBG Citation: 05D Youth Services 570.201e

Expected Start / Completion Date:
07/01/2009 - 06/30/2010

Accomplishment Type: People

Proposed Annual / Upon Completion Units: 12 People

Funding sources:

CDBG	_____	\$11,200	Prior Year Funds	_____
ESG	_____		Assisted Housing	_____
HOME	_____		PHA - CDF	_____
HOPWA	_____		Other	_____
Total Formula	_____	\$11,200	Total	_____

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Young Fathers Program

Priority Need: Public Services

Specific Objectives: Improve services for low/mod income persons

Description: Will provide services to young fathers up to the age of 21 and their children. Services include training in the "Wise Guy" character development curriculum, intensive counseling, case management, employment services and vocational training support, educational support, day care, transportation, medical care, parent training, and emotional support.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Citywide

CDBG National Objective: Low / Mod Limited Clientele

Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable Living Environments

Performance Measure: People Assisted

Type of Recipient: Subrecipient

HUD Matrix Code/CDBG Citation: 05D Youth Services 570.201e

Expected Start / Completion Date:
07/01/2009 - 06/30/2010

Accomplishment Type: People

Proposed Annual / Upon Completion Units: 20 People

Funding sources:

CDBG	_____ \$34,200	Prior Year Funds	_____
ESG	_____	Assisted Housing	_____
HOME	_____	PHA - CDF	_____
HOPWA	_____	Other	_____
Total Formula	_____ \$34,200	Total	_____

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Youth Training Academy

Priority Need: Public Services

Specific Objectives: Improve services for low/mod income persons

Description: Will teach core skills sets in business office and customer service skills to youth ages 15-17, in three sessions during the school year, to prepare youth to work in office positions. Core skills training include but are not limited to communication skills, business technology skills, and social and interpersonal skills sets, e.g., conflict resolution and team work skills. Upon completion, students are placed in sheltered internships to continue to develop their newly learned skills before being placed in unstructured employment.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Citywide

CDBG National Objective: Low / Mod Limited Clientele

Proposed Outcome: Availability / Accessibility for the Purpose of Creating Suitable Living Environments

Performance Measure: People Assisted

Type of Recipient: Recreation and Youth Services

HUD Matrix Code/CDBG Citation: 05D Youth Services 570.201e

Expected Start / Completion Date:
07/01/2009 - 06/30/2010

Accomplishment Type: People

Proposed Annual / Upon Completion Units: 40 People

Funding sources:

CDBG	_____ \$56,400	Prior Year Funds	_____
ESG	_____	Assisted Housing	_____
HOME	_____	PHA - CDF	_____
HOPWA	_____	Other	_____
Total Formula	_____ \$56,400	Total	_____

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: YWCA-Young Mothers Program

Priority Need: Public Services

Specific Objectives: Improve the services for low/mod income persons

Description: This program will provide long-term services to pregnant and parenting teens between the ages of 13 and 18. The program will provide intensive case management, including assessment, planning, and coordination of services in the areas of psycho-social development, health, nutrition, education, parenting, life skills and economic self-sufficiency.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Citywide

CDBG National Objective: Low/Mod Limited Clientele

Proposed Outcome: Availability/Accessibility for the Purpose of Creating Suitable Living Environments

Performance Measure: People Assisted

Type of Recipient: Subrecipient

HUD Matrix Code/CDBG Citation: 05D Youth Services 570.201e

Expected Start / Completion Date:
07/01/2009 - 06/30/2010

Accomplishment Type: People

Proposed Annual / Upon Completion Units: 25 People

Funding sources:

CDBG	_____	\$36,000	Prior Year Funds	_____
ESG	_____		Assisted Housing	_____
HOME	_____		PHA - CDF	_____
HOPWA	_____		Other	_____
Total Formula	_____	\$36,000	Total	_____

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

City of Rochester
UOG Code: NY365544 Rochester

Project / Local ID:
IDIS Project #:

Consolidated Plan Listing of Projects

Project Title: Indirect Costs – Unallocated Staff

Priority Need: Planning/Administration

Specific Objectives: Improve the services for low/mod income persons

Description: This program provides funds for City services, such as legal and financial services, that benefit more than one activity.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Citywide	CDBG National Objective: Not Applicable
Proposed Outcome: Not Applicable	Performance Measure: Not Applicable
Type of Recipient: City of Rochester	HUD Matrix Code/CDBG Citation: 21B Indirect Costs 570.206
Expected Start / Completion Date: 07/01/2009 - 06/30/2010	
Accomplishment Type: Not Applicable	Proposed Annual / Upon Completion Units: Not Applicable

Funding sources:

CDBG	<u> \$618,100 </u>	Prior Year Funds	<u> </u>
ESG	<u> </u>	Assisted Housing	<u> </u>
HOME	<u> </u>	PHA – CDF	<u> </u>
HOPWA	<u> </u>	Other	<u> </u>
Total Formula	<u> \$618,100 </u>	Total	<u> </u>

The primary purpose of the project is to help:

the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

ATTACHMENT VII
ANNUAL ACTION PLAN
PROPOSED PROJECT / ACTIVITY SUMMARY BY GOAL

GOAL / PROJECT / ACTIVITY	COBG	HOME	ESG	HOPWA	CDF	TOTAL
PROMOTE ECONOMIC STABILITY						
ED Financial Assistance Loan and Grant	\$ 979,693	-	-	-	40,000	\$ 1,019,693
High Technology of Rochester	\$ -	-	-	-	10,000	\$ 10,000
NBD Project Assistance Fund	\$ -	-	-	-	152,371	\$ 152,371
Section 108 Loan Loss Reserve	\$ 300,000	-	-	-	-	\$ 300,000
Targeted Façade Improvement Program	\$ -	-	-	-	300,000	\$ 300,000
Total	\$ 1,279,693	\$ -	\$ -	\$ -	\$ 502,371	\$ 1,782,064
IMPROVE THE HOUSING STOCK AND GENERAL PROPERTY CONDITIONS						
Housing Development Fund	\$ 1,346,000	2,857,267	-	-	-	\$ 4,203,267
Neighborhood and Business - Program Delivery	\$ 2,331,800	350,910	21,195	19,755	-	\$ 2,723,660
Homeownership Fund	\$ 375,000	406,079	-	-	60,000	\$ 841,079
Rental Market Fund	\$ 70,000	-	-	-	-	\$ 70,000
Housing Choice Fund	\$ 70,000	-	402,714	638,764	-	\$ 1,111,478
Neighborhood and Asset-Based Planning Fund	\$ 2,486,408	-	-	-	140,593	\$ 2,627,001
Total	\$ 6,679,208	\$ 3,614,256	\$ 423,909	\$ 658,519	\$ 200,593	\$ 11,576,485
GENERAL COMMUNITY NEEDS						
Borinquen Dance Program	\$ 10,000	-	-	-	-	\$ 10,000
Family Talk	\$ 10,000	-	-	-	-	\$ 10,000
Fire Department Small Equipment	\$ 50,000	-	-	-	-	\$ 50,000
Job Creation / Youth Development	\$ 83,239	-	-	-	-	\$ 83,239
Wise Guys Project-MCTP	\$ 15,000	-	-	-	-	\$ 15,000
Neighborhood Aquatics Plan	\$ 200,000	-	-	-	-	\$ 200,000
Susan B. Anthony Pedestrian Access	\$ 290,000	-	-	-	-	\$ 290,000
Neighborhood Right-of-Way Improvements	\$ 226,000	-	-	-	-	\$ 226,000
Neighborhood Streetscapes	\$ 274,000	-	-	-	-	\$ 274,000
Sisters Together Achieving Results	\$ 10,000	-	-	-	-	\$ 10,000
Youth News Team-RCTV	\$ 11,200	-	-	-	-	\$ 11,200
Young Father's Program	\$ 34,200	-	-	-	-	\$ 34,200
Youth Training Academy	\$ 56,400	-	-	-	-	\$ 56,400
YWCA Young Mother's Program	\$ 36,000	-	-	-	-	\$ 36,000
Total	\$ 1,306,039	\$ -	\$ -	\$ -	\$ -	\$ 1,306,039
OTHER PROGRAMS						
Indirect Costs - Unallocated Staff	\$ 618,100	-	-	-	-	\$ 618,100
Program Management Staff	\$ 354,000	-	-	-	-	\$ 354,000
Total	\$ 972,100	\$ -	\$ -	\$ -	\$ -	\$ 972,100
TOTAL	\$ 10,237,040	\$ 3,614,256	\$ 423,909	\$ 658,519	\$ 702,964	\$ 15,636,688

ATTACHMENT VII
ANNUAL ACTION PLAN
HOUSING FUND SUMMARY - PROPOSED PROJECTS / ACTIVITIES

GOAL / PROJECT / ACTIVITY	CDBG	HOME	ESG	HOPWA	CDF	TOTAL
Housing Development Fund						
Community Housing Development Organizations	\$ -	\$ 526,365	\$ -	\$ -	\$ -	\$ 526,365
Housing Development Support	\$ 291,000	\$ -	\$ -	\$ -	\$ -	\$ 291,000
NeighborWorks Rochester - Revolving Loan Fund	\$ 55,000	\$ -	\$ -	\$ -	\$ -	\$ 55,000
New Construction for Homeownership	\$ -	\$ 150,000	\$ -	\$ -	\$ -	\$ 150,000
Rental Housing Fund	\$ -	\$ 2,180,902	\$ -	\$ -	\$ -	\$ 2,180,902
Emergency Assistance Repair	\$ 1,000,000	\$ -	\$ -	\$ -	\$ -	\$ 1,000,000
Total	\$ 1,346,000	\$ 2,857,267	\$ -	\$ -	\$ -	\$ 4,203,267
Neighborhood and Business - Program Delivery						
	\$ 2,331,800	\$ 350,910	\$ 21,195	\$ 19,755	\$ -	\$ 2,723,660
Homeownership Fund						
Buyer Assistance	\$ -	\$ 156,079	\$ -	\$ -	\$ 60,000	\$ 216,079
Foreclosure Prevention	\$ 75,000	\$ -	\$ -	\$ -	\$ -	\$ 75,000
Homebuyer Training	\$ -	\$ 50,000	\$ -	\$ -	\$ -	\$ 50,000
Home Rochester	\$ -	\$ 200,000	\$ -	\$ -	\$ -	\$ 200,000
Mortgage Default	\$ 300,000	\$ -	\$ -	\$ -	\$ -	\$ 300,000
Total	\$ 375,000	\$ 406,079	\$ -	\$ -	\$ 60,000	\$ 841,079
Rental Market Fund						
Landlord / Tenant Services	\$ 70,000	\$ -	\$ -	\$ -	\$ -	\$ 70,000
Promote Housing Choice						
Fair Housing Activities	\$ 70,000	\$ -	\$ -	\$ -	\$ -	\$ 70,000
Alternatives for Battered Women	\$ -	\$ -	\$ 21,490	\$ -	\$ -	\$ 21,490
Asbury Dining & Caring Center	\$ -	\$ -	\$ 2,010	\$ -	\$ -	\$ 2,010
Blessed Sacrament Church	\$ -	\$ -	\$ 11,780	\$ -	\$ -	\$ 11,780
Cameron Community Ministries	\$ -	\$ -	\$ 7,640	\$ -	\$ -	\$ 7,640
Catholic Charities	\$ -	\$ -	\$ 5,000	\$ -	\$ -	\$ 5,000
Catholic Family Center / Francis Center	\$ -	\$ -	\$ 16,710	\$ -	\$ -	\$ 16,710
Catholic Family Center / Sanctuary House	\$ -	\$ -	\$ 7,640	\$ -	\$ -	\$ 7,640
Catholic Family Center / Women's Place	\$ -	\$ -	\$ 19,100	\$ -	\$ -	\$ 19,100
Dimitri House	\$ -	\$ -	\$ 12,710	\$ -	\$ -	\$ 12,710
Enrich House	\$ -	\$ -	\$ 14,320	\$ -	\$ -	\$ 14,320
Hillside Children's Center	\$ -	\$ -	\$ 8,008	\$ -	\$ -	\$ 8,008
Mercy Residential / Families First	\$ -	\$ -	\$ 13,370	\$ -	\$ -	\$ 13,370
Mercy Residential / Melita House	\$ -	\$ -	\$ 12,410	\$ -	\$ -	\$ 12,410
Recovery House	\$ -	\$ -	\$ 20,150	\$ -	\$ -	\$ 20,150
Rochester Area Interfaith Hospitality Network	\$ -	\$ -	\$ 11,460	\$ -	\$ -	\$ 11,460
Salvation Army / Booth Haven	\$ -	\$ -	\$ 28,650	\$ -	\$ -	\$ 28,650
Sojourner House	\$ -	\$ -	\$ 23,870	\$ -	\$ -	\$ 23,870
Spiritus Christi - Jennifer House	\$ -	\$ -	\$ 13,370	\$ -	\$ -	\$ 13,370
Spiritus Christi - Neilsen House	\$ -	\$ -	\$ 9,550	\$ -	\$ -	\$ 9,550

ATTACHMENT VII
ANNUAL ACTION PLAN
HOUSING FUND SUMMARY - PROPOSED PROJECTS / ACTIVITIES

GOAL / PROJECT / ACTIVITY	CDBG	HOME	ESG	HOPWA	CDF	TOTAL
St Martin's Place	\$ -	\$ -	\$ 8,590	\$ -	\$ -	\$ 8,590
Unallocated	\$ -	\$ -	\$ 75,476	\$ 638,764	\$ -	\$ 714,240
The Center for Youth / Runaway Homeless Youth	\$ -	\$ -	\$ 28,650	\$ -	\$ -	\$ 28,650
Veterans Outreach Center	\$ -	\$ -	\$ 8,090	\$ -	\$ -	\$ 8,090
Volunteers of America	\$ -	\$ -	\$ 10,000	\$ -	\$ -	\$ 10,000
Wilson Commencement Park	\$ -	\$ -	\$ 5,510	\$ -	\$ -	\$ 5,510
YWCA	\$ -	\$ -	\$ 7,160	\$ -	\$ -	\$ 7,160
Total	\$ 70,000	\$ -	\$ 402,714	\$ 638,764	\$ -	\$ 1,111,478
Neighborhood and Asset-Based Planning Fund						
Planning Staff	\$ 389,000	\$ -	\$ -	\$ -	\$ -	\$ 389,000
Sector Planning Support	\$ 50,000	\$ -	\$ -	\$ -	\$ -	\$ 50,000
Focused Investment Strategy	\$ 2,047,408	\$ -	\$ -	\$ -	\$ 140,593	\$ 2,188,001
	\$ 2,486,408	\$ -	\$ -	\$ -	\$ 140,593	\$ 2,627,001
TOTAL	\$ 6,679,208	\$ 3,614,256	\$ 423,909	\$ 658,519	\$ 200,593	\$ 11,576,485

PUBLIC COMMENTS

**Statement at City Council Meeting
Consolidated Community Development Plan**

The Administration is proposing an amendment that corrects our total available from \$15,172,352 to \$15,655,609, additional \$483,257. The City did not receive notice of its formula grant amounts from HUD in January as we typically do. Our plan was drafted based on last year's amounts. The amounts have now been announced, necessitating this amendment. We are also proposing a further amendment to correct an erroneous funding allocation by allocating the sum of \$1,956,440 to the Rental Housing Fund in the Housing Development fund of the Improving the Housing Stock and General Property Conditions Allocation, instead of the Rehab Rochester Program.

We advised citizens of these proposed changes by:

- publishing a notice of amendment in the legal section of the Democrat and Chronicle on May 8, 2009, and
- issuing a news release on May 9, 2009 which described the amendment on May 8, 2009.

Attachment IX
Notice of Public Hearing

PUBLIC NOTICE

PROPOSED 2009-2010 CONSOLIDATED COMMUNITY DEVELOPMENT PLAN/ ONE-YEAR ACTION PLAN FOR THE CITY OF ROCHESTER

The Action Plan is the fifth annual implementation plan of the five-year 2005-2010 Consolidated Community Development Plan for the City of Rochester (Consolidated Plan).

Contents:

The Consolidated Plan identified the overall housing and community development needs and outlined a strategy to address those needs. It described housing and homeless needs and market conditions, set forth a strategy that established priorities, identified federal and other resources anticipated being available and outlined the proposed use of those resources. The Consolidated Plan brings together, in one consolidated submission, the planning and application requirements for the Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), American Dream Downpayment Initiative (ADDI), Emergency Shelter Grant and Housing Opportunities for Persons with AIDS.

For Program Year 2009-10 (July 1, 2009 - June 30, 2010), the Action Plan describes resources to be utilized, activities to be implemented as well as other actions to be carried out.

Citizens, public agencies, and other interested parties are invited to examine its contents and to submit comments.

Funding:

The amount of revenue expected to be available to fund plan activities is estimated to be \$15,172,352. Of this amount, \$13,764,234 represents new grants from the federal government and \$1,408,118 represents the anticipated receipt of loan and interest repayments on prior year Urban Development Action Grant and other program income.

PURPOSE:

Promote Economic Stability
AMOUNT: \$1,689,271

PURPOSE: Improve Housing
Stock/General Property
Conditions
AMOUNT: \$11,204,942

PURPOSE: Respond to
General Community Needs
AMOUNT: \$1,306,039

PURPOSE: Other Programs
AMOUNT: \$972,100

Total: \$15,172,352

Plan Availability:

Copies of the Plan are available at the following locations:

Department of Community
Development
City Hall, Room #125-B
30 Church Street
Rochester, New York 14614

Office of the City Clerk
City Hall, Room #300-A
30 Church Street
Rochester, New York 14614

Office Communications
City Hall, Room #202-A
30 Church Street
Rochester, New York 14614

Rochester Public
Library/Rundel
Memorial Building
115 South Avenue
Rochester, New York 14604

Public Comment:

Comments should be submitted to Joe Mustico, Assistant to the Commissioner of Community Development, City Hall, Room #125-B, 30 Church Street, Rochester, New York 14614 by May 12, 2009. Mr. Mustico can be reached at (585) 428-6552.

Public Hearing

A public hearing on the Consolidated Community Development Program / 2009-10 Draft Annual Action Plan will take place at a meeting of the Council of the City of Rochester to be held in the Council Chambers, Third Floor, City Hall, 30 Church Street, Rochester, New York 14614, on the 12th day of May 2009 at 8:00 PM. The hearing will consider the 2009-10 Draft Annual Action Plan, including the City Development Fund and annual performance report on the 2007-08 Consolidated Community Development Plan Program Year.

Assisted listening devices and/or alternative formats, including American Sign Language (ASL) interpreters, are available upon request. To make appropriate arrangements for these services, please telephone the City Clerk at (585) 428-7421 (voice) or (585) 428-7600 (TTY) during regular business hours, by 4:00 PM on the day before the meeting. Later requests will be accommodated to the extent feasible.

As part of its Consolidated Community Development Plan, Citizen Participation Plan, the City provides bilingual services to the Hispanic community. To make appropriate arrangements for these services, please telephone (585) 428-7711 during regular business hours, at least three (3) business days prior to the City Council meeting.

Daniel B. Karin
City Clerk COD-1x

4900

Legals

Memorial Building
115 South Avenue
Rochester, NY 14604

Public Comment:
Comments on the amendment should be submitted to Joe Mustico, Assistant to the Commissioner of Community Development, City Hall, Room 125B, 30 Church Street, Rochester, NY 14614 by May 12, 2009.

Public Hearing:

A public hearing on the Consolidated Community Development Program/2009-10 Draft Annual Action Plan, including this amendment, will take place at a meeting of the Council of the City of Rochester to be held in the Council Chambers, Third Floor, City Hall, 30 Church Street, Rochester, NY 14614 on the 12th day of May 2009 at 8:00 PM. The hearing will consider the 2009-10 Draft Annual Action Plan and amendment, including the City Development Fund and annual performance report on the 2007-08 Consolidated Community Development Plan Program Year.

Assisted listening devices and/or alternative formats are available upon request. American Sign Language (ASL) interpreters, other auxiliary aids and services, or reasonable modifications to City Council meeting policies and/or procedures, such as to assist members of the disabled community who would like to request a disability-related accommodation in addressing the City Council, are available if requested at least three (3) business days prior to the City Council meeting. Later requests will be accommodated to the extent feasible. Please telephone the City Clerk at (585) 428-7421 (voice) or (585) 428-7600 (TTY), from 9:00 AM to 5:00 PM, Monday through Friday.

The City provides bilingual services to the Hispanic community. For assistance, please telephone (585) 428-7711 from 9:00 AM to 5:00 PM Monday through Friday at least three (3) business days prior to the City Council meeting to request these services.

Daniel B. Karin
City Clerk CRK-1x

PUBLIC NOTICE

AMENDMENT TO PROPOSED 2009-10 CONSOLIDATED COMMUNITY DEVELOPMENT PLAN ONE YEAR ACTION PLAN FOR THE CITY OF ROCHESTER

Final grant amounts from the U. S. Department of Housing and Urban Development have been made available. These final amounts were announced after the City of Rochester published the draft Consolidated Plan. This amendment describes the changes.

Funding:

The amount of revenue expected to be available to fund plan activities was formerly estimated to be \$15,172,352. The new amount is \$15,655,609, an increase of \$483,257. Of this amended amount, \$14,247,491 represents new grants from the federal government and \$1,408,118 represents the anticipated receipt of loan and interest repayments on prior year Urban Development Action Grant and other program income.

Purpose: Promote Economic Stability
Original Amount: \$1,689,271
New Amount: \$1,782,064
Increase of \$92,793

Purpose: Improve Housing Stock/General Property Conditions
Original Amount: \$11,204,942
New Amount: \$11,595,406
Increase of \$390,464

The Rental Housing Fund is added to the Housing Development Fund in the amount of \$224,462 allocated out of the increase in funds.

On Attachment VII of the Draft Plan, \$1,956,440 was erroneously listed for Rehab Rochester under the Housing Development Fund. This amount is also allocated to the Rental Housing Fund. The funds stay in the group known as Housing Development Fund.

Purpose: Respond to General Community Needs
Amount: \$1,306,039
No change

Purpose: Other Programs
Amount: \$972,100
No change

Original Total: \$15,172,352
New Total: \$15,655,609
Increase of \$483,257

Plan Availability:

Copies of the Plan are available at the following locations:

Department of Community Development
City Hall, Room 125B
30 Church Street
Rochester, NY 14614

Office of the City Clerk
City Hall, Room 300A
30 Church Street
Rochester, NY 14614

Communications Bureau
City Hall, Room 202A
30 Church Street
Rochester, NY 14614

Rochester Public Library/Rundel

Legals

Legals

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Legals

**HEARING NOTICE
AND NOTICE OF
TECHNICAL AMENDMENT
2009-10 CONSOLIDATED
COMMUNITY
DEVELOPMENT
PLAN/ANNUAL ACTION
PLAN AMENDMENT**

Notice is hereby given that a meeting of the Council of the City of Rochester to be held in the Council Chambers, Third Floor, City Hall, 30 Church Street, Rochester, New York, 14614, on the 28th day of May, 2009 at 4:00 P.M., a public hearing will be held upon a technical amendment to the 2009-10 Consolidated Community Development Plan/Annual Action Plan.

In preparing the consolidated plan, the City used an estimate of \$18,921 for the American Dream Downpayment Incentive (ADDI) Program. The City has been notified that there

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Legals

is no funding for ADDI. The ADDI amount will be subtracted from the Buyer Assistance Program in the Homeownership Fund. The new total for the Homeownership Fund is \$841,079.

Copies of the technical amendment are available at the Department of Community Development, 125B City Hall, 30 Church Street, Rochester, NY 14614; Office of the City Clerk, 300A City Hall, 30 Church Street, Rochester, NY 14614; Communications Bureau, 202A City Hall, 30 Church Street, Rochester, NY 14614; Rochester Public Library/Rundel Memorial Building, 115 South Avenue, Rochester, NY 14604. These locations are accessible to the disabled. The technical amendment is also available at www.cityofrochester.gov. Written comments may be submitted to Joe Mustico, Assistant to the Commissioner of Community Develop-

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Legals

ment, 125B City Hall, 30 Church Street, Rochester, NY 14614 by May 28, 2009. Mr. Mustico, the official grantee contact can be reached at (585) 428-6552.

Assisted listening devices and/or alternative formats are available upon request. American Sign Language (ASL) interpreters, other auxiliary aids and services, or reasonable modifications to City Council meeting policies and/or procedures, such as to assist members of the disabled community who would like to request a disability-related accommodation in addressing the City Council, are available if requested at least three (3) business days prior to the City Council meeting. Later requests will be accommodated to the extent feasible. Please telephone the City Clerk at (585) 428-7421 (voice) or (585) 428-7600 (TTY), from 9:00 AM to 5:00 PM, Monday through Friday.

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Legals

The City provides bilingual services to the Hispanic community. For assistance, please telephone (585) 428-7711 from 9:00 AM to 5:00 PM Monday through Friday at least three (3) business days prior to the City Council meeting to request these services.

Daniel B. Karin
City Clerk
D&C - Saturday, May 23
CTC-1x

Attachment X

Local Legislation



City of Rochester

City Clerks Office

Certified Ordinance

Rochester, N.Y., _____

TO WHOM IT MAY CONCERN:

I hereby certify that the following is a true copy of an ordinance which was duly passed by the Council of the City of Rochester on **May 12, 2009** and **Approved** by the Mayor of the City of Rochester, and was deemed duly adopted on **May 13, 2009** in accordance with the applicable provisions of law.

Ordinance No. 2009-146

Approving The Consolidated Community Development
Plan/2009-10 Annual Action Plan, As Amended

WHEREAS, there is pending before this Council the Draft Consolidated Community Development Plan/2009-10 Annual Action Plan to be financed with \$[15,172,352] 15,655,609 available to the City of Rochester from the Community Development Block Grant, HOME Program, American Dream Down Payment Initiative, Emergency Shelter Grant Program, Housing Opportunities for Persons with AIDS Program and Urban Development Action Grant loan and interest repayments; and

WHEREAS, the City's Community Development Program has been recognized for best practices, receiving the U.S. Department of Housing and Urban Development - Buffalo Office Meritorious Award in 2006, eight John J. Gunther Blue Ribbon Best Practices in Community Development from the U.S. Department of Housing and Urban Development in 1998 and a U.S. Department of Housing and Urban Development Secretary's Award for National Excellence in 1986; and

WHEREAS, the proposed plan was prepared according to an approved Citizen Participation Plan and reflects public comments and consultations; and

WHEREAS, the proposed plan was published in the legal section of the Democrat and Chronicle on April 9, 2009 and notice of the amended amounts has also been published and disseminated prior to the public hearing held on May 12, 2009; and

WHEREAS, citizens, public agencies and other interested parties were invited to submit comments by May 12, 2009; and

WHEREAS, the City Council has reviewed the needs, strategies and proposed actions with City staff; and

WHEREAS, the City Council conducted a public hearing on the Draft Consolidated Community Development Plan/2009-10 Annual Action Plan and the needs, strategies, proposed actions and annual performance; and

WHEREAS, the City Council has reviewed the public comments and recommendations.

NOW, THEREFORE, BE IT ORDAINED, by the Council of the City of Rochester as follows:

Section 1. The Council hereby adopts the Draft Consolidated Community Development Plan/2009-10 Annual Action Plan, as amended by allocating an additional \$92,793 in funding to the Promoting Economic Stability Allocation and an additional \$390,464 to the Rental Housing Fund in the Housing Development Fund of the Improving the Housing Stock and General Property Conditions Allocation. The Draft Consolidated Community Development Plan/2009-10 Annual Action Plan is further amended to correct an erroneous funding allocation by allocating the sum of \$1,956,440 to the Rental Housing Fund in the Housing Development Fund of the Improving the Housing Stock and General Property Conditions Allocation, instead of the Rehab Rochester Program.

Section 2. The Mayor is hereby directed to prepare detailed programs and specifications for the various actions and to submit said plans to City Council for approval prior to implementation.

Section 3. This ordinance shall take effect immediately.

Bracketed material deleted; underlined material added.

Passed by the following vote:

Ayes - President Santiago, Councilmembers Conklin, Lightfoot, McFadden, Miller, Palumbo, Pritchard, Spaul, Warren - 9.

Nays - None - 0.

Attest



City Clerk



City of Rochester

City Clerks Office

Certified Ordinance

Rochester, N.Y., _____

TO WHOM IT MAY CONCERN:

I hereby certify that the following is a true copy of an ordinance which was duly passed by the Council of the City of Rochester on **May 12, 2009** and **Approved** by the Mayor of the City of Rochester, and was deemed duly adopted on **May 13, 2009** in accordance with the applicable provisions of law.

Ordinance No. 2009-147

Authorizing Submission Of The Consolidated Community
Development Plan/2009-10 Annual Action Plan And
Execution Of Grant Agreements With The United States
Department Of Housing And Urban Development

BE IT ORDAINED, by the Council of the City of Rochester as follows:

Section 1. The Mayor is hereby authorized to submit the Consolidated Community Development Plan/2009-10 Annual Action Plan to the United States Department of Housing and Urban Development. The Mayor is hereby further authorized to provide any such information that may be required and execute all necessary grant agreements with the United States Department of Housing and Urban Development for the Program Year beginning July 1, 2009.

Section 2. This ordinance shall take effect immediately.

Passed by the following vote:

Ayes - President Santiago, Councilmembers Conklin, Lightfoot, McFadden, Miller, Palumbo, Pritchard, Spaul, Warren - 9.

Nays - None - 0.

Attest

City Clerk



City of Rochester

City Clerks Office

Certified Ordinance

Rochester, N.Y., _____

TO WHOM IT MAY CONCERN:

I hereby certify that the following is a true copy of an ordinance which was duly passed by the Council of the City of Rochester on **May 12, 2009** and **Approved** by the Mayor of the City of Rochester, and was deemed duly adopted on **May 13, 2009** in accordance with the applicable provisions of law.

Ordinance No. 2009-148

Appropriation Of Funds For The City Development Fund

BE IT ORDAINED, by the Council of the City of Rochester as follows:

Section 1. As part of the Consolidated Community Development Plan/2009-10 Annual Action Plan, the Council hereby appropriates the sum of \$702,964 in anticipated UDAG Loan Repayment Funds and interest, to be utilized as capital for the City Development Fund.

Section 2. The Mayor is hereby authorized to enter into such agreements as may be necessary for the implementation of programs funded by the appropriations made herein.

Section 3. The agreements shall contain such terms and conditions as the Mayor deems to be appropriate.

Section 4. This ordinance shall take effect immediately.

Passed by the following vote:

Ayes - President Santiago, Councilmembers Conklin, Lightfoot, McFadden, Miller, Palumbo, Pritchard, Spaul, Warren - 9.

Nays - None - 0.

Attest

City Clerk

Ordinance No.

Authorizing An Amendatory 2009-10
Community Development Program Plan

BE IT ORDAINED, by the Council of the City of Rochester as follows:

Section 1. The Council hereby approves an amendment to the 2009-10 Community Development Program Plan to delete funding in the amount of \$18,921 for the American Dream Downpayment Incentive (ADDI) Program which was included in the Buyer Assistance Program of the Homeownership Fund within the Improving the Housing Stock and General Property Conditions Allocation.

Section 2. This ordinance shall take effect immediately.

Attachment XI

Certifications

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential antidisplacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Drug Free Workplace -- It will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about –
 - (a) The dangers of drug abuse in the workplace;
 - (b) The grantee's policy of maintaining a drug-free workplace;
 - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
 - (a) Abide by the terms of the statement; and
 - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted:
- (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

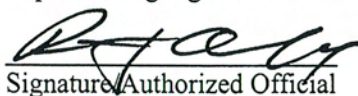
Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.


Signature/Authorized Official

May 29, 2009
Date

Mayor
Title

Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
2. **Overall Benefit.** The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) , (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements. However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K and R;

Compliance with Laws -- It will comply with applicable laws.


Signature/Authorized Official

May 29, 2009
Date

Mayor
Title

OPTIONAL CERTIFICATION CDBG

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having a particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

Signature/Authorized Official

Date

Title

Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If the participating jurisdiction intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

Eligible Activities and Costs -- it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

Appropriate Financial Assistance -- before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing.


Signature/Authorized Official

May 29, 2009
Date

Mayor
Title

ESG Certifications

The Emergency Shelter Grantee certifies that:

Major rehabilitation/conversion -- It will maintain any building for which assistance is used under the ESG program as a shelter for homeless individuals and families for at least 10 years. If the jurisdiction plans to use funds for rehabilitation (other than major rehabilitation or conversion), the applicant will maintain any building for which assistance is used under the ESG program as a shelter for homeless individuals and families for at least 3 years.

Essential Services and Operating Costs -- Where assistance involves essential services or maintenance, operation, insurance, utilities and furnishings, it will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure as long as the same general population is served.

Renovation -- Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services -- It will assist homeless individuals in obtaining appropriate supportive services, including permanent housing, medical and mental health treatment, counseling, supervision, and other services essential for achieving independent living, and other Federal State, local, and private assistance.

Matching Funds -- It will obtain matching amounts required under 24 CFR 576.51.

Confidentiality -- It will develop and implement procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project except with the written authorization of the person responsible for the operation of that shelter.

Homeless Persons Involvement -- To the maximum extent practicable, it will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, operating facilities, and providing services assisted through this program.

Consolidated Plan -- It is following a current HUD-approved Consolidated Plan or CHAS.

Discharge Policy ---- It has established a policy for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent such discharge from immediately resulting in homelessness for such persons.

HMIS -- It will comply with HUD's standards for participation in a local Homeless Management Information System and the collection and reporting of client-level information.


Signature/Authorized Official

May 29, 2009
Date

Mayor
Title

HOPWA Certifications

The HOPWA grantee certifies that:

Activities -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

Building -- Any building or structure assisted under that program shall be operated for the purpose specified in the plan:

1. For at least 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility,
2. For at least 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.


Signature/Authorized Official

May 29, 2009
Date

Mayor
Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:

A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

B. Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).
6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

30 Church Street

Rochester, NY 14614

Check if there are workplaces on file that are not identified here.

The certification with regard to the drug-free workplace is required by 24 CFR part 24, subpart F.

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

Appendices

- A. Priority Needs Tables
- B. Section 3 Implementation Plan
- C. Minority and Women Business Enterprise
- D. HOME Program

Appendix A
Priority Needs Tables

Community Development Needs	Needs	Current	Gap	5-Year Quantities				% of Goal	Priority Need: H, M, L	Dollars to Address	Plan to Fund?	Fund Source
				Year 5		Cumulative						
				Goal	Actual	Goal	Actual					
01 Acquisition of Real Property 570.201(a)	0	0	0									
02 Disposition 570.201(b)	0	0	0									
03 Public Facilities and Improvements (General) 570.201(c)	17	17	0	9	53	40	75%	H	\$350,000	Y	CDBG	
03A Senior Centers 570.201(c)	0	0	0									
03B Handicapped Centers 570.201(c)	0	0	0									
03C Homeless Facilities (not operating costs) 570.201(c)	0	0	0									
03D Youth Centers 570.201(c)	35	35	0	0	0	0			\$0			
03E Neighborhood Facilities 570.201(c)	1	0	1	0	1	0	0%	H	\$1,539,600	Y	CDBG	
03F Parks, Recreational Facilities 570.201(c)	116	116	0	0	0	0		L	\$0			
03G Parking Facilities 570.201(c)	7	8	1	0	0	2		L	\$0			
03H Solid Waste Disposal Improvements 570.201(c)	1	0	1	0	0	0		L	\$0			
03I Flood Drain Improvements 570.201(c)	0	0	0					L				
03J Water/Sewer Improvements 570.201(c)	20	20	0	0	0	0		L	\$0			
03K Street Improvements 570.201(c)	21	20	1	0	1	0	0%	H	\$586,000	Y	CDBG	
03L Sidewalks 570.201(c)	20	20	0	0	0	0		L	\$0			
03M Child Care Centers 570.201(c)	0	0	0					L				
03N Tree Planting 570.201(c)	453	453	0	0	0	0		L	\$0			
03O Fire Stations/Equipment 570.201(c)	16	16	0	0	0	0		L	\$0			
03P Health Facilities 570.201(c)	3	3	0	0	0	0		L	\$0			
03Q Abused and Neglected Children Facilities 570.201(c)	0	0	0					N				
03R Asbestos Removal 570.201(c)	0	0	0					N				
03S Facilities for AIDS Patients (not operating costs) 570.201(c)	0	0	0					N				
03T Operating Costs of Homeless/AIDS Patients Programs	0	0	0					N				
04 Clearance and Demolition 570.201(d)	0	0	0					N				
04A Clean-up of Contaminated Sites 570.201(d)	0	0	0					N				
05 Public Services (General) 570.201(e)	219999	153000	66999	126600	633000	92815	15%	H	\$1,595,000	Y	CDBG	
05A Senior Services 570.201(e)	7360	7360	0	0	0	613		L	\$0			
05B Handicapped Services 570.201(e)	23150	23150	0	0	0	0		L	\$0			
05C Legal Services 570.201(e)	10000	10000	0	0	0	174		L	\$0			
05D Youth Services 570.201(e)	23336	6500	16836	1300	6500	4435	68%	H	\$2,275,000	Y	CDBG	
05E Transportation Services 570.201(e)	40500	40500	0	0	0	0		L	\$0			
05F Substance Abuse Services 570.201(e)	21154	4475	16679	895	4475	0	0%	H	\$235,000	Y	E	
05G Battered and Abused Spouses 570.201(e)	5256	3905	1351	781	3905	0	0%	H	\$115,000	Y	E	
05H Employment Training 570.201(e)	11880	1250	10630	250	1250	631	50%	H	\$350,000	Y	CDBG	
05I Crime Awareness 570.201(e)	780	780	0	0	0	0		L	\$0			
05J Fair Housing Activities (if CDBG, then subject to 570.201(e))	2020	404	1616	0	0	26		H	\$1,500,000	Y	CDBG	
05K Tenant/Landlord Counseling 570.201(e)	26563	1000	25563	1000	5000	1919	38%	H	\$825,000	Y	CDBG	
05L Child Care Services 570.201(e)	9437	9437	0	0	0	222		L	\$0			
05M Health Services 570.201(e)	99999	21900	78099	0	0	630		L	\$0			
05N Abused and Neglected Children 570.201(e)	2963	984	1979	0	0	0		L	\$0			
05O Mental Health Services 570.201(e)	31668	6813	24855	0	0	0		L	\$0			
05P Screening for Lead-Based Paint/Lead Hazards Poison 570.201	20633	8469	12164	0	0	89		L	\$0			
05Q Subsistence Payments 570.204	28200	24204	3996	0	0	46		L	\$0			
05R Homeownership Assistance (not direct) 570.204	9704	2125	7579	2000	10000	0	0%	L	\$0			
05S Rental Housing Subsidies (if HOME, not part of 5% 570.204	25431	1765	23666	413	2065	0	0%	H	\$375,000	Y	CDBG	
05T Security Deposits (if HOME, not part of 5% Admin c	0	0	0					H	\$5,051,500.00	Y	E/CDBG/H	
06 Interim Assistance 570.201(f)	0	0	0					N				
07 Urban Renewal Completion 570.201(h)	0	0	0					N				

Housing Needs Table		Grantee:																	Disproportionate Racial/Ethnic Need?	# of Households in lead-lag Housing	Total Low Income, HIV/AIDS Population			
		Only complete blue sections. Do NOT type in sections other than blue.																						
Household Income ≤ 30% MFI	Owner	Elderly	Current % of Households	Current Number of Households	3-5 Year Quantities										Priority Need?	Plan to Fund?	Fund Source	Households with a Disabled Member						
					Year 1		Year 2		Year 3		Year 4		Year 5*					% HSHLD	# HSHLD					
					Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual						% Goal				
Renter		Elderly	NUMBER OF HOUSEHOLDS	3198														100%	7409	No	TBD			
			Any housing problems	68.4	8	4	8	3	8	19	8									77.4	5735			
			Cost Burden > 30%	67.5	2159	5	3	5	2	5	12	5												
Small Related		Small Related	Cost Burden > 50%	44.5	1424	3	1	3	1	3	7	3												
			NUMBER OF HOUSEHOLDS	6795																				
			With Any Housing Problems	91.2	6195	43	8	43	8	43	41	43												
Large Related		Large Related	Cost Burden > 30%	90.0	6115	18	3	18	3	18	17	18												
			Cost Burden > 50%	78.3	5320	25	5	25	5	25	24	25												
			NUMBER OF HOUSEHOLDS	1739																				
All other hshld		All other hshld	With Any Housing Problems	92.8	1614	44	2	44	2	44	11	44												
			Cost Burden > 30%	90.5	1574	19	1	19	1	19	5	19												
			Cost Burden > 50%	74.5	1295	25	1	25	1	25	6	25												
Elderly		Elderly	NUMBER OF HOUSEHOLDS	7565																				
			With Any Housing Problems	77.3	5845	43	10	43	9	43	48	43												
			Cost Burden > 30%	76.6	5795	18	4	18	4	18	20	18												
Owner		Owner	Cost Burden > 50%	67.0	5065	25	5	25	5	25	28	25												
			NUMBER OF HOUSEHOLDS	1412																				
			With Any Housing Problems	72.0	1017	5	24	5	23	5	56	5												
Small Related		Small Related	Cost Burden > 30%	72.0	1017	2	10	2	10	2	22	2												
			Cost Burden > 50%	46.7	659	3	14	3	13	3	34	3												
			NUMBER OF HOUSEHOLDS	988																				
Large Related		Large Related	With Any Housing Problems	86.8	858	48	18	48	16	48	39	48												
			Cost Burden > 30%	86.4	854	22	8	22	7	22	18	22												
			Cost Burden > 50%	75.8	749	26	10	26	9	26	21	26												
All other hshld		All other hshld	NUMBER OF HOUSEHOLDS	314																				
			With Any Housing Problems	84.1	264	48	5	48	5	48	12	48												
			Cost Burden > 30%	80.9	254	22	2	22	2	22	5	22												
Elderly		Elderly	Cost Burden > 50%	70.1	220	26	3	26	3	26	7	26												
			NUMBER OF HOUSEHOLDS	665																				
			With Any Housing Problems	70.7	470	48	12	48	10	48	27	48												
Owner		Owner	Cost Burden > 30%	70.7	470	22	5	22	4	22	12	22												
			Cost Burden > 50%	66.9	445	26	7	26	6	26	15	26												
			NUMBER OF HOUSEHOLDS	1749																				
Elderly		Elderly	With Any Housing Problems	100%	1749																			
			Cost Burden > 30%	51.7	904	20	17	20	16	20	8	20												
			Cost Burden > 50%	50.6	885	6	5	6	5	6	2	6												
Owner		Owner	Cost Burden > 50%	16.0	280	14	12	14	11	14	6	14												
			NUMBER OF HOUSEHOLDS	3895																				
			With Any Housing Problems	64.3	2504																			

Household Income	Owner	All	Household Income > 50%										3%	H	Y	H	No	Total Disabled	9730	Total Lead Hazard	0	
			1.5	80	130	9	100	8	100	0	100	100										530
Cost Burden > 50%			1.5	80	130	9	100	8	100	0	100	100	530	17	3%	H	Y	H	No			
NUMBER OF HOUSEHOLDS			100%	2053																		
With Any Housing Problems		Elderly	17.9	368	33	185	33	171	33	77	33	33	165	433	262%	H	Y	H	No			
Cost Burden > 30%			17.7	364	22	124	22	115	22	52	22	22	110	291	265%	H	Y	H				
Cost Burden > 50%			4.6	95	11	61	11	56	11	25	11	11	55	142	258%	H	Y	H				
NUMBER OF HOUSEHOLDS		small Related	100%	2685															No			
With Any Housing Problems			55.5	1490	219	242	219	223	219	101	219	219	566	52%	H	Y	H					
Cost Burden > 30%			53.8	1445	190	211	190	194	190	88	190	190	950	493	52%	H	Y	H				
Cost Burden > 50%			7.8	210	29	31	29	29	29	13	29	29	145	73	50%	H	Y	H				
NUMBER OF HOUSEHOLDS		Large Related	100%	1038															No			
With Any Housing Problems			41.7	433	239	96	194	88	TBD	40	TBD	TBD	433	224	52%	H	Y	H				
Cost Burden > 30%			27.3	283	220	88	63	81	TBD	37	TBD	TBD	283	206	73%	H	Y	H				
Cost Burden > 50%			2.3	24	19	8	5	7	TBD	3	TBD	TBD	24	18	75%	H	Y	H				
NUMBER OF HOUSEHOLDS		All other hshld	100%	1304															No			
With Any Housing Problems			66.6	869	153	115	153	106	153	48	153	153	765	269	35%	H	Y	H	Yes			
Cost Burden > 30%			65.9	859	120	90	120	83	120	37	120	120	600	210	35%	H	Y	H				
Cost Burden > 50%			16.0	209	33	25	33	23	33	11	33	33	165	59	36%	H	Y	H				
Total Any Housing Problem																						
Total 215 Renter				125		125		125		125		125										
Total 215 Owner				187		187		187		187		187										
Total 215				312	0	312	0	312	0	312	0	312	0									

Rochester

Housing Market Analysis

	Vacancy Rate	0 & 1 Bedroom	2 Bedrooms	3+ Bedroom	Total	Substandard Units
Housing Stock Inventory						
Affordability Mismatch						
Occupied Units: Renter		22790	16115	14330	53235	
Occupied Units: Owner		1159	6554	28045	35758	
Vacant Units: For Rent	9%	2175	1990	1095	5260	
Vacant Units: For Sale	4%	159	524	954	1637	
Total Units Occupied & Vacant		26283	25183	44424	95890	0
Rents: Applicable FMRs (in \$s)		405-527	639	820		
Rent Affordable at 30% of 50% of MFI (in \$s)		497	569	711		
Public Housing Units						
Occupied Units	97.5%	1284	385	609	2278	
Vacant Units	2.5%	28	13	17	58	
Total Units Occupied & Vacant		1312	398	626	2336	0
Rehabilitation Needs (in \$s)		56.2%	17.0%	26.8%	5,129,697	

CPMP Version :

Rochester	HOPWA Performance Chart 1										Cumulative					Plan to Fund? Y/N	Priority Need: H, M, L	Fund Source
	Needs	Current	Gap	Year 5				Outputs Households				Funding						
				Outputs Households Assistance		Non-HOPWA		HOPWA Assistance		Non-HOPWA		HOPWA Budget	HOPWA Actual	Leveraged Non-HOPWA				
			Goal	Actual	Goal	Actual	Goal	Actual	% of Goal	Goal	Actual	% of Goal	HOPWA Budget	HOPWA Actual	Leveraged Non-HOPWA			
Tenant-based Rental Assistance	200	130	70	110	20	0	0	530	318	60%	80	41180	5139.75%	\$98,750	\$691,932	\$124,052		
Short-term Rent, Mortgage and Utility payments	380	380	0	330	50	0	1385	325	23%	261	101321	386.20%	\$18,250	\$151,981	\$202,151			
Facility-based Programs	0	0	0	0	0	0	0	0	0	0	0	0	\$0	0	0			
Units in facilities supported with operating costs	0	0	0	0	0	0	0	0	0	0	0	0	\$0	0	0			
Units in facilities developed with capital funds and placed in service during the program year	0	0	0	0	0	0	0	0	0	0	0	0	\$0	0	0			
Units in facilities being developed with capital funding but not yet opened (show units of housing planned)	0	0	0	0	0	0	0	0	0	0	0	0	\$0	0	0			
Stewardship (developed with HOPWA but no current operation or other costs) Units of housing subject to three- or ten-year use agreements	0	0	0	0	0	0	0	0	0	0	0	0	\$0	0	0			
Adjustment for duplication of households (i.e., moving between types of housing)																		
Subtotal unduplicated number of households/units of housing assisted	580	510	70	440	70	0	643	341	512501	55.2795	341	512501	55.2795	\$1,173,000	843913	326203		
Supportive Services																		
Supportive Services in conjunction with housing activities (for households above in HOPWA or leveraged other units)																		
Housing Placement Assistance																		
Housing Information Services																		
Permanent Housing Placement Services																		
Housing Development, Administration, and Management Services																		
Resource Identification to establish, coordinate and develop housing assistance resources																		
Project Outcomes/Program Evaluation (if approved)																		
Grantee Administration (maximum 3% of total) (i.e., costs for general management, oversight, coordination, evaluation, and reporting)																		
Project Sponsor Administration (maximum 7% of total) (i.e., costs for general management, oversight, coordination, evaluation, and reporting)																		
Other Activity (if approved in grant agreement)																		
Specify:																		
1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		

Continuum of Care Homeless Population and Subpopulations Chart

Part 1: Homeless Population	Sheltered		Un-sheltered	Total	Rochester										
	Emergency	Transitional													
	1. Homeless Individuals	265	75	0	340										
2. Homeless Families with Children	70	34	0	104											
2a. Persons in Homeless with Children Families	231	92	0	323											
Total (lines 1 + 2a)	496	167	0	663											
Part 2: Homeless Subpopulations	Sheltered		Un-sheltered	Total	Rochester										
	Emergency	Transitional													
1. Chronically Homeless	75	0	0	75											
2. Severely Mentally Ill	125	0	0	125											
3. Chronic Substance Abuse	193	0	0	193											
4. Veterans	45	0	0	45											
5. Persons with HIV/AIDS	3	0	0	3											
6. Victims of Domestic Violence	91	0	0	91											
7. Youth (Under 18 years of age)	48	0	0	48											
Part 3: Homeless Needs Table: Individuals	Needs	Currently Available	Gap	5-Year Quantities					Priority H, M, L	Plan to Fund? Y	Fund Source: CDBG, HOME, HOPWA, ESG, or Other				
				Year 1	Year 2	Year 3	Year 4	Year 5	Total	% of Goal					
				Goal	Complete	Goal	Complete	Goal	Complete	Goal	Actual	% of Goal			
Emergency Shelters	230	208	22	5	5	5	5	5	0	22	0	0%	L	N	Other
Transitional Housing	147	133	14	3	3	3	3	3	0	14	0	0%	M	N	Other
Permanent Supportive Housing	338	253	85	20	20	20	20	20	0	85	0	0%	H	N	Other
Total	715	594	121	5	5	5	5	5	0	22	0	0%			Other
Chronically Homeless	81	75	6										H	N	Other

Part 4: Homeless Needs Table: Families	Needs	Currently Available	Gap	5-Year Quantities										Priority H.M.L	Plan to Fund? Y	Fund Source: CDBG, HOME, HOPWA, ESG, or Other	
				Year 1		Year 2		Year 3		Year 4		Year 5					Total
				Goal	Complete	Goal	Complete	Goal	Complete	Goal	Complete	Goal	Complete				
Emergency Shelters	148	137	11	2	0	2	0	2	0	3	0	11	0	0%	L	Other	
Transitional Housing	149	132	17	3	0	3	0	4	0	4	0	17	0	0%	M	Other	
Permanent Supportive Housing	960	752	208	40	0	40	0	40	0	48	0	208	0	0%	H	Other	
Total	1257	1021	236	45	0	45	0	46	0	55	0	191	45	24%			

Completing Part 1: Homeless Population. This must be completed using statistically reliable, unduplicated counts or estimates of homeless persons in sheltered and unsheltered locations at a one-day point in time. The counts must be from: (A) administrative records, (N) enumerations, (S) statistically reliable samples, or (E) estimates. The quality of the data presented in each box must be identified as: (A), (N), (S) or (E).

Completing Part 2: Homeless Subpopulations. This must be completed using statistically reliable, unduplicated counts or estimates of homeless persons in sheltered and unsheltered locations at a one-day point in time. The numbers must be from: (A) administrative records, (N) enumerations, (S) statistically reliable samples, or (E) estimates. The quality of the data presented in each box must be identified as: (A), (N), (S) or (E).

Sheltered Homeless. Count adults, children and youth residing in shelters for the homeless. "Shelters" include all emergency shelters and transitional shelters for the homeless, including domestic violence shelters, residential programs for runaway/homeless youth, and any hotel/motel/apartment voucher arrangements paid by a public/private agency because the person or family is homeless. Do not count: (1) persons who are living doubled up in conventional housing; (2) formerly homeless persons who are residing in Section 8 SRO, Shelter Plus Care, SHP permanent housing or other permanent housing units; (3) children or youth, who because of their own or a parent's homelessness or abandonment, now reside temporarily and for a short anticipated duration in hospitals, residential treatment facilities, emergency foster care, detention facilities and the like; and (4) adults living in mental health facilities, chemical dependency facilities, or criminal justice facilities.

Unsheltered Homeless. Count adults, children and youth sleeping in places not meant for human habitation. Places not meant for human habitation include streets, parks, alleys, parking ramps, parts of the highway system, transportation depots and other parts of transportation systems (e.g. subway tunnels, railroad car), all-night commercial establishments (e.g. movie theaters, laundromats, restaurants), abandoned buildings, building roofs or stairwells, chicken coops and other farm outbuildings, caves, campgrounds, vehicles, and other similar places.

Appendix B

CITY OF ROCHESTERSECTION 3 OF THE HOUSING AND URBAN DEVELOPMENT ACT IMPLEMENTATION PLANBackground

Section 3 of the Housing and Urban Development Act of 1968 requires that economic opportunities generated by federally assisted housing and community development programs shall, to the greatest extent feasible, be given to low and very low income persons and to businesses that provide economic opportunities for these persons.

Section 3 makes economic development a “benefit” for all seeking assistance to find decent, safe and sanitary housing and a suitable living environment. Section 3 applies to all U.S. Department of Housing and Urban Development formula grant recipients and their contractors and covers opportunities for training, employment and contracts for work arising in connection with:

- Housing rehabilitation
- Housing construction; and
- Other public construction

The requirements of Section 3 apply to the entire project no matter whether the project is fully or partially funded federal assistance. Section 3 also applies to a contractor or subcontractor when the amount of assistance to the project exceeds \$200,000 and the contract or subcontract exceeds \$100,000.

Policy

It is the policy of the City of Rochester to take affirmative steps to give preference for training and jobs to low and very low income city residents. Preference for contracts is given to business concerns which are owned (51 percent) by or which employ (30 percent) low and very low income residents.

Implementation Plan

A. Contractor Utilization

1. The City of Rochester annually enters into approximately 700 contracts and subcontracts, including professional service contracts, for implementation of projects funded by federal housing and community development programs. The dollar amount of these contracts and subcontracts is approximately \$9,000,000. A number of these contracts are awarded for work under Section 3 covered projects.
2. The City of Rochester will take any necessary affirmative steps to assure that Section 3 business concerns are used when possible including:
 - Notifying potential contractors of Section 3 requirements and incorporating a Section 3 clause in all solicitations and business concerns;
 - Placing Section 3 business concerns on solicitation lists;
 - Assuring that Section 3 business concerns are solicited whenever they are potential sources;

- Dividing the total project requirements, where economically feasible, into smaller tasks to permit maximum participation by Section 3 business concerns; and
- Requiring the prime contractor, if subcontracts are to be awarded, to take similar affirmative steps.

Section 3 business concerns that provide economic opportunities for Section 3 residents in the service area or neighborhood of the project will be given maximum priority.

3. The Department of Neighborhood and Business Development will provide financial and technical assistance to establish or expand Section 3 business concerns.
4. Continue implementation of City Council Resolution 91-25, which authorizes a policy of providing preferential treatment to local firms in the award of professional service agreements by the City of Rochester.
5. To focus on a results oriented approach, the following annual goals are established and represent minimum targets:
 - At least 10 percent of the total dollar amount of all Section 3 covered contracts for building trades work arising in connection with housing rehabilitation, housing construction, and other public construction will go to Section 3 business concerns; and
 - At least 3 percent of the total dollar amount of all other covered Section 3 contracts will go to Section 3 business concerns.

B. Training and Employment

The training and employment procedures would apply to all contracts and subcontracts over \$100,000.

1. For each contract, a projected work force by trade would have to be submitted by the contractor. The projection should indicate the need for new employees by trade and residency of existing employees.
2. If the contractor requires new employees, Section 3 goals will be established. Maximum preference will be given to Section 3 residents residing in the service areas or neighborhood where the covered project is located.
3. The following training and employment goals have been established:
 - 30 percent of the aggregate number of new hires for the period from July 1, 2007 to June 30, 2008 should be Section 3 residents.
 - 30 percent of the aggregate number of new hires for the period from July 1, 2008 to June 30, 2009 should be Section 3 residents.
 - 30 percent of the aggregate number of new hires for the period from July 1, 2009 to June 30, 2010 should be Section 3 residents.

Section 3 residents will be encouraged to participate in existing training and supportive services and utilize services offered by the Bureau of Youth Services.

C. Section 3 Awareness

1. To increase citizen awareness, the Section 3 Implementation Plan will be appended to the Consolidated Community Development Plan/Annual Action Plan.
2. The City of Rochester's Section 3 goals will be publicized through meetings with community organizations, presentations as part of contractor orientation of bid requirements, incorporation of the Section 3 clause in all covered contracts and

inclusion of Section 3 information in the solicitations or requests for proposals by advising prospective contractors of Section 3 requirements.

D. Section 3 Monitoring and Reporting

1. The Department of Finance will be responsible for Section 3 implementation and monitoring.
2. An annual report will be prepared for the purpose of determining the effectiveness of Section 3. The report will show the number of residents hired and the number of Section 3 business concerns receiving contracts.
3. The City will maintain records showing compliance with Section 3 including copies of advertisements, procurement procedures, solicitations, mailing lists and bid proposals, documentation of preconstruction conferences, letters to community organizations, complaints and records of contact made with unions or contractors' associations.

E. Complaint process

1. Any Section 3 resident or business may file a complaint alleging noncompliance of Section 3 by the City, contractor or subcontractor. Complaints regarding the Section 3 Plan should be addressed to the Director of Finance, City Hall, Room 109A, 30 Church Street, Rochester, New York 14614. The City will make every reasonable effort to provide a written response within 15 days.

Appendix C

CONSOLIDATED COMMUNITY DEVELOPMENT PLAN
MINORITY AND WOMEN'S BUSINESS ENTERPRISE OUTREACH PROCEDURES

The City of Rochester will establish and oversee a program to encourage the use of minority and women's business enterprises in connection with Consolidated Plan funded activities. To the maximum extent possible, this program will ensure the inclusion of minorities and women, and entities owned by minorities and women, including, without limitation, real estate firms, construction firms, appraisal firms, management firms, financial institutions, investment banking firms, underwriters, accountants, and providers of legal services in all contracts entered into by the City which involve assistance.

The Department of Neighborhood and Business Development administers a number of independent MBE activities for programs financed from the Consolidated Community Development Plan and other HUD grant programs.

The MBE/WBE outreach program to be developed for Consolidated Community Development Plan funded activities will be based upon the following standards, guidelines and actions prescribed by HUD:

Outreach Standards

1. Outreach efforts shall be undertaken in good faith and be comprehensive and continuing.

The City of Rochester has an impressive record in operating MBE outreach programs. Consolidated Plan outreach efforts will be expanded to include WBE activities.

2. Outreach efforts shall be supported by a statement of public policy and commitment published in the print media of widest local circulation.

A public statement regarding the City's MBE/WBE policies for the Consolidated Plan will be published in the local Gannett-Rochester newspapers. Media coverage will also be encouraged through a written news release.

3. MBE/WBE outreach efforts shall be supported by an office and/or a key, ranking staff person with oversight responsibilities and access to the chief elected official.

The Consolidated Community Development Plan MBE/WBE outreach efforts will be supported by the Commissioner of Neighborhood and Business Development and the Director of Housing.

4. MBE/WBE outreach efforts shall be designed to use all available and appropriate public and private sector local resources.

The Consolidated Community Development Plan MBE/WBE outreach efforts will be coordinated through the Bureau of Business and Housing Development for housing program activities.

Outreach Guidelines

1. Develop a systematic method for identifying and maintaining an inventory of certified MBE's and WBE's.

The Bureau of Business and Housing Development will maintain and publish an inventory of certified MBE's and WBE's for participation in housing programs. The inventory will include the types of entities identified in the first paragraph of these procedures.

2. Use the local media, electronic and print, to market and promote contract and business opportunities for MBE's and WBE's.

The City will pursue a media campaign to solicit MBE & WBE participation in the Consolidated Community Development Plan.

3. Develop information and documentary materials on contract/subcontract opportunities for MBE's and WBE's.

The Bureau of Business and Housing Development will provide written notice of all competitive bidding to certified MBE's and WBE's.

4. Develop solicitation and procurement procedures that facilitate opportunities for MBE's and WBE's.

In addition to the direct solicitation procedure stated in 3, the Department of Neighborhood and Business Development requires that at a minimum, one MBE bid out of four total bids be solicited for all Owner Occupied Rehabilitation and Investor-Owner Loan projects. Consolidated Plan procedures will be coordinated with other existing MBE/WBE solicitation efforts. All prime contractors will be required to incorporate MBE/WBE solicitation requirements.

5. Sponsor business opportunity-related meetings, conferences, seminars, etc. with MBE's and WBE's.

The City will work with minority and women owned business associations to sponsor informational meetings to explain City contract opportunities and bid processes necessary for participation and to produce a useful and complete minority business directory. To help improve our outreach, the City on an ongoing basis will review best practices from other businesses and communities. Also, the City will continue to support and network with the Rochester Minority Business Development Center, Inc. and other organizations committed to expanding the pool of qualified minority and women contractors.

6. Maintain centralized records on the use and participation of MBE's and WBE's.

The Department of Neighborhood and Business Development maintains records and annually reports on MBE and WBE participation for CDBG program activities. Record keeping practices will be amended to incorporate the Consolidated Plan.

Appendix D

HOME PROGRAM

HOME PROGRAM AFFIRMATIVE MARKETING REQUIREMENTS
AND PROCEDURES FOR MULTIPLE FAMILY HOUSING

The City of Rochester will require that owners of all HOME-assisted housing containing five (5) or more units shall affirmatively market said units to attract eligible persons from all racial, ethnic, and gender groups in the housing market area. The City will annually assess the affirmative marketing program to determine the success of the affirmative marketing activities and the need for any necessary corrective measures.

The owner will make good faith efforts to affirmatively market the units in accordance with the following procedures:

- A. Fair Housing Information
 1. The owner and the City shall agree upon an initial affirmative marketing strategy.
 2. The owner shall prominently display a federal Fair Housing poster in his/her rental office.
 3. The owner shall direct all Fair Housing questions from applicants to the City.

- B. Marketing Strategy
 1. The owner and the City shall agree upon an initial affirmative marketing strategy.
 2. The strategy shall establish occupancy goals for the units based upon the demographic characteristics of the market area.
 3. The strategy shall describe the specific marketing and outreach activities to be employed by the owners.
 4. The marketing strategy may be amended, upon the written approval of the City, to reflect changed market conditions.

- C. Marketing Contacts
 1. The owner may utilize printed and/or electronic (radio and television) news media to advertise the availability of the units.
 2. The owner may utilize local newspapers of general circulation, local magazines, newsletters or neighborhood organizations and housing advocacy organizations, or other approved publications which are known to circulate among low income populations for the placement of written advertisements.
 3. The owner shall contact and provide information to any groups or individuals known or believed to be interested in the availability of the affordable rental units.

- D. Targeted Outreach
 1. The owner shall undertake specific efforts to inform and solicit rental applications from persons in the housing market area who are not likely to apply for the housing without specified outreach. These efforts may be directed toward non-English speaking populations, the developmentally or physically disabled, or other low income populations unlikely to become informed about the availability of the units through the efforts required in paragraph C above.

2. Specialized outreach efforts may be undertaken through direct contact or contacts with organizations known to represent the interests of the population of special concern, e.g. community or advocacy organizations, places of worship, employment centers, fair housing groups, housing counseling agencies, neighborhood health centers, and government agencies delivering services to the populations of special concerns.
- E. Recordkeeping
1. The owner shall maintain a copy of the approved marketing strategy.
 2. The owner shall maintain records documenting specific efforts taken in accordance with paragraphs A, B, C, and D.
 3. The owner shall annually report to the City on activities and results of this affirmative marketing effort and shall make all affirmative marketing records available to the City at its request.
 4. Affirmative marketing files shall include copies of advertisements; the racial, ethnic, and gender characteristics of potential tenants (applicants) who respond to the ad; and the characteristics of the tenants who actually rented the units.
- F. Annual Assessment
1. The City will independently evaluate and assess the adequacy of the owner's affirmative marketing efforts on an annual basis.
 2. The annual assessment will be based upon a number of factors, including but not limited to: consistency with the approved marketing strategy; the owner's success in meeting occupancy goals; the cumulative number of vacancy days; the number of rental applications generated from targeted groups; and the extent to which handicap-accessible units, if any, are occupied by the people for whom they were designed to serve.
 3. When it can be determined that affirmative marketing efforts have been limited or unsuccessful, the City will require changes to improve their effectiveness.

In furtherance of the City's commitment to non-discrimination and equal opportunity in housing, it is the City's affirmative marketing goal to assure that individuals who normally might not apply for vacant rental units because of their race, color, ethnicity, religion, sex, handicap, or familial status be made aware of vacancies in housing units funded through the HOME program; feel welcome to apply; and have the opportunity to rent the units.

This policy will be carried out through the affirmative marketing procedures set forth in Section I above and through the following direct actions:

- A. The City will inform the public about: its affirmative marketing policy under the HOME program; the Fair Housing Act; Title VI of the Civil Rights Act of 1964; Executive Order 11063, as amended; and Section 504 of the Rehabilitation Act of 1973.
- B. The City will carry out "A" above by: the placement of public notices in local newspapers of general circulation; the placement of public service announcements through electronic media; the distribution of printed materials; and through community contacts.

HOME PROGRAM
HOMEBUYER RESALE AND HOME SUBSIDY RECAPTURE guidelines

The City of Rochester will comply with the following HOME regulations (24 CFR Part 92.254(a)(5) regarding resale and recapture of HOME subsidies. In addition, prescribed language for both resale and recapture provisions is also provided below.

[Code of Federal Regulations]

[Title 24, Volume 1]

[Revised as of April 1, 2005] From the U.S. Government Printing Office via GPO Access

Title 24—Housing and Urban Development
Part 92-Home Investment Partnerships Program

24 CFR Part 92.254(a)(5)

(5) Resale and recapture. To ensure affordability, the participating jurisdiction must impose either resale or recapture requirements, at its option. The participating jurisdiction must establish the resale or recapture requirements that comply with the standards of this section and set forth the requirements in its consolidated plan. HUD must determine that they are appropriate.

(i) Resale. Resale requirements must ensure, if the housing does not continue to be the principal residence of the family for the duration of the period of affordability, that the housing is made available for subsequent purchase only to a buyer whose family qualifies as a low-income family and will use the property as its principal residence. The resale requirement must also ensure that the price at resale provides the original HOME-assisted owner a fair return on investment (including the homeowner's investment and any capital improvement) and ensure that the housing will remain affordable to a reasonable range of low-income homebuyers. The period of affordability is based on the total amount of HOME funds invested in the housing.

(A) Except as provided in paragraph (a)(5)(i)(B) of this section, deed restrictions, covenants running with the land, or other similar mechanisms must be used as the mechanism to impose the resale requirements. The affordability restrictions may terminate upon occurrence of any of the following termination events: foreclosure, transfer in lieu of foreclosure or assignment of an FHA insured mortgage to HUD. The participating jurisdiction may use purchase options, rights of first refusal or other preemptive rights to purchase the housing before foreclosure to preserve affordability. The affordability restrictions shall be revived according to the original terms if, during the original affordability period, the owner of record before the termination event, obtains an ownership interest in the housing.

(B) Certain housing may be presumed to meet the resale restriction (i.e., the housing will be available and affordable to a reasonable range of low-income homebuyers; a low-income homebuyer will occupy the housing as the family's principal residence; and the original owner will be afforded a fair return on investment) during the period of affordability without the imposition of enforcement mechanisms by the participating jurisdiction. The presumption must be based upon a market analysis of the neighborhood in which the housing is located. The market analysis must include an evaluation of the location and characteristics of the housing and residents in the neighborhood (e.g., sale prices, age and amenities of the housing stock, incomes of residents, percentage of owner-occupants) in relation to housing and incomes in the

housing market area. An analysis of the current and projected incomes of neighborhood residents for an average period of affordability for homebuyers in the neighborhood must support the conclusion that a reasonable range of low-income families will continue to qualify for mortgage financing. For example, an analysis shows that the housing is modestly priced within the housing market area and that families with incomes of 65% to 80 % of area median can afford monthly payments under average FHA terms without other government assistance and housing will remain affordable at least during the next five to seven years compared to other housing in the market area; the size and amenities of the housing are modest and substantial rehabilitation will not significantly increase the market value; the neighborhood has housing that is not currently owned by the occupants, but the participating jurisdiction is encouraging homeownership in the neighborhood by providing homeownership assistance and by making improvements to the streets, sidewalks, and other public facilities and services. If a participating jurisdiction in preparing a neighborhood revitalization strategy under Sec. 91.215(e)(2) of its consolidated plan or Empowerment Zone or Enterprise Community application under 24 CFR part 597 has incorporated the type of market data described above, that submission may serve as the required analysis under this section. If the participating jurisdiction continues to provide homeownership assistance for housing in the neighborhood, it must periodically update the market analysis to verify the original presumption of continued affordability.

- (ii) Recapture. Recapture provisions must ensure that the participating jurisdiction recoups all or a portion of the HOME assistance to the homebuyers, if the housing does not continue to be the principal residence of the family for the duration of the period of affordability. The participating jurisdiction may structure its recapture provisions based on its program design and market conditions. The period of affordability is based upon the total amount of HOME funds subject to recapture described in paragraph (a)(5)(ii)(A)(5) of this section.

(A) The following options for recapture requirements are acceptable to HUD. The participating jurisdiction may adopt, modify or develop its own recapture requirements for HUD approval. In establishing its recapture requirements, the participating jurisdiction is subject to the limitation that when the recapture requirement is triggered by a sale (voluntary or involuntary) of the housing unit, and there are no net proceeds or the net proceeds are insufficient to repay the HOME investment due, the participating jurisdiction can only recapture the net proceeds, if any. The net proceeds are the sales price minus superior loan repayment (other than HOME funds) and any closing costs.

- (1) Recapture entire amount. The participating jurisdiction may recapture the entire amount of the HOME investment from the homeowner.
- (2) Reduction during affordability period. The participating jurisdiction may reduce the HOME investment amount to be recaptured on a prorated basis for the time the homeowner has owned and occupied the housing measured against the required affordability period.
- (3) Shared net proceeds. If the net proceeds are not sufficient to recapture the full HOME investment (or a reduced amount as provided for in paragraph (a)(5)(ii)(A)(2) of this section) plus enable the homeowner to recover the amount of the homeowner's down payment and any capital improvement investment made by the owner since purchase, the participating jurisdiction may share the net proceeds. The net proceeds are the sales price minus loan repayment (other than HOME funds) and closing costs. The net proceeds may be divided proportionally as set forth in the following mathematical formulas:

- (4) Owner investment returned first. The participating jurisdiction may permit the homebuyer to recover the homebuyer's entire investment (down payment and capital improvements made by the owner since purchase) before recapturing the HOME investment.
- (5) Amount subject to recapture. The HOME investment that is subject to recapture is based on the amount of HOME assistance that enabled the homebuyer to buy the dwelling unit. This includes any HOME assistance that reduced the purchase price from fair market value to an affordable price, but excludes the amount between the cost of producing the unit and the market value of the property (i.e., the development subsidy). The recaptured funds must be used to carry out HOME-eligible activities in accordance with the requirements of this part. If the HOME assistance is only used for the development subsidy and therefore not subject to recapture, the resale option must be used.

END OF CITATION FROM 24 CFR Part 92.254(a)(5)

If the City of Rochester uses the resale option above, then the following deed restrictive covenant shall be used:

Restrictive Covenant

Title to this property (the "Property") is conveyed subject to the following restrictions:

The Grantee has acquired this property with the assistance of funds from the City of Rochester provided under 24 CFR Part 92, the HOME Investment Partnership Program (the "Regulations"). As a condition of the subsidy, the Grantee agrees:

- A. The Property must be the Grantee's principal residence for ten (10) years from the date of this deed.
- B. If the above ten (10) year period has not expired and the Grantee sells the Property, the Grantee agrees to sell the Property to a low-income family as defined in the Regulations that will use the Property as its principal residence for the duration of the ten (10) year period. Documentation must be provided to the City of Rochester verifying the prospective buyer's income eligibility. The price at resale must: (1) ensure that the Property will remain affordable (as defined in the Regulations) to a reasonable range of low-income homebuyers, and (2) provide the Grantee a fair return on investment (including the Grantee's investment and any capital improvement).

Notwithstanding the aforementioned provisions, the affordability restrictions and residency requirement shall terminate upon occurrence of any of the following termination events:

- 1. Foreclosure;
- 2. Transfer in lieu of foreclosure; or
- 3. Assignment of an FHA insured mortgage to HUD.

However, the affordability restriction and residency requirement shall be revived according to their original terms if, during the original residency period of ten years, the Grantee before the termination event, or any other entity that includes the Grantee or those with whom the Grantee has or had family or business ties, obtains an ownership in the project or property.

In the event that the HOME-assisted Grantee wishes to sell the assisted home, the City of Rochester shall be afforded the right of first refusal to purchase the subject property.

If the City of Rochester uses the recapture option, then the following language shall be used in the Note and Mortgage securing the HOME subsidy:

The homeowner shall be required to repay a portion of the HOME funds used to assist the homeowner with the original purchase of the home on a pro-rated basis in accordance with the following schedule:


<u>Equal to/More than</u>	<u>Less than</u>	<u>Repayment Factor</u>
1 day	1 year	1.000
1 year	2 years	.90
2 years	3 years	.80
3 years	4 years	.70
4 years	5 years	.60
5 years	6 years	.50
6 years	7 years	.40
7 years	8 years	.30
8 years	9 years	.20
9 years	10 years	.10

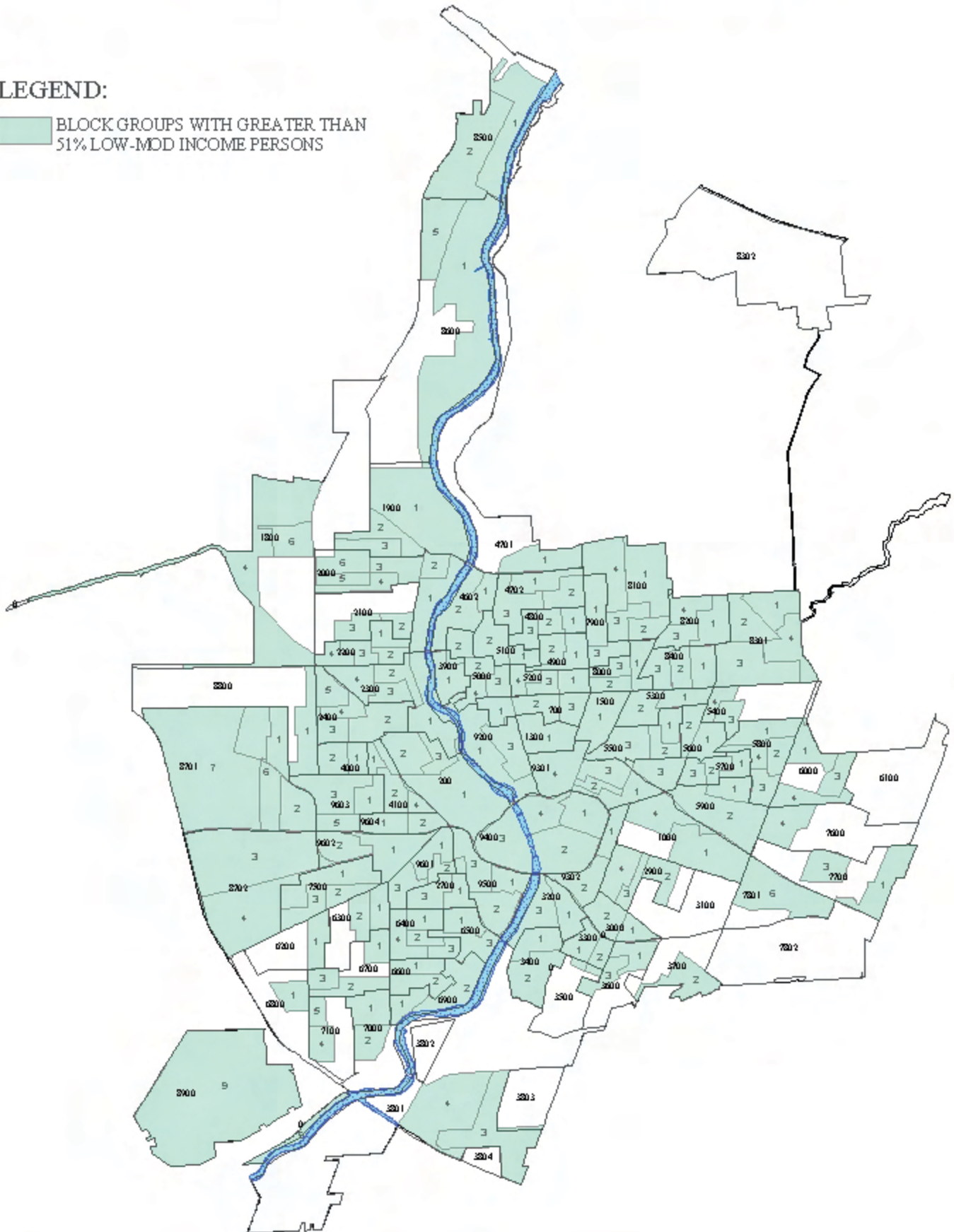
MAPS

1. Low and Moderate Income Areas
2. Minority Concentration in Rochester, NY
3. Hispanic Latino Concentration in Rochester, NY
4. Renewal Community and Empire Zone

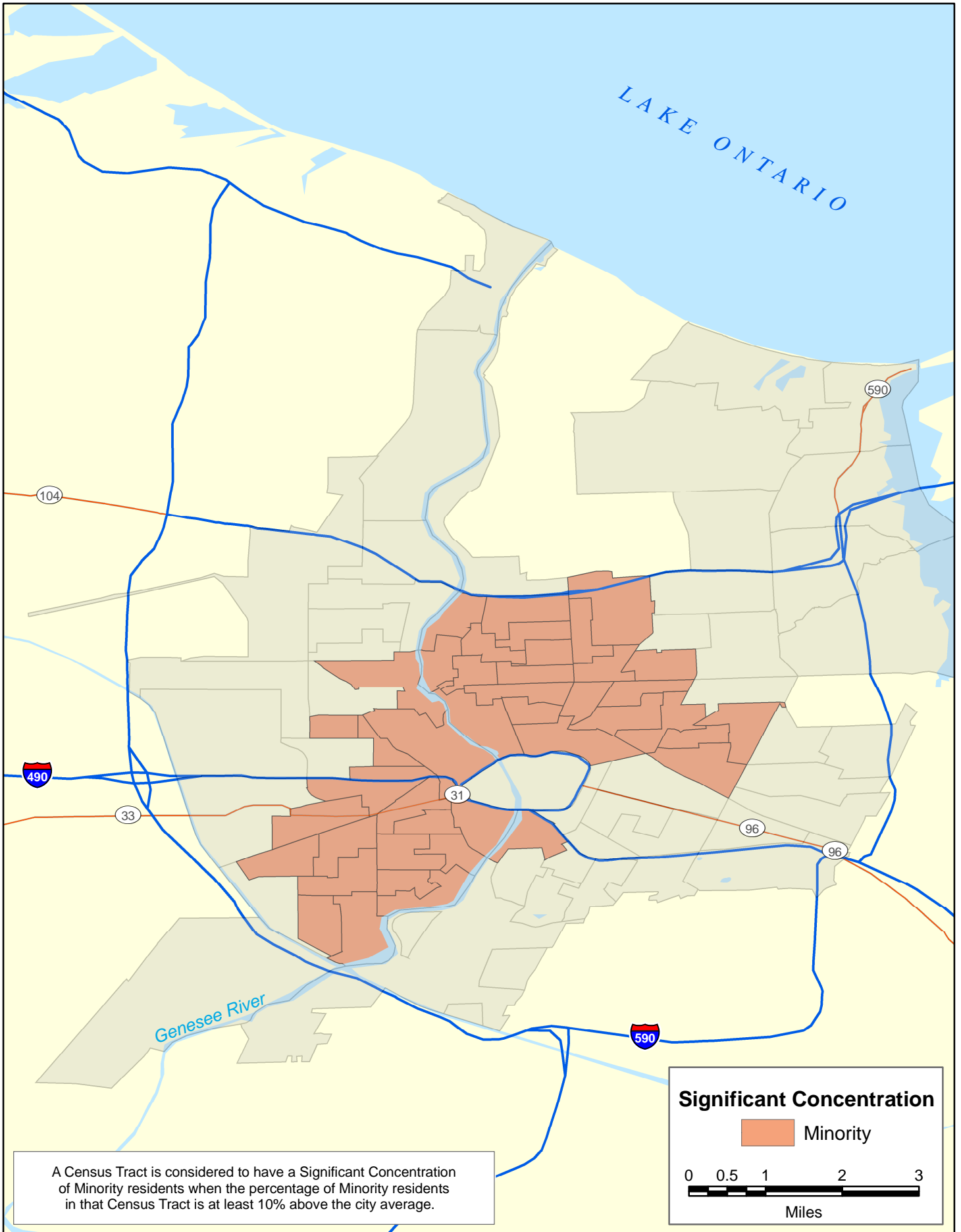
CONSOLIDATED COMMUNITY DEVELOPMENT PLAN LOW AND MODERATE INCOME AREAS

LEGEND:

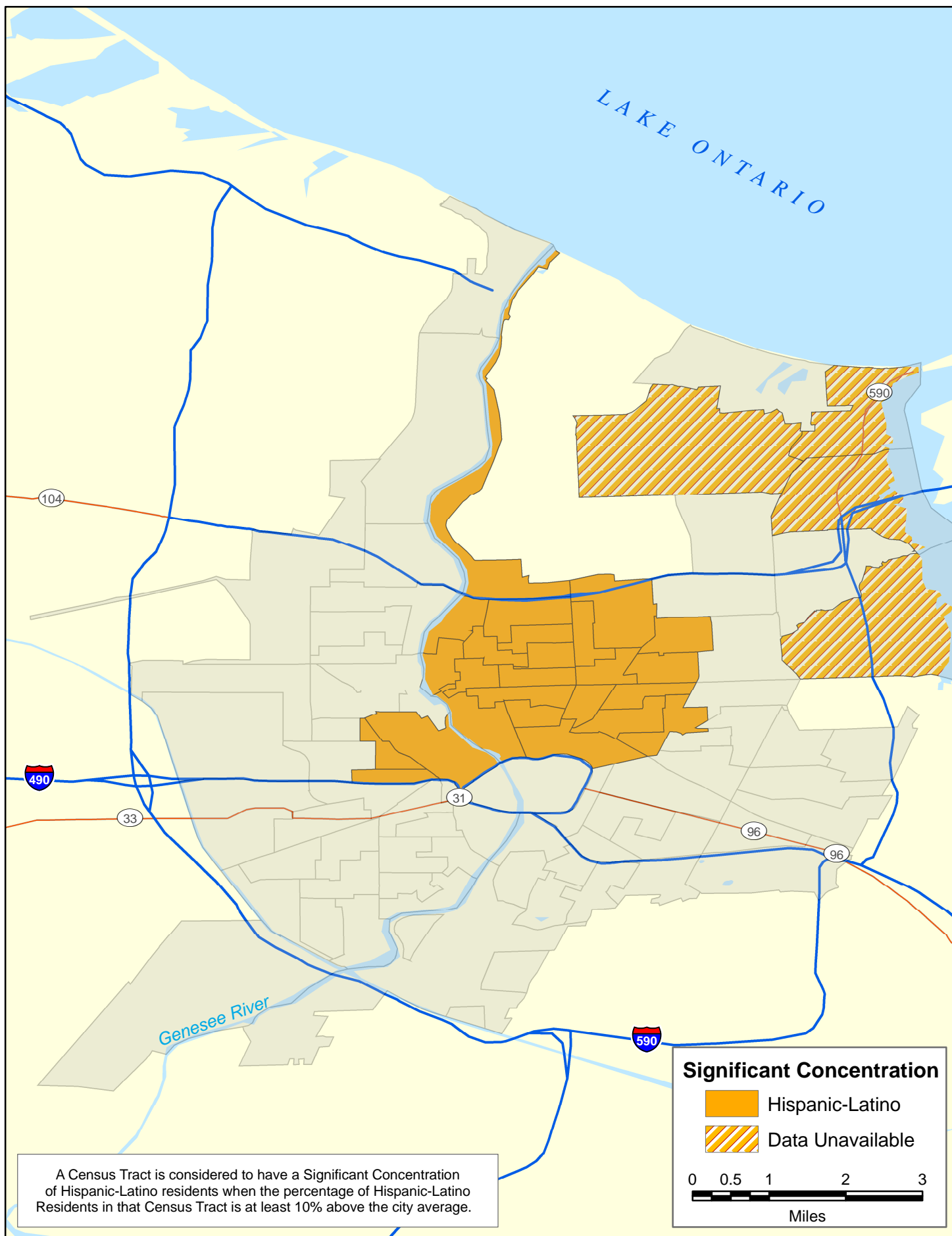
 BLOCK GROUPS WITH GREATER THAN 51% LOW-MOD INCOME PERSONS



Minority Concentration In Rochester, NY



Hispanic-Latino Concentration In Rochester, NY



CONSOLIDATED COMMUNITY DEVELOPMENT PLAN RENEWAL COMMUNITY AND EMPIRE ZONE

LEGEND:

-  RENEWAL COMMUNITY
-  EMPIRE ZONE

