



CITY OF ROCHESTER, NY

**DRAFT
CONSOLIDATED COMMUNITY
DEVELOPMENT PLAN**

**2013-2014
Annual Action Plan**

**Thomas S. Richards, Mayor
City of Rochester**

City of Rochester, NY 2013-14 Annual Action Plan

Table of Contents

		Page
	2013-14 Annual Action Plan	
	SF 424	
	Certifications	
	GENERAL	
	Executive Summary	1
	Citizen Participation	5
	Resources	9
	Annual Objectives	10
	Geographic Distribution/Allocation Priorities	18
	Annual Affordable Housing Goals	27
	Public Housing	30
	Homeless and Special Needs	37
	Barriers to Affordable Housing	45
	Other Actions	46
	Program Specific Requirements	
	CDBG	47
	HOME	48
	HOPWA	50
	ESG	52
	Other Narratives and Attachments	
	Monitoring	59
	Concentrations of Minority and Hispanic Persons	61
	Low- Moderate Income Areas	66
	City Development Fund	69
	Attachments	
	Section 108 Loan Program	
	Estimated Revenue Summary	
	Program Income	
	Summary of Specific Annual Objectives	
	Annual Housing Completion Goals	
	Project Workbooks	
	Proposed Activity Summary	
	Appendices	
	HUD Charts and Tables:Priority Needs Tables/Housing Needs Table/Continuum of Care Chart/Housing Market Analysis/HOPWA	
	Section 3 Implementation Plan	
	Minority and Women Business Enterprise	
	HOME Program	
	Citizen Participation Plan	
	Public Hearing Notices	
	Map	
	Areas of Racial Concentration and LMI Census Block Groups	

**APPLICATION FOR
FEDERAL ASSISTANCE**

Version 7/03

1. TYPE OF SUBMISSION: Application <input type="checkbox"/> Construction <input checked="" type="checkbox"/> Non-Construction		Pre-application <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction	2. DATE SUBMITTED	Applicant Identifier
			3. DATE RECEIVED BY STATE	State Application Identifier
			4. DATE RECEIVED BY FEDERAL AGENCY	Federal Identifier

5. APPLICANT INFORMATION

Legal Name:		Organizational Unit:	
		Department:	
Organizational DUNS:		Division:	
Address:		Name and telephone number of person to be contacted on matters involving this application (give area code)	
Street:		Prefix:	First Name:
City:		Middle Name	
County:		Last Name	
State:	Zip Code	Suffix:	
Country:		Email:	

6. EMPLOYER IDENTIFICATION NUMBER (EIN): □□-□□□□□□□□	Phone Number (give area code)	Fax Number (give area code)
--	-------------------------------	-----------------------------

8. TYPE OF APPLICATION: <input type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) (See back of form for description of letters.) Other (specify) <input type="checkbox"/> <input type="checkbox"/>	7. TYPE OF APPLICANT: (See back of form for Application Types) Other (specify)
	9. NAME OF FEDERAL AGENCY:

10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: TITLE (Name of Program): □□-□□□□	11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT:
--	--

12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.):
--

13. PROPOSED PROJECT Start Date: Ending Date:	14. CONGRESSIONAL DISTRICTS OF: a. Applicant b. Project
--	--

15. ESTIMATED FUNDING:	16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?
a. Federal \$.00	a. Yes. <input type="checkbox"/> THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON DATE:
b. Applicant \$.00	b. No. <input type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372
c. State \$.00	<input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW
d. Local \$.00	17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?
e. Other \$.00	<input type="checkbox"/> Yes If "Yes" attach an explanation. <input type="checkbox"/> No
f. Program Income \$.00	
g. TOTAL \$.00	

18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.

a. Authorized Representative		
Prefix	First Name	Middle Name
Last Name		Suffix
b. Title		c. Telephone Number (give area code)
d. Signature of Authorized Representative		e. Date Signed

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential antidisplacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

Signature/Authorized Official

Date

Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
2. Overall Benefit. The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) _____, _____ (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its

jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K and R;

Compliance with Laws -- It will comply with applicable laws.

Signature/Authorized Official Date

Title

**OPTIONAL CERTIFICATION
CDBG**

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having a particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

Signature/Authorized Official

Date

Title

Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If the participating jurisdiction intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

Eligible Activities and Costs -- it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

Appropriate Financial Assistance -- before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;

Signature/Authorized Official

Date

Title

ESG Certifications

The Emergency Solutions Grants Program Recipient certifies that:

Major rehabilitation/conversion – If an emergency shelter’s rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation. If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion. In all other cases where ESG funds are used for renovation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

Essential Services and Operating Costs – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the jurisdiction will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the jurisdiction serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The jurisdiction will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal State, local, and private assistance available for such individuals.

Matching Funds – The jurisdiction will obtain matching amounts required under 24 CFR 576.201.

Confidentiality – The jurisdiction has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

Homeless Persons Involvement – To the maximum extent practicable, the jurisdiction will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

Consolidated Plan – All activities the jurisdiction undertakes with assistance under ESG are consistent with the jurisdiction’s consolidated plan.

Discharge Policy – The jurisdiction will establish and implement, to the maximum extent practicable and where appropriate policies and protocols for the discharge of persons from

publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

Signature/Authorized Official

Date

Title

HOPWA Certifications

The HOPWA grantee certifies that:

Activities -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

Building -- Any building or structure assisted under that program shall be operated for the purpose specified in the plan:

1. For at least 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility,
2. For at least 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.

Signature/Authorized Official

Date

Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING:

A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.



Annual Action Plan

The CPMP Annual Action Plan includes the SF 424 and Narrative Responses to Action Plan questions that CDBG, HOME, HOPWA, and ESG grantees must respond to each year in order to be compliant with the Consolidated Planning Regulations.

Narrative Responses

ACTION PLAN

Annual Action Plan includes the SF 424 and is due every year no less than 45 days prior to the start of the grantee's program year start date. HUD does not accept plans between August 15 and November 15.

Executive Summary 91.220(b)

1. The Executive Summary is required. Include the objectives and outcomes identified in the plan and an evaluation of past performance.

The City of Rochester 2013-14 Annual Action Plan contains the one-year plan to carry out housing and community development activities funded by Federal formula grant funds received in the 2013-14 program year from the U.S. Department of Housing and Urban Development (HUD). These funds are from the Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), Emergency Shelter Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA) programs.

A Housing Market Analysis was completed in July 2007. The objective of the analysis was to evaluate the City's current, future, and potential housing market and provide recommendations to guide the development of a new Housing Policy and Plan for the City of Rochester.

A new Housing Policy was approved by the Rochester City Council on March 18, 2008. The Housing Policy will guide the allocation of federal and other resources available to the City of Rochester to address priority housing needs and specific objectives.

The goals and policy priorities adopted by the administration also guided the development of the plan.

Our Mission: We provide unparalleled customer service and sound business practices in delivering every aspect of City services. We also work to alleviate concentration of poverty in the city by reinforcing education, employment and home ownership.

Neighborhood and Business Development Key Result Area: We will provide high quality housing, an environment that encourages successful businesses of all sizes, and safe and attractive neighborhoods to retain our current customers and attract new ones. We will use a team-oriented approach with our residents, investors and community partners to solve problems, address quality of life concerns, support our businesses and enhance our neighborhoods.

Policy Imperative for Neighborhood and Business Development:

- Improve the "look and feel" of every city neighborhood through increased business activity, real estate development, neighborhood revitalization, housing production and rehabilitation.
- Achieve more pro-active, timelier resolution of our priority quality of life issues, using empowered, problem solving teams in quadrant Neighborhood Service Centers.
- Firmly establish the City's reputation as customer-friendly and open for business, by seeking compliance with codes/regulations using the most streamlined and cost-effective practices.
- Recruit businesses that increase our population, jobs, valuation and economic opportunities.

The following funded activities support our policy priorities:

- Provide more mortgage subsidies, grants, and loans to encourage home ownership and the growth of neighborhood businesses;
- Expand availability of programs that assist property investors, home buyers and tenants to maintain the value and condition of their properties;
- Focus City financial resources to leverage private investment in city real estate;
- Rehabilitate salvageable vacant homes and develop vacant lots, in partnership with businesses, universities and community development organizations, in ways that revive entire neighborhoods.

The amount of revenue expected to be available to fund plan activities is estimated to be \$13,088,231. Of this amount, \$10,595,133 represents anticipated new grants from the federal government; \$2,493,098 represents anticipated receipt of loan and interest repayments on prior year Urban Development Action Grant, other program income, and reallocation.

Attachment I provides an indication of the grant amounts and compares them with the 2012-13 program year. A detailed summary of program income is presented in Attachment II.

Interim financing, referred to as a "Float Loan", is a procedure where a community uses CDBG funds for eligible activities that are expected to generate program income in time to carry out other activities included in the plan. Use of this procedure is based on the premise that the later activities do not require funds immediately and that the community's letter of credit will therefore contain a balance (the "float") that can be used on a temporary basis. Not only must the activity being financed with the float comply with all applicable CDBG requirements, including citizen participation requirements, but the program income generated from the activity is also subject to CDBG regulations.

The City has participated in HUD's Asset Control Area Partnership Program. This program involves the acquisition and rehabilitation of vacant property. The Rochester City Council amended the 2005-06 Annual Action Plan to authorize a \$2 million CDBG "Float Loan" for use by the Rochester Housing Development Corporation, for ACAP Phase 3. These funds have been repaid. The 2007-08 Annual Action Plan was

amended to provide a \$2,000,000 CDBG "Float Loan" for ACAP Phase 4. These funds have also been repaid.

The 1991-92 Community Development Block Grant Plan was amended to provide a \$1.5 million CDBG "Float Loan" for the renovation and reuse of the former Sibley Building. This loan is outstanding.

It should be noted that the repayments will be used to finance activities that have been described in previous action plans that were amended to provide the funds. If a "Float Loan" is not repaid, the City will amend or delete funded activities. The selection of activities will be based on current needs and priorities and a substantial amendment will be prepared in accordance with the Citizen Participation Plan.

The following table provides an indication of funding by program goal.

Funding by Program Goal

GOAL	AMOUNT	PERCENT OF TOTAL
Promote economic stability	\$1,390,000	14%
Improve the housing stock	\$6,087,837	63%
Respond to general community needs	\$1,705,400	18%
Other	\$534,205	5%
Total	\$9,717,442	100%

The following table provides a summary of the specific objectives and proposed outcomes contained in the 2013-14 Annual Action Plan.

NEED	Proposed Outcomes						Organiz.
	Businesses	Jobs	People	Households	Housing Units	Facilities /Imps	
Economic Development	80	50	120000			18	
Owner Housing Rental Housing			720	403	252		1
Homeless/HIV/AIDS			TBD	190			
Public Services			512+				
Public Facilities						1	
Total	80	50	121232	593	252	19	1

Summary of Needs and Outcomes

A summary of housing and community development objectives is provided in another section of this document.

Evaluation of Past Performance

Rochester City prepared a Consolidated Annual Performance and Evaluation Report (CAPER) for FY 2011 (July 1, 2011 to June 30, 2012). This CAPER was the second of the five-year period and reported the FY 2011 accomplishments of the City's CDBG Program.

The Department of Neighborhood and Business Development and HUD annually assess the program's performance to determine whether the City of Rochester is in compliance with statutes and whether it has the continuing capacity to implement and administer federally assisted programs.

The CDBG program accomplished the following during the 2011-2012 program year:

- **Economic Development:** \$2,098,728.16 was spent during the program year. The City assisted 63 businesses and projects where 67 jobs will be created or retained as a result of these efforts.
- **Housing:** \$2,153,273.87 was spent during the program year to improve the quality of owner housing through activities such as residential assistance, rehabilitation, energy efficient improvements, and lead paint abatement. The City completed 944 units during the reporting period.
- **Public Services:** \$977,459.52 was spent during the program year funding a variety of public service providers. The City assisted 112,023 people during the reporting period.
- **Planning and Administration:** The City spent \$751,594.31 for planning and administration during the reporting period, which accounts for 11.1% of expenditures.

The HOME Program accomplished the following during the program year:

- **First-Time Homebuyer:** \$860,510.13 was spent on first-time homebuyer education and purchase assistance during the program year. The City completed 125 units.
- The City awarded 18 MBE contracts totaling \$9,868,783 and 1 WBE contract for \$71,150 during the program year.

The Emergency Shelter Grant program accomplished the following during the 2011-12 program year:

- The City spent \$378,640.43, and funded 21 projects. Of the projects funded during the program year, 14 met or exceeded their service target. Overall, the City expected to serve 5,440 persons and the actual number served was 6,555.

The Housing Opportunities for Persons with AIDS program accomplished the following during the 2011-12 program year:

- The City spent \$602,281.46 and funded two agencies. During the reporting period, the City expected to serve 210 households and the actual number served was 270 households.

The HUD Annual Community Assessment for the 2011-12 program year has not yet been received from HUD.

Citizen Participation 91.200 and 91.220(b)

2. Provide a summary of the citizen participation and consultation process (including efforts to broaden public participation in the development of the plan.

a. Based on the jurisdiction's current citizen participation plan, provide a summary of the citizen participation process used in the development of the consolidated plan. Include a description of actions taken to encourage participation of all its residents, including the following:

1. low- and moderate-income residents where housing and community development funds may be spent;
2. minorities and non-English speaking persons, as well as persons with disabilities;
3. local and regional institutions and other organizations (including businesses, developers, community and faith-based organizations);
4. residents of public and assisted housing developments and recipients of tenant- based assistance;
5. residents of targeted revitalization areas.

b. Identify agencies, groups, and organizations that participated in the process. This should reflect consultation requirements regarding the following:

1. General §91.100 (a)(1) - Consult with public and private agencies that provide health services, social and fair housing services (including those focusing on services to children, elderly persons, persons with disabilities, persons with HIV/AIDS and their families, homeless persons) during the preparation of the plan.
2. Homeless strategy §91.100 (a)(2) – Consult with public and private agencies that provide assisted housing, health services, and social services to determine what resources are available to address the needs of any persons that are chronically homeless.
3. Metropolitan planning §91.100 (a)(5) -- Consult with adjacent units of general local government, including local government agencies with metropolitan-wide planning responsibilities, particularly for problems and solutions that go beyond a single jurisdiction, i.e. transportation, workforce development, economic development, etc.
4. HOPWA §91.100 (b)-- Largest city in EMSA consult broadly to develop metropolitan-wide strategy for addressing needs of persons with HIV/AIDS and their families.
5. Public housing §91.100 (c) -- Consult with the local public housing agency concerning public housing needs, planned programs, and activities.

3. Provide a summary of citizen comments or views on the plan.

4. Provide a written explanation of comments not accepted and the reasons why these comments were not accepted.

The City conducted a public meeting to solicit input into the preparation of the Annual Action Plan on April 9, 2013. The Rochester City Council will hold a public hearing on the Annual Action Plan on June 18, 2013. It is the City's practice to advertise meetings in the Democrat and Chronicle.

The City of Rochester also held four Voice of the Citizen meetings. These meetings provide citizens with an open forum in which to discuss their budgetary priorities, needs and concerns. Four meetings were held, one in each quadrant of the City. Meeting dates were March 21, March 27, April 1, and April 3, 2013. One of the table topics at these meetings was Federal Funding for CDBG and HOME.

In addition to community meetings, the City encouraged input from other governmental agencies and nonprofits on the goals and actions that should be considered in the consolidated planning process. Agencies and nonprofit organizations that serve City residents were contacted to identify specific needs and subsequent actions to address these needs.

City staff are in regular contact with a variety of community organizations to gather input on priority areas, issues of concern and other topics. The City also solicited input from social service and homeless agencies that provide housing assistance and related services to low- and moderate-income persons. These include contact with homeless service providers through the Homeless Services Network (HSN), HSN Subcommittees, Continuum of Care, other Housing and public service providers. The City also gathers information from stakeholders of the four Focused Investment Strategy (FIS) areas.

Consultation with the Rochester Housing Authority occurred to obtain data on the public housing inventory (including the Section 8 Housing Choice Voucher Program) and to discuss the Housing Authority's plans for public housing development activities.

For the FY 2013, the City of Rochester consulted with the Continuum of Care, held a public meeting on April 9, 2013, and held Voice of the Customer sessions on March 21, March 27, April 1 and April 3, 2013 at which federal funding was one of the discussion topics. In addition, the City notified the adjacent units of government of the availability of the draft Annual Action Plan. Comments were invited and any comments received will be considered and included in the final Annual Action Plan submitted to HUD.

Three years ago, the City consulted with a variety of housing, social service, and other agencies in the process of preparing its FY 2010-2014 Consolidated Plan. Agencies were first contacted through a direct survey. Follow-up interviews were conducted with selected organizations to define needs and service gaps in greater detail.

Copies of the FY 2013 Action Plan were distributed to various public locations for public review and comment. A summary of the Annual Action Plan was also published in the Democrat and Chronicle on _____ to alert interested persons as to the availability of the Annual Action Plan. A copy of the Annual Action Plan was placed on the City's web site and was available at public libraries, Department of Neighborhood and Business Development and the Communications Bureau in City Hall.

The public review period ran from May 18, 2013 to June 17, 2013. On June 18, 2013, a public hearing will be held and the City Council will consider the approval of the Plan for submission to HUD.

Summary of Citizen Comments

In 2010, the City of Rochester administered an online survey for City residents, business owners, and service providers to complete regarding their views and opinions on important housing and community development needs in the city. The results of the survey provided guidance to City officials to establish budget and program priorities for the next several years, specifically how to allocate federal funds received for housing and community development activities.

The City of Rochester Housing and Community Development Needs Survey was available on the Internet through the City of Rochester's web site via www.zoomerang.com, an online survey tool. The survey posed a total of 45 questions. The survey was officially launched on January 25, 2010 and closed on February 25, 2010, giving area stakeholders and residents a month to complete the survey.

The link was advertised on the City's website (<http://www.cityofrochester.gov>), and was announced at various stakeholder and public meetings throughout the CP planning process. A total of 219 responses were received and analyzed.

The majority of the questions posed a series of statements on economic development needs, public facility and service needs, housing needs, recreation and infrastructure needs, and neighborhood needs, and asked survey participants to express their level of agreement or disagreement with the statements provided. There were also two open-ended questions that provided comment boxes for participants to express their comments and ideas.

The chart on the following page offers a brief overview of the online survey responses.

The City received ____ citizen comments for the annual action plan during the public comment period.

Responses to 2010 Online Resident Survey

94% housing needs should be met through rehabilitation of existing housing stock	88% more programs for youth
92% more programs to help homeowners repair their homes	86% more life skills training for lower income households
89% rehab and new construction should incorporate green technology, energy efficiency, sustainable design, etc. even if it costs more than traditional construction	79% more literacy programs
85% more programs to stimulate repairs to rental units	78% more physical fitness and exercise programs and facilities
84% programs to prevent individuals from becoming homeless	75% more nutritional programs and facilities
82% need for financial assistance for families seeking to purchase a home in the City	73% more medical programs and facilities
81% programs aimed at helping the homeless become more self-sufficient	72% more mental health programs and facilities
79% more homeless shelters and transitional housing facilities	71% more programs for seniors
74% more affordable housing for seniors	66% need to provide more transportation programs
64% need to expand the supply of housing accessible to persons with disabilities	59% more community centers
57% need to provide rental assistance to lower income households living in the City	59% additional day care facilities and programs
46% need for programs aimed at overcoming housing discrimination	
46% need to expand the supply of rental housing for low-wage households	
41% need for housing for people with HIV/AIDS	94% need to support neighborhood crime awareness and prevention activities
26% housing needs should be met through new housing construction	93% need to undertake targeted neighborhood revitalization efforts
	89% need to demolish vacant and deteriorated structures
	81% need to provide financial assistance to preserve historic homes/buildings
	79% need to provide a higher level of code enforcement
	46% more fire stations and equipment
84% need to improve streets and sidewalks	83% need to provide employment training to City residents
80% need to improve existing parks and recreation facilities	73% need to help low to moderate income residents start or expand their businesses
74% need to improve street lighting	67% need to provide financial assistance to upgrade existing commercial businesses
50% need to create new parks and recreation facilities	55% need to help businesses purchase machinery and equipment
42% need to expand or improve water and sewer services	

Summary of Efforts

Copies of the FY 2013 Action Plan were distributed to various public locations for public review and comment. A summary of the CP was also published in the Democrat and Chronicle on _____ to alert interested persons as to the availability of the Annual Action Plan. A copy of the Annual Action Plan was placed on the City's web site and was available at public libraries, Department of Neighborhood and Business Development, and Communications Bureau in City Hall.

During the preparation of the five year Strategic Plan, the effort to outreach to persons with disabilities was made through contact with the local agencies that represent the needs of persons with disabilities. Information about opportunities to comment on the Five Year Plan was provided to local agencies.

Explanation of Comments Not Accepted

TBD

Resources 91.220(c) (1) and (c)(2)

5. Identify the federal, state, and local resources (including program income) the jurisdiction expects to receive to address the needs identified in the plan. Federal resources should include Section 8 funds made available to the jurisdiction, Low-Income Housing Tax Credits, and competitive McKinney-Vento Homeless Assistance Act funds expected to be available to address priority needs and specific objectives identified in the strategic plan.
6. Explain how federal funds will leverage resources from private and non-federal public sources.

The United States Department of Housing and Urban Development annually awards federal funds to the City of Rochester for housing and community development activities. These funds are from the Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), Emergency Shelter Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA) programs. The City utilizes Urban Development Action Grant loan and interest payments for the City Development Fund.

The City of Rochester has identified a wide range of resources that can be invested to support the City's affordable housing initiatives. A summary of these resources, focusing on housing, is included below.

Federal Programs

- Community Development Block Grant (including program income)
- HOME Investment Partnership
- Emergency Solutions Grants
- Section 8 Housing Choice Voucher
- Section 202 Supportive Housing for the Elderly
- Public Housing HOPE VI Grants
- Public Housing Capital Funds
- Neighborhood Stabilization Program Funds
- Tax Credit Assistance Program (TCAP)/Credit Exchange Funds
- Low Income Housing Tax Credits
- New Market Tax Credits
- Economic Development Administration Public Works Program
- Empowerment Zone Bonds
- Department of Justice Weed and Seed Program
- Lead Hazard Control

State Resources

- Housing Trust Fund Program
- Homes for Working Families Program
- State Low Income Housing Tax Credits
- Affordable Housing Program
- State of New York Mortgage Agency
- Homeless Housing Assistance Program
- New York State Empire Zone
- Metropolitan Transportation Authority Capital Program

Private Resources

- Federal Home Loan Bank
- Community Preservation Corporation Funds
- Community Capital Resources

Annual Objectives 91.220(c)(3)

***If not using the CPMP Tool:** Complete and submit Table 3A.

***If using the CPMP Tool:** Complete and submit the Summary of Specific Annual Objectives Worksheets or Summaries.xls

Goals and objectives to be carried out during the action plan period are indicated by placing a check in the following boxes.

<input checked="" type="checkbox"/>	Objective Category Decent Housing Which Includes:	<input checked="" type="checkbox"/>	Objective Category: Suitable Living Environment Which Includes:	<input checked="" type="checkbox"/>	Objective Category: Expanded Economic Opportunities Which Includes:
<input checked="" type="checkbox"/>	assisting homeless persons obtain affordable housing	<input checked="" type="checkbox"/>	improving the safety and livability of neighborhoods	<input checked="" type="checkbox"/>	job creation and retention
<input checked="" type="checkbox"/>	assisting persons at risk of becoming homeless	<input checked="" type="checkbox"/>	eliminating blighting influences and the deterioration of property and facilities	<input checked="" type="checkbox"/>	establishment, stabilization and expansion of small business (including micro-businesses)
<input checked="" type="checkbox"/>	retaining the affordable housing stock	<input checked="" type="checkbox"/>	increasing the access to quality public and private facilities	<input checked="" type="checkbox"/>	the provision of public services concerned with employment
<input checked="" type="checkbox"/>	increasing the availability of affordable permanent housing in standard condition to low-income and moderate-income families, particularly to members of disadvantaged minorities without discrimination on the basis of race, color, religion, sex, national origin, familial status, or disability	<input checked="" type="checkbox"/>	reducing the isolation of income groups within areas through spatial de-concentration of housing opportunities for lower income persons and the revitalization of deteriorating neighborhoods	<input checked="" type="checkbox"/>	the provision of jobs to low-income persons living in areas affected by those programs and activities under programs covered by the plan
<input checked="" type="checkbox"/>	increasing the supply of supportive housing which includes structural features and services to enable persons with special needs (including persons with HIV/AIDS) to live in dignity and independence	<input checked="" type="checkbox"/>	restoring and preserving properties of special historic, architectural, or aesthetic value	<input checked="" type="checkbox"/>	availability of mortgage financing for low income persons at reasonable rates using non-discriminatory lending practices
<input checked="" type="checkbox"/>	providing affordable housing that is accessible to job opportunities	<input checked="" type="checkbox"/>	conserving energy resources and use of renewable energy sources	<input checked="" type="checkbox"/>	access to capital and credit for development activities that promote the long-term economic social viability of the community

7. Provide a summary of specific objectives that will be addressed during the program year.

Priority Non-Housing Community Development Needs

The City has identified the need for public infrastructure improvements, public facility improvements, and public service activities throughout Rochester. Most of these projects involve infrastructure upgrades and streetscape improvements.

Owing to the diverse nature of the residents and neighborhoods of the city of Rochester, the non-housing community development needs within the city are varied and disparate, but there are some common elements. There are needs for public infrastructure improvements and public facility improvements throughout the city. In addition, there are significant social service needs that are not adequately addressed.

The City focus is on providing high quality housing, an environment that encourages successful businesses of all sizes, and safe and attractive neighborhoods to retain our current customers and attract new ones. We will use a team-oriented approach with our residents, investors and community partners to solve problems, address quality of life concerns, support our businesses and enhance our neighborhoods.

Policy Imperative for Neighborhood and Business Development:

- Improve the “look and feel” of every city neighborhood through increased business activity, real estate development, neighborhood revitalization, housing production and rehabilitation.
- Achieve more pro-active, timelier resolution of our priority quality of life issues, using empowered, problem solving teams in quadrant Neighborhood Service Centers.
- Firmly establish the City’s reputation as customer-friendly and open for business, by seeking compliance with codes/regulations using the most streamlined and cost-effective practices.
- Recruit businesses that increase our population, jobs, valuation and economic opportunities.

The following funded activities support our policy priorities:

- Provide more mortgage subsidies, grants, and loans to encourage home ownership and the growth of neighborhood businesses;
- Expand availability of programs that assist property investors, home buyers and tenants to maintain the value and condition of their properties;
- Focus City financial resources to leverage private investment in city real estate;
- Rehabilitate salvageable vacant homes and develop vacant lots, in partnership with businesses, universities and community development organizations, in ways that revive entire neighborhoods.

Goal: Promote Economic Stability

The City’s economic development efforts concentrate on commercial and industrial development through a series of strategies and initiatives designed to encourage investment, and create and retain jobs while strengthening city neighborhoods by providing vital neighborhood services. The primary goals will be to continue to provide economic development programs and services designed to assist projects which will result in new investment, new job creation, and/or job retention. The high priority needs are Economic Development Direct Financial Assistance to For-Profits and Economic Development Technical Assistance.

Goal: Respond to General Community Needs

While youth services needs in the city continue to be varied and extensive as evidenced by both the high level and severity of urban poverty, we acknowledge the scope of need in the context of finite resources. Major area funders including the City of Rochester, County of Monroe, Rochester City School District, and the United Way established a set of common "Communitywide Outcomes" that focused dollars and effort on established priorities.

The Consolidated Plan served as a framework that produced a number of successful collaborations and collective problem solving initiatives, in areas such as neighborhood planning, after-school programs, teen pregnancy prevention, violence prevention, and homelessness, and helped to marshal resources to achieve common goals.

As expected, the approach to funding youth services in the Rochester community continues to evolve, and funders continue to work together to address needs, and where appropriate, jointly support programs or efforts to improve long-term outcomes for youth across the City.

The Department of Recreation and Youth Services has completed a full departmental strategic planning process which has resulted in new priority recommendations and focus areas, which has resulted amendments to this section of the annual action plan.

Given our revised focus areas and reduced resources, support for youth programs utilizing CDBG funds will rest on the following four tenets:

1. An emphasis on incorporating youth development principles in youth programming that focus on prevention rather than intervention;
2. Focusing on services that enhance the employability and academic enrichment of City youth;
3. Outreach and support to parents and care givers of youth; and
4. Collaboration among service providers by using City funds to leverage other resources.

The City will use CDBG funds for various improvements in low- and moderate-income neighborhoods.

Table 2B

PRIORITY COMMUNITY DEVELOPMENT NEEDS			
	Priority Need Level (High, Medium, Low)	Dollars to Address Unmet Priority Need	Goals
PHYSICAL IMPROVEMENTS			
Acquisition of Real Property	Low		
Disposition	Low		
Clearance and Demolition	Low		
Clearance of Contaminated Sites	Low		
Code Enforcement	Medium		
SOCIAL AND COMMUNITY DEVELOPMENT			
Senior Centers	Low		
Handicapped Centers	Low		
Homeless Facilities	Medium		

Youth Centers	Medium		
Neighborhood Facilities	Medium		
Child Care Centers	Low		
Health Facilities	Low		
Mental Health Facilities	Low		
Parks and/or Recreation Facilities	High		
Parking Facilities	Low		
Tree Planting	High		
Fire Stations/Equipment	High	75,000	TBD
Abused/Neglected Children Facilities	Medium		
Asbestos Removal	Low		
Non-Residential Historic Preservation	Medium		
Other Public Facility Needs	Low		
INFRASTRUCTURE IMPROVEMENT			
Water/Sewer Improvements	High		
Street Improvements	High	250,000	TBD
Sidewalks	High		
Solid Waste Disposal Improvements	Medium		
Flood Drainage Improvements	Medium		
Other Infrastructure: Right of Way	High	1,166,000	TBD
COMMUNITY SERVICES			
Senior Services	Medium		
Handicapped Services	Medium		
Legal Services	Medium	375,000	250 Households
Youth Services	High	30,000	12 People
Youth Recreation	High		
Transportation Services	Medium		
Substance Abuse Services	High		
Employment/Training Services	High	184,400	410 People
Health Services	Low		
Lead Hazard Screening	Low		
Crime Awareness	Medium		
Fair Housing Activities	Medium		
Tenant Landlord Counseling	High	175,000	720 People
Other Services			
ECONOMIC DEVELOPMENT			
Commercial/Industrial Land Acquisition/ Disposition	Low		
Commercial/Industrial Infrastructure Development	Low		
Commercial/Industrial Building Acq/Constr/ Rehab/ Façade Improvements	High	200,000	7 Businesses
ED Assistance to For-Profit	High	1,214,865	50 Jobs 73 Businesses
ED Technical Assistance	High		
Micro-Enterprise Assistance	Low		

Description of Activities 91.220(d) and (e)

***If not using the CPMP Tool:** Complete and submit Table 3C

***If using the CPMP Tool:** Complete and submit the Projects Worksheets

- Provide a summary of the eligible programs or activities that will take place during the program year to address the priority needs and specific objectives identified in the strategic plan.

Describe the outcome measures for activities in accordance with Federal Register Notice dated March 7, 2006, i.e., general objective category (decent housing, suitable living environment, economic opportunity) and general outcome category (availability/accessibility, affordability, sustainability).

Specific Short-Term and Long-Term Objectives

Previously, Community Development Block Grant and HOME spending patterns reflected a widely dispersed spatial allocation. In order to achieve a greater impact, the department defined smaller areas for public investment and focused our expenditures over a multi-year period. This Focused Investment Strategy (FIS) will produce greater neighborhood impacts.

Community Development Objectives/Goals/Accomplishment Table

Specific Objective/Goal	Specific Objective/Strategy	Initiatives	2013-2014 Planned Accomplishments
Sustainability of Economic Opportunity/Promote Economic Stability	Improve economic opportunities for low- income persons	ED Financial Assist. Loan & Grant, Neighborhood Commercial Assistance Program, Targeted Façade Improvement Program	50 jobs 80 businesses 120,000 people 18 public improvements
Availability / Accessibility of Suitable Living Environment / General Community Needs	Improve the services for low/mod income persons	Fire Department Small Equipment, Job Creation/Youth Development, Southwest Youth Organizing Project, Employment Opportunity Job Training Support, Residential Street Rehabilitation, Midtown Redevelopment Open Space, Neighborhood Asset Based	512 people+ 1 Public Facility

Public Facilities

Public facility improvements in the city of Rochester are important to supporting the quality of life by providing adequate space for the provision of services, holding of events that bring the community together, and addressing public safety concerns. In addition, Rochester’s various public facilities also support the need for open space and recreation amidst the city’s urban setting. The city will continue to support its various community development needs through public facilities improvements.

Need for Facilities for Young People: One of the needs often expressed in the focus group meetings was the need for more programs for young people. The Ryan Center provides a state of the art facility for the greater community.

The center, completed in September 2009, offers the following programs:

- Registration for an EZ RecPass ID, which provides access to top-notch team sports, outstanding arts programs, water skills classes, youth council leadership opportunities and more
- Fun, skill-building friendships in the safe atmosphere of city recreation
- Year-round recreation program for youth, teens and adults
- Summer Breakfast & Lunch program
- Kids Cafe Dinner program during the school year
- Saturday hours
- Seasonal special events
- Youth Council
- Collaborative programs with community organizations and Sully Library

Often expressed was the problem with access to schools for after school programs and activities. The Ryan Center, as an example, reached close to capacity a short time after it opened. While the center offers state of the art facilities and programming, young people who live a distance from the Ryan Center are not able to take advantage of its programming due to limited transportation options.

Infrastructure

Public infrastructure in many CDBG-eligible areas of Rochester is inadequate for current demands. Priority projects in the infrastructure category include the reconstruction of streets and sidewalks that are heavily traveled and in need of repair (including repaving, streetscape beautification, tree planting and curb cut improvements). Infrastructure Improvement projects will contribute to the safety and quality of life of neighborhoods throughout the city of Rochester and will benefit LMI persons.

As an older community, the infrastructure in the city of Rochester is outdated and in need of repair and upgrading. There is also a need for maintenance of infrastructure to avoid deferred maintenance and neglect that can result in costly future replacement. Maintaining and improving the City's infrastructure is important in the support of the long-term viability of the City of Rochester as a place to live, work, play, and operate a business.

Project Green: The City of Rochester has developed a citywide initiative that will implement strategies including vacant land management, acquisition, demolition, land banking, and land disposition for abandoned and vacant properties. The goal is the establishment and funding of a multi-purpose program that strategically addresses high vacancy within identified areas for the program. The long-term goal is to reduce the housing vacancy rate citywide in order to re-establish a functioning housing market and to establish community revitalization strategies in areas where development of an increased number of housing units would not be a prudent strategy in the context of the neighborhood market and the citywide vacancy rate problem.

The City seeks to foster, through the City Quadrant Teams, the empowerment of residents and property owners to develop neighborhood reinvestment plans that will stabilize residential and commercial properties in neighborhoods that have suffered with the highest rates of abandonment and vacancy. Each Quadrant Team hosted planning sessions for the purpose of nominating Pilot Projects by neighborhood

stakeholders (sector leaders, neighborhood presidents, block club leader, business district representatives, etc.). These planning sessions were brainstorming sessions to identify suitable blocks and strategies for the above references objectives. In addition, the Quadrant Teams asked for input selection criteria that should be used to rank and rate potential Project Green areas. Each proposed site and strategy was submitted for consideration.

This process culminated in the identification of four areas to include in a first phase as well as the development of a five year strategic demolition plan. During 2013-14, the City will continue to develop and implement plans for the four areas. In addition, the City will continue a second year of implementation of the five year strategic demolition plan.

The following are the vacant property strategy goals:

- Reduce vacancy, fires and crime
- Improve neighborhoods
- Sustainable economic development
- Assemble development sites
- Increase area for recreational use
- Create green amenities: green corridors, trail completion and enhancement, urban agriculture/community gardens
- Environmental remediation
- Strategic land use planning
- Enhance multi-purpose "land bank" program.

Economic Development

Programs to promote economic stability and growth are high priorities for Rochester. As discussed earlier, the City's economic development efforts concentrate on commercial and industrial development through a series of strategies and initiatives designed to encourage investment, and create and retain jobs while strengthening city neighborhoods by providing vital neighborhood services. The primary goals will be to continue to provide economic development programs and services designed to assist projects which will result in new investment, new job creation, and/or job retention and increasing tax revenue for the City.

The City works with private industries, businesses, and developers in an effort to coordinate economic development activities, including businesses recruitment and retention, job growth, employment training programs, etc. Downtown redevelopment and revitalization is an on-going activity in the City of Rochester. The City of Rochester continues to develop its business base. In addition, increased investments in historic preservation revitalization of properties in and around the downtown have continued to be a focus. There continues to be a need for business recruitment and retention. As such, economic development will remain an important priority in the overall revitalization of Rochester.

Short-Term Goals: The City will support business retention and recruitment activities through the continuation of the Economic Development Financial Assistance Loan and Grant Program, which supports the following:

- Financial Assistance
- Targeted Business Assistance
- Main Street Assistance

- Pre-development Grant

In addition:

- A Targeted Façade Improvement Program will assist businesses in focused areas
- As part of its business assistance program the City has a Small Business Matching Grant Program. This program provides a 50% matching grant for security improvements, signage, purchase of computers, marketing assistance, architect assistance, or purchase of FF&E.

Long-Term Goals: The City of Rochester will continue to support activities and programs that provide a stable and balanced economic base. The City will provide for and support a variety of goods and services, including business recruitment and retention, commercial and industrial redevelopment, and business district improvements and programming.

Public Services

The City of Rochester will continue a range of public service efforts in order to improve the public services for low- to moderate-income residents in addition to other members of the community. The City funds a number of services for residents with the specific objective/goal of enhancing the availability/accessibility of a suitable living environment to meet general needs. These included the following services:

- Job Creation/Youth Development, Southwest Youth Organizing Project, Employment Opportunity Job Training Support

In addition, the City funds a portion of the City of Rochester's fire department equipment needs through the following program:

- Fire Department Small Equipment (smoke and carbon monoxide detectors)

CDBG money is used by the Neighborhood Service Centers to create communities that are safe, thriving and economically viable by partnering with area neighborhood and business associations promoting living, working and playing in the four quadrants throughout the city.

At the focus groups that participated in the strategic planning sessions 3 years ago, crime in the city was identified as an area in need of being addressed. Neighborhood groups, homeless advocates, and housing developers all mentioned crime as a key issue to be addressed. One focus group participant stated that it is hard to ensure safety in many of the affordable neighborhoods. In addition, drugs and other illicit behavior has had a negative impact on businesses and residential areas. The City has to directly address the need to reduce crime and combat deterioration in the neighborhoods and the downtown area.

Geographic Distribution/Allocation Priorities 91.220(d) and (f)

9. Describe the geographic areas of the jurisdiction (including areas of low income families and/or racial/minority concentration) in which assistance will be directed during the next year. Where appropriate, the jurisdiction should estimate the percentage of funds the jurisdiction plans to dedicate to target areas.
10. Describe the reasons for the allocation priorities, the rationale for allocating investments geographically within the jurisdiction (or within the EMSA for HOPWA) during the next year, and identify any obstacles to addressing underserved needs.

The City generally targets community development activities in census tracts where 51% or more of the households have incomes that are 80% or less of the median family income. The map included in the Appendix depicts this area as determined from 2000 census data.

In addition, guidelines for allocating funds geographically are:

- Planning projects are city-wide.
- Economic development projects are city-wide and assist businesses that create jobs for low- and moderate-income persons or provide needed goods and services to residents of low- and moderate-income residential areas.
- Housing projects are city-wide and assist units occupied by low- and moderate-income families.
- Neighborhood improvement projects are city-wide and are targeted to neighborhoods that are primarily residential and at least 51% of the residents are low- and moderate-income.
- Human service and homeless projects are limited to a specific group of people, at least 51% of whom are low- and moderate-income.

The funding priorities and decisions for the City of Rochester are based in a process of neighborhood engagement that provides the basis for funding. The Quadrant Team approach was developed, in part, to assist in the process of identifying neighborhood issues and responding through a cross-functional team approach. The Quad Team approach is threefold: communication, short-term problem-solving, and prioritization of longer-term projects. The long-term goal is to create a process of ongoing issue identification, resource allocation, and prioritization that continually informs the City on economic development, housing, and social issues.

The City consults with community groups, nonprofit groups and City department staff on an ongoing basis in the preparation of the Annual Action Plan. The City used statistical data compiled from a variety of sources to prepare a community profile, housing market analysis, and housing needs assessment that is included in the Five Year Consolidated Plan.

The City's federal funding priorities will also adhere to the following guidelines:

- At least 70% of CDBG expenditures will be for activities that benefit low- and moderate-income families.
- The amount of funds proposed for public service activities will not exceed 15% of the annual CDBG amount, including program income.

- The amount of funds proposed for planning and administration activities will not exceed 20% of the annual CDBG amount, including program income.

The City's federal CDBG funds are intended to provide low- and moderate-income households with viable communities, including decent housing, a suitable living environment, and expanded economic opportunities. Eligible activities include community facilities and improvements, housing rehabilitation and preservation, affordable housing development activities, public services, economic development, planning, and administration.

The system for establishing the priority for the selection of these projects is predicated upon the following criteria:

- Meeting the statutory requirements of the CDBG Program
- Meeting the needs of low- and moderate-income residents
- Focusing on low- and moderate-income areas or neighborhoods
- Coordination and leveraging of resources
- Response to expressed needs
- Sustainability and/or long-term impact, and
- The ability to demonstrate measurable progress and success

The City of Rochester also utilizes economic development tools to promote revitalization and growth. These include the Empire Zone Tax Incentive. Although this program expired June 30, 2010 for new entrants into the program, the current zone certified businesses must comply with program requirements to assure continuation of their benefits.

Focused Investment Strategy (FIS) Areas

In 2008, the Rochester City Administration and City Council adopted the Focused Investment Strategy (FIS) as a new approach to investing resources in City neighborhoods. The FIS approach of investing more resources in a few neighborhoods, rather than spreading them throughout the City, is aimed at achieving positive change at the neighborhood level in a shorter period of time (three to five years) than could otherwise be realized.

The FIS began with a planning process in 2009 for four selected neighborhoods, one in each quadrant of the City:

- Northeast Quadrant—Marketview Heights
- Northwest Quadrant—Dewey Driving Park
- Southeast Quadrant—Beechwood
- Southwest Quadrant—Jefferson

Concurrent with and following the planning process, programs were developed that utilized City funds (20% of Community Development Block Grant resources were set aside for FIS), dedicated City Cash Capital, and leveraged funds from other City departments/programs, outside agencies, and private sources to address key neighborhood needs identified through the planning processes.

Now, nearly four years into FIS program implementation, a interim assessment report was completed that included:

- Accomplishments
- Impact on Neighborhood Health Indicators
- Stakeholder Team Feedback/Perceptions
- Challenges
- Next Steps/Recommendations

The goals of FIS that were identified at the outset are to:

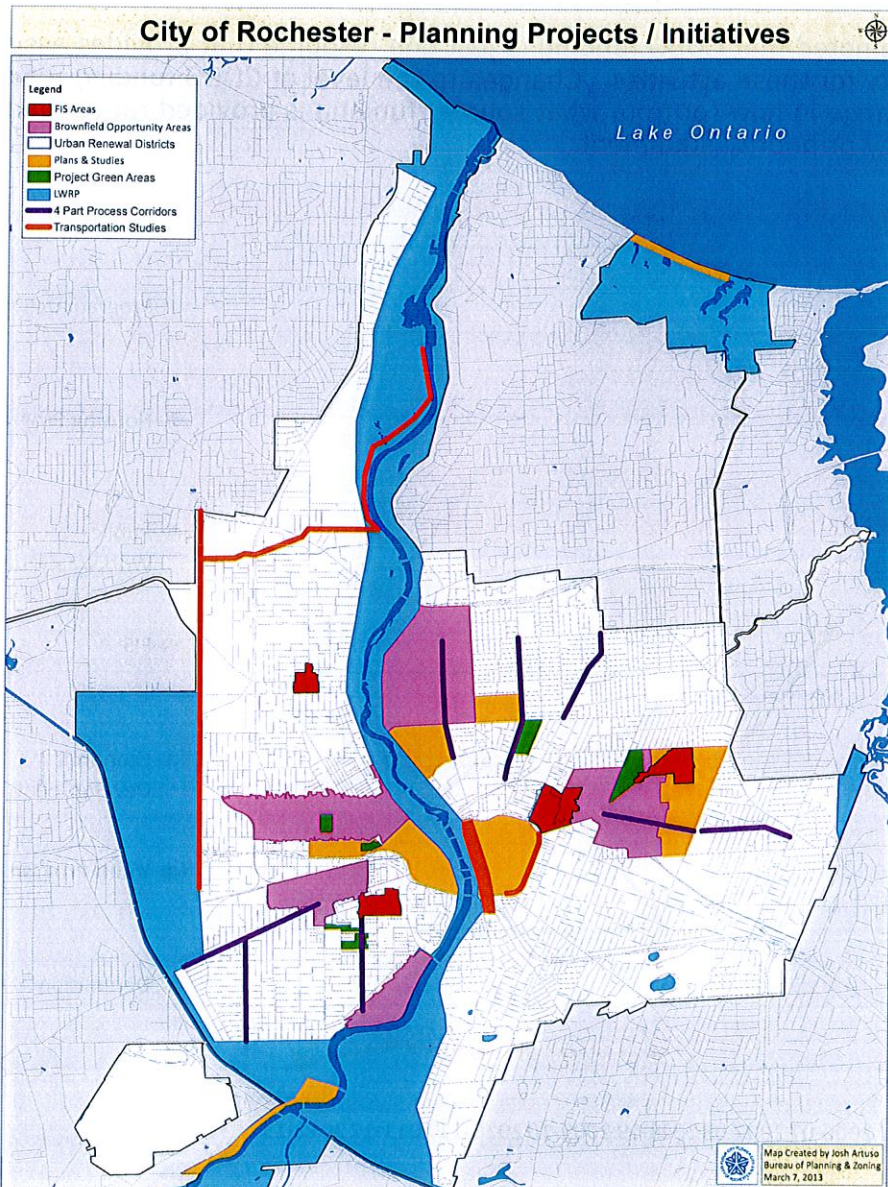
- Invest funds in a way that will improve local housing markets and neighborhood vitality
- Increase property values, thereby increasing the amount of resources available for services and investments
- Maximize the impact of Federal funds
- Empower neighborhood stakeholders by allocating the resources necessary to implement their neighborhood-based plans
- Maximize the number of low and moderate-income residents who benefit from the housing policy initiatives beyond the direct recipients

To date, a substantial number of projects and accomplishments have occurred in each of the four FIS Areas. Over 14 programs/initiatives have been created and deployed in the FIS neighborhoods addressing a comprehensive range of issues from residential and commercial rehab, vacant properties, new housing development, public works, beautification, employment and training and community engagement.

Since FIS was launched, approximately \$14.7 million in City FIS resources (cash capital, CDF, and CDBG) has been allocated and/or invested in projects and programs in the four FIS areas (See FIS funding chart through fiscal year 2012-13 below).

Planning is underway to fund projects in 2013-14 that will help make FIS investments in the four areas more equitable. These plans include investing a greater portion of rehab funds in Beechwood and Dewey Driving Park and undertaking streetscape improvements on main corridors in those two neighborhoods.

FIS funds have leveraged approximately \$56.5 million from other sources. It should be noted that, while the FIS does dedicate a portion of the City's resources to four areas, City resources are still being allocated in a way to maintain a high level of activity throughout the City and in other neighborhoods.

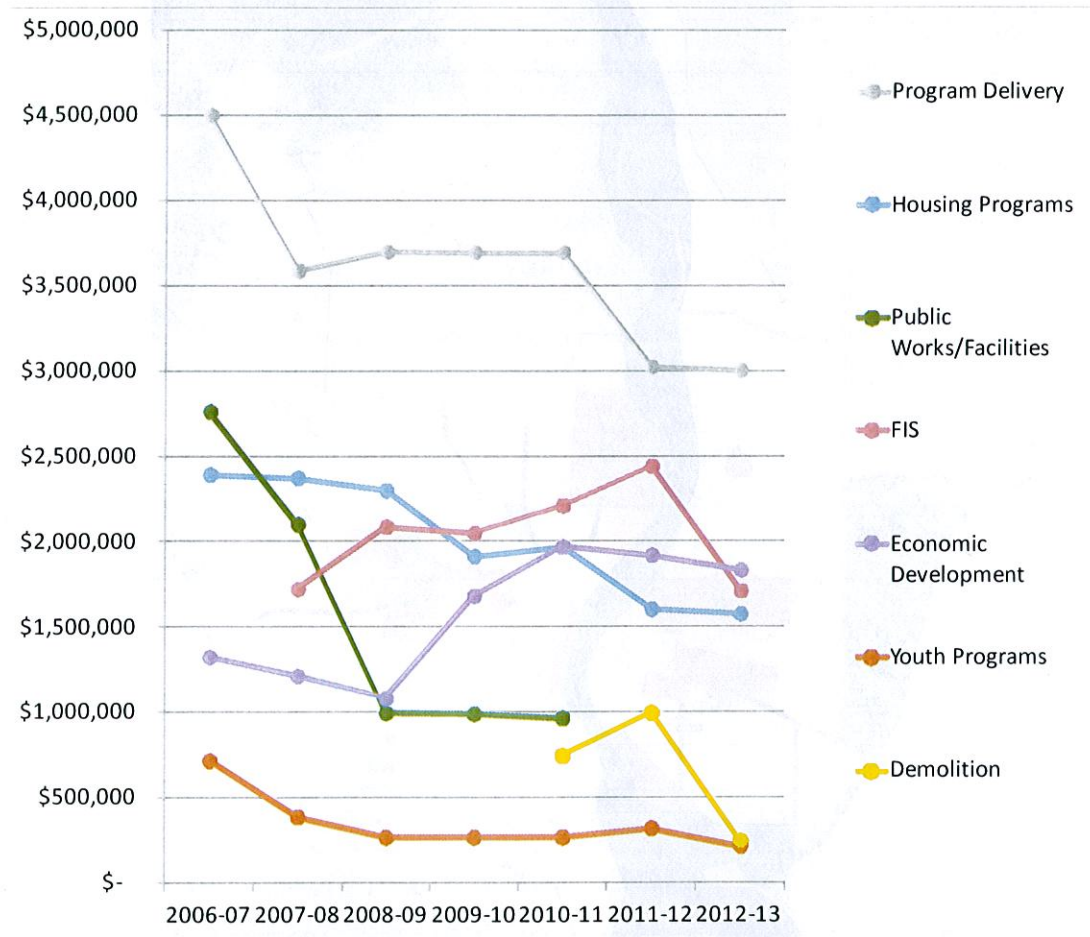


In addition, the graph below shows how the allocation of funding to FIS was accomplished as well as the impact of the reduction in CDBG funding on City programs for years before and during the implementation of FIS. In general, the allocation of 20% of FIS funding and weathering the substantial reduction in funding from HUD over time was accomplished through:

- Elimination of funding for Public Works/Facilities (funded instead by City Cash Capital and Bonds)
- Substantial reduction in the amount of CDBG allocated for Program Delivery (City staff)
- Reduction in certain Housing Programs and elimination of some housing contracts
- Reduction in funding for certain Youth Programs

At the same time, additional funding has been allocated for priorities of Economic Development and funding of Demolition and Fire Prevention began throughout these years.

It should be noted that CDBG funding is just one resource that provides assistance from the City for these activities. Changes to the level of CDBG funding may only reflect a change in how (or from what source) funding is provided rather than an overall policy or priority statement.



Activities and Accomplishments

Activities and accomplishments for all 4 FIS areas are provided below. Note: Most of the figures for completed projects are for the time period July 1, 2009-June 30, 2012.

- **Planning:** FIS implementation plans were completed for all four areas. Additional planning has been completed or begun, such as the Jefferson Avenue Revive Plan and the Marketview Heights Urban Renewal District.
- **Community Engagement:** City staff has met regularly with Stakeholder Teams in each of the FIS areas to guide individual area priorities. 4 community engagement events have been held in the Dewey Driving Park FIS area. The Beechwood FIS has initiated a community building PILOT with

North East Area Development (NEAD). Similar community building initiatives are intended to be launched in all other FIS areas.

- **Real Estate Development:** Two large-scale affordable rental projects (Voters Block Community and Holy Rosary Apartments) secured millions of dollars in funding to develop 152 units of high-quality units. Two additional projects are in process (Hardy Park Apartments will soon be underway and Corpus Christi is seeking financing through New York State).
- **Residential Property Improvements:** 101 residential properties were rehabbed through the Exterior Security Rehabilitation Program (ESRP). An additional 36 are underway.
- **Commercial Property Improvements and Business Development:** 14 commercial properties were rehabilitated with façade improvements. 1 additional project is underway, 2 additional projects have received financial commitments, and 1 more is pending.
- **Vacant Property Acquisition/Rehab:** 7 vacant, two-family properties were rehabilitated as well-managed rental units.
- **Demolition:** 18 blighted vacant structures were demolished. 26 are underway or in the demolition pipeline.
- **Home Ownership:** Two new construction home ownership projects were initiated, that include a total of 9 owner occupied properties. In addition, 7 vacant single-family homes were acquired, rehabilitated to a very high standard, and sold to first-time homebuyers.
- **Employment and Training:** 10 individuals were trained and placed in employment as Building Performance Indicator-trained professionals.
- **Youth:** 23 youth were trained through the Citizens Explorers Program and 10 youth were hired and trained to develop public arts projects in the FIS areas.
- **Utility Upgrades:** 959 households received rear yard utility upgrades through RGE.
- **Public Works:** Two street projects (Dewey Avenue and Jefferson Avenue) including FIS-driven enhancements were substantially completed. FIS funds were also used to help develop an attractive new parking lot across the street from the Public Market on N. Union Street. In addition, improvements were made to the North Union Street Public Market entrance and a parking area within the Market gates as well as to develop the railroad trestle on N. Union street as a pedestrian bridge. Planning is underway by the Department of Environmental Services to improve Union Street from Central Park to University Avenue. Features will include a gateway feature at Central Park, decorative crosswalks at all intersections, installation of 13 pedestrian level-light poles, new street bump outs at seven locations, and planters and benches. Additional public works are being planned for Webster Park and N. Union Street. Discussion continues and funding is being sought for the realignment of Dewey Avenue.
- **Other Activities:**
 - 1 vacant lot was beautified and transformed into a neighborhood asset.
 - 4 community projects were funded with mini-grants.

- 2 special projects (including one that aimed at revitalizing an entire small block and one that turned a vacant, dilapidated commercial structure into a viable reuse) were substantially completed.
- 56 households participated in a landscaping workshop and a planting initiative. Community groups also received plants for community spaces in the FIS areas.
- Rochester Walks! developed walking routes and established walking groups for all four FIS neighborhoods.
- Neighborhood Service Centers conducted targeted code enforcement, numerous uplifts, and addressed multiple problem properties in the FIS areas.
- Neighborhood branding concepts were developed for one FIS area.
- A public safety planning process was initiated in one FIS area. Public safety initiatives have also occurred in the other three areas.

Neighborhood Health Indicators

In order to assess whether or not all of these activities/projects are having an impact on the FIS areas, a handful of key neighborhood health indicators/secondary data measures were analyzed:

- Tenure Status
- Real Estate Sales
- Assessment Data
- Crime Rates
- Vacant Buildings

While it was determined too soon to assess the impact of some of the FIS projects on these measures, at this time the secondary data does seem to suggest that the FIS areas are seeing signs of improvement in their overall health. Large reductions in property crime and violent crime have been the most positive trend within the different geographies, along with a promising decline in the number of vacant structures in many of the FIS Priority areas. Some other indicators including real estate sales values and assessed values do not demonstrate improvement, but are not yet able to provide insight into the impact of FIS accomplishments due to data lags, measurement techniques and the timing of the completion of many projects. This is not surprising as a majority of the FIS projects included in the assessment, while many were initiated in 2009, were not completed until 2011 and 2012. Data on real estate sales and assessed values are expected to better measure results as properties improved through the program are sold and/or reassessed. Property assessment impacts will not be seen until the next citywide reassessment is completed in 2016.

Even with some positive indications and trends, the measurements of neighborhood health also demonstrate some of the substantial challenges and the distressed nature of the FIS areas. As described further in the challenges section of this report, the distressed nature of these neighborhoods makes it difficult to achieve results quickly. FIS areas combined median sale price in 2012 was \$46,125. This is \$22,775 less, or 69% of the citywide median of \$66,900. The same was true for assessment values, which ended 2012 at a median of \$44,000, 75% of the citywide statistic of \$58,000. Crime is also noteworthy with violent crime rates in FIS areas 67% higher than citywide at 48.4 crimes per 1000 people as compared to a rate of 32 per 1000.

Property crime rates were 43% higher in FIS areas at 228.1 as compared to the citywide statistic of 160. The tenure data show an 18.8% higher renter rate in FIS areas with 60.4% renter as compared to 41.6% renter citywide. This translated to a 39.6% homeownership rate, 18.8% lower than the 58.4% statistic citywide. 8.69% of FIS area residential structures are vacant, as compared to 5.25% of citywide residential structures.

Stakeholder Feedback

Stakeholder team members reflect positive views of the impact of FIS while acknowledging that numerous neighborhood challenges still exist. Across the four FIS areas, stakeholders consistently expressed that visible physical change is a notable success. Other perceived successes included a difference in how residents feel about their neighborhoods and improvements in civic engagement and public safety. Continued perceived challenges include unemployment and underemployment, commercial development, rental property management, resident engagement, and public safety concerns particularly those related to drugs and prostitution. Some frustration on the difficulty and pace of the implementation of strategies and projects has also been described.

Challenges

Neighborhood and Business Development staff members working on the FIS have also identified a number of challenges including:

- Distressed Nature of Selected Neighborhoods
- Public safety
- Problem properties contributed to by:
 - Mortgage foreclosure
 - Property tax default/liens
 - Owners who are not locally based
 - Large and poorly maintained/managed residential structures
- Vacant and underutilized commercial properties
- High rehabilitation costs
- Lack of up and running community building activities
- Difficulty managing many competing priorities
- Staff capacity
- Lack of a communications and/or marketing strategy

Recommendations/Next Steps

The FIS has had many accomplishments and its impact is beginning to be visible. Neighborhood and Business Development recommends a continuation of the FIS over at least the next two years. The activities below will be incorporated into the FIS workplan over the next two years:

- **Emphasizing the City commitment to FIS as a continued priority.** As discussed at the time of selection of these four neighborhoods, while the initial timeline proposed hoped to realize the goals of FIS in three to five years, because of the distressed nature of the neighborhoods selected the desired outcomes are likely to take longer to be seen. With the recognition that achieving the desired outcomes of FIS will take at least five years, the City voicing the initiative as a continued priority would help in future program

planning and implementation. It would be helpful to encourage each department (particularly DES, DRYS, RPD) to make an effort to further commit some of their staff resources and programs in these areas to complement the other City investment.

- **Working toward an equitable distribution of FIS funds across all 4 areas. For the next two years, a funding plan has been drafted.**
- **Focusing more on community engagement and community building so that neighborhood residents can become more involved in buttressing the investments and improving the image of their neighborhoods.**
- **Identifying and implementing ways to address concerns with public safety.**
- **Continuing to administer current rehabilitation programs and expand them to include properties that are hard to serve, such as those that are five to ten units.** Encourage owners to play a more active role financially and in terms of neighborhood involvement if receiving a grant. Create a palette of colors to be used by each area to provide more assistance to homeowners in color selections and coordination of colors on a given street to maximize the visual impact of the investments.
- **Identifying or developing a training program for property owners who have taken advantage of the FIS rehab grant programs to educate them about ongoing maintenance.**
- **Focusing on high profile properties such as corner properties or large residential commercial properties that would have a high impact if rehabilitated.**
- **Expanding homeownership opportunities.** Host a homeownership event specifically for the FIS highlighting FIS programs. Meet with realtors who work in the areas to inform them of the various programs so that they can be advocates for the program. Encourage local employers to consider these areas for EAH. Encourage lenders to become more involved in developing financing mechanisms to help spur home ownership opportunities.
- **Developing better communications about the status and accomplishments of FIS.** This could possibly include using the City's website to include a monthly trailer about FIS.
- **Celebrating the successes along with the residents in these areas.** This would include hosting events, including those that attract the media and bring attention to the accomplishments of each area.
- **Focusing on job opportunities for local residents and continue youth development initiatives.**
- **Creating programs that help change the detrimental behaviors of some residents upon the neighborhood and help them be good neighbors.**

- **Identifying other sponsors to fund activities not suitable for City funding, including community building activities that include food.**
- **Engaging a consultant to update the property condition survey (Building Conditions and Land Use survey or BCLU) for each area to quantify the impact of FIS programs on property conditions.**
- **Convening the original FIS Committee made up of City Staff and Neighborhood Stakeholders to share the results to date and determine next steps for FIS.**

Annual Affordable Housing Goals 91.220(g)

***If not using the CPMP Tool:** Complete and submit Table 3B Annual Housing Completion Goals.

***If using the CPMP Tool:** Complete and submit the Table 3B Annual Housing Completion Goals.

11. Describe the one-year goals for the number of homeless, non-homeless, and special-needs households to be provided affordable housing using funds made available to the jurisdiction and one-year goals for the number of households to be provided affordable housing through activities that provide rental assistance, production of new units, rehabilitation of existing units, or acquisition of existing units using funds made available to the jurisdiction. The term affordable housing shall be defined in 24 CFR 92.252 for rental housing and 24 CFR 92.254 for homeownership.

Lead-based Paint:

The Monroe County Department of Public Health reports that 182 children in the City of Rochester under the age of 6 had elevated blood lead levels (equal to or above 5ug/dl) in 2012. This represents an 18% decrease from last year. The incidence of child lead poisoning has been steadily declining since 2003 when 932 children were reported as lead poisoned. The decline in the incidence of child lead poisoning is noteworthy; however, it has been argued that greater than 50% of children under age 6 in the city have not been tested for lead poisoning. Should this population be tested, the incidence of lead poisoning is expected to be much higher than the reported data.

It is well documented that at-risk children primarily reside in rental housing built before 1978. The City will continue to target this housing stock as it carries out its lead hazard control efforts. To illustrate the scope and magnitude of the problem, the City's pre-'78 rental housing stock should be understood. Since 2003, the City is responsible for producing 805 units of lead-safe pre-'78 rental housing units. According to the 2010 Census, an additional 47,704 units remain, all of which may contain lead hazards.

The majority of lead-poisoned children continue to reside in the following inner-City neighborhoods where some of the city's oldest housing stock is located: 14621 South; Edgerton; POD, CHAC, BEST; 19th Ward; Marketview Heights (north); Maplewood (east); Beechwood; 14621 (north); and Genesee/Jefferson/ Plymouth-Exchange. It is within these high-risk neighborhoods where the City will continue concentrating its lead hazard control efforts.

The City has received \$18.7 million in grant awards from HUD's Office of Healthy Homes and Lead Hazard Control since 2003 to support the City's lead hazard control efforts. Since this time, the City has produced 1,343 units of lead-safe housing (owner-occupied and rental) at a cost of \$26 million. The total investment includes grant awards from HUD, the City's local funds, funding from the Greater Rochester Health Foundation, and funding from New York State.

The Consolidated Community Development Plan documents several actions to evaluate and reduce lead-based paint hazards and describes how lead based paint hazards will be integrated into housing policies and programs. During the 2013-14 program year, the City will undertake the following activities:

- The City will continue to manage its Lead Hazard Control program with funding received from HUD's Office of Healthy Homes and Lead Hazard Control, the New York State Dormitory Authority, and the Greater Rochester Health Foundation. It is expected that 125 units of lead-safe housing will be produced at a cost of \$1,750,000.
- Integrate a "Healthy Homes" intervention for units served through the lead hazard control program;
- Continue to provide lead hazard control resources to neighborhoods targeted for the City's Focused Investment Initiative.
- Partner with the Coalition to Prevent Lead Poisoning to educate property owners about the dangers of childhood lead poisoning and build community awareness of resources available for lead hazard control;
- Partner with community groups to provide application intake services for Landlords that apply to the City Program;
- Contract with Environmental Education Associates to offer free training to property owners and contractors.
- Apply to HUD for lead hazard control funding; and
- Seek non-traditional funding for lead hazard control

Priorities and Objectives

The City of Rochester adopted a Housing Policy as a result of the Housing Market Analysis conducted in September 2006. The Housing Policy is based on the July 2007 recommendations of the study. The Housing Policy sets the framework for policy decisions concerning the preservation of affordable housing and was adopted by the City in March 2008. The Policy is included in the 2010-2014 Strategic Plan.

The City of Rochester will invest its entitlement funds to create new and preserve existing affordable housing for households below 80% of median income in the neighborhoods surrounding the downtown district. Towards this end, the City has established the following housing priorities and objectives:

In FY 2013-2014, the City plans on using its federal and other resources to fund the following programs/activities related to a priority/objective in the Five Year Plan.

Specific Objective #1:

Expand the supply of affordable rental and homeownership housing.

Housing Development Fund:

The following table is specifically for the Housing Development Fund:

Specific Objective/Goal	Specific Objective/Strategy	Initiatives	2013-14 Planned Accomplishments
Affordable of Decent Housing/ Improve the Housing Stock and General Property Conditions	Improve the availability of affordable owner housing; Improve access to affordable owner housing; Improve the quality of owner housing; Increase the supply of affordable rental housing; Increase the quality of affordable rental housing	Housing Development Fund	1 organization 142 HousingUnits

Specific Objective #2:

Improve access to owner-occupied housing, improve access to affordable housing.

Homeownership Promotion Fund:

The following table provides the specific objective/goal, specific objective/strategy, initiative, and planned accomplishments in 2013-14 for the Homeownership Promotion Fund:

Specific Objective/Goal	Specific Objective/Strategy	Initiatives	2013-14 Planned Accomplishments
Affordable of Decent Housing/ Improve the Housing Stock and General Property Conditions	Improve access to affordable owner housing, Improve the quality of owner housing, Improve the services for low/mod income persons	Homeownership Promotion Fund	303 households

Specific Objective #3:

Improve the quality of rental housing.

Rental Market Fund:

The following table provides the specific objective/goal, specific objective/strategy, initiative, and planned accomplishments in 2013-14 for the Rental Market Fund:

Specific Objective/Goal	Specific Objective/Strategy	Initiatives	2013-14 Planned Accomplishments
Affordability of Decent Housing/ Improve the Housing Stock and General Property Conditions	Improve the quality of affordable rental housing; Improve the services for low/mod income persons	Rental Market Fund	720 persons

Specific Objective #4:

Improve access to affordable housing; increase the range of housing options and related services for persons with special needs.

Housing Choice Fund:

The following table provides the specific objective/goal, specific objective/strategy, initiative, and planned accomplishments in 2013-14 for the Housing Choice Fund:

Specific Objective/Goal	Specific Objective/Strategy	Initiatives	2013-14 Planned Accomplishments
Availability/Accessibility/ Affordability of Decent Housing/ Improve the Housing Stock and General Property Conditions	Improve access to affordable housing; Increase range of housing options and related services for persons with special needs; Improve access to affordable rental housing	Housing Choice Fund, HOPWA, Emergency Solutions Grant	190 households TBD people

Specific Objective #5:

To provide comprehensive planning and other planning activities including technical assistance and resources to the NSC Quadrant management process. It also includes Focused Investment Strategy activities.

Neighborhood and Asset Based Planning Fund:

The following table provides the activities included for the Neighborhood and Asset Based Planning Fund:

Specific Objective/Goal	Specific Objective/Strategy	Initiatives	2013-14 Planned Accomplishments
Affordability of Decent Housing/ Improve the Housing Stock and General Property Conditions	Improve access to affordable owner housing; Improve the quality of owner housing; Increase the supply of affordable rental housing; Increase the quality of affordable rental housing; Improve the services for low/mod income persons	Neighborhood and Asset Based Planning Fund	110 Housing Units 90 People 100 Households

(The required HUD Housing Needs Table may be found as an Appendix of this document.)

Public Housing 91.220(h)

12. Describe the manner in which the plan of the jurisdiction will help address the needs of public housing and activities it will undertake during the next year to encourage public housing residents to become more involved in management and participate in homeownership.
13. If the public housing agency is designated as "troubled" by HUD or otherwise is performing poorly, the jurisdiction shall describe the manner in which it will provide financial or other assistance in improving its operations to remove such designation during the next year.

Public Housing Agency Strategies

- Self-sufficiency programs, including home-ownership, through the RHA Resident Services Department
- Development of new affordable housing units to meet increased community need
- Redevelopment of existing RHA housing stock
- Increasing the number of vouchers to meet the needs based on the current number of applicants on RHA waitlists.

Public Housing Program

The need for public housing continues to be significant. A steady demand for family public housing units continues, while there is a good supply of affordable public

housing located in the city of Rochester for the elderly. As of March 2013, the number of units available in the city totaled 2,432. There are additional public housing units within the city of Rochester that are not owned or managed by RHA, 15 units at Anthony Square and 70 units at Carlson Commons and Olean Kennedy. Occupancy rate in the city for RHA public housing units is at 96.34% with the number of vacant units at 87 (This includes only units currently available for occupancy. Those offline for modernization or casualty loss are not included in the vacancy count.)

Capital Funds Program

The Rochester Housing Authority (RHA) became a participant in the Public Housing Modernization/Comprehensive Grant Program (CGP) during FFY 1992. In FFY 2002, this program became known as the Comprehensive Fund Program (CFP). RHA has received HUD's approval of CGP/CFP plan since the program became available. The plan is a five-year spending plan for physical improvements, management improvements, and support services for public housing residents. Historically, each year RHA receives an annual formula allocation to address identified needs. RHA received approximately \$4.5 million in 2010-11, \$3.8 million in 2011-12 and will receive approximately \$3.5 million in 2012-13. The approved plan covers Federal Fiscal Years 2011-2016.

The CFP Plan is based upon a complete evaluation of the nearly 2,329 public housing units in the city that are included in RHA's inventory. The plan presents physical needs assessment of each of RHA's 26 project locations and its scattered sites. The plan also identifies activities to improve management and provide support services to residents.

A complete copy of the CFP plan may be reviewed at the following locations:

Rochester Housing Authority
Exec. & Administrative Offices
675 West Main Street
Rochester, NY 14611
(585) 697-3602

City of Rochester
Business & Housing Dvlpmt.
City Hall, Room 010A
30 Church Street
Rochester, NY 14614
(585) 428-6150

In 2012 won an appeal to HUD that RHA had not reached the public housing unit limit established under the Federal Faircloth Limit. As a result RHA has committed funding to build up to 6 new units.

Management & Operations

Management improvements assist in enhancing property management operations and services, while expanding tenant involvement. Management improvements for FY 2012 include:

- Implementation of handheld inspection system to improve efficiencies; Deployed 60 handheld devices for Public Housing work order system; Six Section 8 Inspectors are utilizing Tenmast laptop inspection software for inspections.
- Provide ongoing maintenance staff training; Training for the work order handheld and inspection systems was conducted on April and August 2012.
- Continue existing water management program; Ongoing
- Update computer software and provide related staff training; and Upgrade RHA wide security systems; Implemented Tenmast PHA software during

2012; new camera systems installed at Danforth Towers and Lake Tower and University Tower.

- Changing software platforms to improve efficiencies, provide related staff training; Migrated away from the IBM Midrange platform over to Windows Server platform, provided IT staff training to support new platform.
- Continue to upgrade RHA wide security systems. Ongoing

RHA Priority Public Housing Needs, 2012

Priority Public Housing Need	Priority (High, Medium, or Low)	Estimated Annual Funding Requirement
Restoration and Revitalization		
Capital Improvements	High	\$1 to 4 million annually
Modernization	High	\$1 to 4 million annually
Rehabilitation	High	\$1.2 million annually
Other (Specify)		
Management and Operations	High	\$16 million annually
Improved Living Environment		
Neighborhood Revitalization (non-capital)	Medium	\$1 to 2 million annually
Capital Improvements	Medium	\$500,000 to 1 million annually
Safety/Crime Prevention/Drug Elimination	High	\$650,000 annually
Other (Specify)		
Economic Opportunity		
Resident Services/Family Self Sufficiency	High	\$307,000
Total		\$25,115,000 - \$35,615,000

Source: Rochester Housing Authority

Living Environment

The RHA continues its activities to improve the living environment of RHA residents. Enrichment activities are available to youth and adults. The following is a listing of activities provided:

1. Youth Programs

- a. Educational-After school and evening tutorial programs in at three RHA Public Housing Community Centers. Hot meals are served year-round at two of these programs through the Foodlink Kids' Café Program.
- b. Resident summer camp
- c. Navigator program with RochesterWorks- Provides Educational and Employment Services and Guidance for Public Housing and Section 8 resident targets youth/young adults ages 17-21 that are not in school and possess at least one barrier to employment or education. This program is funded through a grant from RochesterWorks, Inc. (NYSDOL).

2. Senior Citizen Programs

- a. Consortium on Elderly Substance Abuse
- b. RSVP Program and I'm okay program through the Red Cross
- c. Visiting Nurse Service/Monroe County Health Department
- d. Grocery shopping bus service
- e. Enriched Housing Program and Assisted Living Program/FSOR
- f. HCR Service Facilitators (located at various sites and available for all our seniors)

- g. Mobile markets provided by local vendors.

3. Crime Prevention

- a. Security consultant services
- b. Crime prevention lectures, displays, security surveys and patrols
- c. Resident crime prevention organizing and tenant security programs
- d. High rise guard stations
- e. Security road patrol

4. Other Counseling/Support Services

- a. Enriched Housing program at 3 locations
- b. Catholic Family Center
- c. Eviction prevention counseling
- d. Service Coordinators
- e. Additional Resident Services activities include case management, education, training and employment services to residents to reach self-sufficiency and economic stability. Case management services are provided for participants enrolled in the Family Self-Sufficiency program. Upon a completed needs assessment, FSS participants are referred to community partners for employment, training.
 - 1. Employment - Skills assessment; job search assistance; job placement. Provided through collaboration and partnership with RochesterWorks, Inc (NYSDOL). Coordination of Section 3 Program and compliance.
 - 2. Training – Child care provider; financial assistance for other training opportunities; job readiness training. Public housing residents may qualify for child care assistance.
 - 3. Education - Computer assisted GED classes; scholarship assistance; adult basic education; computer literacy; English for Speakers of Other Languages (ESOL). Classes provided through partnerships with Monroe #1 BOCES and Literacy Volunteers of America.
 - 4. Business Start up - Small business development workshop; financial assistance for business start-up (micro loan program) Referrals are made to Urban League of Rochester and SCORE program for Business Start up training and development. RHA makes referrals to Legal Aid Society for legal advice for families who are interested in starting their own business.
 - 5. Family Self-Sufficiency - Home buyer seminars; budgeting and household finances; time management; family support; financial counseling services; credit restoration and money management. RHA has a partnership with Clearpoint Counseling Services to provide budgeting and household finance counseling. Consumer Credit Counseling Services provides Money Management workshop sessions and families are referrals are made to Neighborworks for families interested in pursuing homeownership.
 - 6. Support Group - Barriers to success; mental wellness; nutrition; values clarification
- f. Resident councils, Resident Advisory Board
- g. Resident Worker Program - provides work experience opportunity for Public Housing Residents, working with the maintenance departments.
- h. Various on site resident educational trainings such as fire safety, nutrition/wellness, blood pressure screening, flu clinics, etc.

Housing Choice Voucher Program

The RHA voucher inventory as of March 2013 is 8,570 vouchers, plus an additional 753 participants in the Shelter Plus Care program. RHA anticipates utilizing approximately 95% of their total voucher inventory and 100% of their budget authority for 2013. RHA's voucher inventory has increased by more than 500 vouchers since March 2012.

RHA is responsible for administering the following programs in addition to the Tenant Based Voucher Program:

- Project Based Vouchers
- Enhanced Vouchers
- Shelter Plus Care
- Veterans Affairs Supportive Housing
- Family Self-Sufficiency
- Home Ownership
- Nursing Home Transition and Diversion Program
- Designated Housing Vouchers
- Moderate Rehab
- Mainstream Vouchers
- Medicaid Waivers
- Family Unification
- Disaster Relief HAP Program
- Rental Assistance Demonstration (RAD)

In 2012 won an appeal to HUD that RHA had not reached the public housing unit limit established under the Federal Faircloth Limit. As a result RHA has committed funding to build up to 6 new units.

The RHA's actions are consistent with the housing needs assessment of low-income housing needs as evidenced in the Consolidated Plan.

RHA is conducting an internal feasibility study on all of its scattered site units in an effort to follow RHA's strategic plan and Asset Management model while addressing the housing needs with the community. Once a plan is in place, and a schedule developed, a request will be submitted to HUD as permitted by utilizing an Inventory Removal Application. The disposition method may include homeownership, demolition and/or voluntary conversion. The Rochester Housing Authority intends to acquire additional housing units either by acquisition (with subsequent rehabilitation) and/or new construction. The funds for these activities will occur with unrestricted funds available to the Housing Authority, Replacement Housing Fund Factor Grants, proceeds from the sale of properties and/or CFP funds. Homeownership opportunities may occur for public housing residents and Section 8 recipients and may involve a partnership with local organizations in a collaborative effort with the City of Rochester and the Rochester Housing Development Fund Corporation.

RHA has created an affiliate Homestead Management Enterprises, LLC for the purpose of actively seeking joint venture partnerships with 501c(3) housing and development companies and other private property owners to further the development and creation of affordable housing throughout the city of Rochester and Monroe County.

In accordance with the Quality Housing and Work Responsibilities Act (QHWRA) of 1998, beginning with funding received in FFY 2000, RHA has prepared five year plans

and annual plans covering both its public housing and tenant-based assistance (Section 8) operations. QHWRA constituted a substantial overhaul of HUD's public housing and Section 8 assistance programs. Congressional purposes for this new requirement are to advise HUD, the residents/tenants, and members of the public of a public housing authority's mission for serving the needs of low-income, very low-income and extremely low-income families; their strategy for addressing those needs; to provide a framework for local accountability; and to provide an easily identifiable source by which public housing residents, participants in the tenant-based assistance programs, and other members of the public may locate basic PHA policies, rules and requirements concerning its operations, programs, and services.

The goals of this comprehensive planning requirement are to make more efficient use of federal assistance; more effectively operate programs; and better serve residents/tenants.

RHA has prepared an Agency Plan in compliance with Section 511 of the QHWRA. The Agency Plan embodies, in many respects, the concepts of the Consolidated Community Development Plan. Like the Consolidated Plan, HUD intends that the Agency Plan will provide a mechanism by which a PHA can examine its long-range and short-range needs, specifically the needs of the families that it serves, and design both long-term and short-term strategies for addressing those needs. Like the Consolidated Plan, the Agency Plan requires consultation with affected groups in the development of the plan.

The following are highlights of the Agency Plan:

- The Section 8 Homeownership Program has enabled 149 Section 8 Housing Voucher Program residents to become homeowners including those who are disabled.
- Review is underway of the Section 8 Administrative Plan. The Public Housing Admissions and Continued Occupancy Policy has been updated and ratified as of February 27, 2013 and will be reviewed on a yearly basis.

These plans require RHA to examine its existing operations and to design long range and short range strategies to address those needs. The five-year plan is to describe the mission and the long range goals and objectives for achieving its mission over the five year period from October 1, 2011 to September 30, 2016. The annual plan is to provide details about operations; program participants and their needs; needed capital improvements; available financial resources (federal and non-federal); the strategies for addressing needs; and the programs and services for the upcoming fiscal year.

RHA is obligated to ensure that the Agency Plan is consistent with the Consolidated Plan; including a description of the manner in which the applicable plan contents are consistent with the Consolidated Plan.

Public Housing Resident Participation

The Rochester Housing Authority (RHA) provides various opportunities for resident participation. The following paragraph provides an overview of the activities.

RHA administers the Family Self-Sufficiency (FSS) Program, a HUD program that encourages communities to develop local strategies to help voucher families obtain

employment and work toward economic independence and self-sufficiency. RHA works with Neighbor Works Rochester, Inc. and the Regional Center for Independent Living to develop a comprehensive program that gives participating FSS family members the skills and experience to enable them to obtain employment that pays a living wage.

RHA encourages resident participation in management through resident councils throughout Rochester's public housing communities. The councils have input in modernization needs, the Family Self-Sufficiency program and the homeownership program, and give the residents a voice regarding public housing rules, procedures and avenues of communication.

Rochester Housing Authority is in the process of creating an associated 501(c)(3) subsidiary foundation for the purpose of raising money to support programs for the residents and tenants of RHA's public housing and Section 8 voucher holders. These programs are focused on developing self-sufficiency in the areas of youth success, programs for the elderly and disabled, education and career goals, and homeownership.

In terms of the Consolidated Plan (CP), the housing authority residents have an opportunity to comment on the plan. A copy of the CP and the Annual Action Plan is put on display at the Housing Authority.

Family Self-Sufficiency (FSS) Homeownership RHA recently received PH-FSS coordinator funding to enroll public housing residents in the Family Self-Sufficiency program with emphasis on being a homeowner instead of a renter. RHA has started a door-to-door campaign to recruit residents into the Family Self-Sufficiency program. The RHA is an active participant in the City's Uplift Program. The RHA has entered into a formal contractual agreement with Neighbor Works Rochester, Inc. and the Regional Center for Independent Living to assist public housing and Section 8 residents with their homeownership goals. Thus far, 180 Section 8 voucher holders and public housing residents have utilized the program and have transitioned into homeownership. The FSS program is still growing, and RHA hopes that over the next five years more voucher holders will take advantage of the program.

Resident Opportunity and Self-Sufficiency (ROSS) Program RHA received a grant for 2012-15 to coordinate public housing support services to assist residents in achieving economic independence and self-sufficiency for families.

Efforts to Enhance Coordination Coordination between the RHA, private and governmental health and mental health services are enhanced by the City through the City's support of RHA social services programs and safety and security programs. The City also supports RHA's Family Self Sufficiency Program which links Section 8 tenants and public housing residents with local training and employment program related service agencies.

The City has assisted in revitalizing neighborhoods surrounding public housing developments in many areas. New schools and community centers have been built. Road reconstruction and street maintenance are regular. Fire and police protection efforts are ongoing at high levels of service. The Rochester Police Department works cooperatively with RHA in local drug elimination efforts and assisting in developing crime reduction strategies. RHA tenants regularly use nearby schools and recreation centers for educational needs and after school programs, although this has been

expressed in meetings as an area for greater engagement. The City's business development efforts are ongoing and touch upon many neighborhoods where public housing is located.

The RHA also provides support services to its residents through their involvement with many agencies. As examples, services to elderly are coordinated with Home Care of Rochester and Jordan Health; while school children are linked with tutorial programs at two agencies and the City School District. The Navigator Program with RochesterWorks targets youth/young adults ages 17-21 that are not in school and possess at least one barrier to employment or education. The RHA works closely with RochesterWorks and the City's Department of Recreation and Youth Services to refer appropriate in-school public housing youth for summer and year-round employment opportunities.

The Rochester Housing Authority is not designated as a troubled agency by HUD.

Homeless and Special Needs 91.220(i)

14. Describe, briefly, the jurisdiction's plan for the investment and use of available resources and one-year goals for reducing and ending homelessness.

The City of Rochester will receive and utilize Emergency Solutions Grant funds to help address the needs of homeless individuals and families and to prevent homelessness. All funds will be used in collaboration with the local Continuum of Care, Monroe County, the Homeless Services Network priorities, the City's Housing Policy and the recently completed Homelessness Resolution Strategy Report. The Homelessness Resolution Strategy Report completed by Housing Innovations of New York City in conjunction with Dennis Culhane of the University of Pittsburg is a comprehensive report with several key recommendations. The report included an assessment of the Rochester Monroe County Continuum of Care, recommendations for the elimination of hotel placements and how to move forward with a coordinated access system. The report also included other relevant suggestions to improve the overall homeless system. The City, County, CoC, Homeless Service Network endorsed and support these recommendations.

Based on the input of the CoC and other stakeholders, the City of Rochester and the County of Monroe issued a joint RFP for the 2013/14 Emergency Solutions Grants funding. In the RFP the following services were solicited to receive funding and this is the plan for how the ESG funding will be used:

I. HOMELESSNESS PREVENTION/RAPID RE-HOUSING SERVICES

This may include housing search and financial assistance such as direct rental and utility payments for eviction prevention or assistance to move to other housing. Services also includes referral to eviction prevention services (ex., legal services).

II. EMERGENCY SHELTER AND SHELTER DIVERSION

- 1. Up to 30 Added Overflow Capacity Beds at peak demand.** The community goal is for establishment and/or construction of a total of up to thirty (30) shelter beds Overflow units for families should be able to accommodate households with a male head of household and adolescent boys. These overflow beds

should be used for persons who present after regular business hours so as to avoid having to make hotel placements. For after hours shelter needs, applicants could be screened by phone and if not diverted, be directed to shelters with overflow capacity. Vacancy information will be reported regularly by all shelters and maintained in the HMIS.

2. Up to 40 Added Year-Round Shelter Beds. The community's goal is for establishment and/or construction of a total of up to forty (40) shelter beds that will be added to the community inventory, and available throughout the year, and that can accommodate one or more of the eligible populations. Like Overflow units, year-round shelter beds for families should be able to accommodate households with a male head of household and adolescent boys. Vacancy information will be reported regularly by all shelters and maintained in the HMIS.

3. Emergency Shelter Year-Round Operation. The staffing, maintenance, operating costs necessary to operate and maintain emergency shelters, and also to provide other emergency lodging when appropriate.

III. ESSENTIAL SERVICES

The City and County will only consider application for one or more of the Essential Services described as follows:

1. **Case Management:** Assessing, arranging, coordinating, and monitoring individualized services. Also included is providing housing stabilization as determined in needs assessment.
 2. **Life Skills:** Critical life management skills necessary to assist the program participant to function independently in the community.
 3. **Transportation:** Costs of travel by participants to and from shelters and overflow shelters and also medical card, employment, child care, or other facilities that provide eligible Essential Services; and cost of staff travel to support provision of Essential Services.
 4. **Services to Special Needs Populations (specify):** Otherwise eligible for Essential Services (as in 1, 2, and 3 above) tailored to address special needs, for example, victims of domestic violence and related crimes/threats.
15. Describe specific action steps for reducing and ending homelessness that (i) reach out to homeless persons (especially unsheltered persons) and assessing their individual needs (ii) address emergency shelter and transitional housing needs; (iii) help homeless persons (especially persons that are chronically homeless, families with children, veterans, and unaccompanied youth) make the transition to permanent housing and independent living.

Over the past three years, the City and County have jointly administered the Homelessness Prevention and Rapid Re-Housing (HPRP) funding made available through the American Recovery and Reinvestment Act of 2009. A comprehensive system was put in place to provide rental assistance to families and individuals facing evictions and those that are homeless to quickly be re-housed. HPRP has been successful. The City along with the County and the CoC submitted a Substantial Amendment to the 2011 Consolidated Plan to continue HPRP efforts utilizing the anticipated second ESG allocation, 2012-13 funding, and other local resources. This effort has also been successful particularly identifying homeless families to be assisted in shelters and those that are not sheltered.

Over the next twelve months additional outreach will be provided to the homeless shelters to identify individuals and families that are homeless to provide housing options such as transitional or permanent and stabilization assistance (case management, life skills and transportation). Intervention services will also be provided to individuals that are homeless and living in areas not suitable for habitation.

The City and County will also begin implementation of the first phase of a coordinated access system that will provide access to other needed support services that will assist homeless individuals and families that are at risk of becoming homeless.

16. Homelessness Prevention—Describe planned action steps for reducing and ending homelessness that help low income individuals and families avoid becoming homeless, especially extremely low-income individuals and families who are likely to be discharged from publicly funded institution and systems of care or those receiving assistance from public or private agencies that address housing, health, social service, employment, education or youth needs.

Homelessness Prevention and Outreach

In its efforts to prevent homelessness for vulnerable populations, the City of Rochester used the Homelessness Prevention and Rapid Re-housing Program (HPRP) to focus on housing for the newly homeless and households at imminent risk of becoming homeless. Through the use of HPRP funds, the City, in collaboration with the County, provided temporary financial assistance and housing relocation and stabilization services to individuals and families who were homeless or would be homeless if not for the assistance being provided. Nearly 3,000 households were assisted.

Because of the success of the Heading Home Program in preventing homelessness and rapidly re-housing homeless persons and families, the City and County used their Second Allocation FY2011 ESG funding to continue Heading Home on a limited basis until 12.31.12 and 40% of the 2012-13 funds for homeless prevention and rapid re-housing. Street outreach was also enhanced to increase the participation of households currently homeless. It's anticipated that a homelessness prevention and rapid re-housing activity will continue utilizing 2013-14 ESG funds.

Eviction/Foreclosure prevention: Temporary financial support to prevent eviction/foreclosure is a fundamental tool to retain stable housing for those who experience a short-term financial crisis. This situation may be caused by an unexpected job loss, family break up or unexpected health condition. The household has the potential to rebound and regain financial stability, but needs short-term help to weather a crisis.

According to the 2007 City Housing Study, Rochester is facing a crisis precipitated by the rise of foreclosed properties. A City-commissioned 2000 study by the Housing Council showed that foreclosures in the City increased from 361 in 1990 to 1,000 in 1999. Citywide, more than 25 percent of sales were foreclosure-related. In some neighborhoods the percentage was 40 percent. In 2006, the Monroe County Clerk's Office recorded a total of 2,667 properties that were foreclosed upon or in a pre-foreclosure status.

Legal interventions: A wide range of accessible local legal services provide appropriate and often sustainable interventions to prevent homelessness. Issues addressed relate to eviction prevention, mortgage foreclosure, benefits acquisition or resumption, employment rights, domestic violence, child support and legal residency status. Most of these legal interventions have a favorable impact on a person's long-term income stream which, in turn, supports housing stability.

In 2006 after many years of planning, four not-for-profit legal organizations co-located in a central downtown location. This action is increasing cross-agency service which improves clients' experiences and operating effectiveness.

Strategies to strengthen legal prevention interventions should be developed, especially those targeted at integration of legal services into human services delivery. In this approach, agencies contract for specific legal services and expected results rather than just making a general client referral.

Additionally, the City will continue to support efforts of human service agencies, social service providers and other organizations that provide services and assistance to individuals and families who are at imminent risk of becoming homeless.

Over the next twelve months, the City will continue to work to prevent homelessness of individuals and families and work with homeless service agencies to address homeless needs through the use of ESG funds within regulatory guidelines. The City and County will also consult/plan with the CoC, Homeless Services Network, to identify community needs that can be implemented to align with the Federal Strategic Plan goals and HEARTH Act CoC Performance Measures.

It is anticipated that the City will continue its prevention program that was established with the infusion of Homeless Prevention and Rapid Re-Housing stimulus funding. The services include housing search and financial assistance such as direct rental and utility payments for eviction prevention or assistance to move to other housing. Service also includes referral to eviction prevention services (ex., legal services). The City and County funded this service jointly and was supported by the Rochester/Monroe County CoC and the Homeless Services Network. The City will also continue to contract with the Legal Aid Society to prevent evictions and fund foreclosure prevention services through our CDBG allocation to assist families that may be at imminent risk of becoming homeless.

Chronic Homelessness

HUD defines a chronically homeless person an unaccompanied individual with a disabling condition who has been continually homeless for 12 months or more or who has had four episodes of homelessness in a three-year period. The 2011 CoC point-in-time survey identified 76 such individuals in and around Rochester. This represents a 12% decrease over the 85 chronically homeless identified in 2010. This decrease reflects the success of the community in addressing the issue of chronic homelessness.

The City of Rochester has recognized a great need for shelter space to accommodate the chronically homeless. Since the 1980s, the City of Rochester has worked closely with a number of community-based organizations to preserve and upgrade existing housing for low-income individuals, and to create additional supportive housing – housing that, in addition to basic shelter, provides the array of

services needed to help chronically homeless individuals become as self-sufficient as possible.

Discharge Coordination Policy

Data shows that a key precipitating trigger for homelessness is discharge from an institutional setting. Comprehensive discharge plans that include housing for those exiting correctional facilities, the foster care system, mental health and chemical dependency facilities or hospitals can prevent homelessness for these at-risk populations. Discharge protocols that include securing safe and appropriate housing are in place at most key institutional settings.

Annually, 2,700 incarcerated persons are released into Monroe County from federal and state correctional facilities. This number is second only to New York City. Additionally, an estimated 1,200 persons in local correctional facilities serve sentences of three to twelve months which often results in the loss of employment, housing, health insurance, etc. Discharge protocols are mandated by NYS for those released from State correctional facilities, but are not in place or mandated at the local facilities.

Best practice discharge methods should be extended to local correctional units. A particular challenge is the fact that many individuals are discharged at the end of the last day of their sentence – near midnight. Some volunteer efforts attend to persons at this time of night, but formalized, well-structured services are not in place and could have a positive impact.

Regularly review protocols for all institutional settings to ensure consistent and effective implementation of discharge plans. Staff changes occur regularly and community resources change likewise. This presents an opportunity to develop cooperative training for frontline staff both in key institutions and key homeless community-based organizations.

The following discharge protocol summaries, as stated in the 2010 Continuum of Care Exhibit 1, reflect the planned activities to implement a cohesive, community-wide Discharge Coordination Policy by the Rochester/Monroe County Continuum of Care Team and the Homeless Services Network. These planned activities will continue to be implemented.

Foster Care: New York State regulation 18 NYCRR Section 430.12(f), overseen by the Office of Children and Family Services, prohibits the release of youth in foster care to a shelter for adults, shelter for families, single-room occupancy hotel or any other congregate living arrangement which houses more than 10 unrelated persons. An appropriate residence must be identified, with the reasonable expectation that the discharge residence will remain available to the child for at least the first 12 months after discharge. The regulations require local social services districts to refer youth to any needed services and to give the youth written notice of the discharge at least 90 days prior to the planned discharge.

Health Care: According to the New York State Patient's Bill of Rights, hospitals within New York State are required to provide all patients with written discharge plans to which patients have to agree prior to being discharged from the hospital. All area hospitals and emergency rooms have agreed to not releasing patients to the streets and/or to McKinney Vento funded homeless programs until all other resources have been thoroughly examined and exhausted. Health care facilities will provide

assistance in finding appropriate housing for persons being discharged that include extended care facilities, family members or friends.

Mental Health: The New York State Office of Mental Health regulations (Title 14 NYCRR, Section 595) governs the release of patients from state mental health facilities. These regulations mandate the provision of housing consistent with the level of care required by the patient and ensure that patients are not approved for release until they have a comprehensive discharge plan in place. Upon release, individuals are linked to their county's Single Point of Access (SPOA), which coordinates the implementation of their discharge plan, including arranging for housing, case management, mental health treatment and, if appropriate, vocational assistance.

Corrections: The New York State Division of Parole Rules and Regulations (9 NYCRR, Subtitle CC, Parts 8000-8011) govern the release of inmates from state correctional facilities. Approximately 45-60 days prior to the inmate's release date; Division staff initiate the discharge planning process. Housing, specialized treatment needs and employment are the highest priority goals addressed in this process.

17. Describe specific activities the jurisdiction plans to undertake to address the housing and supportive service needs of persons who are not homeless (elderly, frail elderly, persons with disabilities, persons with HIV/Aids, persons with alcohol or other substance abuse problems).

The City of Rochester will receive and utilize Emergency Solutions Grant funds to help address the needs of homeless individuals and families and to prevent homelessness. All funds will be used in harmony with the City's Housing Policy.

The CoC requested funding under the 2012-13 CoC Super NOFA to finance twenty-five (25) local renewal programs and nine (9) Shelter Plus Care (S+C) rental subsidy projects, which were requested under Tier 1 funding. Three new projects were presented in Tier 2. In March 2013, HUD announced that all 25 Supportive Housing Program (SHP) renewal programs and nine (9) Shelter Plus Care (S+C) rental subsidy projects were funded in the amount of \$9,343,249. All thirty-four (34) CoC projects/programs were funded in accordance with the Rochester/Monroe County CoC Team's 2012-13 project recommendations.

This year for the first time, CoCs were able to apply for HUD planning funds as either a Collaborative Applicant or by selecting an organization to apply as the Collaborative applicant. The United Way Services Corp. (UWSC) has agreed to partner with the CoC to be the Collaborative applicant.

In addition to the rental housing assistance the City will continue to involve the CoC, County, the Homeless Services Network, to update planning studies associated with providing housing and service recommendations for these populations. Also, the City will use some of its other entitlement resources and local funds to help fund housing development projects that will help to service these populations. To this end, the City has funded several projects that accommodated these populations namely:

- Eastman Commons – An 80 unit project for homeless and formerly homeless individuals. Units are also made available to households with special needs.

- Voters Block – 92 units of mixed rental housing that serve households that have been released from incarceration, special needs and affordable housing
- Paul Wolk Commons – 21 units of permanent housing units devoted to households with special needs and substance abuse issues.
- Neighborhoods of the Arts - 63 units of housing developed by DePaul to provide housing to households with special needs.
- DePaul West Main Street – A mixed income project, 111 units that service households with special needs and substance abuse issues and also contains general affordable units that can be accessed by the general public.

Two other special needs projects are currently under construction:

- Son House – A 21 unit project for the formerly homeless men with substance abuse diagnosis and
- Carriage Factory- another mixed income project that will serve severely mentally ill individuals and also have affordable units available to the general public.

The City has also pledged support for two elderly projects that are seeking funding from New York State. Both projects are being developed by Conifer Realty, Corpus Christi and the VOA PAN project.

The City also annually provide Payment in Lieu of Taxes (PILOTS) to affordable housing projects that house the elderly, frail elderly and other special needs populations to help these locations to remain affordable.

The City will continue to support rental assistance programs, affordable housing development and support services that provide continued assistance to these vulnerable populations

Discharge Coordination Policies have been developed and implemented by the Homeless Services Network (HSN) and the Continuum of Care Team, groups which include representatives from the City of Rochester. Community-wide plans to prevent homelessness upon discharge have been developed, and staff at the discharging facilities are aware of the need to make appropriate arrangements for departing individuals. Specific planning has occurred for youth, those leaving prison/jail, those with mental health issues, and those leaving hospitals. The HSN Special Needs Population Committee will work with other institutions (i.e., inpatient rehab programs, other residential programs) to develop similar discharge protocols.

Homeless Needs

The CoC conducts an annual point in time count of sheltered and unsheltered homeless during the last week of January. The following data from the 2012 Point in Time was collected on January 25, 2012 (The results of the 2013 PIT and HIC have not yet been aggregated.):

	Emergency Shelter	Transitional Housing	Safe Haven	Unsheltered	Total
Persons in Households with Children					
# Households	71	48	0	0	119
# Persons (Adults & Children)	212	122	0	0	334
Persons in Households without Children					
# Households	243	77	0	0	320
# Persons	243	77	0	0	320

The total number of homeless persons remained virtually the same from 2011 (712) to 2012 (654).

HUD TABLE 1A (part B)
Housing Gap Analysis Chart for the
Rochester/Irondequoit/Greece/Monroe County Continuum of Care

	Current Inventory (Beds)	Unmet Need
Individuals		
Emergency Shelter	232	32
Transitional Housing	96	-15
Permanent Supportive Housing	611	549
Total	939	566
Persons in Families with Children		
Emergency Shelter	227	-15
Transitional Housing	136	17
Permanent Supportive Housing	739	158
Total	1331	160

In response to the priorities set in the 10-year plan, Rochester and Monroe County's 2009 Supportive Housing Production Implementation Plan sets a production goal of 1,416 supportive housing units by 2017, with 472 units of permanent supportive housing ready for habitation by 2013. The emphasis on permanent supportive housing is based on the Housing First concept. Housing First programs place people directly into permanent housing without first requiring that tenants be "housing ready." The goals of Housing First programs are to house people who are homeless

in permanent housing settings as quickly as possible, to provide services as needed to promote and sustain housing stability, and to assist persons on their paths toward recovery and independence.

Barriers to Affordable Housing 91.220(j)

18. Describe the actions that will take place during the next year to remove barriers to affordable housing.

The majority of assisted, affordable housing in the Rochester region is located within the city of Rochester. The City has a long history of supporting the development and ongoing operation of affordable rental housing through: site assembly activities, infrastructure development, providing both construction and permanent financing, and payments in lieu of tax agreements. One of the means of supporting the availability of affordable rental has been to assure that a sufficient supply of land has been appropriately zoned to accommodate such development.

Given the fact that the city is virtually built out, it is not expected that significant new rental development will occur, except for sites which are being redeveloped. Preservation of the existing supply of affordable rental therefore becomes paramount. The City has earnestly pursued the preservation of such existing housing, where appropriate, by encouraging both existing and new owners to retain the units as affordable. One of the means by which the City demonstrates its commitment is to renew, extend, and otherwise recommit to property tax exemptions and payments in lieu of taxes. Another means has been to support applications by property owners who are seeking state and federal assistance to improve these properties.

The City of Rochester has established a high priority for the fostering and maintaining affordable housing for low and moderate income households. The City will:

- Expand the supply of affordable rental and home ownership housing;
- Increase homeownership among low and moderate income prospective home buyers;
- Preserve and improve the existing stock of affordable housing; and
- Ensure equal access to housing.

To implement these objectives, the City will offer first time homebuyer assistance, housing rehabilitation, tenant-landlord counseling, and develop new affordable housing. The City will continue to make capital improvements in low and moderate income areas. All of the City's housing programs help to further fair housing and support housing choice.

The City enacted a new zoning code in 2003 and attention was given to assure that there would be no negative consequences on the development or preservation of affordable housing. The zoning code is evaluated periodically and any unanticipated negative consequences for affordable housing will be identified and addressed.

There are no known public policies in the City of Rochester that are a barrier to affordable housing. The Department of Neighborhood and Business Development monitors the following to insure that there are no known public policies that are a barrier to affordable housing:

- Tax policies affecting land and other property;
- Land use controls
- Zoning Ordinance
- Building Code
- Fees and Charges
- Growth Limits
- Restrictions on the return on residential development.

Other Actions 91.220(k)

19. Describe the actions that will take place during the next year to address obstacles to meeting underserved needs, foster and maintain affordable housing, evaluate and reduce the number of housing units containing lead-based paint hazards, reduce the number of poverty-level families develop institutional structure, enhance coordination between public and private agencies (see 91.215(a), (b), (i), (j), (k), and (l)).

20. Describe the actions to coordinate its housing strategy with local and regional transportation planning strategies to ensure to the extent practicable that residents of affordable housing have access to public transportation.

The primary obstacle to meeting underserved needs for affordable housing in the city of Rochester is the gap in what households can afford to pay for housing and the price of housing. The City has a significant affordable housing stock, yet the income level for individual households such as single parent, elderly, disabled, or others of limited economic means, is insufficient to afford even the lowest of the market rate units. The City will continue to work on economic development to provide better job opportunities and with social service providers to assist such households. In addition, the City will work with for-profit and non-profit developers to create more affordable housing.

Another obstacle to meeting the needs of underserved households is the limited amount of funding received by the City. The City of Rochester will partner with other public agencies and nonprofit organizations, when feasible, to leverage resources and maximize outcomes in housing and community development.

A primary obstacle to meeting underserved economic development needs is the ability of developers to secure the necessary financing to undertake and complete projects. It is typically the role of the public sector to fill the gaps of these financing needs. However, on occasion, these gaps exceed the assistance capabilities of the City. Another obstacle is the ability to secure inexpensive and convenient parking options for Center City businesses and development projects.

Crime has been a major obstacle to the continued revitalization of neighborhood commercial areas. This Annual Action Plan contains funding for projects that provide security cameras and exterior lighting to help address the obstacles.

The Housing Needs Assessment documents a large percentage of households that experience one or more housing problems in 2000, including housing cost burden, overcrowding, and inadequate housing. A significant amount of the City's housing funds are directed toward addressing underserved needs.

There are many underserved groups such as the homeless. The Rochester/Monroe County Homeless Continuum of Care Plan describes a system that ranges from emergency housing and supportive service to permanent housing with homeless prevention and support services that homeless individuals and families need to achieve independent living.

PROGRAM SPECIFIC REQUIREMENTS

CDBG 91.220(l)(1)

1. Identify program income expected to be received during the program year, including:
 - amount expected to be generated by and deposited to revolving loan funds;
 - total amount expected to be received from each new float-funded activity included in this plan; and
 - amount expected to be received during the current program year from a float-funded activity described in a prior statement or plan.
2. Program income received in the preceding program year that has not been included in a statement or plan.
3. Proceeds from Section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in its strategic plan.
4. Surplus funds from any urban renewal settlement for community development and housing activities.
5. Any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan.
6. Income from float-funded activities.
7. Urgent need activities, only if the jurisdiction certifies.
8. Estimated amount of CDBG funds that will be used for activities that benefit persons of low- and moderate income.

Community Development Block Grant funds may be used to carry out a wide range of community and economic development activities specifically authorized by the Housing and Community Development Act. All assisted projects, with the exception of planning and administration, must address one of the three national objectives of the program: benefit low- and moderate-income persons; prevent or eliminate slums and blight; or when no other financial resources are available, meet other community development needs that are particularly urgent because existing conditions pose a serious and immediate threat to the health and welfare of the community. The City of Rochester will utilize at least 70% of CDBG funds to benefit low/moderate income persons.

Activities that can be carried out with CDBG funds include but are not limited to acquisition of real property, relocation, demolition, rehabilitation of residential and non-residential structures, and the construction of public facilities and improvements such as water and sewer facilities, streets, and neighborhood centers. In addition, CDBG funds may be used to pay for public services within certain limits and for activities relating to energy conservation and renewable resources. Communities may also provide assistance to businesses to carry out economic development activities.

The types of activities that are generally considered ineligible include the construction or rehabilitation of buildings for the general conduct of government, political activities, and certain income payments.

Section 108 is the loan guarantee provision of the Community Development Block Grant (CDBG) Program. Section 108 provides CDBG entitlement communities with a source of financing for economic development, housing rehabilitation, public facilities and large scale physical development projects. A Section 108 loan is not risk-free. A local government borrowing funds guaranteed by Section 108 must pledge their current and future CDBG allocations to cover the loan amount as security for the loan. The maximum repayment period for a Section 108 loan is twenty years. Section 108-assisted activities must comply with CDBG rules and requirements. All projects must either principally benefit low and moderate income persons, aid in the elimination or prevention of slums and blight, or meet urgent needs of the community.

A city may apply for up to five times the latest approved CDBG entitlement amount, minus any outstanding Section 108 commitments and / or principal balances of Section 108 loans.

HOME 91.220(I)(1)

1. Describe other forms of investment. (See Section 92.205)
If grantee (PJ) plans to use HOME funds for homebuyers, did they state the guidelines of resale or recapture, as required in 92.254.
2. If grantee (PJ) plans to use HOME funds to refinance existing debt secured by multifamily housing that is being rehabilitated with HOME funds, state its refinancing guidelines required under 24 CFR 92.206(b).
3. Resale Provisions -- For homeownership activities, describe its resale or recapture guidelines that ensure the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4).
4. HOME Tenant-Based Rental Assistance -- Describe the local market conditions that led to the use of a HOME funds for tenant based rental assistance program.

If the tenant based rental assistance program is targeted to or provides a preference for a special needs group, that group must be identified in the Consolidated Plan as having an unmet need and show the preference is needed to narrow the gap in benefits and services received by this population.

5. If a participating jurisdiction intends to use forms of investment other than those

described in 24 CFR 92.205(b), describe these forms of investment.

6. Describe the policy and procedures it will follow to affirmatively market housing containing five or more HOME-assisted units.
7. Describe actions taken to establish and oversee a minority outreach program within its jurisdiction to ensure inclusion, to the maximum extent possible, of minority and women, and entities owned by minorities and women, including without limitation, real estate firms, construction firms, appraisal firms, management firms, financial institutions, investment banking firms, underwriters, accountants, and providers of legal services, in all contracts, entered into by the participating jurisdiction with such persons or entities, public and private, in order to facilitate the activities of the participating jurisdiction to provide affordable housing under the HOME program or any other Federal housing law applicable to such jurisdiction.
8. If a jurisdiction intends to use HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds, state its financing guidelines required under 24 CFR 92.206(b).

The City of Rochester was designated as an eligible jurisdiction for participation in the HOME Program in 1992. HOME funds may be used for a variety of housing activities according to local housing needs. Eligible uses of funds include developing rental housing, housing rehabilitation, assistance to first-time home buyers, and new construction. HOME funding may also be used for site acquisition, site improvements, demolition, and relocation. Funds may not be used for certain activities, including public housing modernization or operating subsidies for rental housing.

Participating jurisdictions must match their HOME funds and must also set aside 15% of their allocations for housing owned, developed, or sponsored by community housing development organizations. In the past, Rochester has qualified for a 100% reduction in the required local match amount as determined by HUD since it meets the requisite distress criteria. HUD has not yet made information available for 2013-14.

The City's investment of HOME funds is consistent with the forms of assistance included in 24 CFR 92.205(b). The City leverages its HOME funds with other funding secured by its housing partners to develop affordable homeownership and rental housing. Community Development Housing Development Organizations (CHDOs) and other non-profit housing developers apply for and receive funding from state and local resources to support the City's housing programs, projects and goals.

All projects that contain five or more units will be required to submit an affirmative marketing plan to be approved by City housing staff. The project manager will monitor projects to ensure adherence to the approved plan.

The City would consider refinancing requests that would include the following guidelines:

- A comprehensive review of the organizations project budget will be conducted which would include a review of existing loan terms, proforma, operating budgets and audited financial statements and an inspection of the property to ensure there is no evidence of disinvestment.
- The owner developer must submit a budget that demonstrates refinancing is necessary to maintain the affordability of the property for households at or

below 50% AMI. Affordable is defined as not spending more than 30% of gross income for housing.

- The project will remain affordable after refinancing for 15 or more years.
- \$2,500 of investment per unit.
- At least two major systems are being replaced, i.e., roof and HVAC.
- Review management practices to determine long term feasibility.
- The project may be for rehabilitating existing units or creation of new units.
- The HOME funds may be used for projects the City deems feasible within the jurisdiction.
- The funds may not be used to refinance multifamily loans made by or insured by any Federal program, including CDBG.
- Other guidelines may be added to ensure compliance with 24 CFR 92.206(b)

HOPWA 91.220(I)(3)

1. One year goals for the number of households to be provided housing through the use of HOPWA activities for: short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family, tenant-based rental assistance, units provided in housing facilities that are being developed, leased, or operated.

Funds for the Housing Opportunities for Persons with AIDS are provided by formula to certain states and localities based on population and other considerations. Housing assistance and services under the program are limited to low-income persons with AIDS or related diseases and their families, but housing information services are available to persons with AIDS and their families without regard to income. Funds may be used for all types of housing designed to prevent homelessness for persons with AIDS and their families, including emergency housing, shared housing arrangements, apartments, single room occupancy dwellings and community residences. Appropriate supportive services must be provided in connection with HOPWA housing assistance.

The City of Rochester is designated the HOPWA grant recipient for the five county metropolitan area that includes Monroe, Livingston, Orleans, Wayne, and Ontario counties. The majority of assistance is provided in the Monroe County area.

A review of the housing needs and available housing services for people with HIV/AIDS was conducted by the University of Rochester in 1999 when the City was first designated the grant recipient for HOPWA. The review involved interviews with representatives of the organizations that had previously received HOPWA funds (through New York State) and other agencies that serve people with AIDS. The review resulted in the recommendation that the annual grants to these organizations be continued. Again in the City found high quality service delivery in its 2010 Evaluation and Enhancement Plan for the City of Rochester's Special Needs Housing Program: Emergency Shelter Grant and Housing Opportunities for Persons with AIDS. Since that time the continued good performance of the agencies has resulted in a decision to continue funding current providers. During 2013-14, the City of Rochester will distribute HOPWA funds to two local service providers as shown below. They have close working relationships with each other as well as with the medical community, the State rehabilitation agency, and community based organizations. They have developed partnerships with companies managing low-income housing.

AC Center, Inc. provides services to AIDS/HIV individuals and their families, throughout the region. At their central Rochester location services include: medical

and pharmacy services, counseling (individual and group), drop in center, a food cupboard and a clothing "closet" to serve Rochester, Monroe County and the region.

In the summer of 2011, HUD awarded Cares, Inc. with Catholic Charities Community Services as sub-grantee, one of nine HOPWA pilot grants for developing model service-with housing programs. This area will see Catholic Charities Community Services build upon its recently developed employment readiness counseling/networking service. The award will be with the award \$454,750 through December 2015, for Foundations for Living Program. It will serve this area and the Albany area. Stable housing is one of the factors correlated with increasing quality of life for clients. With improvements in health care and thus longevity for our HIV/AIDS citizens, it is possible for an increased number to consider working. Employment too is correlated with improved adherence to health stabilization.

Housing subsidies will be reserved for approximately 190 Rochester area AIDS/HIV clients who participate in employment readiness activity including training and work search.

Both sponsors reserve a greater part of their awards for long term support. While housing support needs are not decreasing, other sources are. Rents and housing expenses are increasing. This makes HOPWA funding a critical part of each sponsor's service funding.

Organization	Amount	Projected Households Served
AC Center	395,130	120
Catholics Charities	242,160	70

The agreements with these agencies will expire in June 2014. The project sponsors will receive no more than the 7% administrative cap already included in their award amount.

Through these agencies, the City will continue to fund short-term rent, mortgage and utility payments (which help to prevent homelessness) and long-term, client-based rental assistance. Individuals served are living in scattered site apartments. No funding will be used to develop or operate purpose-built, congregate housing facilities. In the experience of local providers of HIV/AIDS services, these are not suitable and/or practical to fund and operate.

The objective for the use of HOPWA funds during this year will continue to be the maintenance of people in stable housing. The planned number to be served is 190 households. Funding will provide individualized assistance to persons in scattered site housing, through short-term rent, mortgage and utility payments and long-term, client-based rental assistance.

No HOPWA program funding will be used to develop, operate/manage or lease housing. The City and local providers of HIV/AIDS services have chosen to maximize numbers of clients housed with direct services and assistance rather than divert sponsors' HOPWA resources to ownership/management activities.

Emergency Solutions Grant ESG 91.220(I)(4)

1. Identify the written standards for providing ESG assistance in accordance with 24 CFR 576.400(e)(1) and (e)(3).

The City, Continuum of Care (CoC) and HSN (a collaboration of more than 50 local homeless services legal, primary care, mental health, substance abuse, re-entry providers and community stakeholders) have met throughout the 2011 -12 and 2012-2013 program years to jointly plan for ESG. All sessions contained updates on participant qualifications, and other ESG directions. In keeping with the City's past practice of providing the Emergency Shelter Grants (ESG) Program Desk Guide March 2001 to all sub-recipients, the City has provided sub-recipients and community providers that coordinate with them the Emergency Solutions Grant Rules and Regulations, and tools available to date including *Definition of Homelessness (Criteria for Defining Homeless and Record Keeping Requirements)*, *Emergency Solutions Grants (ESG) Program Components and Activities December 15th, 2011*. The City provided sub-recipients with information about the new homeless definition and eligibility, documentation and record requirements and HMIS participation. The CoC provides technical assistance and licenses to the City's sub-recipients.

A. Written Standards for Provision of Assistance

Policies and Procedures for Evaluating Those Eligible for Assistance

Each household will receive an initial consultation and eligibility assessment with a case manager or other authorized representative who can determine eligibility and the appropriate type of assistance needed;

- The household's total income must be at or below 30 percent of Area Median Income (AMI);
- The household must be either homeless (to receive rapid re-housing assistance) OR at risk of losing its housing (to receive homelessness prevention assistance); AND must meet the following circumstances:
- No appropriate subsequent housing options have been identified;
- The household lacks the financial resources to obtain immediate housing or remain in its existing housing; and
- The household lacks support networks needed to obtain immediate housing or remain in its existing housing.
- Documentation requirements:
 - Verification/proof of income
 - SSN for head of household
 - Verification of address/current living situation
 - Verification of household size
 - Some documentation of the problem(s) that led to the need for assistance as determined by the case manager (i.e.; proof of medical bills, loss of income, etc.)

Standards for the Heading Home program have been developed in accordance with "Eligibility Determination and Documentation Guidance- Homeless Prevention and Rapid Re-Housing Program (HPRP), HUD, revised March 17, 2010." These standards include:

- Eligibility requirements: Initial consultation/assessment and determination of income and housing status; access to alternative housing options
- Documentation: written or oral third party verification; applicant self-declaration

Performance Standards for Heading Home eligibility are as follows:

- Initial Consultation and Eligibility Determination: each applicant household must receive at least an initial consultation and eligibility assessment with a case manager or other authorized representative who can determine eligibility and the appropriate type of assistance needed
- Income: the household total income must be at or below 30% of Area Median Income (AMI)
- Housing Status: the household must be either literally homeless or at risk of losing housing within 14 days AND must meet the following circumstances: no appropriate subsequent housing options have been identified; the household lacks the financial resources to obtain immediate housing or remain in its existing housing, and the household lacks a support network needed to obtain immediate housing or remain in existing housing

Performance Standards for Documentation are as follows:

- Housing status: written verification of sleeping in a shelter, sleeping in a place not meant for human habitation, being a patient in a hospital or other institution, being a participant in a transitional housing program, being a victim of domestic violence
- Homelessness prevention eligibility: written verification of potential loss of housing due to non-payment of rent and/or other lease violations, utility non-payment, non-payment of hotel/motel charges, foreclosure on rental or owner-occupied property, eviction of host family/friends, uninhabitable conditions, or discharge from an institution
- Housing options/resources: written documentation must be provided for other subsequent housing options, financial resources (e.g. wages and salary, self-employment/business, interest/dividend, pension/retirement, unemployment/disability, TANF/public assistance, alimony/child support/foster care, armed forces income) and support networks

Policies and Procedures for Coordination among Providers

Staff meetings will be held on a monthly basis to discuss program logistics and processes. Periodically, meetings of all participating agencies will be held to ensure effective ongoing management and provide updates on any new program developments, including HUD regulation updates.

Meetings with the City, County, and CoC will take place on a monthly basis. These sessions are intended to provide for oversight as well as updates on project status, outcomes to date, and to allow for discussion and resolution of any project issues.

Additionally, case managers will be meeting clients weekly in community-based organizations (CBO) sites, as well as in shelters. CBO and shelter staff will be routinely engaged in conversations and will be requested to participate in any process to assist the program in meeting the needs of the people and families it served.

Policies and Procedures for Determining and Prioritizing Type of Assistance

Below are detailed the procedures for determining and prioritizing eligible families and individuals by the type of assistance requested.

Prevention High Priority Risk Factors

- Eviction within two weeks
- Sudden and significant loss of income
- Sudden and significant increase in utility costs
- Severe housing cost burden (greater than 50% of income)
- High overcrowding
- Recent traumatic life event (loss of spouse, or recent health crisis)
- Significant medical debt

Rapid Re-Housing High Priority Risk Factors:

- Sleeping in an emergency shelter
- Sleeping on the streets, etc.
- Graduating from a transitional shelter
- Victim of domestic violence

Standards for Determining Share of Rent and Utilities Each Participant Must Pay

Under the ESG Heading Home Program, participants will not be required to contribute toward payments provided by the program. Counseling and case management services will focus on maintaining stable housing after program financial assistance ends.

Standards for Determining How Long Rent Assistance and How Amount is Adjusted Over Time

In order to avoid excessive funding of individual households, (thus limiting the number of households that can be served by the program), and in order to respond to the variety of circumstances that may create or threaten homelessness, and in order to emphasize serving those most in need of assistance, it has been determined that this program would benefit most from limiting the services provided to one-time only.

ESG 2013-14 funds for Heading Home Program do not support the long-term maintenance of any person or family. It will be the procedure of the ESG-funded Heading Home Program to provide short-term assistance for these individuals and families to help them obtain/maintain housing until a longer-term or even permanent subsidy becomes available.

Total benefit amounts will be made on a case-by-case basis. However:

- Payments cannot duplicate other subsidy programs
- All other sources of assistance in the community must be exhausted
- Potential DHS recipients will be referred there prior to acceptance in the program

Standards for Determining Type, Amount and Duration of Stabilization and/or Relocation Assistance

The types of assistance provided will be determined on a case-by-case basis by the case manager with consultation with the program manager under the guidance of the director of finance and will reflect program dollars available, number of clients served to date, and will recognize the established program end date.

Specific guidance and details are offered below by type of assistance:

- Rental Assistance: amount of rent assistance cannot exceed the actual amount of rent and must comply with the HUD rent reasonableness and affordability standards
- Short term rental assistance: cannot exceed 3 months
- Medium term rental assistance: cannot exceed 18 months and must be reviewed every three months. This option will be used in extraordinary circumstances only.
- Financial Assistance:
- Security Deposits: cannot exceed rent reasonableness standards as established by HUD
- Rental Arrears: cannot exceed rent reasonableness standards.
- Utility Payments: will follow local DHS/HEAP guidelines
- Moving cost Assistance: will be reviewed on a case-by-case basis - A local moving company partnership has been established and estimates are provided prior to move
- Storage Fees: maximum of 3 months

Process for Making Sub-Awards

The City of Rochester will receive and utilize Emergency Solutions Grant funds to help address the needs of homeless individuals and families and to prevent homelessness. All funds will be used in collaboration with the local Continuum of Care, Monroe County, the Homeless Services Network priorities, the City's Housing Policy and the recently completed Homelessness Resolution Strategy Report. The Homelessness Resolution Strategy Report completed by Housing Innovations of New York City in conjunction with Dennis Culhane of the University of Pittsburg is a comprehensive report with several key recommendations. The report included an assessment of the Rochester Monroe County Continuum of Care, recommendations for the elimination of hotel placements and how to move forward with a coordinated access system. The report also included other relevant suggestions to improve the overall homeless system. The City, County, CoC, Homeless Service Network endorsed and support these recommendations.

Based on the input of the CoC and other stakeholders, the City of Rochester and the County of Monroe issued a joint RFP for the 2013/14 Emergency Solutions Grants funding. In the RFP the following services were solicited to receive funding and this is the plan for how the ESG funding will be used: Homelessness Prevention/Rapid Rehousing Services, Emergency Shelter and Shelter Diversion, and Essential Services.

Homeless Participation Requirement

In compliance with Section 576.405(a) of the Interim Rule, the City and County have engaged persons who are currently or formerly homeless in their planning process. Four formerly homeless individuals have participated in our planning process. The Co-Chair of the Rochester/Monroe County CoC is a formerly homeless individual.

In addition to serving as CoC Co-Chair, he has been substantially involved in planning for the use of ESG funding. Additionally, there is a second formerly homeless individual on the CoC Team, as well as another member of the Homeless Services Network, who have also been consulted in the planning process for ESG funding.

Performance Standards

Performance standards for ESG recipients are required by HUD and have been developed in consultation with the CoC. The purpose of performance standards is to provide a measure for the ESG recipient and the CoC to evaluate each ESG service provider's effectiveness. It is anticipated that the City and County's performance standards for ESG activities will continue to evolve over time and will be developed to complement the CoC program performance measures.

Specific performance standards that will be applied to the ESG Heading Home Program are based on the proposed accomplishments of:

- Increase the range of housing options and related services for program participants
 - Increase knowledge of rights and responsibilities through Tenant Education for 70% of program participants
 - Increase the number of program participants stably housed at 12 months to 75%
 - Reduce participant transiency by providing opportunities for safe and affordable housing
 - Reduce the number of participants who have received rapid re-housing services from entering or re-entering local shelter programs to 20% or less
 - Prevent rapid re-housing participants from re-entering shelters
The City and CoC will continue to distribute and provide technical assistance for the tools as they are available, and to incorporate the community's development of Single Point of Access (SPOA) that is underway. See 2. Below.
2. If the Continuum of Care for the jurisdiction's area has established a centralized or coordinated assessment system that meets HUD requirements, describe the centralized or coordinated assessment system

and the requirements for using the system, including the exception for victim service providers, as set forth under 24 CFR 576.400(d)

A coordinated assessment system is not currently in place. However, with the Homelessness Strategy study completed by Housing Innovations and the University of Pennsylvania, the City and County and the CoC are poised for implementation. CoC members (including the City) have participated in community discussions led by consultants Dennis Culhane (University of Penn.) and Suzanne Wagner (Housing Innovations) who have engaged stakeholders in implementing plans to 1) reduce the number of homeless families referred to hotel/motels for shelter without on-site supportive services; and 2) develop a coordinated access system for homeless services.

By centralizing intake and program admissions decisions, a coordinated entry process makes it more likely that families will be served by the right intervention more quickly. (*One Way In: The Advantages of Introducing a System-Wide Coordinated Entry for Homeless Families* - National Alliance to End Homelessness) The City engaged the services of the consultants, identified above, to assist the community in developing and implementing a single point of access system (SPOA) for homeless services. The consultants have worked with the CoC, providers and stakeholders to develop a system based on the specific needs of the Rochester/Monroe County community. The focus of the Coordinated Access system will be to ensure that eligible persons and priority groups are served, clients' needs and the services received are consistent and stewardship of community resources and exceptions for victim service providers are accommodated. Common elements of the CA will include an application/assessment process, eligibility/intake standards, an eligibility determination process, prioritization of needs, a housing referral process and oversight to ensure compliance. The providers, stakeholders, the CoC, HMIS, City and County continue to work with the consultants to develop a system tailored to community need.

3. Identify the process for making awards and how the jurisdiction intends to make its allocation available to nonprofit organizations, and in the case of urban counties, funding to participating units of local government.

Awards for 2013-14 are based upon a 2013 Request for Proposal (RFP) process in which the City published by Legal Notice in the local Democrat and Chronicle; announced availability on its established website for Proposals; distributed via networks including those of the CoC, Homeless Shelter Network and Housing Choice listserve. Awardees will be chosen by a committee of City, County, and CoC administrative board. Services under this proposal cycle will continue through June 30, 2014.

4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), specify the plan for reaching out to and consulting with homeless or formerly homeless individuals in considering and making policies and decisions regarding any facilities or services that receive funding under ESG.

In compliance with Section 576.405(a) of the Interim Rule, the City has engaged persons who are currently or formerly homeless in their planning process. Four formerly homeless individuals have participated in our planning process and continue to be engaged.

5. Describe the performance standards for evaluating ESG activities.

The City utilizes the Integrated Disbursement and Information System (IDIS) to document program progress and monitor program performance on an ongoing basis. The City requires all ESG sub-recipients to submit data to HMIS as required by HUD. The CoC began participation in the HUD PULSE system, which provides point-in-time shelter counts of homeless persons on a quarterly basis, in the 4th quarter of 2012.

A Consolidated Annual Performance and Evaluation Report (CAPER) is prepared annually by the City. It contains a summary of resources and programmatic accomplishments, the status of actions taken in concert with the CoC to implement the strategies contained in the Consolidated Plan, and evaluation of progress made in the in addressing identified priority needs and objectives.

Performance Standards are in alignment with the new measures implemented with the HEARTH Act including:

- reducing the duration of homelessness
- reducing recidivism
- reducing the number of people who become homeless
- increasing employment and income
- ending homelessness

6. Describe the consultation with each Continuum of Care that serves the jurisdiction in determining how to allocate ESG funds, develop performance standards, evaluate outcomes of activities assisted by ESG funds, and develop funding policies, and procedures for the administration and operation HMIS.

The City's ESG funding has been coordinated with the CoC. The CoC Team has 10 ex-officio members appointed by public and private organizations deemed necessary to develop, maintain, monitor and continuously improve a comprehensive, coordinated and flexible system of homeless housing and support services. They represented the City, Monroe County, United Way, Rochester Housing Authority, HSN and four community-based planning participants, who are formerly homeless individuals. In addition, there are elected general members from both public and private groups from the community, i.e. business sector, faith-based organizations, veterans organizations, schools, advocacy groups, etc. This diverse team is the primary planning and coordinating body for homeless housing and services in this community. The CoC recently approved new bylaws that will be helpful as it moves toward becoming a Unified Funding agency.

The CoC has been a long-time participant in the ESG planning process for the City and County. Proposals in response to the CoC annual NOFA are reviewed by the CoC team that includes City and County representation. ESG community priorities and planning have been discussed at both CoC and HSN meetings. City and County housing staff consulted extensively with the CoC relative to the preparation of their respective 2011 ESG Substantial Amendments.

ESG funding continues to be a critical issue for local homeless service providers. Facilitated discussions of ESG community priorities are held at the HSN meetings. CoC members and community stakeholders are strongly encouraged to participate. Significantly, CoC members have participated in community discussions led by consultants Dennis Culhane and Suzanne Wagner, who are engaged stakeholders in

implementing plans to 1) reduce the number of homeless families referred to hotel/motels for shelter without on-site supportive services; and 2) develop Single Point of Assessment and Access systems for homeless services.

The CoC, members of HSN and housing staff from the City and County have also collectively participated in planning for the allocation of 2013-2014 ESG funding.

The CoC is participating in the HUD CoC Check-Up self-evaluation designed to assist continuums in determining their current capacity, performance and readiness for the HEARTH Act. Survey respondents, including ESG funding recipients, were asked to rate the CoC on four domains: governance and structure; planning and process; infrastructure and administrative capacity, and housing and services. The CoC will submit a written Action Plan to HUD making it eligible for future technical assistance. Results of the Check-Up process are expected to enhance the ability of the CoC to be a leader in the efforts to coordinate ESG funded services, respond to HEARTH rulings and end homelessness in the Rochester/Monroe County community.

OTHER NARRATIVES AND ATTACHMENTS

Include any action plan information that was not covered by a narrative in any other section. If optional tables are not used, provide comparable information that is required by consolidated plan regulations.

MONITORING:

The City of Rochester continually monitors the performance of housing and community development activities to assure that they are carried out in accordance with applicable laws and regulations and to ensure that performance goals are achieved. The following is a description of standards and procedures that the City will use to ensure compliance.

The City of Rochester converted to the Integrated Disbursement and Information System in 1996. The system enables the City to review a program's progress and monitor performance on an ongoing basis.

A written Performance and Evaluation Report will be prepared annually. The report will contain a summary of resources and programmatic accomplishments, the status of actions taken to implement the strategy contained in the Consolidated Community Development Program, and evaluation of progress made during the year in addressing identified priority needs and objectives.

The standards and procedures used to monitor economic development projects to ensure long term compliance with the program requirements include annual job verification reports and certifications to be submitted by the program recipients. We will continue to review our project monitoring procedures and policies with our accounting and legal departments to ensure that we maintain tight fiscal controls.

The department will employ standards and procedures such as maintaining current program guidelines and utilizing appropriate underwriting analysis and documentation. Also continued will be an active process of post-closing

administration, which involves monitoring employment information. Staff monitors projects to ensure that projects are completed and program objectives are met.

As a condition of receiving HOME funds, the City agreed to maintain all HOME assisted units as affordable housing and in compliance with Housing Quality Standards (HQS). A site visit is made to each development and multifamily rehabilitation project in order to conduct mandatory tenant file reviews and physical inspections. The greater of 10 units, or 10 percent of the total development units are inspected and tenant files reviewed. All sampling is performed randomly. Tenant file reviews consist of evaluating documentation, verifying rent amounts, conducting income calculations, and lease review. On-site inspections are performed in accordance with HQS.

Additionally, first time homeowner units will be monitored. Annually, each homeowner will be sent a letter requesting verification that the home continued to be their primary residence and that they were maintaining the property.

Section 85.40(a) of the Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments requires the City to monitor the day-to-day operations of subrecipient activities to assure compliance with applicable federal requirements and ensure that performance goals are being achieved.

The goal of subrecipient monitoring is to identify any problems and to recommend corrections in order to reinforce and improve the subrecipient performance. The City approaches monitoring program activities proposed in the Community Development Program as an ongoing process involving continuous subrecipient communication and evaluation. The process involves frequent telephone contacts, written communications, analysis of reports and evaluations, periodic meetings, and on-site visits.

The City will monitor each subrecipient receiving funds. Risk analysis is an important concept in determining the frequency and intensity of monitoring. Subrecipients that manage complex programs, handle program income, lack capacity, or have experienced recent problems such as incomplete performance reports are monitored more frequently.

In-house reviews of subrecipients are also conducted. The in-house review consists of a review of the subrecipient's monthly performance report and the supporting documentation submitted with the request for payment. The review of the report includes a comparison of actual accomplishments to the objectives contained in the subrecipient agreement. Subrecipients are informed of any problems or concerns and asked to submit corrective action plans.

The City conducts on-site visits of each subrecipient on an annual basis. The subrecipient is given adequate notice in advance of the monitoring visit. To prepare for the on-site visit, the City will perform administrative monitoring by reviewing documents such as the subrecipient agreement, performance reports, evaluations, and correspondence to and from the subrecipient. The purpose of the review is to identify potential problems, program status, and to provide recommendations to correct any problem areas.

The City's monitor will meet with appropriate subrecipient officials and explain the purpose of the monitoring visit. All appropriate material generated by the subrecipient which provides more detailed information on program and budget performance and status are reviewed. The monitor completes a written evaluation of the monitoring session and retains same in the subrecipient/project file.

After the on-site visit, the subrecipient is informed by letter or a conference session of the results of the monitoring, including any problems or concerns and a schedule of any corrective action required.

The Bureau of Accounting is responsible for the financial monitoring of each activity and/or project, including a review of the subrecipient's financial records and handling of program income.

The City asks all subrecipient agencies to submit their most recent audited financial statements. Subrecipient agencies expending \$500,000 or more in federal funds from all sources during their previous year are required to submit an annual audit that complies with the more stringent standards of OMB Circular A-133 as published by the U.S. Office of Management and Budget.

All grant applications that require a Certification of Consistency with the Consolidated Community Development Plan will be reviewed. An annual report on all activities certified by the City as being in accordance with the plan is prepared.

The City reserves the right, on an as needed basis, to request updates on Consolidated Plan certified activities and/or conduct site visits to ensure consistency with the original proposed activities and long-term compliance.

The City will monitor housing-related activities that are discussed in the plan. This monitoring will be limited to requests for information on a yearly and as needed basis. We will continue to examine the best practices of other communities nationally to bring innovative programs to our community.

The City will also continue with the Outcome Performance Measurement System developed by the U.S. Department of Housing and Urban Development. The system includes objectives, outcome measures and indicators that describe outputs. The objectives are Creating Suitable Living Environments, Providing Decent Affordable Housing, and Creating Economic Opportunities. The outcome categories are Accessibility/Availability, Affordability, and Sustainability. There is a standardized list of output indicators to report on as appropriate for the chosen objectives and outcomes. The objectives and indicators provided reflect the rationale for funding the activity. The indicators will describe, in numerical terms, any particular benefit that the activity produced. The system is designed to enable grantees to inform the public of the many outcomes of assisted programs. The goal is to focus on more outcome-oriented information and be able to report the results. The system will be an important tool to report to citizens the many benefits provided by assisted activities.

Concentrations of Minority and Hispanic Persons

The racial make-up of Rochester has changed significantly since 1990. Between 1990 and 2010, the number of minority residents increased from 90,133 to 118,513. Combined with a decrease in the total population, the share of minority residents rose from 38.9% to 56.3% over 20 years.

Diversity among the minority population is changing. The number of Black residents has slightly increased, Asian residents have increased by 59.1%, and persons of "Some Other Race" has decreased since 2000. Persons of Hispanic Origin have also increased. The following trends also were noted:

- Black residents have increased from 31.5% of the population to 41.7%.
- American Indians/Alaskan Natives experienced a decrease in population from 1,103 in 1990 to 1,013 in 2010, or a change of -8.2%.
- Asians and Pacific Islanders represented 1.8% of the total population in 1990 but increased to 3.1% of the total population in 2010.
- Persons of "Some Other Race" in 2010 represented 6.5% of the population, an increase from 1990.
- "Persons of two or more races" was a new category in the 2000 Census. Then, the population in this category comprised 3.8% of the total population. This segment increased to 4.4% of the total population in 2010.
- Persons of Hispanic origin¹ account for 16.4% of total population. Between 1990 and 2010, the Hispanic population increased from 20,055 to 34,456 a 71.8% increase in 20 years.

¹ Hispanic origin is defined by the Census Bureau as "people whose origins are from Spain, the Spanish-speaking countries of Central or South America, the Caribbean, or those identifying themselves generally as Spanish, Spanish-American, etc. Origin can be viewed as ancestry, nationality, or country of birth of the person or person's parents or ancestors prior to their arrival in the United States. Spanish/Hispanic/Latino people may be of any race."

Trends in Population by Race and Ethnic Origin – 1990 to 2010

Rochester	231,636	100.0%	219,773	100.1%	210,565	100.0%	-9.1%
White	141,503	61.1%	106,161	48.3%	91,951	43.7%	-35.0%
Black	73,024	31.5%	84,717	38.6%	87,897	41.7%	20.4%
Amer. Indian/Alaska Native	1,103	0.5%	1,033	0.5%	1,013	0.5%	-8.2%
Asian/ Pacific Islander	4,081	1.8%	5,047	2.3%	6,493	3.1%	59.1%
Some Other Race	11,925	5.1%	14,452	6.6%	13,754	6.5%	15.3%
Two or More Races	n/a	n/a	8,363	3.8%	9,356	4.4%	n/a
Hispanic	20,055	8.7%	28,032	12.8%	34,456	16.4%	71.8%

Source: U.S. Census, 1990 (SF1- P1, P6 and P8), 2000 (SF1- P1, P3 and P4) and 2010 Redistricting Data (P.L. 94-171)

The table on the following page presents population by race and ethnicity. The data is presented by census tract for all 210,565 City residents in 2010. HUD defines areas of racial or ethnic concentration as geographical areas where the percentage of a specific minority or ethnic group is 10 percentage points higher than in the City overall.

- Black residents comprised 41.7% of the population. Therefore, an area of racial concentration includes census tracts where the percentage of Black residents is 51.7% or higher. There are 31 census tracts that meet this criterion for Black residents.
- Asian residents comprised 3.1% of the population of Rochester. Therefore, an area of racial concentration includes census tracts where the percentage of Asian residents is 13.1% or higher. There are 2 census tracts that meet this criterion for Asian residents.
- Residents of "Some Other Race" comprised 6.5% of the population of Rochester. Therefore, an area of racial concentration includes census tracts where the percentage of "Some Other Race" is 16.5% or higher. There are 12 census tracts that meet this criterion for "Some Other Race" residents.
- Persons of Hispanic ethnicity represent 16.4% of the city's population. Therefore, an area of ethnic concentration would include census tracts of 26.4% or higher. There are 23 census tracts which meet this criterion for persons of Hispanic ethnicity. Census tracts which are areas of Hispanic concentration only are shown in italics.

It must be noted that a number of the census tracts have multiple concentrations of racial and/or ethnic populations. In total, 42 of the 80 census tracts in Rochester were areas of racial and/or Hispanic concentration, as shown in the table on the following two pages.

Population by Race and Ethnicity - 2010

	Total Population	White Residents		Black Residents		Asian/Pacific Islanders		Some Other Race		Hispanic Residents	
		#	%	#	%	#	%	#	%	%	#
Rochester City	210,565	91,951	43.7%	87,897	41.7%	6,594	3.1%	13,754	6.5%	34,456	16.4%
Census Tract 2	2,589	791	30.6%	1,171	45.2%	227	8.8%	182	7.0%	539	20.8%
Census Tract 7	2,087	413	19.8%	1,188	56.9%	18	0.9%	375	18.0%	839	40.2%
Census Tract 10	3,668	2,828	77.1%	527	14.4%	140	3.8%	59	1.6%	190	5.2%
Census Tract 13	1,843	248	13.5%	1,124	61.0%	5	0.3%	344	18.7%	708	38.4%
Census Tract 15	1,021	176	17.2%	584	57.2%	2	0.2%	223	21.8%	425	41.6%
Census Tract 18	5,182	3,069	59.2%	1,526	29.4%	136	2.6%	233	4.5%	756	14.6%
Census Tract 19	2,530	1,236	48.9%	888	35.1%	103	4.1%	119	4.7%	385	15.2%
Census Tract 20	4,812	2,162	44.9%	1,875	39.0%	206	4.3%	269	5.6%	741	15.4%
Census Tract 21	3,577	1,553	43.4%	1,484	41.5%	128	3.6%	202	5.6%	513	14.3%
Census Tract 22	3,039	1,013	33.3%	1,327	43.7%	233	7.7%	250	8.2%	571	18.8%
Census Tract 23	4,254	1,314	30.9%	1,904	44.8%	291	6.8%	403	9.5%	1,019	24.0%
Census Tract 24	3,573	1,235	34.6%	1,696	47.5%	141	3.9%	294	8.2%	777	21.7%
Census Tract 27	1,432	72	5.0%	1,252	87.4%	19	1.3%	29	2.0%	128	8.9%
Census Tract 29	3,740	3,262	87.2%	235	6.3%	113	3.0%	40	1.1%	133	3.6%
Census Tract 30	1,924	1,390	72.2%	361	18.8%	43	2.2%	47	2.4%	147	7.6%
Census Tract 31	4,626	4,113	88.9%	230	5.0%	114	2.5%	57	1.2%	181	3.9%
Census Tract 32	1,827	1,015	55.6%	664	36.3%	47	2.6%	21	1.1%	140	7.7%
Census Tract 33	1,657	1,281	77.3%	251	15.1%	43	2.6%	11	0.7%	78	4.7%
Census Tract 34	2,506	1,668	66.6%	546	21.8%	153	6.1%	49	2.0%	170	6.8%
Census Tract 35	1,514	1,331	87.9%	106	7.0%	33	2.2%	6	0.4%	46	3.0%
Census Tract 36	2,703	2,105	77.9%	337	12.5%	90	3.3%	44	1.6%	142	5.3%
Census Tract 37	3,074	2,509	81.6%	349	11.4%	60	2.0%	55	1.8%	195	6.3%
Census Tract 38.02	2,320	1,663	71.7%	107	4.6%	428	18.4%	30	1.3%	125	5.4%
Census Tract 38.05	7,253	4,575	63.1%	1,238	17.1%	1,063	14.7%	131	1.8%	363	5.0%
Census Tract 39	2,042	415	20.3%	1,090	53.4%	69	3.4%	314	15.4%	704	34.5%
Census Tract 41	1,227	446	36.3%	493	40.2%	89	7.3%	109	8.9%	327	26.7%
Census Tract 46.02	2,446	765	31.3%	1,216	49.7%	45	1.8%	305	12.5%	603	24.7%
Census Tract 47.01	3,033	1,448	47.7%	1,007	33.2%	65	2.1%	344	11.3%	1,016	33.5%
Census Tract 47.02	2,189	701	32.0%	867	39.6%	107	4.9%	386	17.6%	781	35.7%
Census Tract 48	2,428	521	21.5%	1,263	52.0%	30	1.2%	472	19.4%	933	38.4%
Census Tract 49	1,898	309	16.3%	1,129	59.5%	21	1.1%	352	18.5%	662	34.9%
Census Tract 50	1,777	354	19.9%	802	45.1%	72	4.1%	413	23.2%	772	43.4%
Census Tract 51	1,441	308	21.4%	752	52.2%	59	4.1%	216	15.0%	515	35.7%
Census Tract 52	1,594	260	16.3%	927	58.2%	36	2.3%	270	16.9%	545	34.2%
Census Tract 53	1,987	344	17.3%	1,158	58.3%	21	1.1%	346	17.4%	681	34.3%
Census Tract 54	3,869	1,425	36.8%	1,881	48.6%	26	0.7%	308	8.0%	796	20.6%
Census Tract 55	1,981	312	15.7%	1,222	61.7%	20	1.0%	331	16.7%	708	35.7%
Census Tract 56	2,345	429	18.3%	1,452	61.9%	60	2.6%	291	12.4%	618	26.4%
Census Tract 57	1,932	332	17.2%	1,314	68.0%	6	0.3%	154	8.0%	347	18.0%

Population by Race and Ethnicity - 2010

	Total Population	White Residents		Black Residents		Asian/Pacific Islanders		Some Other Race		Hispanic Residents	
		#	%	#	%	#	%	#	%	%	#
Census Tract 58	3,981	1,153	29.0%	2,324	58.4%	25	0.6%	215	5.4%	541	13.6%
Census Tract 59	1,752	354	20.2%	1,163	66.4%	7	0.4%	102	5.8%	270	15.4%
Census Tract 60	3,415	2,218	64.9%	899	26.3%	24	0.7%	88	2.6%	287	8.4%
Census Tract 61	2,353	2,017	85.7%	230	9.8%	26	1.1%	34	1.4%	112	4.8%
Census Tract 62	3,084	620	20.1%	2,294	74.4%	18	0.6%	37	1.2%	158	5.1%
Census Tract 63	3,071	330	10.7%	2,555	83.2%	12	0.4%	33	1.1%	129	4.2%
Census Tract 64	2,591	97	3.7%	2,315	89.3%	3	0.1%	48	1.9%	140	5.4%
Census Tract 65	1,616	71	4.4%	1,455	90.0%	20	1.2%	17	1.1%	47	2.9%
Census Tract 66	1,968	73	3.7%	1,765	89.7%	15	0.8%	27	1.4%	99	5.0%
Census Tract 67	3,066	491	16.0%	2,390	78.0%	21	0.7%	23	0.8%	131	4.3%
Census Tract 68	2,828	796	28.1%	1,847	65.3%	18	0.6%	43	1.5%	152	5.4%
Census Tract 69	1,907	254	13.3%	1,520	79.7%	21	1.1%	23	1.2%	89	4.7%
Census Tract 70	2,963	935	31.6%	1,805	60.9%	67	2.3%	31	1.0%	135	4.6%
Census Tract 71	3,177	810	25.5%	2,148	67.6%	43	1.4%	37	1.2%	155	4.9%
Census Tract 75	2,925	355	12.1%	2,365	80.9%	13	0.4%	62	2.1%	204	7.0%
Census Tract 76	2,938	2,255	76.8%	462	15.7%	24	0.8%	77	2.6%	211	7.2%
Census Tract 77	2,790	2,204	79.0%	376	13.5%	54	1.9%	48	1.7%	146	5.2%
Census Tract 78.01	2,458	2,175	88.5%	146	5.9%	71	2.9%	18	0.7%	68	2.8%
Census Tract 78.02	1,495	1,313	87.8%	92	6.2%	43	2.9%	15	1.0%	41	2.7%
Census Tract 79	1,915	552	28.8%	980	51.2%	18	0.9%	262	13.7%	709	37.0%
Census Tract 80	2,399	465	19.4%	1,393	58.1%	13	0.5%	380	15.8%	777	32.4%
Census Tract 81	4,390	1,538	35.0%	1,907	43.4%	93	2.1%	590	13.4%	1,500	34.2%
Census Tract 82	3,073	1,048	34.1%	1,362	44.3%	32	1.0%	438	14.3%	1,001	32.6%
Census Tract 83.01	4,048	1,910	47.2%	1,408	34.8%	44	1.1%	463	11.4%	1,166	28.8%
Census Tract 84	2,912	758	26.0%	1,488	51.1%	64	2.2%	421	14.5%	984	33.8%
Census Tract 85	3,678	3,140	85.4%	312	8.5%	33	0.9%	52	1.4%	247	6.7%
Census Tract 86	4,575	3,757	82.1%	492	10.8%	57	1.2%	130	2.8%	383	8.4%
Census Tract 87.01	3,696	1,724	46.6%	1,183	32.0%	339	9.2%	185	5.0%	606	16.4%
Census Tract 87.02	1,499	578	38.6%	755	50.4%	30	2.0%	71	4.7%	212	14.1%
Census Tract 88	2,580	1,040	40.3%	1,100	42.6%	135	5.2%	197	7.6%	507	19.7%
Census Tract 92	1,303	346	26.6%	520	39.9%	12	0.9%	349	26.8%	790	60.6%
Census Tract 93.01	2,379	493	20.7%	1,382	58.1%	7	0.3%	397	16.7%	809	34.0%
Census Tract 93.02	1,452	916	63.1%	382	26.3%	55	3.8%	36	2.5%	119	8.2%
Census Tract 94	4,430	2,240	50.6%	1,703	38.4%	250	5.6%	134	3.0%	545	12.3%
Census Tract 95	2,963	1,183	39.9%	1,483	50.1%	167	5.6%	32	1.1%	138	4.7%
Census Tract 96.01	1,543	162	10.5%	1,266	82.0%	12	0.8%	50	3.2%	137	8.9%
Census Tract 96.02	1,640	421	25.7%	978	59.6%	7	0.4%	118	7.2%	274	16.7%
Census Tract 96.03	2,272	846	37.2%	977	43.0%	78	3.4%	166	7.3%	501	22.1%
Census Tract 96.04	1,116	352	31.5%	520	46.6%	15	1.3%	149	13.4%	360	32.3%
Census Tract 9801	24	22	91.7%	0	0.0%	0	0.0%	1	4.2%	0	0.0%

Low- Moderate-income Areas

The table on the following two pages presents information regarding low- and moderate-income (LMI) persons in Rochester. LMI persons, as determined by HUD, have incomes at or below 80% of the median family income (MFI). In its 2009 estimates, HUD determined that there were 138,005 LMI persons in Rochester, equivalent to 65.6% of the population for whom this rate is determined.

HUD defines an LMI census block group in the City of Rochester as one in which 51.0% or more of the population have incomes of 80% or less of MFI. According to this criterion, 186 of the City's 242 census block groups qualify as LMI areas. The following chart lists all block groups for which LMI status has been determined.

LMI Census Block Groups, 2000

2.00	1	508	540	94.1%
2.00	2	1,304	1,466	88.9%
2.00	3	642	735	87.3%
7.00	1	731	923	79.2%
7.00	2	892	950	93.9%
7.00	3	583	707	82.5%
10.00	1	722	1,282	56.3%
10.00	4	452	666	67.9%
13.00	1	1,588	1,657	95.8%
15.00	1	1,485	1,560	95.2%
18.00	4	465	663	70.1%
18.00	6	549	854	64.3%
19.00	1	533	975	54.7%
19.00	2	541	754	71.8%
19.00	3	504	710	71.0%
20.00	1	267	456	58.6%
20.00	2	316	545	58.0%
20.00	3	712	1,058	67.3%
20.00	4	524	916	57.2%
20.00	5	404	696	58.0%
20.00	6	672	1,012	66.4%
21.00	2	367	616	59.6%
21.00	3	582	1,068	54.5%
22.00	1	566	860	65.8%
22.00	2	588	730	80.5%
22.00	3	435	698	62.3%
22.00	4	504	660	76.4%
23.00	1	1,099	1,282	85.7%
23.00	2	680	1,006	67.6%
23.00	3	680	799	85.1%
23.00	4	1,061	1,298	81.7%
24.00	2	827	1,045	79.1%
24.00	3	574	783	73.3%
24.00	4	653	862	75.8%
24.00	5	517	750	68.9%
27.00	1	581	699	83.1%
27.00	3	569	779	73.0%
29.00	2	615	1,113	55.3%
29.00	3	459	722	63.6%
29.00	4	837	1,177	71.1%
30.00	1	452	750	60.3%
30.00	2	836	1,264	66.1%
32.00	1	745	913	81.6%
32.00	3	1,328	1,549	85.7%
33.00	1	488	831	58.7%
33.00	2	744	918	81.0%
34.00	1	838	1,126	74.4%

34.00	2	650	1,205	53.9%
36.00	1	765	1,154	66.3%
36.00	3	481	895	53.7%
37.00	2	446	833	53.5%
38.01	3	1,397	2,072	67.4%
38.01	4	987	1,634	60.4%
39.00	1	917	1,051	87.3%
39.00	2	853	1,191	71.6%
40.00	1	535	723	74.0%
40.00	2	590	710	83.1%
41.00	1	357	453	78.8%
41.00	2	526	600	87.7%
41.00	4	384	397	96.7%
46.02	1	353	591	59.7%
46.02	2	1,508	1,778	84.8%
47.01	1	1,663	2,027	82.0%
47.02	1	374	623	60.0%
47.02	2	505	926	54.5%
47.02	3	535	746	71.7%
48.00	1	689	885	77.9%
48.00	2	669	865	77.3%
48.00	3	664	804	82.6%
49.00	1	975	1,243	78.4%
49.00	2	685	891	76.9%
50.00	2	639	766	83.4%
50.00	3	785	929	84.5%
50.00	4	640	670	95.5%
51.00	1	689	839	82.1%
51.00	2	659	745	88.5%
52.00	1	577	746	77.3%
52.00	3	513	614	83.8%
52.00	4	729	846	86.2%
53.00	1	585	912	64.1%
53.00	2	1,100	1,268	86.8%
54.00	3	586	760	77.1%
54.00	4	555	681	81.5%
55.00	1	567	761	74.5%
55.00	2	587	721	81.4%
55.00	3	707	872	81.1%
56.00	1	741	883	83.9%
56.00	2	585	916	63.9%
56.00	3	615	754	81.6%
57.00	1	507	779	65.1%
57.00	2	547	581	94.1%
57.00	3	564	606	93.1%
58.00	1	635	1,186	53.5%
58.00	2	742	979	75.8%

LMI Census Block Groups, 2000, continued

58.00	3	529	788	67.1%
58.00	4	870	1,024	85.0%
59.00	1	619	746	83.0%
59.00	2	891	1,078	82.7%
60.00	1	655	988	66.3%
60.00	3	368	657	56.0%
60.00	4	650	1,091	59.6%
62.00	1	597	1,032	57.8%
63.00	1	444	544	81.6%
63.00	2	764	1,089	70.2%
64.00	1	619	731	84.7%
64.00	2	423	459	92.2%
64.00	3	370	506	73.1%
64.00	4	700	984	71.1%
65.00	1	852	1,048	81.3%
65.00	3	693	916	75.7%
66.00	1	699	910	76.8%
66.00	2	902	1,199	75.2%
67.00	1	310	508	61.0%
67.00	2	590	1,057	55.8%
67.00	3	484	720	67.2%
68.00	1	506	970	52.2%
69.00	1	636	799	79.6%
69.00	2	1,068	1,342	79.6%
70.00	1	903	1,339	67.4%
70.00	2	697	1,015	68.7%
71.00	4	389	705	55.2%
71.00	5	374	625	59.8%
75.00	1	761	1,038	73.3%
75.00	2	360	685	52.6%
75.00	3	915	1,222	74.9%
76.00	4	366	669	54.7%
77.00	1	598	1,072	55.8%
77.00	3	591	934	63.3%
78.01	6	653	1,281	51.0%
79.00	1	1,023	1,372	74.6%
79.00	3	542	807	67.2%
80.00	1	871	1,106	78.8%
80.00	2	502	606	82.8%
80.00	3	600	842	71.3%
81.00	1	581	983	59.1%
81.00	2	828	1,057	78.3%
81.00	3	700	1,014	69.0%
81.00	4	823	1,114	73.9%
82.00	1	666	1,064	62.6%
82.00	3	474	919	51.6%

82.00	4	707	1,048	67.5%
83.01	1	487	870	56.0%
83.01	2	626	1,064	58.8%
83.01	3	831	1,310	63.4%
83.01	4	458	788	58.1%
84.00	1	661	1,049	63.0%
84.00	2	637	906	70.3%
84.00	3	600	929	64.6%
85.00	1	677	1,004	67.4%
85.00	2	1,154	1,946	59.3%
86.00	1	825	1,440	57.3%
86.00	5	864	1,676	51.6%
87.01	1	454	803	56.5%
87.01	2	502	731	68.7%
87.01	6	613	1,091	56.2%
87.01	7	563	890	63.3%
87.02	3	491	868	56.6%
87.02	4	418	566	73.9%
88.00	1	725	1,256	57.7%
89.00	9	18	21	85.7%
92.00	1	463	565	81.9%
92.00	3	662	703	94.2%
93.01	1	342	589	58.1%
93.01	2	579	654	88.5%
93.01	3	805	882	91.3%
93.01	4	685	760	90.1%
93.02	1	382	485	78.8%
93.02	2	676	915	73.9%
94.00	1	254	451	56.3%
94.00	2	997	1,142	87.3%
94.00	3	38	38	100.0%
94.00	4	716	881	81.3%
95.00	1	339	628	54.0%
95.00	2	513	973	52.7%
95.00	3	772	970	79.6%
96.01	1	527	640	82.3%
96.01	2	130	170	76.5%
96.01	3	601	744	80.8%
96.02	1	950	1,118	85.0%
96.02	2	548	709	77.3%
96.03	1	906	1,010	89.7%
96.03	3	659	903	73.0%
96.03	5	519	621	83.6%
96.04	1	815	845	96.4%
96.04	2	665	702	94.7%
109.01	1	29	29	100.0%

Source: U.S. Census 2000

Concentrations of LMI Persons and Minority Persons

Of the 76 census tracts which contained block groups identified as LMI areas, all but one were noted also to be areas of racial or ethnic concentration. Census Tract 38.02 was an area of racial or ethnic concentration but not an LMI area.

The map included in the Appendix illustrates the areas of concentration of racial and LMI persons.

City Development Fund

The City Development Fund was established in 1986. The objective of the fund is to provide for development incentives that leverage private investment in the City’s housing stock, enhance the tax base, and retain and create jobs. The Development Fund, ensures that scarce resources are recycled to the maximum extent possible and that neighborhood and business initiatives are continued in the future.

Capitalization of the fund is provided chiefly by loan and interest repayments received from Urban Development Action Grant loan recipients. Use of these repayments primarily for new loans will not only leverage private funding, but will generate further payments to fund new loans. Thus, the loan fund has cumulatively increasing effects.

The Rochester Housing Authority administers a Section 8 Rental Assistance Program which provides payments to owners of private market rate units or directly to tenants (vouchers). The Low-Income Housing Tax Credit Program provides federal tax credits to individuals and corporations that invest in low-income housing. Tax credits are sold to people with high tax liability and proceeds are used to create housing. Tax credit allocations are awarded through New York State on a competitive basis.

The City enlists a variety of non-federal public and private resources to provide decent housing, suitable living environments and expanded economic opportunities for its residents. No one resource can build communities. The City uses a variety of resources, not only to implement its strategic plan but also to link City strategies. This allows the City to reinforce coordination of activities between and among agencies and to leverage additional resources.

The following table provides a listing of other private and non-federal resources expected to be available to address the needs identified in the Consolidated Community Development Plan and Annual Action Plan. The City of Rochester will use federal resources to leverage these non-federal public and private resources. Many assisted activities such as housing assistance, economic development, and human services require matching funds from other sources.

NEEDS	RESOURCES	
	NON-FEDERAL	PRIVATE

Housing	<p>City of Rochester Operating/Capital Budget Low Income Tax Credit Monroe County Dept. of Human Services Monroe County Dept. of Public Health NYS Housing Finance Agency NYS Affordable Housing Corporation NYS Department of Social Services NYS Housing Trust Fund Rochester Housing Authority</p>	<p>Banks and Other Lenders Buyer and Investor Equity Enterprise Foundation Federal Home Loan Bank Flower City Habitat for Humanity Greater Rochester Housing Partnership NeighborWorks Rochester United Way of Greater Rochester Rochester Housing Development Fund Rochester/MLK Fund Health Foundation Employers participating in the EAHI Program</p>
Homeless	<p>NYS Department of Human Services NYS Division for Youth NYS Office of Mental Health Monroe County Department of Human Services Monroe County Department of Public Health</p>	<p>AC Center Catholic Charities ESG Recipients</p>
Infrastructure Improvement	<p>City of Rochester Capital Improvement</p>	
Public Service	<p>City of Rochester Operating Budget Monroe County Department of Human Services Monroe County Department of Public Health NYS Crime Victims Board NYS Department of Health NYS Office of Temporary and Disability Assistance NYS Department of Transportation NYS Office of Children and Family Services NYS Division of Criminal Justice Services NYS Education Department NYS Office of the Aging NYS Office of Alcoholism and Substance Abuse NYS Office of Mental Retardation and Developmental Disabilities</p>	<p>Catholic Charities Legal Aid Society Monroe County Legal Assistance Corporation Private Foundations Rochester Area Community Foundation United Way of Greater Rochester The Housing Council</p>
Other Community Development	<p>City of Rochester Operating Budget</p>	<p>IBERO Small Business Investment Company</p>

	Monroe County Department of Public Health NYS Department of Social Services NYS Department of Health NYS Department of State NYS Division of Housing & Community Renewal NYS Energy Office NYS Office for the Aging	Urban League Economic Development Corporation
Planning	City of Rochester Operating Budget	Neighborhood Service Centers

Section 108 Loan Program

Summary of Accomplishments

Project Name	Project Number	Amount	Planned Jobs Created/Retained	Actual Jobs Created/Retained	Actual Low/Mod Jobs Created/Retained	Does Project Comply with National Objectives?
Alling and Cory Industries	B-93-MC-36-0003A	\$2,235,000	20/179	0/179	0/95	No-Low Mod Jobs
High Falls Brewing	B-00-MC-36-0003A	\$5,000,000	69.5/422.5	100/422.5	100/422.5	Yes-Low Mod Jobs

ATTACHMENT I
ANNUAL ACTION PLAN
ESTIMATED REVENUE SUMMARY

SOURCE	2012-13	2013-14	CHANGE
Community Development Program			
Community Development Block Grant	\$ 7,839,087	7,447,133	(391,954)
Program Income	\$ 1,353,175	\$ 1,100,000	(253,175)
Reallocation	\$ 112,800	\$ 1,170,309	1,057,509
Program Income held by subrecipients	\$ -	\$ -	-
Total	\$ 9,305,062	\$ 9,717,442	\$ 412,380
City Development Fund			
UDAG Repayments	\$ 150,000	200,000	50,000
Total	\$150,000	\$200,000	50,000
HOME Program			
Home Investment Partnerships	\$ 1,867,193	1,773,800.00	(93,393)
Program Income	\$ 10,000	\$ 20,000	10,000
Reallocation	\$ -	\$ 2,789	2,789
Recaptured	\$ 30,000	\$ -	(30,000)
Total	\$ 1,907,193	\$ 1,796,589	(110,604)
Emergency Shelter Grant			
	\$ 754,920	717,200.00	(37,720)
HOPWA			
	\$ 691,595	657,000.00	(34,595)
TOTAL	\$ 12,808,770	\$ 13,088,231	\$ 279,461

**ATTACHMENT II
ANNUAL ACTION PLAN
PROGRAM INCOME**

DESCRIPTION	GRANTEE	SUBRECIPIENT
Demolition	\$	-
Proceeds from the Sale / Rental of Land	\$	-
Revolving Loan Fund		
Economic Development & Rehab Loan Repayments	\$	1,100,000
Recapture	\$	20,000
Reversionary Interest		-



Summary of Specific Annual Objectives

Specific Obj. #	Outcome/Objective Specific Annual Objectives	Sources of Funds	Performance Indicators	Year	Expected Number	Actual Number	Percent Completed
DH-2 Affordability of Decent Housing							
DH-2 (1)	Improve the Housing Stock and General Property Conditions - Improve the quality of owner-occupied housing, Improve access to affordable owner housing, Increase the availability of affordable owner housing, Increase the supply of affordable rental housing, and Improve the quality of life of affordable rental housing.	CDBG	Units/Structures Assisted	2010	426		0%
				2011	523		0%
				2012	450		0%
				2013	252		0%
				2014			#DIV/0!
						0	#DIV/0!
	Improve the Housing Stock and General Property Conditions - Improve services for low/mod income persons	HOME	Households Assisted	2010	485		0%
				2011	465		0%
				2012	460		0%
				2013	403		0%
				2014			#DIV/0!
						0	#DIV/0!
	CDBG	People Assisted	2010	690		0%	
			2011	726		0%	
			2012	725		0%	
			2013	720		0%	
			2014			#DIV/0!	
		0	#DIV/0!				



Summary of Specific Annual Objectives

Specific Obj. #	Outcome/Objective Specific Annual Objectives	Sources of Funds	Performance Indicators	Year	Expected Number	Actual Number	Percent Completed
SL-1	Availability/Accessibility of Suitable Living Environment						
SL-1 (1)	General Community Needs - Improve the services for low/mod income persons. Improve the Housing Stock and General Property Conditions - Improve services for low/mod income persons	CDBG	People assisted	2010	426		0%
				2011	0		#DIV/0!
				2012	0		#DIV/0!
				2013	512		0%
				2014			#DIV/0!
	MULTI-YEAR GOAL					0	#DIV/0!
	Improve the quality of affordable rental housing; increase range of housing options and related services for persons with special needs; Improve access to affordable rental housing	ESG	People assisted	2010	TBD		#VALUE!
				2011	TBD		#VALUE!
				2012	TBD		#VALUE!
				2013	TBD		#VALUE!
MULTI-YEAR GOAL					0	#DIV/0!	
	HOPWA	Households assisted	2010	220		0%	
			2011	210		0%	
			2012	200		0%	
			2013	190		0%	
			2014			#DIV/0!	
MULTI-YEAR GOAL					0	#DIV/0!	



Summary of Specific Annual Objectives

Specific Obj. #	Outcome/Objective	Sources of Funds	Performance Indicators	Year	Expected Number	Actual Number	Percent Completed
SL-1 Availability/Accessibility of Suitable Living Environment							
SL-1 (2)	General Community Needs - Improve quality / increase quality of neighborhood facilities for low-income persons	CDBG	People Assisted For 2013-14 number served is listed on SL-1 (1)	2010	402		0%
				2011	202		0%
				2012	422		0%
				2013	0		#DIV/0!
				2014			#DIV/0!
			MULTI-YEAR GOAL			0	#DIV/0!
		CDBG	Public Facilities	2010	TBD		#VALUE!
				2011	TBD		#VALUE!
				2012	0		#DIV/0!
				2013	0		#DIV/0!
				2014			#DIV/0!
			MULTI-YEAR GOAL			0	#DIV/0!
			Infrastructure	2010	TBD		#VALUE!
				2011	0		#DIV/0!
				2012	0		#DIV/0!
				2013	TBD		#VALUE!
				2014			#DIV/0!
			MULTI-YEAR GOAL			0	#DIV/0!



Summary of Specific Annual Objectives

Specific Obj. #	Outcome/Objective Specific Annual Objectives	Sources of Funds	Performance Indicators	Year	Expected Number	Actual Number	Percent Completed
EO-3 Sustainability of Economic Opportunity							
EO-3 (1)	Promote Economic Stability - Improve economic opportunities for low-income persons	CDBG	Businesses assisted	2010	112		0%
				2011	123		0%
				2012	119		0%
				2013	80		0%
				2014			#DIV/0!
	MULTI-YEAR GOAL					0	#DIV/0!
	Specific Annual Objective	CDBG	Jobs Created - Expected number was adjusted to reflect \$35,000 per job created	2010	75		0%
				2011	75		0%
				2012	87		0%
				2013	50		0%
2014						#DIV/0!	
MULTI-YEAR GOAL					0	#DIV/0!	
	CDBG	Sites	2010	3		0%	
			2011	0		#DIV/0!	
			2012	0		#DIV/0!	
			2013	0		#DIV/0!	
			2014			#DIV/0!	
MULTI-YEAR GOAL					0	#DIV/0!	

Summary of Specific Annual Objectives

Specific Obj. #	Outcome/Objective Specific Annual Objectives	Sources of Funds	Performance Indicators	Year	Expected Number	Actual Number	Percent Completed	
EO-3 Sustainability of Economic Opportunity								
EO-3 (2)	Promote Economic Stability - Improve economic opportunities for low-income persons	CDBG	People Assisted	2010	120000		0%	
				2011	120000		0%	
				2012	120000		0%	
				2013	120000		0%	
				2014			#DIV/0!	
				MULTI-YEAR GOAL		0	#DIV/0!	
	Specific Annual Objective	CDBG	Public Facilities	2010		18		0%
				2011		18		0%
				2012		18		0%
				2013		18		0%
				2014				#DIV/0!
				MULTI-YEAR GOAL		0	#DIV/0!	
	Specific Annual Objective			Housing Units	2010			#DIV/0!
					2011		5*	
2012						TBD		#VALUE!
2013						0		#DIV/0!
2014								#DIV/0!
MULTI-YEAR GOAL						0	#DIV/0!	

*Housing units are included here as they are part of NBD Project Assistance Fund which includes funds to assist both businesses and housing units, but falls under the objective of Promote Economic Stability.

TABLE 3B ANNUAL HOUSING COMPLETION GOALS

ANNUAL AFFORDABLE RENTAL HOUSING GOALS (SEC. 215)	Annual Expected Number Completed	Resources used during the period			
		CDBG	HOME	ESG	HOPWA
Acquisition of existing units	4	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Production of new units	24	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Rehabilitation of existing units	95	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Rental Assistance	190	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Total Sec. 215 Rental Goals	313	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
ANNUAL AFFORDABLE OWNER HOUSING GOALS (SEC. 215)					
Acquisition of existing units	12	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Production of new units	6	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Rehabilitation of existing units	107	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Homebuyer Assistance	40	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Total Sec. 215 Owner Goals	165	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ANNUAL AFFORDABLE HOUSING GOALS (SEC. 215)					
Homeless		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Non-Homeless	288	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Special Needs	190	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Total Sec. 215 Affordable Housing	478	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
ANNUAL HOUSING GOALS					
Annual Rental Housing Goal	313	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Annual Owner Housing Goal	165	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Total Annual Housing Goal	478	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

For the purpose of identification of annual goals, an assisted household is one that will receive benefits through the investment of Federal funds, either alone or in conjunction with the investment of other public or private funds.

Project Name: ED Financial Assistance Loan and Grant Program	
Description:	IDIS Project #: UOG Code: NY365544 Rochester
This program will provide various forms of financial assistance to city businesses, including low-interest loans, interest rate subsidy grants, and matching grants for building improvements and pre-development studies. This allocation funds the following ED programs: Financial Assistance Loans and Grants, Building Renovation Matching Grant, Targeted Business Assistance, Main Street Assistance, and Pre-Development Grant.	
Location: City-wide	Priority Need Category Select one: Economic Development
Expected Completion Date: 6/30/2014	Explanation: National Objective: Low / Mod Jobs, Low / Mod Jobs Location Based, LMA
Objective Category <input type="radio"/> Decent Housing <input type="radio"/> Suitable Living Environment <input checked="" type="radio"/> Economic Opportunity	Specific Objectives 1. Improve economic opportunities for low-income persons 2. 3.
Outcome Categories <input type="checkbox"/> Availability/Accessibility <input type="checkbox"/> Affordability <input checked="" type="checkbox"/> Sustainability	
Project-level Accomplishments	
13 Jobs	Proposed 50 Underway Complete
08 Businesses	Proposed 23 Underway Complete
Accmpl. Type:	Proposed Underway Complete
Proposed Outcome	Performance Measure Actual Outcome
Sustainability for purpose of creating economic opportunity	jobs created / businesses assisted
04A Clean-up of Contaminated Sites 570.201(d)	20 Planning 570.205
14E Rehab; Publicly or Privately-Owned Commercial/Indu 570.20	Matrix Codes
18A ED Direct Financial Assistance to For-Profits 570.203(b)	Matrix Codes
Program Year 1	
CDBG	Proposed Amt. 456,996 Actual Amount
Other	Proposed Amt. 270,000 Actual Amount
CDF	
Accmpl. Type:	Proposed Units Actual Units
Accmpl. Type:	Proposed Units Actual Units
Program Year 2	
CDBG	Proposed Amt. 240,183 Actual Amount
Other	Proposed Amt. 202,500 Actual Amount
CDF	
Accmpl. Type:	Proposed Units Actual Units
Accmpl. Type:	Proposed Units Actual Units
Program Year 3	
CDBG	Proposed Amt. 711,550 Actual Amount
Fund Source:	Proposed Amt. Actual Amount
Accmpl. Type:	Proposed Units Actual Units
Accmpl. Type:	Proposed Units Actual Units
Program Year 4	
CDBG	Proposed Amt. 750,000 Actual Amount
Other	Proposed Amt. 115,000 Actual Amount
CDF	
13 Jobs	Proposed Units 50 Actual Units
08 Businesses	Proposed Units 23 Actual Units
Program Year 5	
Fund Source:	Proposed Amt. Actual Amount
Fund Source:	Proposed Amt. Actual Amount
Accmpl. Type:	Proposed Units Actual Units
Accmpl. Type:	Proposed Units Actual Units

Project Name: Neighborhood Commercial Assistance Program																																														
Description:	IDIS Project #: UOG Code: NY365544 Rochester																																													
The project provides Small Business Matching Grants up to \$5,000 for advertising, computers, architectural assistance and exterior sign and the Small Business Security Matching Grant up to \$2,000 for security cameras, alarm systems, exterior lighting and security fencing, \$2000 for furniture, fixtures and equipment, and \$1000 for signage. The program also provides support to business associations, including area advertising, permanent improvements, street managers, and grant writing assistance. The program supports microenterprises, essential neighborhood businesses, and businesses creating job opportunities for LMI.																																														
Location: City-wide	Priority Need Category Select one: Economic Development																																													
Expected Completion Date: 6/30/2014	Explanation: LMA																																													
Objective Category <input type="radio"/> Decent Housing <input type="radio"/> Suitable Living Environment <input checked="" type="radio"/> Economic Opportunity	Specific Objectives																																													
Outcome Categories <input type="checkbox"/> Availability/Accessibility <input type="checkbox"/> Affordability <input checked="" type="checkbox"/> Sustainability	1. Improve economic opportunities for low-income persons 2. 3.																																													
Project-level Accomplishments	<table border="1"> <tr> <td>08 Businesses</td> <td>Proposed</td> <td>50</td> <td>Accompl. Type:</td> <td>Proposed</td> </tr> <tr> <td></td> <td>Underway</td> <td></td> <td></td> <td>Underway</td> </tr> <tr> <td></td> <td>Complete</td> <td></td> <td></td> <td>Complete</td> </tr> <tr> <td>01 People</td> <td>Proposed</td> <td>120000</td> <td>Accompl. Type:</td> <td>Proposed</td> </tr> <tr> <td></td> <td>Underway</td> <td></td> <td></td> <td>Underway</td> </tr> <tr> <td></td> <td>Complete</td> <td></td> <td></td> <td>Complete</td> </tr> <tr> <td>11 Public Facilities</td> <td>Proposed</td> <td>18</td> <td>Accompl. Type:</td> <td>Proposed</td> </tr> <tr> <td></td> <td>Underway</td> <td></td> <td></td> <td>Underway</td> </tr> <tr> <td></td> <td>Complete</td> <td></td> <td></td> <td>Complete</td> </tr> </table>	08 Businesses	Proposed	50	Accompl. Type:	Proposed		Underway			Underway		Complete			Complete	01 People	Proposed	120000	Accompl. Type:	Proposed		Underway			Underway		Complete			Complete	11 Public Facilities	Proposed	18	Accompl. Type:	Proposed		Underway			Underway		Complete			Complete
08 Businesses	Proposed	50	Accompl. Type:	Proposed																																										
	Underway			Underway																																										
	Complete			Complete																																										
01 People	Proposed	120000	Accompl. Type:	Proposed																																										
	Underway			Underway																																										
	Complete			Complete																																										
11 Public Facilities	Proposed	18	Accompl. Type:	Proposed																																										
	Underway			Underway																																										
	Complete			Complete																																										
Proposed Outcome Sustainability for the purpose of creating economic opportunity	Performance Measure jobs created / businesses assisted																																													
03 Public Facilities and Improvements (General) 570.201(c)	Matrix Codes																																													
05 Public Services (General) 570.201(e)	Matrix Codes																																													
18A ED Direct Financial Assistance to For-Profits 570.203(b)	Matrix Codes																																													
Program Year 1	<table border="1"> <tr> <td>CDBG</td> <td>Proposed Amt.</td> <td>360,000</td> <td>Fund Source:</td> <td>Proposed Amt.</td> </tr> <tr> <td></td> <td>Actual Amount</td> <td></td> <td></td> <td>Actual Amount</td> </tr> <tr> <td>Other</td> <td>Proposed Amt.</td> <td></td> <td>Fund Source:</td> <td>Proposed Amt.</td> </tr> <tr> <td></td> <td>Actual Amount</td> <td></td> <td></td> <td>Actual Amount</td> </tr> <tr> <td>Accompl. Type:</td> <td>Proposed Units</td> <td></td> <td>Other</td> <td>Proposed Units</td> </tr> <tr> <td></td> <td>Actual Units</td> <td></td> <td></td> <td>Actual Units</td> </tr> <tr> <td>Accompl. Type:</td> <td>Proposed Units</td> <td></td> <td>Accompl. Type:</td> <td>Proposed Units</td> </tr> <tr> <td></td> <td>Actual Units</td> <td></td> <td></td> <td>Actual Units</td> </tr> </table>	CDBG	Proposed Amt.	360,000	Fund Source:	Proposed Amt.		Actual Amount			Actual Amount	Other	Proposed Amt.		Fund Source:	Proposed Amt.		Actual Amount			Actual Amount	Accompl. Type:	Proposed Units		Other	Proposed Units		Actual Units			Actual Units	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units		Actual Units			Actual Units					
CDBG	Proposed Amt.	360,000	Fund Source:	Proposed Amt.																																										
	Actual Amount			Actual Amount																																										
Other	Proposed Amt.		Fund Source:	Proposed Amt.																																										
	Actual Amount			Actual Amount																																										
Accompl. Type:	Proposed Units		Other	Proposed Units																																										
	Actual Units			Actual Units																																										
Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units																																										
	Actual Units			Actual Units																																										
Program Year 2	<table border="1"> <tr> <td>CDBG</td> <td>Proposed Amt.</td> <td>443,386</td> <td>Fund Source:</td> <td>Proposed Amt.</td> </tr> <tr> <td></td> <td>Actual Amount</td> <td></td> <td></td> <td>Actual Amount</td> </tr> <tr> <td>Fund Source:</td> <td>Proposed Amt.</td> <td></td> <td>Fund Source:</td> <td>Proposed Amt.</td> </tr> <tr> <td></td> <td>Actual Amount</td> <td></td> <td></td> <td>Actual Amount</td> </tr> <tr> <td>Accompl. Type:</td> <td>Proposed Units</td> <td></td> <td>Accompl. Type:</td> <td>Proposed Units</td> </tr> <tr> <td></td> <td>Actual Units</td> <td></td> <td></td> <td>Actual Units</td> </tr> <tr> <td>Accompl. Type:</td> <td>Proposed Units</td> <td></td> <td>Accompl. Type:</td> <td>Proposed Units</td> </tr> <tr> <td></td> <td>Actual Units</td> <td></td> <td></td> <td>Actual Units</td> </tr> </table>	CDBG	Proposed Amt.	443,386	Fund Source:	Proposed Amt.		Actual Amount			Actual Amount	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.		Actual Amount			Actual Amount	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units		Actual Units			Actual Units	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units		Actual Units			Actual Units					
CDBG	Proposed Amt.	443,386	Fund Source:	Proposed Amt.																																										
	Actual Amount			Actual Amount																																										
Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.																																										
	Actual Amount			Actual Amount																																										
Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units																																										
	Actual Units			Actual Units																																										
Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units																																										
	Actual Units			Actual Units																																										
Program Year 3	<table border="1"> <tr> <td>CDBG</td> <td>Proposed Amt.</td> <td>444,000</td> <td>Fund Source:</td> <td>Proposed Amt.</td> </tr> <tr> <td></td> <td>Actual Amount</td> <td></td> <td></td> <td>Actual Amount</td> </tr> <tr> <td>Fund Source:</td> <td>Proposed Amt.</td> <td></td> <td>Fund Source:</td> <td>Proposed Amt.</td> </tr> <tr> <td></td> <td>Actual Amount</td> <td></td> <td></td> <td>Actual Amount</td> </tr> <tr> <td>Accompl. Type:</td> <td>Proposed Units</td> <td></td> <td>Accompl. Type:</td> <td>Proposed Units</td> </tr> <tr> <td></td> <td>Actual Units</td> <td></td> <td></td> <td>Actual Units</td> </tr> <tr> <td>Accompl. Type:</td> <td>Proposed Units</td> <td></td> <td>Accompl. Type:</td> <td>Proposed Units</td> </tr> <tr> <td></td> <td>Actual Units</td> <td></td> <td></td> <td>Actual Units</td> </tr> </table>	CDBG	Proposed Amt.	444,000	Fund Source:	Proposed Amt.		Actual Amount			Actual Amount	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.		Actual Amount			Actual Amount	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units		Actual Units			Actual Units	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units		Actual Units			Actual Units					
CDBG	Proposed Amt.	444,000	Fund Source:	Proposed Amt.																																										
	Actual Amount			Actual Amount																																										
Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.																																										
	Actual Amount			Actual Amount																																										
Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units																																										
	Actual Units			Actual Units																																										
Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units																																										
	Actual Units			Actual Units																																										
Program Year 4	<table border="1"> <tr> <td>CDBG</td> <td>Proposed Amt.</td> <td>440,000</td> <td>Fund Source:</td> <td>Proposed Amt.</td> </tr> <tr> <td></td> <td>Actual Amount</td> <td></td> <td></td> <td>Actual Amount</td> </tr> <tr> <td>Fund Source:</td> <td>Proposed Amt.</td> <td></td> <td>Fund Source:</td> <td>Proposed Amt.</td> </tr> <tr> <td></td> <td>Actual Amount</td> <td></td> <td></td> <td>Actual Amount</td> </tr> <tr> <td>08 Businesses</td> <td>Proposed Units</td> <td>50</td> <td>11 Public Facilities</td> <td>Proposed Units</td> </tr> <tr> <td></td> <td>Actual Units</td> <td></td> <td></td> <td>Actual Units</td> </tr> <tr> <td>01 People</td> <td>Proposed Units</td> <td>120000</td> <td>Accompl. Type:</td> <td>Proposed Units</td> </tr> <tr> <td></td> <td>Actual Units</td> <td></td> <td></td> <td>Actual Units</td> </tr> </table>	CDBG	Proposed Amt.	440,000	Fund Source:	Proposed Amt.		Actual Amount			Actual Amount	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.		Actual Amount			Actual Amount	08 Businesses	Proposed Units	50	11 Public Facilities	Proposed Units		Actual Units			Actual Units	01 People	Proposed Units	120000	Accompl. Type:	Proposed Units		Actual Units			Actual Units					
CDBG	Proposed Amt.	440,000	Fund Source:	Proposed Amt.																																										
	Actual Amount			Actual Amount																																										
Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.																																										
	Actual Amount			Actual Amount																																										
08 Businesses	Proposed Units	50	11 Public Facilities	Proposed Units																																										
	Actual Units			Actual Units																																										
01 People	Proposed Units	120000	Accompl. Type:	Proposed Units																																										
	Actual Units			Actual Units																																										
Program Year 5	<table border="1"> <tr> <td>Fund Source:</td> <td>Proposed Amt.</td> <td></td> <td>Fund Source:</td> <td>Proposed Amt.</td> </tr> <tr> <td></td> <td>Actual Amount</td> <td></td> <td></td> <td>Actual Amount</td> </tr> <tr> <td>Fund Source:</td> <td>Proposed Amt.</td> <td></td> <td>Fund Source:</td> <td>Proposed Amt.</td> </tr> <tr> <td></td> <td>Actual Amount</td> <td></td> <td></td> <td>Actual Amount</td> </tr> <tr> <td>Accompl. Type:</td> <td>Proposed Units</td> <td></td> <td>Accompl. Type:</td> <td>Proposed Units</td> </tr> <tr> <td></td> <td>Actual Units</td> <td></td> <td></td> <td>Actual Units</td> </tr> <tr> <td>Accompl. Type:</td> <td>Proposed Units</td> <td></td> <td>Accompl. Type:</td> <td>Proposed Units</td> </tr> <tr> <td></td> <td>Actual Units</td> <td></td> <td></td> <td>Actual Units</td> </tr> </table>	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.		Actual Amount			Actual Amount	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.		Actual Amount			Actual Amount	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units		Actual Units			Actual Units	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units		Actual Units			Actual Units					
Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.																																										
	Actual Amount			Actual Amount																																										
Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.																																										
	Actual Amount			Actual Amount																																										
Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units																																										
	Actual Units			Actual Units																																										
Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units																																										
	Actual Units			Actual Units																																										

Project Name: Targeted Façade Improvement Program					
Description:	IDIS Project #: UOG Code: NY365544 Rochester				
This program provides funds for façade improvements in targeted areas. Grants are up to \$30,000 each.					
Location: City-wide	Priority Need Category Select one: Economic Development				
Expected Completion Date: 6/30/2014	Explanation: National Objective: Low/Mod Area				
Objective Category <input type="radio"/> Decent Housing <input type="radio"/> Suitable Living Environment <input checked="" type="radio"/> Economic Opportunity	Specific Objectives 1. Improve economic opportunities for low-income persons				
Outcome Categories <input type="checkbox"/> Availability/Accessibility <input type="checkbox"/> Affordability <input checked="" type="checkbox"/> Sustainability	2. 3.				
Project-level Accomplishments	08 Businesses	Proposed	7	Accompl. Type:	Proposed
		Underway			Underway
		Complete			Complete
	Accompl. Type:	Proposed		Accompl. Type:	Proposed
		Underway			Underway
		Complete			Complete
	Accompl. Type:	Proposed		Accompl. Type:	Proposed
		Underway			Underway
		Complete			Complete
Proposed Outcome	Performance Measure	Actual Outcome			
Sustainability for purpose of creating economic opportunity	jobs created / businesses assisted				
18A ED Direct Financial Assistance to For-Profits 570.203(b)	Matrix Codes				
Matrix Codes	Matrix Codes				
Matrix Codes	Matrix Codes				
Program Year 1	CDBG	Proposed Amt.	360,000	Fund Source:	Proposed Amt.
	Prior Years	Actual Amount			Actual Amount
	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units
		Actual Units			Actual Units
	Proposed Units		Accompl. Type:	Proposed Units	
	Actual Units			Actual Units	
Program Year 2	CDBG	Proposed Amt.	350,000	Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units
		Actual Units			Actual Units
	Proposed Units		Accompl. Type:	Proposed Units	
	Actual Units			Actual Units	
Program Year 3	CDBG	Proposed Amt.	350,000	Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units
		Actual Units			Actual Units
	Proposed Units		Accompl. Type:	Proposed Units	
	Actual Units			Actual Units	
Program Year 4	CDBG	Proposed Amt.	200,000	Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	08 Businesses	Proposed Units	7	Accompl. Type:	Proposed Units
		Actual Units			Actual Units
	Proposed Units		Accompl. Type:	Proposed Units	
	Actual Units			Actual Units	
Program Year 5	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units
		Actual Units			Actual Units
	Proposed Units		Accompl. Type:	Proposed Units	
	Actual Units			Actual Units	

Project Name: Housing Development Fund													
Description:	IDIS Project #: UOG Code: NY365544 Rochester												
<p>This fund provides financing for CHDO housing activities that may include the development of new owner and rental units, acquisition, rehabilitation, and resale of vacant structures and the rehabilitation of existing housing units. The funds may also be used for lead hazard reduction and rehabilitation assistance for both owners and landlords. The fund provides operating assistance to the Rochester Housing Development Fund Corp. for the Home Rochester Program and the Focused Investment Strategy. Funding will also be provided for emergency repairs and other moderate or substantial rehabilitation programs to be developed for owners and landlords, and the development and preservation of affordable housing.</p>													
Location:	Priority Need Category												
City-wide	<p>Select one: Owner Occupied Housing</p>												
Expected Completion Date:	Explanation:												
6/30/2014	National Objective: Low / Mod Housing												
Objective Category	Specific Objectives												
<input checked="" type="radio"/> Decent Housing <input type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity	<p>1 Increase the availability of affordable owner housing</p> <p>2 Improve access to affordable owner housing</p> <p>3 Improve the quality of owner housing</p>												
Outcome Categories													
<input type="checkbox"/> Availability/Accessibility <input checked="" type="checkbox"/> Affordability <input type="checkbox"/> Sustainability													
Project-level Accomplishments													
10 Housing Units	<table border="1"> <tr><td>Proposed</td><td>142</td><td>Accompl. Type:</td><td>Proposed</td></tr> <tr><td>Underway</td><td></td><td></td><td>Underway</td></tr> <tr><td>Complete</td><td></td><td></td><td>Complete</td></tr> </table>	Proposed	142	Accompl. Type:	Proposed	Underway			Underway	Complete			Complete
Proposed	142	Accompl. Type:	Proposed										
Underway			Underway										
Complete			Complete										
09 Organizations	<table border="1"> <tr><td>Proposed</td><td>1</td><td>Accompl. Type:</td><td>Proposed</td></tr> <tr><td>Underway</td><td></td><td></td><td>Underway</td></tr> <tr><td>Complete</td><td></td><td></td><td>Complete</td></tr> </table>	Proposed	1	Accompl. Type:	Proposed	Underway			Underway	Complete			Complete
Proposed	1	Accompl. Type:	Proposed										
Underway			Underway										
Complete			Complete										
Accompl. Type:	<table border="1"> <tr><td>Proposed</td><td></td><td>Accompl. Type:</td><td>Proposed</td></tr> <tr><td>Underway</td><td></td><td></td><td>Underway</td></tr> <tr><td>Complete</td><td></td><td></td><td>Complete</td></tr> </table>	Proposed		Accompl. Type:	Proposed	Underway			Underway	Complete			Complete
Proposed		Accompl. Type:	Proposed										
Underway			Underway										
Complete			Complete										
Proposed Outcome	Performance Measure	Actual Outcome											
Affordability for the Purpose of Creating Decent Housing	Units Assisted/Affordable Units Created/Number of Units Made Lead Safe												
12 Construction of Housing 570.201(m)	14G Acquisition - for Rehabilitation 570.202												
14A Rehab; Single-Unit Residential 570.202	14H Rehabilitation Administration 570.202												
14B Rehab; Multi-Unit Residential 570.202	14I Lead-Based/Lead Hazard Test/Abate 570.202												
Program Year 1													
CDBG	<table border="1"> <tr><td>Proposed Amt.</td><td>2,021,000</td><td>HOME</td><td>Proposed Amt.</td><td>214,520</td></tr> <tr><td>Actual Amount</td><td></td><td>Prior Years</td><td>Actual Amount</td><td></td></tr> </table>	Proposed Amt.	2,021,000	HOME	Proposed Amt.	214,520	Actual Amount		Prior Years	Actual Amount			
Proposed Amt.	2,021,000	HOME	Proposed Amt.	214,520									
Actual Amount		Prior Years	Actual Amount										
HOME	<table border="1"> <tr><td>Proposed Amt.</td><td>3,113,337</td><td>Other</td><td>Proposed Amt.</td><td></td></tr> <tr><td>Actual Amount</td><td></td><td></td><td>Actual Amount</td><td></td></tr> </table>	Proposed Amt.	3,113,337	Other	Proposed Amt.		Actual Amount			Actual Amount			
Proposed Amt.	3,113,337	Other	Proposed Amt.										
Actual Amount			Actual Amount										
10 Housing Units	<table border="1"> <tr><td>Proposed Units</td><td>218</td><td>09 Organizations</td><td>Proposed Units</td><td>2</td></tr> <tr><td>Actual Units</td><td></td><td>CDBG</td><td>Actual Units</td><td></td></tr> </table>	Proposed Units	218	09 Organizations	Proposed Units	2	Actual Units		CDBG	Actual Units			
Proposed Units	218	09 Organizations	Proposed Units	2									
Actual Units		CDBG	Actual Units										
CDBG		Accompl. Type:	Proposed Units										
10 Housing Units	<table border="1"> <tr><td>Proposed Units</td><td>134</td><td>Actual Units</td><td></td><td></td></tr> </table>	Proposed Units	134	Actual Units									
Proposed Units	134	Actual Units											
HOME													
Program Year 2													
CDBG	<table border="1"> <tr><td>Proposed Amt.</td><td>2,056,000</td><td>Fund Source:</td><td>Proposed Amt.</td><td></td></tr> <tr><td>Actual Amount</td><td></td><td></td><td>Actual Amount</td><td></td></tr> </table>	Proposed Amt.	2,056,000	Fund Source:	Proposed Amt.		Actual Amount			Actual Amount			
Proposed Amt.	2,056,000	Fund Source:	Proposed Amt.										
Actual Amount			Actual Amount										
HOME	<table border="1"> <tr><td>Proposed Amt.</td><td>2,697,084</td><td>Fund Source:</td><td>Proposed Amt.</td><td></td></tr> <tr><td>Actual Amount</td><td></td><td></td><td>Actual Amount</td><td></td></tr> </table>	Proposed Amt.	2,697,084	Fund Source:	Proposed Amt.		Actual Amount			Actual Amount			
Proposed Amt.	2,697,084	Fund Source:	Proposed Amt.										
Actual Amount			Actual Amount										
10 Housing Units	<table border="1"> <tr><td>Proposed Units</td><td>348</td><td>09 Organizations</td><td>Proposed Units</td><td>1</td></tr> <tr><td>Actual Units</td><td></td><td>CDBG</td><td>Actual Units</td><td></td></tr> </table>	Proposed Units	348	09 Organizations	Proposed Units	1	Actual Units		CDBG	Actual Units			
Proposed Units	348	09 Organizations	Proposed Units	1									
Actual Units		CDBG	Actual Units										
CDBG		Accompl. Type:	Proposed Units										
10 Housing Units	<table border="1"> <tr><td>Proposed Units</td><td>108</td><td>Actual Units</td><td></td><td></td></tr> </table>	Proposed Units	108	Actual Units									
Proposed Units	108	Actual Units											
HOME													
Program Year 3													
CDBG	<table border="1"> <tr><td>Proposed Amt.</td><td>1,176,000</td><td>Fund Source:</td><td>Proposed Amt.</td><td></td></tr> <tr><td>Actual Amount</td><td></td><td></td><td>Actual Amount</td><td></td></tr> </table>	Proposed Amt.	1,176,000	Fund Source:	Proposed Amt.		Actual Amount			Actual Amount			
Proposed Amt.	1,176,000	Fund Source:	Proposed Amt.										
Actual Amount			Actual Amount										
HOME	<table border="1"> <tr><td>Proposed Amt.</td><td>1,620,474</td><td>Fund Source:</td><td>Proposed Amt.</td><td></td></tr> <tr><td>Actual Amount</td><td></td><td></td><td>Actual Amount</td><td></td></tr> </table>	Proposed Amt.	1,620,474	Fund Source:	Proposed Amt.		Actual Amount			Actual Amount			
Proposed Amt.	1,620,474	Fund Source:	Proposed Amt.										
Actual Amount			Actual Amount										
10 Housing Units	<table border="1"> <tr><td>Proposed Units</td><td>342</td><td>Accompl. Type:</td><td>Proposed Units</td><td></td></tr> <tr><td>Actual Units</td><td></td><td></td><td>Actual Units</td><td></td></tr> </table>	Proposed Units	342	Accompl. Type:	Proposed Units		Actual Units			Actual Units			
Proposed Units	342	Accompl. Type:	Proposed Units										
Actual Units			Actual Units										
CDBG		Accompl. Type:	Proposed Units										
10 Housing Units	<table border="1"> <tr><td>Proposed Units</td><td>60</td><td>Actual Units</td><td></td><td></td></tr> </table>	Proposed Units	60	Actual Units									
Proposed Units	60	Actual Units											
HOME													
Program Year 4													
CDBG	<table border="1"> <tr><td>Proposed Amt.</td><td>1,212,615</td><td>Fund Source:</td><td>Proposed Amt.</td><td></td></tr> <tr><td>Actual Amount</td><td></td><td></td><td>Actual Amount</td><td></td></tr> </table>	Proposed Amt.	1,212,615	Fund Source:	Proposed Amt.		Actual Amount			Actual Amount			
Proposed Amt.	1,212,615	Fund Source:	Proposed Amt.										
Actual Amount			Actual Amount										
HOME	<table border="1"> <tr><td>Proposed Amt.</td><td>1,137,209</td><td>Fund Source:</td><td>Proposed Amt.</td><td></td></tr> <tr><td>Actual Amount</td><td></td><td></td><td>Actual Amount</td><td></td></tr> </table>	Proposed Amt.	1,137,209	Fund Source:	Proposed Amt.		Actual Amount			Actual Amount			
Proposed Amt.	1,137,209	Fund Source:	Proposed Amt.										
Actual Amount			Actual Amount										
10 Housing Units	<table border="1"> <tr><td>Proposed Units</td><td>106</td><td>09 Organizations</td><td>Proposed Units</td><td>1</td></tr> <tr><td>Actual Units</td><td></td><td>CDBG</td><td>Actual Units</td><td></td></tr> </table>	Proposed Units	106	09 Organizations	Proposed Units	1	Actual Units		CDBG	Actual Units			
Proposed Units	106	09 Organizations	Proposed Units	1									
Actual Units		CDBG	Actual Units										
CDBG		Accompl. Type:	Proposed Units										
10 Housing Units	<table border="1"> <tr><td>Proposed Units</td><td>36</td><td>Actual Units</td><td></td><td></td></tr> </table>	Proposed Units	36	Actual Units									
Proposed Units	36	Actual Units											
HOME													
Program Year 5													
Fund Source:	<table border="1"> <tr><td>Proposed Amt.</td><td></td><td>Fund Source:</td><td>Proposed Amt.</td><td></td></tr> <tr><td>Actual Amount</td><td></td><td></td><td>Actual Amount</td><td></td></tr> </table>	Proposed Amt.		Fund Source:	Proposed Amt.		Actual Amount			Actual Amount			
Proposed Amt.		Fund Source:	Proposed Amt.										
Actual Amount			Actual Amount										
Fund Source:	<table border="1"> <tr><td>Proposed Amt.</td><td></td><td>Fund Source:</td><td>Proposed Amt.</td><td></td></tr> <tr><td>Actual Amount</td><td></td><td></td><td>Actual Amount</td><td></td></tr> </table>	Proposed Amt.		Fund Source:	Proposed Amt.		Actual Amount			Actual Amount			
Proposed Amt.		Fund Source:	Proposed Amt.										
Actual Amount			Actual Amount										
Accompl. Type:	<table border="1"> <tr><td>Proposed Units</td><td></td><td>Accompl. Type:</td><td>Proposed Units</td><td></td></tr> <tr><td>Actual Units</td><td></td><td></td><td>Actual Units</td><td></td></tr> </table>	Proposed Units		Accompl. Type:	Proposed Units		Actual Units			Actual Units			
Proposed Units		Accompl. Type:	Proposed Units										
Actual Units			Actual Units										
Accompl. Type:	<table border="1"> <tr><td>Proposed Units</td><td></td><td>Accompl. Type:</td><td>Proposed Units</td><td></td></tr> <tr><td>Actual Units</td><td></td><td></td><td>Actual Units</td><td></td></tr> </table>	Proposed Units		Accompl. Type:	Proposed Units		Actual Units			Actual Units			
Proposed Units		Accompl. Type:	Proposed Units										
Actual Units			Actual Units										

Project Name: Neighborhood and Business Program Delivery		IDIS Project #:		UOG Code: NY365544 Rochester		
<p>Description: The allocation provides funds for the development of overall economic development policies and provides support services, coordination of real estate development, management of feasibility and consultant studies, monitoring of legal and professional service agreements, preparation of site and financial analyses, performing market research, outreach to businesses, preparation of loan packages and support, pre-development services, outreach, financial, technical and site selection assistance to businesses, organization of neighborhood commercial business assistance programs. ESG and HOPWA funds are used for the administration of the Emergency Shelter Grant and HOPWA programs. Funds are used for staff involved in the planning, coordinating, and monitoring of the City's housing initiatives.</p>						
Location: City-wide		Priority Need Category				
		Select one:		Economic Development		
Explanation:						
National Objective: Low/Mod Jobs, Low/Mod Jobs Location Based, Low/Mod Area, Low/Mod Housing						
Expected Completion Date: 6/30/2014						
Objective Category <input type="radio"/> Decent Housing <input checked="" type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity		Specific Objectives				
Outcome Categories <input checked="" type="checkbox"/> Availability/Accessibility <input checked="" type="checkbox"/> Affordability <input checked="" type="checkbox"/> Sustainability		1 Improve economic opportunities for low-income persons 2 Improve the services for low/mod income persons 3 Increase the availability of affordable owner housing				
Project-level Accomplishments	Accompl. Type: ▼	Proposed		Accompl. Type: ▼	Proposed	
		Underway			Underway	
		Complete			Complete	
	Accompl. Type: ▼	Proposed		Accompl. Type: ▼	Proposed	
		Underway			Underway	
		Complete			Complete	
Accompl. Type: ▼	Proposed		Accompl. Type: ▼	Proposed		
	Underway			Underway		
	Complete			Complete		
Proposed Outcome		Performance Measure		Actual Outcome		
Sustainability-creating econ. opportunity; avail/access-creating suitable living environments; affordability-creating decent housing		Jobs created / businesses assisted				
188 ED Technical Assistance 570.203(b)		31B Administration - grantee				
20 Planning 570.205		Matrix Codes				
Program Year 1	CDBG ▼	Proposed Amt.	2,331,800	HOME ▼	Proposed Amt.	349,259
		Actual Amount			Actual Amount	
	ESG ▼	Proposed Amt.	21,165	HOPWA ▼	Proposed Amt.	21,276
		Actual Amount			Actual Amount	
	Accompl. Type: ▼	Proposed Units		Accompl. Type: ▼	Proposed Units	
		Actual Units			Actual Units	
Accompl. Type: ▼	Proposed Units		Accompl. Type: ▼	Proposed Units		
	Actual Units			Actual Units		
Program Year 2	CDBG ▼	Proposed Amt.	1,921,624	HOME ▼	Proposed Amt.	309,120
		Actual Amount			Actual Amount	
	ESG ▼	Proposed Amt.	21,148	HOPWA ▼	Proposed Amt.	21,396
		Actual Amount			Actual Amount	
	Accompl. Type: ▼	Proposed Units		Accompl. Type: ▼	Proposed Units	
		Actual Units			Actual Units	
Accompl. Type: ▼	Proposed Units		Accompl. Type: ▼	Proposed Units		
	Actual Units			Actual Units		
Program Year 3	CDBG ▼	Proposed Amt.	1,900,203	HOME ▼	Proposed Amt.	186,719
		Actual Amount			Actual Amount	
	ESG ▼	Proposed Amt.	37,746	HOPWA ▼	Proposed Amt.	20,747
		Actual Amount			Actual Amount	
	Accompl. Type: ▼	Proposed Units		Accompl. Type: ▼	Proposed Units	
		Actual Units			Actual Units	
Accompl. Type: ▼	Proposed Units		Accompl. Type: ▼	Proposed Units		
	Actual Units			Actual Units		
Program Year 4	CDBG ▼	Proposed Amt.	2,100,203	HOME ▼	Proposed Amt.	179,380
		Actual Amount			Actual Amount	
	ESG ▼	Proposed Amt.	53,790	HOPWA ▼	Proposed Amt.	19,710
		Actual Amount			Actual Amount	
	Accompl. Type: ▼	Proposed Units		Accompl. Type: ▼	Proposed Units	
		Actual Units			Actual Units	
Accompl. Type: ▼	Proposed Units		Accompl. Type: ▼	Proposed Units		
	Actual Units			Actual Units		
Program Year 5	Fund Source: ▼	Proposed Amt.		Fund Source: ▼	Proposed Amt.	
		Actual Amount			Actual Amount	
	Fund Source: ▼	Proposed Amt.		Fund Source: ▼	Proposed Amt.	
		Actual Amount			Actual Amount	
	Accompl. Type: ▼	Proposed Units		Accompl. Type: ▼	Proposed Units	
		Actual Units			Actual Units	
Accompl. Type: ▼	Proposed Units		Accompl. Type: ▼	Proposed Units		
	Actual Units			Actual Units		

Project Name: Homeownership Fund		UOG Code: NY365544 Rochester					
Description: Provides downpayment/closing cost assistance to first-time homebuyers, pre- and post-purchase education and training; write-down subsidies for the acquisition and rehabilitation and resale of vacant structures; foreclosure prevention services and mortgage default resolution services.							
Location: City-wide		Priority Need Category Select one: Owner Occupied Housing					
Explanation: National Objective: Low/ Mod Housing, Low/ Mod Limited Clientele							
Expected Completion Date: 6/30/2014							
Objective Category <input checked="" type="radio"/> Decent Housing <input type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity							
Outcome Categories <input type="checkbox"/> Availability/Accessibility <input checked="" type="checkbox"/> Affordability <input type="checkbox"/> Sustainability							
Specific Objectives							
		1 Improve the quality of owner housing					
		2 Improve access to affordable owner housing					
		3 Improve the services for low/mod income persons					
Project-level Accomplishments	04 Households	Proposed	303		Accompl. Type:	Proposed	
		Underway				Underway	
		Complete				Complete	
	Accompl. Type:	Proposed			Accompl. Type:	Proposed	
		Underway				Underway	
		Complete				Complete	
Proposed Outcome		Performance Measure		Actual Outcome			
Affordability for the Purpose of Creating Decent Housing		Housing Units Assisted, Households Assisted, People Assisted					
13 Direct Homeownership Assistance 570.201(n)				14A Rehab; Single-Unit Residential 570.202			
05 Public Services (General) 570.201(e)				14G Acquisition - for Rehabilitation 570.202			
05Q Subsistence Payments 570.204				21H HOME Admin/Planning Costs of PJ (subject to 5% cap)			
Program Year 1	CDBG	Proposed Amt.	375,000	Other	Proposed Amt.	50,000	
		Actual Amount		CDF	Actual Amount		
	HOME	Proposed Amt.	350,000	Other	Proposed Amt.	2,149,000	
		Actual Amount		Mortgages	Actual Amount		
	04 Households	Proposed Units	325	04 Households	Proposed Units	8	
	CDBG	Actual Units		CDF	Actual Units		
04 Households	Proposed Units	152	Accompl. Type:	Proposed Units			
HOME	Actual Units			Actual Units			
Program Year 2	CDBG	Proposed Amt.	300,000	Other	Proposed Amt.	37,500	
		Actual Amount		CDF	Actual Amount		
	HOME	Proposed Amt.	185,000	Other	Proposed Amt.	1,495,000	
		Actual Amount		Mortgages	Actual Amount		
	04 Households	Proposed Units	250	04 Households	Proposed Units	75	
	CDBG	Actual Units		CDF	Actual Units		
04 Households	Proposed Units	140	Accompl. Type:	Proposed Units			
HOME	Actual Units			Actual Units			
Program Year 3	CDBG	Proposed Amt.	375,000	Other	Proposed Amt.	120,000	
		Actual Amount		CDF	Actual Amount		
	HOME	Proposed Amt.	100,000	Other	Proposed Amt.	2,940,000	
		Actual Amount		Mortgages	Actual Amount		
	04 Households	Proposed Units	250	04 Households	Proposed Units	102	
	CDBG	Actual Units		CDF	Actual Units		
04 Households	Proposed Units	108	Accompl. Type:	Proposed Units			
HOME	Actual Units			Actual Units			
Program Year 4	CDBG	Proposed Amt.	375,000	Other	Proposed Amt.	45,000	
		Actual Amount		CDF	Actual Amount		
	HOME	Proposed Amt.	480,000	Fund Source:	Proposed Amt.		
		Actual Amount			Actual Amount		
	04 Households	Proposed Units	250	04 Households	Proposed Units	7	
	CDBG	Actual Units		CDF	Actual Units		
04 Households	Proposed Units	46	Accompl. Type:	Proposed Units			
HOME	Actual Units			Actual Units			
Program Year 5	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.		
		Actual Amount			Actual Amount		
	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.		
		Actual Amount			Actual Amount		
	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units		
		Actual Units			Actual Units		
Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units			
	Actual Units			Actual Units			

Project Name: Rental Market Fund		IDIS Project #:		UOG Code: NY365544 Rochester	
Description: Provides support to landlords to successfully maintain rental housing. Services may include landlord training and education courses, and individual counseling. Tenant education, owner-tenant mediation, and eviction prevention services will be provided for renters.					
Location: City-wide		Priority Need Category			
		Select one:		Public Services	
Explanation:					
National Objective: Low/ Mod Housing					
Expected Completion Date: 6/30/2014					
Objective Category		Specific Objectives			
<input checked="" type="radio"/> Decent Housing <input type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity		1. Improve the quality of affordable rental housing 2. Improve the services for low/mod income persons 3.			
Outcome Categories					
<input type="checkbox"/> Availability/Accessibility <input checked="" type="checkbox"/> Affordability <input type="checkbox"/> Sustainability					
Project-level Accomplishments	01 People	Proposed	720	Accompl. Type:	Proposed
		Underway			Underway
		Complete			Complete
	Accompl. Type:	Proposed		Accompl. Type:	Proposed
		Underway			Underway
		Complete			Complete
	Accompl. Type:	Proposed		Accompl. Type:	Proposed
		Underway			Underway
		Complete			Complete
Proposed Outcome		Performance Measure		Actual Outcome	
Affordability for the Purpose of Creating Decent Housing		People Assisted			
05K Tenant/Landlord Counseling 570.201(e)		Matrix Codes		Matrix Codes	
Matrix Codes		Matrix Codes		Matrix Codes	
Matrix Codes		Matrix Codes		Matrix Codes	
Program Year 1	CDBG	Proposed Amt.	170,000	Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units
		Actual Units			Actual Units
Program Year 2	CDBG	Proposed Amt.	185,000	Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	01 People	Proposed Units		Accompl. Type:	Proposed Units
		Actual Units			Actual Units
Program Year 3	CDBG	Proposed Amt.	173,312	Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units
		Actual Units			Actual Units
Program Year 4	CDBG	Proposed Amt.	175,000	Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	01 People	Proposed Units	720	Accompl. Type:	Proposed Units
		Actual Units			Actual Units
Program Year 5	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units
		Actual Units			Actual Units

Project Name: Housing Choice Fund	
Description:	IDIS Project #: UOG Code: NY365544 Rochester
<p>The City is charged with affirmatively furthering fair housing choice under federal requirements governing the use of CDBG and HOME funding. Funding will be used to continue implementation of programs and initiatives that support housing choice. Funds will provide services to the homeless, those at risk of becoming homeless and persons with HIV/AIDS that enable their ability to obtain and maintain permanent housing solutions. Funds may be used for Homelessness Prevention and Rapid Re-housing (housing relocation and stabilization, financial assistance, rental assistance), Emergency Shelter and Shelter Diversion (development of overflow capacity and shelter beds and shelter operations), Essential Services (case management, life skills, transportation and services to special needs populations).</p>	
Location: City-wide	Priority Need Category Select one: Rental Housing
Expected Completion Date: 6/30/2014	National Objective: Low/ Mod Housing
Objective Category <input type="radio"/> Decent Housing <input checked="" type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity	Specific Objectives 1. Improve the quality of affordable rental housing 2. Increase range of housing options & related services for persons w/ special needs 3. Improve the services for low/mod income persons
Outcome Categories <input checked="" type="checkbox"/> Availability/Accessibility <input type="checkbox"/> Affordability <input type="checkbox"/> Sustainability	
Project-level Accomplishments	
04 Households	Proposed 190 Underway Complete
01 People	Proposed TBD Underway Complete
Accmpl. Type:	Proposed Underway Complete
Proposed Outcome	Performance Measure
Availability/Accessibility-Purpose of Creating Suitable Living Environments, Affordability-Purpose of Creating Decent Housing	People, Homeless Persons/Persons Assisted, Units for Persons with HIV/AIDS
03T Operating Costs of Homeless/AIDS Patients Programs	21D Fair Housing Activities (subject to 20% Admin cap) 570.206
05 Public Services (General) 570.201(e)	31D Administration - project sponsor
05Q Subsistence Payments 570.204	31F Tenant based rental assistance
Program Year 1	
CDBG	Proposed Amt. 50,000 Actual Amount
ESG	Proposed Amt. 402,135 Actual Amount
Accmpl. Type:	Proposed Units Actual Units
Accmpl. Type:	Proposed Units Actual Units
Program Year 2	
ESG	Proposed Amt. 401,818 Actual Amount
HOPWA	Proposed Amt. 691,830 Actual Amount
01 People	Proposed Units TBD Actual Units
04 Households	Proposed Units 210 Actual Units
Program Year 3	
ESG	Proposed Amt. 717,174 Actual Amount
HOPWA	Proposed Amt. 670,848 Actual Amount
01 People	Proposed Units Actual Units
04 Households	Proposed Units Actual Units
Program Year 4	
ESG	Proposed Amt. 663,410 Actual Amount
HOPWA	Proposed Amt. 637,290 Actual Amount
01 People	Proposed Units TBD Actual Units
04 Households	Proposed Units 190 Actual Units
Program Year 5	
Fund Source:	Proposed Amt. Actual Amount
Fund Source:	Proposed Amt. Actual Amount
Accmpl. Type:	Proposed Units Actual Units
Accmpl. Type:	Proposed Units Actual Units

Project Name: Neighborhood and Asset Based Planning Fund	
Description:	IDIS Project #: UOG Code: NY365544 Rochester
Comprehensive Planning and planning activities, technical assistance, and resources to neighborhood groups participating in the NSC Quadrant management and funding of identified priorities. The funds will also be used for the Focused Investment Strategy (FIS) that will stabilize and improve four neighborhoods. FIS will focus on activities that will increase homeownership, stabilize existing owner occupants and investment properties, demolition, new housing construction, rehabilitation and redevelopment of multifamily buildings, mixed use and commercial buildings, planning and evaluation studies, vacant lot improvements, community engagement/building activities, job and youth training, public safety, community art programs, landscaping, fencing, streetscape and beautification, public improvements.	
Location: City-wide and four selected neighborhoods	Priority Need Category Select one: Planning/Administration
Expected Completion Date: 6/30/2014	Explanation: National Objective: Low/ Mod Housing
Objective Category <input type="radio"/> Decent Housing <input checked="" type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity	Specific Objectives 1. Improve access to affordable owner housing 2. Improve the quality of owner housing 3. Increase the supply of affordable rental housing
Outcome Categories <input type="checkbox"/> Availability/Accessibility <input checked="" type="checkbox"/> Affordability <input type="checkbox"/> Sustainability	
Project-level Accomplishments	
10 Housing Units	Proposed 110 Underway Complete
01 People	Proposed 90 Underway Complete
04 Households	Proposed 100 Underway Complete
Proposed Outcome	Performance Measure Actual Outcome
Affordability for the Purpose of Creating Decent Housing	Housing Units Assisted, Households Assisted, People Assisted
14A Rehab; Single-Unit Residential 570.202	05 Public Services (General) 570.201(e)
14B Rehab; Multi-Unit Residential 570.202	Matrix Codes
20 Planning 570.205	Matrix Codes
Program Year 1	
CDBG	Proposed Amt. 2,696,983 Actual Amount
Other	Proposed Amt. 80,000 Actual Amount
CDF	Proposed Amt. Actual Amount
Accompl. Type:	Proposed Units Actual Units
Accompl. Type:	Proposed Units Actual Units
Program Year 2	
CDBG	Proposed Amt. 2,864,072 Actual Amount
Other	Proposed Amt. 60,000 Actual Amount
CDF	Proposed Amt. Actual Amount
10 Housing Units	Proposed Units 65 Actual Units
10 Housing Units	Proposed Units 2 Actual Units
Program Year 3	
CDBG	Proposed Amt. 2,173,592 Actual Amount
Other	Proposed Amt. 30,000 Actual Amount
CDF	Proposed Amt. Actual Amount
10 Housing Units	Proposed Units 47 Actual Units
10 Housing Units	Proposed Units 1 Actual Units
Program Year 4	
CDBG	Proposed Amt. 2,225,019 Actual Amount
Other	Proposed Amt. 40,000 Actual Amount
CDF	Proposed Amt. Actual Amount
10 Housing Units	Proposed Units 110 Actual Units
01 People	Proposed Units 90 Actual Units
Program Year 5	
Fund Source:	Proposed Amt. Actual Amount
Fund Source:	Proposed Amt. Actual Amount
Accompl. Type:	Proposed Units Actual Units
Accompl. Type:	Proposed Units Actual Units

Project Name:	Fire Department Small Equipment		
Description:	IDIS Project #:	UOG Code:	NY365544 Rochester
The Rochester Fire Department will provide and install free smoke and carbon monoxide detectors to low- and moderate-income families living in residential properties. The department will also install replacement batteries in existing detectors at no charge.			

Location:	Priority Need Category	
City-wide	Select one:	Public Services
Explanation:		

Expected Completion Date:	National Objective: Low/ Mod Area
6/30/2014	

Objective Category	Specific Objectives
<input type="radio"/> Decent Housing <input checked="" type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity	

Outcome Categories	1. Improve the services for low/mod income persons
<input checked="" type="checkbox"/> Availability/Accessibility <input type="checkbox"/> Affordability <input type="checkbox"/> Sustainability	2.
	3.

Project-level Accomplishments	01 People	Proposed	TBD	Accompl. Type:	Proposed
		Underway			Underway
		Complete			Complete
	Accompl. Type:	Proposed		Accompl. Type:	Proposed
		Underway			Underway
		Complete			Complete

Proposed Outcome	Performance Measure	Actual Outcome
Availability/Accessibility-Purpose of Creating Suitable Living Environments	People Assisted	

05 Public Services (General) 570.201(e)	Matrix Codes
Matrix Codes	Matrix Codes
Matrix Codes	Matrix Codes

Program Year	CDBG	Proposed Amt.	Actual Amount	Fund Source:	Proposed Amt.	Actual Amount
Program Year 1		75,000				
	Fund Source:	Proposed Amt.	Actual Amount	Fund Source:	Proposed Amt.	Actual Amount
	Accompl. Type:	Proposed Units	Actual Units	Accompl. Type:	Proposed Units	Actual Units
	Accompl. Type:	Proposed Units	Actual Units	Accompl. Type:	Proposed Units	Actual Units
Program Year 2		75,000				
	Fund Source:	Proposed Amt.	Actual Amount	Fund Source:	Proposed Amt.	Actual Amount
	Accompl. Type:	Proposed Units	Actual Units	Accompl. Type:	Proposed Units	Actual Units
	Accompl. Type:	Proposed Units	Actual Units	Accompl. Type:	Proposed Units	Actual Units
Program Year 3		75,000				
	Fund Source:	Proposed Amt.	Actual Amount	Fund Source:	Proposed Amt.	Actual Amount
	Accompl. Type:	Proposed Units	Actual Units	Accompl. Type:	Proposed Units	Actual Units
	Accompl. Type:	Proposed Units	Actual Units	Accompl. Type:	Proposed Units	Actual Units
Program Year 4		75,000				
	Fund Source:	Proposed Amt.	Actual Amount	Fund Source:	Proposed Amt.	Actual Amount
	Accompl. Type:	Proposed Units	Actual Units	Accompl. Type:	Proposed Units	Actual Units
	Accompl. Type:	Proposed Units	Actual Units	Accompl. Type:	Proposed Units	Actual Units
Program Year 5						
	Fund Source:	Proposed Amt.	Actual Amount	Fund Source:	Proposed Amt.	Actual Amount
	Accompl. Type:	Proposed Units	Actual Units	Accompl. Type:	Proposed Units	Actual Units
	Accompl. Type:	Proposed Units	Actual Units	Accompl. Type:	Proposed Units	Actual Units

Project Name: Job Creation / Youth Development		UOG Code: NY365544 Rochester			
Description:		IDIS Project #:			
Funding will be used to lend support to programs or activities providing job readiness and basic occupational skills, and entry level employment opportunities for low/mod income City youth seeking employment or career exploration services. Projects will also provide asset development opportunities for youth, and skills development for parents.					
Location: City-wide		Priority Need Category Select one: Public Services			
Expected Completion Date: 6/30/2014		National Objective: Low/ Mod Limited Clientele			
Objective Category <input type="radio"/> Decent Housing <input checked="" type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity		Specific Objectives			
Outcome Categories <input checked="" type="checkbox"/> Availability/Accessibility <input type="checkbox"/> Affordability <input type="checkbox"/> Sustainability		1. Improve the services for low/mod income persons 2. 3.			
Project-level Accomplishments	01 People	Proposed	200	Accmpl. Type:	Proposed
		Underway			Underway
		Complete			Complete
	Accmpl. Type:	Proposed		Accmpl. Type:	Proposed
		Underway			Underway
		Complete			Complete
	Accmpl. Type:	Proposed		Accmpl. Type:	Proposed
		Underway			Underway
		Complete			Complete
Proposed Outcome		Performance Measure		Actual Outcome	
Availability/Accessibility-Purpose of Creating Suitable Living Environments		People Assisted			
05D Youth Services 570.201(e)		Matrix Codes		Matrix Codes	
Matrix Codes		Matrix Codes		Matrix Codes	
Matrix Codes		Matrix Codes		Matrix Codes	
Program Year 1	CDBG	Proposed Amt.	153,439	Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	Accmpl. Type:	Proposed Units		Accmpl. Type:	Proposed Units
	Actual Units			Actual Units	
	Accmpl. Type:	Proposed Units		Accmpl. Type:	Proposed Units
		Actual Units			Actual Units
Program Year 2	CDBG	Proposed Amt.	127,939	Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	Accmpl. Type:	Proposed Units		Accmpl. Type:	Proposed Units
	Actual Units			Actual Units	
	Accmpl. Type:	Proposed Units		Accmpl. Type:	Proposed Units
		Actual Units			Actual Units
Program Year 3	CDBG	Proposed Amt.	128,000	Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	Accmpl. Type:	Proposed Units		Accmpl. Type:	Proposed Units
	Actual Units			Actual Units	
	Accmpl. Type:	Proposed Units		Accmpl. Type:	Proposed Units
		Actual Units			Actual Units
Program Year 4	CDBG	Proposed Amt.	128,000	Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	01 People	Proposed Units	200	Accmpl. Type:	Proposed Units
	Actual Units			Actual Units	
	Accmpl. Type:	Proposed Units		Accmpl. Type:	Proposed Units
		Actual Units			Actual Units
Program Year 5	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	Accmpl. Type:	Proposed Units		Accmpl. Type:	Proposed Units
	Actual Units			Actual Units	
	Accmpl. Type:	Proposed Units		Accmpl. Type:	Proposed Units
		Actual Units			Actual Units

Project Name: Southwest Youth Organizing Project		UOG Code: NY365544 Rochester	
Description: The goal is to build strong ties within the southwest community between youth, businesses, existing teen agencies and neighborhood adults to create positive change. The Center for Teen Empowerment will work with youth from the southwest neighborhoods to develop and implement 10 initiatives for youth in the southwest area of the city. The Center for Teen Empowerment provides the adult staff to guide the youth in their activities and initiatives.			
Location: City-wide		Priority Need Category: Select one: Public Services	
Explanation: National Objective: Low/ Mod Limited Clientele			
Expected Completion Date: 6/30/2014			
Objective Category: <input type="radio"/> Decent Housing <input checked="" type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity			
Outcome Categories: <input checked="" type="checkbox"/> Availability/Accessibility <input type="checkbox"/> Affordability <input type="checkbox"/> Sustainability		Specific Objectives: 1. Improve the services for low/mod income persons 2. 3.	
Project-level Accomplishments	01 People	Proposed: 12 Underway: Complete:	Proposed: Underway: Complete:
		Proposed: Underway: Complete:	Proposed: Underway: Complete:
		Proposed: Underway: Complete:	Proposed: Underway: Complete:
		Proposed: Underway: Complete:	Proposed: Underway: Complete:
Proposed Outcome: Availability/Accessibility-Purpose of Creating Suitable Living Environments		Performance Measure: People Assisted	Actual Outcome:
05D Youth Services 570.201(e)			
Program Year 1	Fund Source:	Proposed Amt.	Proposed Amt.
		Actual Amount	Actual Amount
		Proposed Amt.	Proposed Amt.
		Actual Amount	Actual Amount
	Proposed Units	Proposed Units	Proposed Units
	Actual Units	Actual Units	Actual Units
Program Year 2	CDBG	Proposed Amt. 30,000	Proposed Amt.
		Actual Amount	Actual Amount
		Proposed Amt.	Proposed Amt.
		Actual Amount	Actual Amount
	Proposed Units	Proposed Units	Proposed Units
	Actual Units	Actual Units	Actual Units
Program Year 3	CDBG	Proposed Amt. 30,000	Proposed Amt.
		Actual Amount	Actual Amount
		Proposed Amt.	Proposed Amt.
		Actual Amount	Actual Amount
	Proposed Units	Proposed Units	Proposed Units
	Actual Units	Actual Units	Actual Units
Program Year 4	CDBG	Proposed Amt. 30,000	Proposed Amt.
		Actual Amount	Actual Amount
		Proposed Amt.	Proposed Amt.
		Actual Amount	Actual Amount
	Proposed Units	Proposed Units	Proposed Units
	Actual Units	Actual Units	Actual Units
Program Year 5	01 People	Proposed Units 12	Proposed Units
		Actual Units	Actual Units
		Proposed Units	Proposed Units
		Actual Units	Actual Units

Project Name: Employment Opportunity Job Training Support		
Description:	IDIS Project #: UOG Code: NY365544 Rochester	
This program will teach core life and job readiness skills to low/mod income city students, ages 16-20 years old. Training will include communication skills, social and interpersonal skills, conflict resolution, team building, work preparation and decision-making. Upon completion, students receiving appropriate assessments will participate in a 6 week internship with a local employer (funded through another program). Funding will also be used to provide workforce development support to low/mod income city adults who complete the PRIME program, in the form of resume consultation and development, interview skills development, and job placement assistance.		
Location:	Priority Need Category	
City-wide	Select one: Public Services	
Explanation: National Objective: Low/ Mod Limited Clientele		
Expected Completion Date: 6/30/2014		
Objective Category	Specific Objectives	
<input type="radio"/> Decent Housing <input type="radio"/> Suitable Living Environment <input checked="" type="radio"/> Economic Opportunity	1 Improve the services for low/mod income persons 2 3	
Outcome Categories		
<input checked="" type="checkbox"/> Availability/Accessibility <input type="checkbox"/> Affordability <input type="checkbox"/> Sustainability		
Project-level Accomplishments		
01 People	Proposed 210 Underway Complete	
	Proposed Underway Complete	
	Proposed Underway Complete	
	Proposed Underway Complete	
Proposed Outcome	Performance Measure	Actual Outcome
Availability/Accessibility-Purpose of Creating Suitable Living Environments	People Assisted	
05D Youth Services 570.201(e)		
Program Year 1	Fund Source: Proposed Amt. Actual Amount Proposed Amt. Actual Amount Proposed Units Actual Units Proposed Units Actual Units	Proposed Amt. Actual Amount Proposed Amt. Actual Amount Proposed Units Actual Units Proposed Units Actual Units
Program Year 2	Fund Source: Proposed Amt. Actual Amount Proposed Amt. Actual Amount Proposed Units Actual Units Proposed Units Actual Units	Proposed Amt. Actual Amount Proposed Amt. Actual Amount Proposed Units Actual Units Proposed Units Actual Units
Program Year 3	CDBG Proposed Amt. 56,400 Actual Amount Proposed Amt. Actual Amount Proposed Units Actual Units Proposed Units Actual Units	Proposed Amt. Actual Amount Proposed Amt. Actual Amount Proposed Units Actual Units Proposed Units Actual Units
Program Year 4	CDBG Proposed Amt. 56,400 Actual Amount Proposed Amt. Actual Amount 01 People Proposed Units 210 Actual Units Proposed Units Actual Units	Proposed Amt. Actual Amount Proposed Amt. Actual Amount Proposed Units Actual Units Proposed Units Actual Units
Program Year 5	Proposed Amt. Actual Amount Proposed Amt. Actual Amount Proposed Units Actual Units Proposed Units Actual Units	Proposed Amt. Actual Amount Proposed Amt. Actual Amount Proposed Units Actual Units Proposed Units Actual Units

Grantee Name: City of Rochester

Project Name: Residential Street Rehabilitation
 Description: IDIS Project #: UOG Code: NY365544 Rochester
 Street reconstruction and rehabilitation, new curbs, sidewalks, water receiving basins, street lighting improvements, and tree planting for residential streets.

Location: City-wide
 Select one: Infrastructure
 Explanation: National Objective: Low/Mod Area
 Expected Completion Date: 6/30/2014
 Objective Category:
 Decent Housing
 Suitable Living Environment
 Economic Opportunity
 Outcome Categories:
 Availability/Accessibility
 Affordability
 Sustainability
 1 Improve quality / increase quantity of public improvements for lower income persons
 2
 3

Project-level Accomplishments	01 People	Proposed	TBD	Underway	Complete	Proposed	Underway	Complete

Proposed Outcome	Performance Measure	Actual Outcome
Availability/Accessibility for the purpose of Creating Suitable Living Environments	Persons Assisted	

03K Street Improvements 570.201(c)

Program Year	CDBG	Fund Source	Proposed		Actual	
			Amt.	Amount	Units	Units
Program Year 1			380,000			
Program Year 2						
Program Year 3						
Program Year 4			250,000			
Program Year 5						

Grantee Name: City of Rochester

CPDF version 2.0

Project Name: **Midtown Redevelopment Open Space**

Description: **IDIS Project #:** **UOG Code:** NY365544 Rochester

This work will develop open space area to the south of the Windstream building into an enhanced plaza. This plaza will create a public gathering space which will be a focal point of the office and mixed-use redevelopments which will surround the plaza. The plaza enhancements will include high quality paving materials, stone seating walls, a plaza grove area and a provision for a future signature, focal art component. The enhanced plaza treatments will be extended onto the adjacent Cortland and Elm Streets thereby creating a unified, pedestrian-oriented space which can be enlarged during special events by closing the streets to vehicular traffic.

Location: **Midtown Urban Renewal District (bounded by Broad Street to the south, by South Clinton Avenue to the west, by East Main Street to the north, and by an irregular eastern boundary comprised of Euclid, Atlas, Elm and Chestnut Streets)**

Select one:

Explanation: **National Objective: Slums/Blight Area Basis**

Expected Completion Date: **6/30/2014**

Objective Category:

- Decent Housing
- Suitable Living Environment
- Economic Opportunity

Outcome Categories:

- Availability/Accessibility
- Affordability
- Sustainability

1 Improve quality / increase quantity of public improvements for lower income persons

2

3

Project-level Accomplishments	11 Public Facilities	Proposed		Underway	Complete
		Underway	Complete		
	Other				

Proposed Outcome	Performance Measure	Actual Outcome
Availability/Accessibility for the purpose of Creating Suitable Living Environments	Persons Assisted	

03 Public Facilities and Improvements (General) 570.201(c)		
--	--	--

Program Year	CDBG	Proposed Amt.		Actual Amount	Proposed Amt.	Actual Amount
		Underway	Complete			
Program Year 1						
Program Year 2						
Program Year 3						
Program Year 4	CDBG			1,166,000		
Program Year 5	11 Public Facilities					

Project Name: Indirect Costs - Unallocated Staff
Description: IDIS Project #: UOG Code: NY365544 Rochester
 This program provides funds for City services, such as legal and financial services that benefit more than one activity.

Location: City-wide
Priority Need Category: Select one: Planning/Administration
Explanation:

Expected Completion Date: 6/30/2014
Objective Category:
 Decent Housing
 Suitable Living Environment
 Economic Opportunity
National Objective: NA

Specific Objectives:
 1. Improve the services for low/mod income persons
 2.
 3.

Project-level Accomplishments	Accompl. Type: <input type="text"/>	Proposed		Accompl. Type: <input type="text"/>	Proposed	
		Underway			Underway	
		Complete			Complete	
	Accompl. Type: <input type="text"/>	Proposed		Accompl. Type: <input type="text"/>	Proposed	
		Underway			Underway	
		Complete			Complete	
	Accompl. Type: <input type="text"/>	Proposed		Accompl. Type: <input type="text"/>	Proposed	
		Underway			Underway	
		Complete			Complete	

Proposed Outcome	Performance Measure	Actual Outcome
N/A	N/A	

218 Indirect Costs 570.206 Matrix Codes
 Matrix Codes Matrix Codes Matrix Codes

Program Year	CDBG	Proposed Amt.	Actual Amount	Fund Source	Proposed Amt.	Actual Amount
Program Year 1		618,100				
	Fund Source:					
	Accompl. Type:					
	Accompl. Type:					
Program Year 2		549,899				
	Fund Source:					
	Accompl. Type:					
	Accompl. Type:					
Program Year 3		549,899				
	Fund Source:					
	Accompl. Type:					
	Accompl. Type:					
Program Year 4		349,899				
	Fund Source:					
	Accompl. Type:					
	Accompl. Type:					
Program Year 5						
	Fund Source:					
	Accompl. Type:					
	Accompl. Type:					

Project Name: Program Management Staff		IDIS Project #:		UOG Code: NY365544 Rochester	
Description: This program provides funds to partially finance the cost of management, coordination, and monitoring of federal formula programs.					
Location: City-wide		Priority Need Category Select one: Planning/Administration			
Expected Completion Date: 6/30/2014		National Objective: NA			
Objective Category <input type="radio"/> Decent Housing <input type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity		Specific Objectives			
Outcome Categories <input type="checkbox"/> Availability/Accessibility <input type="checkbox"/> Affordability <input type="checkbox"/> Sustainability		1 Improve the services for low/mod income persons 2 3			
Project-level Accomplishments	Accompl. Type: <input type="button" value="▼"/>	Proposed		Accompl. Type: <input type="button" value="▼"/>	Proposed
		Underway			Underway
		Complete			Complete
	Accompl. Type: <input type="button" value="▼"/>	Proposed		Accompl. Type: <input type="button" value="▼"/>	Proposed
		Underway			Underway
		Complete			Complete
Proposed Outcome		Performance Measure		Actual Outcome	
N/A		N/A			
21A General Program Administration 570.206		Matrix Codes			
Matrix Codes		Matrix Codes			
Matrix Codes		Matrix Codes			
Program Year 1	CDBG <input type="button" value="▼"/>	Proposed Amt.	354,000	Fund Source: <input type="button" value="▼"/>	Proposed Amt.
		Actual Amount			Actual Amount
	Fund Source: <input type="button" value="▼"/>	Proposed Amt.		Fund Source: <input type="button" value="▼"/>	Proposed Amt.
		Actual Amount			Actual Amount
	Accompl. Type: <input type="button" value="▼"/>	Proposed Units		Accompl. Type: <input type="button" value="▼"/>	Proposed Units
		Actual Units			Actual Units
	Accompl. Type: <input type="button" value="▼"/>	Proposed Units		Accompl. Type: <input type="button" value="▼"/>	Proposed Units
		Actual Units			Actual Units
Program Year 2	CDBG <input type="button" value="▼"/>	Proposed Amt.	184,306	Fund Source: <input type="button" value="▼"/>	Proposed Amt.
		Actual Amount			Actual Amount
	Fund Source: <input type="button" value="▼"/>	Proposed Amt.		Fund Source: <input type="button" value="▼"/>	Proposed Amt.
		Actual Amount			Actual Amount
	Accompl. Type: <input type="button" value="▼"/>	Proposed Units		Accompl. Type: <input type="button" value="▼"/>	Proposed Units
		Actual Units			Actual Units
	Accompl. Type: <input type="button" value="▼"/>	Proposed Units		Accompl. Type: <input type="button" value="▼"/>	Proposed Units
		Actual Units			Actual Units
Program Year 3	CDBG <input type="button" value="▼"/>	Proposed Amt.	184,306	Fund Source: <input type="button" value="▼"/>	Proposed Amt.
		Actual Amount			Actual Amount
	Fund Source: <input type="button" value="▼"/>	Proposed Amt.		Fund Source: <input type="button" value="▼"/>	Proposed Amt.
		Actual Amount			Actual Amount
	Accompl. Type: <input type="button" value="▼"/>	Proposed Units		Accompl. Type: <input type="button" value="▼"/>	Proposed Units
		Actual Units			Actual Units
	Accompl. Type: <input type="button" value="▼"/>	Proposed Units		Accompl. Type: <input type="button" value="▼"/>	Proposed Units
		Actual Units			Actual Units
Program Year 4	CDBG <input type="button" value="▼"/>	Proposed Amt.	184,306	Fund Source: <input type="button" value="▼"/>	Proposed Amt.
		Actual Amount			Actual Amount
	Fund Source: <input type="button" value="▼"/>	Proposed Amt.		Fund Source: <input type="button" value="▼"/>	Proposed Amt.
		Actual Amount			Actual Amount
	Accompl. Type: <input type="button" value="▼"/>	Proposed Units		Accompl. Type: <input type="button" value="▼"/>	Proposed Units
		Actual Units			Actual Units
	Accompl. Type: <input type="button" value="▼"/>	Proposed Units		Accompl. Type: <input type="button" value="▼"/>	Proposed Units
		Actual Units			Actual Units
Program Year 5	Fund Source: <input type="button" value="▼"/>	Proposed Amt.		Fund Source: <input type="button" value="▼"/>	Proposed Amt.
		Actual Amount			Actual Amount
	Fund Source: <input type="button" value="▼"/>	Proposed Amt.		Fund Source: <input type="button" value="▼"/>	Proposed Amt.
		Actual Amount			Actual Amount
	Accompl. Type: <input type="button" value="▼"/>	Proposed Units		Accompl. Type: <input type="button" value="▼"/>	Proposed Units
		Actual Units			Actual Units
	Accompl. Type: <input type="button" value="▼"/>	Proposed Units		Accompl. Type: <input type="button" value="▼"/>	Proposed Units
		Actual Units			Actual Units

ATTACHMENT VI
2013-14 ANNUAL ACTION PLAN
PROPOSED PROJECT / ACTIVITY SUMMARY BY GOAL

GOAL / PROJECT / ACTIVITY	CDBG	CDBG Prior Years	HOME	HOME Prior Years	ESG	HOPWA	CDF	TOTAL
PROMOTE ECONOMIC STABILITY								
ED Financial Assistance Loan and Grant	\$ 750,000		\$ -		\$ -		\$ 115,000	\$ 865,000
Neighborhood Commercial Assistance Program	\$ 440,000		\$ -		\$ -		\$ -	\$ 440,000
Targeted Façade Improvement Program	\$ 200,000		\$ -		\$ -		\$ -	\$ 200,000
Total	\$ 1,390,000	\$ -	\$ -		\$ -		\$ 115,000	\$ 1,505,000
IMPROVE THE HOUSING STOCK AND GENERAL PROPERTY CONDITIONS								
Housing Development Fund	\$ 1,208,306	4,309	\$ 1,134,420	\$ 2,789	\$ -			\$ 2,349,824
Neighborhood and Business - Program Delivery	\$ 2,100,203		\$ 179,380		\$ 53,790	\$ 19,710		\$ 2,353,083
Homeownership Fund	\$ 375,000		\$ 480,000		\$ -		\$ 45,000	\$ 900,000
Rental Market Fund	\$ 175,000		\$ -		\$ -			\$ 175,000
Housing Choice Fund	\$ -		\$ -		\$ 663,410	\$ 637,290		\$ 1,300,700
Neighborhood and Asset-Based Planning Fund	\$ 2,225,019		\$ -		\$ -		\$ 40,000	\$ 2,265,019
Total	\$ 6,083,528	\$ 4,309	\$ 1,793,800	\$ 2,789	\$ 717,200	\$ 657,000	\$ 85,000	\$ 9,343,626
GENERAL COMMUNITY NEEDS								
Fire Department Small Equipment	\$ 75,000		\$ -		\$ -			\$ 75,000
Job Creation / Youth Development	\$ 128,000		\$ -		\$ -			\$ 128,000
Southwest Youth (Teen Empowerment)	\$ 30,000		\$ -		\$ -			\$ 30,000
Employment Opportunity Job Training Support	\$ 56,400		\$ -		\$ -			\$ 56,400
Residential Street Rehabilitation	\$ 250,000		\$ -		\$ -			\$ 250,000
Midtown Redevelopment Open Space	\$ 539,400	1,166,000	\$ -		\$ -			\$ 1,166,000
Total	\$ 1,166,000	\$ 1,166,000	\$ -		\$ -			\$ 1,166,000
OTHER PROGRAMS								
Indirect Costs - Unallocated Staff	\$ 349,899		\$ -		\$ -			\$ 349,899
Program Management Staff	\$ 184,306		\$ -		\$ -			\$ 184,306
Total	\$ 534,205	\$ -	\$ -		\$ -			\$ 534,205
TOTAL	\$ 8,547,133	\$ 1,170,309	\$ 1,793,800	\$ 2,789	\$ 717,200	\$ 657,000	\$ 200,000	\$ 13,088,231

ATTACHMENT VI
ANNUAL ACTION PLAN
HOUSING FUND SUMMARY - PROPOSED PROJECTS / ACTIVITIES

GOAL / PROJECT / ACTIVITY	CDBG	HOME	ESG	HOPWA	CDF	TOTAL
Housing Development Fund						
Community Housing Development Organizations	\$ 266,070	\$ -	\$ -	\$ -	\$ -	\$ 266,070
Housing Development Support	\$ 186,000	\$ -	\$ -	\$ -	\$ -	\$ 186,000
New Construction for Homeownership	\$ 120,000	\$ 120,000	\$ -	\$ -	\$ -	\$ 240,000
Affordable Housing Fund	\$ 751,139	\$ 751,139	\$ -	\$ -	\$ -	\$ 1,502,278
Lead Hazard Reduction	\$ 200,000	\$ -	\$ -	\$ -	\$ -	\$ 200,000
Housing Repair Programs	\$ 826,615	\$ -	\$ -	\$ -	\$ -	\$ 826,615
Total	\$ 1,212,615	\$ 1,137,209	\$ -	\$ -	\$ -	\$ 2,349,824
Neighborhood and Business - Program Delivery						
	\$ 2,100,203	\$ 179,380	\$ 53,790	\$ 19,710	\$ -	\$ 2,353,083
Homeownership Fund						
Buyer Assistance	\$ 240,000	\$ -	\$ -	\$ -	\$ 45,000	\$ 285,000
Homebuyer Training	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Foreclosure Prevention	\$ 375,000	\$ -	\$ -	\$ -	\$ -	\$ 375,000
HOME Rochester	\$ 240,000	\$ 240,000	\$ -	\$ -	\$ -	\$ 480,000
Total	\$ 375,000	\$ 480,000	\$ -	\$ -	\$ 45,000	\$ 900,000
Rental Market Fund						
Tenant and Landlord Services	\$ 175,000	\$ -	\$ -	\$ -	\$ -	\$ 175,000
Promote Housing Choice						
Homeless and HIV/AIDS Services Unallocated	\$ -	\$ -	\$ 663,410	\$ 637,290	\$ -	\$ 1,300,700
Total	\$ -	\$ -	\$ 663,410	\$ 637,290	\$ -	\$ 1,300,700
Neighborhood and Asset-Based Planning Fund						
Planning Staff	\$ 365,592	\$ -	\$ -	\$ -	\$ -	\$ 365,592
Quadrant Plan Support	\$ 50,000	\$ -	\$ -	\$ -	\$ -	\$ 50,000
Focused Investment Strategy	\$ 1,709,427	\$ -	\$ -	\$ -	\$ 40,000	\$ 1,749,427
Community Plan & Leadership Development	\$ 100,000	\$ -	\$ -	\$ -	\$ -	\$ 100,000
Total	\$ 2,225,019	\$ -	\$ -	\$ -	\$ 40,000	\$ 2,265,019
TOTAL	\$ 6,087,837	\$ 1,796,589	\$ 717,200	\$ 657,000	\$ 85,000	\$ 9,343,626

Project	Description	Amount	Sources	Served
Housing Development Fund Community Housing Development Organization	Subsidy funds for development and rehabilitation assistance for property owners	\$266,070	HOME	6 Housing Units
Housing Development Support	Provides operating support to facilitate development (RHDFC)	\$186,000	CDBG	1 Organization
New Housing Construction	Provides infrastructure subsidies for Habitat and other developers of affordable housing	\$120,000	HOME	6 Housing Units
Lead Hazard Reduction	Provides grants of up to \$18,000 for owner occupants and landlords to reduce lead hazards	\$200,000	CDBG	73 Housing Units
Affordable Housing Fund	Provide gap financing for the development of affordable housing	\$751,139	HOME	24 Housing Units
Housing Repair Programs	Provides grants of up to \$25000 for repairs, and Aging in Place	\$826,615	CDBG	33 Housing Units
Homeownership Promotion Fund Buyer Assistance	Provides downpayment and closing cost assistance of up to \$6000	\$240,000/\$45,000	HOME/CDF	47 Households (40 Home/7 CDF)
Foreclosure Prevention	Mortgage default resolution/foreclosure prevention services including legal services	\$375,000	CDBG	250 Households
HOME Rochester	Provides subsidy funds for the development of homeownership opportunities through the acquisition and rehabilitation of vacant properties	\$240,000	HOME	6 Households
Rental Market Fund Landlord/Tenant Services	Provides education and support to landlords and tenants. Also provides services that support eviction prevention and legal services for tenants.	\$149,000	CDBG	300 People
Tenant Education	Provides tenant training services	\$26,000	CDBG	420 People
Housing Choice Fund				

ESG	Street outreach, rapid rehousing, homelessness prevention, operational support to shelters and support services to low income at-risk households	\$663,410 ESG	TBD
HOPWA	Provides rental assistance to low income households where a member of the household is diagnosed with HIV/AIDS	\$637,290 HOPWA	190 Households
Neighborhood and Asset Planning Fund			
Quadrant Planning Support	Planning activities, technical assistance and resources to facilitate	\$50,000 CDBG	NA
Community Planning & Leadership Development	Funds for planning-empowering residents to do neighborhood plans as well as training and developing leaders.	\$100,000 CDBG	NA
FIS	Resources are targeted to improve four neighborhoods. Activities include: rehabilitation of structures, demolition and redevelopment of multi-family buildings	\$1,709,427 (CDBG) CDBG /\$40,000 (CDF) CDF	110 Housing Units, 90 People, 100 Households(CDBG)
Planning Staff		\$365,592 CDBG	

Housing Needs Table		Grantee:		3-5 Year Quantities												Priority Need?	Plan. To Fund?	Fund. Source	Households with a Disabled Member		Disproportionate Racial/Ethnic Need?	# of Houses in lead-Hazard Housing	Total Low Income, HIV, AIDS, Population	
		Only complete blue sections. Do NOT type in sections other than blue.												% of Goal	% HSHLD				# HSHLD					
		Current % of Households	Current Number of Households	Year 1 Goal	Year 1 Actual	Year 2 Goal	Year 2 Actual	Year 3 Goal	Year 3 Actual	Year 4 Goal	Year 4 Actual	Year 5 Goal	Year 5 Actual							Multi-Year Goal				Multi-Year Actual
Elderly	NUMBER OF HOUSEHOLDS	100%	3147																					
	Any housing problems	68.2	2146	8	8	8	8	8	8	8	8	8	8	8	8	0%	M	Y	100%	7161	No	TBD		
	Cost Burden > 30%	67.5	2124	5	5	5	5	5	5	5	5	5	5	5	5	0%	M	Y	77.9	5578				
Small Related	NUMBER OF HOUSEHOLDS	100%	6735																					
	With Any Housing Problems	91.5	6163	43	43	43	43	43	43	43	43	43	43	43	43	0%	H	Y			No			
	Cost Burden > 30%	90.3	6082	18	18	18	18	18	18	18	18	18	18	18	18	0%	H	Y						
Large Related	NUMBER OF HOUSEHOLDS	100%	1669																					
	With Any Housing Problems	92.7	1547	44	44	44	44	44	44	44	44	44	44	44	44	0%	H	Y			No			
	Cost Burden > 30%	90.9	1517	19	19	19	19	19	19	19	19	19	19	19	19	0%	H	Y						
All other hshld	NUMBER OF HOUSEHOLDS	100%	7444																					
	With Any Housing Problems	77.2	5747	43	43	44	44	44	44	44	44	44	44	44	44	0%	H	Y			No			
	Cost Burden > 30%	76.7	5710	18	18	19	19	19	19	19	19	19	19	19	19	0%	H	Y						
Elderly	NUMBER OF HOUSEHOLDS	100%	1332																					
	With Any Housing Problems	72.1	960	5	5	5	5	5	5	5	5	5	5	5	5	0%	H	Y						
	Cost Burden > 30%	72.1	960	2	2	2	2	2	2	2	2	2	2	2	2	0%	H	Y						
Small Related	NUMBER OF HOUSEHOLDS	100%	936																					
	With Any Housing Problems	87.8	822	48	48	48	48	48	48	48	48	48	48	48	48	0%	H	Y			No			
	Cost Burden > 30%	87.4	818	22	22	22	22	22	22	22	22	22	22	22	22	0%	H	Y						
Large Related	NUMBER OF HOUSEHOLDS	100%	273																					
	With Any Housing Problems	82.1	224	48	48	48	48	48	48	48	48	48	48	48	48	0%	H	Y			No			
	Cost Burden > 30%	79.1	216	22	22	22	22	22	22	22	22	22	22	22	22	0%	H	Y						
Elderly	NUMBER OF HOUSEHOLDS	100%	1664																					
	With Any Housing Problems	73.9	436	48	48	48	48	48	48	48	48	48	48	48	48	0%	H	Y			Yes			
	Cost Burden > 30%	73.9	436	22	22	22	22	22	22	22	22	22	22	22	22	0%	H	Y						
Small Related	NUMBER OF HOUSEHOLDS	100%	3828																					
	With Any Housing Problems	51.1	850	20	20	20	20	20	20	20	20	20	20	20	20	0%	H	Y			No			
	Cost Burden > 30%	50.0	832	6	6	6	6	6	6	6	6	6	6	6	6	0%	H	Y						
Small Related	NUMBER OF HOUSEHOLDS	100%	2932																					
	With Any Housing Problems	76.6	2825	160	160	160	160	160	160	160	160	160	160	160	160	0%	H	Y			No			
	Cost Burden > 30%	73.8	2825	125	125	125	125	125	125	125	125	125	125	125	125	0%	H	Y						
MFI	NUMBER OF HOUSEHOLDS	100%	754																					
	With Any Housing Problems	19.7	754	35	35	35	35	35	35	35	35	35	35	35	35	0%	H	Y						
	Cost Burden > 50%																							

Jurisdiction

Housing Market Analysis

Complete cells in blue.

	Vacancy Rate	0 & 1 Bedroom	2 Bedrooms	3+ Bedroom	Total	Substandard Units
Housing Stock Inventory						
Affordability Mismatch						
Occupied Units: Renter		21776	15456	13759	50991	
Occupied Units: Owner		807	5740	26136	32683	
Vacant Units: For Rent	10%	2052	1905	1066	5023	
Vacant Units: For Sale	5%	121	480	921	1522	
Total Units Occupied & Vacant		24756	23581	41882	90219	0
<u>Rents: Applicable FMRs (in \$)</u>		590-652	797	957		
Rent Affordable at 30% of 50% of MFI (in \$)		491-831	491-831	491-831		
Public Housing Units						
Occupied Units		1353	390	608	2351	
Vacant Units					0	
Total Units Occupied & Vacant		1353	390	608	2351	0
Rehabilitation Needs (in \$)					0	

Continuum of Care Homeless Population and Subpopulations Chart

Part 1: Homeless Population	Sheltered		Un-sheltered	Total	Jurisdiction Data Quality (N) enumerations
	Emergency	Transitional			
1. Homeless Individuals	243	77	0	320	
2. Homeless Families with Children	71	48	0	119	
2a. Persons in Homeless with Children Families	212	122	0	334	
Total (lines 1 + 2a)	455	199	0	654	
Part 2: Homeless Subpopulations	Sheltered		Un-sheltered	Total	Data Quality (N) enumerations
	Sheltered	Transitional			
1. Chronically Homeless	76	0	0	76	
2. Severely Mentally Ill	175	0	0	175	
3. Chronic Substance Abuse	186	0	0	186	
4. Veterans	44	0	0	44	
5. Persons with HIV/AIDS	5	0	0	5	
6. Victims of Domestic Violence	123	0	0	123	
7. Youth (Under 18 years of age)	33	0	0	33	

Part 3: Homeless Needs Table: Individuals	Needs	Currently Available	Gap	5-Year Quantities										Total	Priority H, M, L	Plan to Fund? Y N	Fund Source: CDBG, HOME, HOPWA, ESG or Other			
				Year 1		Year 2		Year 3		Year 4		Year 5						Goal	Actual	% of Goal
				Goal	Complete	Goal	Complete	Goal	Complete	Goal	Complete	Goal	Complete							
Emergency Shelters	264	232	32	7	0	7	0	8	0	8	0	8	0	38	0	0%	L	N		
Transitional Housing	81	96	-15	0	0	0	0	0	0	0	0	0	0	0	0	#DIV/0!	L	N		
Permanent Supportive Housing	1160	611	549	110	20	110	0	110	0	110	0	110	0	550	20	4%	H	Y	Other	
Total	1505	939	566	110	20	110	0	110	0	110	0	110	0	550	20	4%	H	Y	Other	
Chronically Homeless	79	66	13														H	Y	Other	

Part 4: Homeless Needs Table: Families	Needs	Currently Available	Gap	5-Year Quantities										Priority H.M.L	Plan to Fund? Y/N	Fund Source: CDBG, HOME, HOPWA, ESG or Other					
				Year 1		Year 2		Year 3		Year 4		Year 5					Total				
				Goal	Complete	Goal	Complete	Goal	Complete	Goal	Complete	Goal	Complete				Goal	Actual	% of Goal		
Emergency Shelters	212	227	-15	0	0	0	0	0	0	0	0	0	0	0	0	#DIV/0!	L	N			
Transitional Housing	153	136	17	0	0	0	0	0	0	0	0	0	0	0	0	#DIV/0!	L	N			
Permanent Supportive Housing	897	739	158	32	22	32	0	32	0	32	0	32	0	32	0	160	22	14%	H	Y	Other
Total	1262	1102	160	32	22	32	0	32	0	32	0	32	0	32	0	160	22	14%			

Completing Part 1: Homeless Population. This must be completed using statistically reliable, unduplicated counts or estimates of homeless persons in sheltered and unsheltered locations at a one-day point in time. The counts must be from: (A) administrative records, (N) enumerations, (S) statistically reliable samples, or (E) estimates. The quality of the data presented in each box must be identified as: (A), (N), (S) or (E).

Completing Part 2: Homeless Subpopulations. This must be completed using statistically reliable, unduplicated counts or estimates of homeless persons in sheltered and unsheltered locations at a one-day point in time. The numbers must be from: (A) administrative records, (N) enumerations, (S) statistically reliable samples, or (E) estimates. The quality of the data presented in each box must be identified as: (A), (N), (S) or (E).

Sheltered Homeless. Count adults, children and youth residing in shelters for the homeless. "Shelters" include all emergency shelters and transitional shelters for the homeless, including domestic violence shelters, residential programs for runaway/homeless youth, and any hotel/motel/apartment voucher arrangements paid by a public/private agency because the person or family is homeless. Do not count: (1) persons who are living doubled up in conventional housing; (2) formerly homeless persons who are residing in Section 8 SRO, Shelter Plus Care, SHP permanent housing or other permanent housing units; (3) children or youth, who because of their own or a parent's homelessness or abandonment, now reside temporarily and for a short anticipated duration in hospitals, residential treatment facilities, emergency foster care, detention facilities and the like; and (4) adults living in mental health facilities, chemical dependency facilities, or criminal justice facilities.

Unsheltered Homeless. Count adults, children and youth sleeping in places not meant for human habitation. Places not meant for human habitation include streets, parks, alleys, parking ramps, parts of the highway system, transportation depots and other parts of transportation systems (e.g. subway tunnels, railroad car), all-night commercial establishments (e.g. movie theaters, laundromats, restaurants), abandoned buildings, building roofs or stairwells, chicken coops and other farm outbuildings, caves, campgrounds, vehicles, and other similar places.

Grantee Name: Jurisdiction		3-5 Year Quantities												Total		Priority Need: H, M, L	Plan to Fund? Y N	Fund Source: CDBG, H
		Year 1		Year 2		Year 3		Year 4*		Year 5*		Goal	% of Goal					
		Goal	Complete	Goal	Complete	Goal	Complete	Goal	Complete	Goal	Complete							
Housing Needed	Needs	0	0	0	0	0	0	0	0	0	0	0	0	0	#DIV/0!	L	N	
	Currently Available	0	0	0	0	0	0	0	0	0	0	0	0	0	#DIV/0!	L	N	
	GAP	0	0	0	0	0	0	0	0	0	0	0	0	0	#DIV/0!	L	N	
	52. Elderly	0	0	0	0	0	0	0	0	0	0	0	0	0	#DIV/0!	L	N	
	53. Frail Elderly	0	0	0	0	0	0	0	0	0	0	0	0	0	#DIV/0!	L	N	
	54. Persons w/ Severe Mental Illness	0	0	0	0	0	0	0	0	0	0	0	0	0	#DIV/0!	L	N	
	55. Developmentally Disabled	0	0	0	0	0	0	0	0	0	0	0	0	0	#DIV/0!	L	N	
	56. Physically Disabled	0	0	0	0	0	0	0	0	0	0	0	0	0	#DIV/0!	L	N	
	57. Alcohol/Other Drug Addicted	0	0	0	0	0	0	0	0	0	0	0	0	0	#DIV/0!	L	N	
	58. Persons w/ HIV/AIDS & their family	0	0	220	0	220	0	220	0	220	0	220	0	1100	0%	H	Y	
59. Public Housing Residents	4339	22	4317	0	0	0	0	0	0	0	0	0	0	#DIV/0!	L	N		
Total	4339	22	4317	220	0	220	0	220	0	220	0	1100	0	0%				
Supportive Services Needed	60. Elderly	0	0	0	0	0	0	0	0	0	0	0	0	#DIV/0!	L	N		
	61. Frail Elderly	0	0	0	0	0	0	0	0	0	0	0	0	#DIV/0!	L	N		
	62. Persons w/ Severe Mental Illness	0	0	0	0	0	0	0	0	0	0	0	0	#DIV/0!	L	N		
	63. Developmentally Disabled	0	0	0	0	0	0	0	0	0	0	0	0	#DIV/0!	L	N		
	64. Physically Disabled	0	0	0	0	0	0	0	0	0	0	0	0	#DIV/0!	L	N		
	65. Alcohol/Other Drug Addicted	0	0	0	0	0	0	0	0	0	0	0	0	#DIV/0!	L	N		
	66. Persons w/ HIV/AIDS & their family	0	0	0	0	0	0	0	0	0	0	0	0	#DIV/0!	H	Y		
	67. Public Housing Residents	150	140	10	0	0	0	0	0	0	0	0	0	0	#DIV/0!	L	N	
Total	150	140	10	0	0	0	0	0	0	0	0	0	0	#DIV/0!				

Jurisdiction

Only complete blue sections.

Housing and Community Development Activities

Activity	Needs	Current	Gap	5-Year Quantities					Cumulative Goal	Priority Need: H, M, L	Dollars to Address	Plan to Fund? Y/N	Fund Source
				Year 1	Year 2	Year 3	Year 4	Year 5					
				Goal	Goal	Goal	Goal	Goal					
01 Acquisition of Real Property 570.201(a)	0	0	0						0	N			
02 Disposition 570.201(b)	0	0	0						0	N			
03 Public Facilities and Improvements (General) 570.201(c)	17	17	0						0	H			
03A Senior Centers 570.201(c)	0	0	0						0	N			
03B Handicapped Centers 570.201(c)	0	0	0						0	N			
03C Homeless Facilities (not operating costs) 570.201(c)	0	0	0						0	N			
03D Youth Centers 570.201(c)	35	35	0						0	N			
03E Neighborhood Facilities 570.201(c)	1	0	1						0	H			
03F Parks, Recreational Facilities 570.201(c)	116	116	0	TBD	TBD	TBD	TBD	TBD	0	L	\$525,000	CDBG	
03G Parking Facilities 570.201(c)	7	8	-1						0	L	\$0		
03H Solid Waste Disposal Improvements 570.201(c)	1	0	1						0	L	\$0		
03I Flood Drain Improvements 570.201(c)	0	0	0						0	L			
03J Water/Sewer Improvements 570.201(c)	20	20	0						0	L	\$0		
03K Street Improvements 570.201(c)	21	20	1	TBD	TBD	TBD	TBD	TBD	0	H	430,000	CDBG	
03L Sidewalks 570.201(c)	20	20	0						0	L	\$0		
03M Child Care Centers 570.201(c)	0	0	0						0	L			
03N Tree Planting 570.201(c)	453	453	0						0	L	\$0		
03O Fire Stations/Equipment 570.201(c)	16	16	0						0	L	\$0		
03P Health Facilities 570.201(c)	3	3	0						0	L	\$0		
03Q Abused and Neglected Children Facilities 570.201(c)	0	0	0						0	N			
03R Asbestos Removal 570.201(c)	0	0	0						0	N			
03S Facilities for AIDS Patients (not operating costs) 570.201(c)	0	0	0						0	N			
03T Operating Costs of Homeless/AIDS Patients Programs	0	0	0						0	N			
04 Clearance and Demolition 570.201(d)	0	0	0						0	N			
04A Clean-up of Contaminated Sites 570.201(d)	0	0	0	3	3	3	3	3	15	H	500,000	CDBG	
05 Public Services (General) 570.201(e)	219999	153000	66999	TBD	TBD	TBD	TBD	TBD	0	H	375,000	CDBG	
05A Senior Services 570.201(e)	7360	7360	0						0	L	\$0		
05B Handicapped Services 570.201(e)	23150	23150	0						0	L	\$0		
05C Legal Services 570.201(e)	10000	10000	0						0	L	\$0		
05D Youth Services 570.201(e)	23336	6500	16836	402	402	402	402	2010	0	H	1330195	CDBG	
05E Transportation Services 570.201(e)	40500	40500	0						0	L	\$0		
05F Substance Abuse Services 570.201(e)	21154	4475	16679						0	M			
05G Battered and Abused Spouses 570.201(e)	5256	3905	1351						0	H			
05H Employment Training 570.201(e)	11880	1250	10630						0	H			
05I Crime Awareness 570.201(e)	780	780	0						0	L	\$0		
05J Fair Housing Activities (if CDBG, then subject to 570.201(e))	2020	404	1616	TBD	TBD	TBD	TBD	TBD	0	H	250,000	CDBG	
05K Tenant/Landlord Counseling 570.201(e)	26563	1000	25563	690	690	690	690	3450	0	H	850,000	CDBG	
05L Child Care Services 570.201(e)	9437	9437	0						0	L	\$0		
05M Health Services 570.201(e)	99999	21900	78099						0	L	\$0		
05N Abused and Neglected Children 570.201(e)	2963	984	1979						0	L	\$0		
05O Mental Health Services 570.201(e)	31668	6813	24855						0	L	\$0		
05P Screening for Lead-Based Paint/Lead Hazards Poison 570.201(e)	20633	8469	12164						0	L	\$0		
05Q Subsidence Payments 570.204	28200	24204	3996						0	L	\$0		
05R Homeownership Assistance (not direct) 570.204	9704	2125	7579						0	H			
05S Rental Housing Subsidies (if HOME, not part of 5% Admin c)	25431	1765	23666						0	H			
05T Security Deposits (if HOME, not part of 5% Admin c)	0	0	0						0	N			
06 Interim Assistance 570.201(f)	0	0	0						0	N			
07 Urban Renewal Completion 570.201(h)	0	0	0						0	N			
08 Relocation 570.201(i)	0	0	0						0	N			
09 Loss of Rental Income 570.201(j)	0	0	0	1					0	N			

Public Facilities and Improvements

Public Services

HOME		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Homeownership assistance																	
	Acquisition of existing rental units	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Production of new rental units	12808	0	12808	78	25	25	25	25	25	25	25	25	178	H	12907460	Y	H
	Rehabilitation of existing rental units																	
	Rental assistance	0	0	0										0				
	Acquisition of existing owner units	2336	36	2300	36	36	36	36	36	36	36	36	36	180	H	3631825	Y	H
	Production of new owner units	1881	10	1871	10	10	10	10	10	10	10	10	10	50	H	750000	Y	H
	Rehabilitation of existing owner units	7871	128	7743	20	20	20	20	20	20	20	20	20	100	H		Y	H
	Homeownership assistance	3217	85	3132	25	25	25	25	25	25	25	25	25	125	H	750000	Y	H/GDF
Totals		789450	433563	4E+05	2071	2018	2018	2018	2018	2018	2018	2018	2018	10143				

HOPWA Performance Chart 1	Year 1																			
	Outputs Households					Funding														
	HOPWA Assistance		Non-HOPWA			HOPWA Budget		HOPWA Actual		Leveraged Non-HOPWA										
	Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual										
Tenant-based Rental Assistance	200	130	70																	
Short-term Rent, Mortgage and Utility payments	380	380	0																	
Facility-based Programs	0	0	0																	
Units in facilities supported with operating costs	0	0	0																	
Units in facilities developed with capital funds and placed in service during the program year	0	0	0																	
Units in facilities being developed with capital funding but not yet opened (show units of housing planned)	0	0	0																	
Stewardship (developed with HOPWA but no current operation or other costs) Units of housing subject to three- or ten-year use agreements	0	0	0																	
Adjustment for duplication of households (i.e., moving between types of housing)																				
Subtotal unduplicated number of households/units of housing assisted	580	510	70																	
Supportive Services																				
Supportive Services in conjunction with housing activities (for households above in HOPWA or leveraged other units)																				
Housing Placement Assistance																				
Housing Information Services	0	0	0																	
Permanent Housing Placement Services	0	0	0																	
Housing Development, Administration, and Management Services																				
Resource Identification to establish, coordinate and develop housing assistance resources																				
Project Outcomes/Program Evaluation (if approved)																				
Grantee Administration (maximum 3% of total) (i.e., costs for general management, oversight, coordination, evaluation, and reporting)																				
Project Sponsor Administration (maximum 7% of total) (i.e., costs for general management, oversight, coordination, evaluation, and reporting)																				
Other Activity (if approved in grant agreement) Specify:																				
1	0	0	0																	
2	0	0	0																	
3	0	0	0																	
4	0	0	0																	

HOPWA Performance Chart 2

Type of Housing Assistance	Total Number of Households Receiving Assistance	Average Length of Stay [in weeks]	Number of Households Remaining in Project at the End of the Program Year	Number of Households that left the Project	What happened to the Households that left	PY1	PY2	PY3
Tenant-based Rental Assistance	0	PY1	PY1	#VALUE!	Emergency Shelter			
	0	PY2	PY2	#VALUE!	Temporary Housing			
	0	PY3	PY3	#VALUE!	Private Hsg			
	0	PY4	PY4	#VALUE!	Other HOPWA			
	0	PY5	PY5	#VALUE!	Other Subsidy			
Short-term Rent, Mortgage, and Utility Assistance	0	PY1	PY1	#VALUE!	Institution			
	0	PY2	PY2	#VALUE!	Jail/Prison			
	0	PY3	PY3	#VALUE!	Disconnected			
	0	PY4	PY4	#VALUE!	Death			
	0	PY5	PY5	#VALUE!				
Facility-based Housing Assistance	0	PY1	PY1	#VALUE!	Emergency Shelter			
	0	PY2	PY2	#VALUE!	Temporary Housing			
	0	PY3	PY3	#VALUE!	Private Hsg			
	0	PY4	PY4	#VALUE!	Other HOPWA			
	0	PY5	PY5	#VALUE!	Other Subsidy			

**NOTICE OF PUBLIC HEARING FOR THE CITY OF ROCHESTER FY 2013-14
ANNUAL ACTION PLAN FOR COMMUNITY DEVELOPMENT BLOCK GRANT,
HOME, ESG AND HOPWA FUNDING**

Notice is hereby given that the City of Rochester is currently preparing its Annual Action Plan for FY 2013-14. The City will apply for funding from the U.S. Department of Housing and Urban Development (HUD), including Community Development Block Grant (CDBG) funds, Home Investment Partnership (HOME) funds, Emergency Solutions Grant (ESG) funds (formerly Emergency Shelter Grant) and Housing Opportunities for Persons with AIDS (HOPWA) funds.

In accordance with the Final Rule for revised program regulations, 24 CFR, Parts 91, et. al. and the City's citizen participation plan, the City intends to conduct a community-wide public hearing to address housing and community development needs. The purpose of the hearing is to obtain the views and comments of individuals and organizations relative to housing and community development needs that may be addressed by CDBG, HOME, ESG AND HOPWA funds. Individuals may also comment on the City's performance in carrying out CDBG, HOME, ESG and HOPWA activities. Individuals and organizations will be given the opportunity to recommend specific activities to be carried out with FY 2013-14 CDBG, HOME, ESG and HOPWA funds.

PUBLIC HEARING

Date: April 9, 2013
Time: 6:00-7:30 PM
Location: Council Chambers
City Hall
30 Church Street
Rochester, NY 14614

The City expects to publish a summary of its proposed Annual Action Plan for FY 2013-14 on or about May 18, 2013. A copy of these documents will be placed on public display for a thirty day review period beginning on or about May 18, 2013. A second public hearing will be duly advertised and conducted to present the proposed plan to the public. The City will consider comments on the proposed plan prior to adoption by the governing body of the City of Rochester on June 18, 2013. The City intends to submit its Annual Action plan to HUD on or before June 30, 2013. The program year is July 1, 2013-June 30, 2014.

Information relative to the FY 2013-14 Annual Action Plan is available during normal business hours of 9 A.M. to 5 P.M., Monday through Friday in the Department of Neighborhood and Business Development, 30 Church Street, Room 224B, Rochester, NY 14614.

Assisted listening devices and/or alternative formats are available upon request: American Sign Language (ASL) interpreters; other auxiliary aids and services, or reasonable modifications to City meeting policies and/or procedures such as to assist members of the disabled community who would like to request a disability-related accommodation in addressing the City, are available if requested at least 3 business days prior to the meeting. Later requests will be accommodated to the extent feasible. Any person with a disability requiring special accommodations to attend the public meeting should notify Lissette Soto at (585) 428-8662. Every effort will be made to provide reasonable accommodations. The telephone number for the hearing impaired is TTY (800) 662-1220.

The City provides bilingual services to the Hispanic community. For assistance, please telephone (585) 428-8662 from 9 AM to 5 PM Monday through Friday at least three (3) business days prior to the City Council meeting to request these services.

Mary Kay Kenrick
Associate Administrative Analyst

Appendix

CITY OF ROCHESTER SECTION 3 OF THE HOUSING AND URBAN DEVELOPMENT ACT IMPLEMENTATION PLAN

The following is the current Section 3 Implementation Plan. A review of the plan is underway. It is in the process of being revised.

Background

Section 3 of the Housing and Urban Development Act of 1968 requires that economic opportunities generated by federally assisted housing and community development programs shall, to the greatest extent feasible, be given to low and very low income persons and to businesses that provide economic opportunities for these persons.

Section 3 makes economic development a “benefit” for all seeking assistance to find decent, safe and sanitary housing and a suitable living environment. Section 3 applies to all U.S. Department of Housing and Urban Development formula grant recipients and their contractors and covers opportunities for training, employment and contracts for work arising in connection with:

- Housing rehabilitation
- Housing construction; and
- Other public construction

The requirements of Section 3 apply to the entire project no matter whether the project is fully or partially funded federal assistance. Section 3 also applies to a contractor or subcontractor when the amount of assistance to the project exceeds \$200,000 and the contract or subcontract exceeds \$100,000.

Policy

It is the policy of the City of Rochester to take affirmative steps to give preference for training and jobs to low and very low income city residents. Preference for contracts is given to business concerns which are owned (51 percent) by or which employ (30 percent) low and very low income residents.

Implementation Plan

A. Contractor Utilization

1. The City of Rochester annually enters into approximately 700 contracts and subcontracts, including professional service contracts, for implementation of projects funded by federal housing and community development programs. The dollar amount of these contracts and subcontracts is approximately \$9,000,000. A number of these contracts are awarded for work under Section 3 covered projects.
2. The City of Rochester will take any necessary affirmative steps to assure that Section 3 business concerns are used when possible including:
 - Notifying potential contractors of Section 3 requirements and incorporating a Section 3 clause in all solicitations and business concerns;
 - Placing Section 3 business concerns on solicitation lists;

- Assuring that Section 3 business concerns are solicited whenever they are potential sources;
- Dividing the total project requirements, where economically feasible, into smaller tasks to permit maximum participation by Section 3 business concerns; and
- Requiring the prime contractor, if subcontracts are to be awarded, to take similar affirmative steps.

Section 3 business concerns that provide economic opportunities for Section 3 residents in the service area or neighborhood of the project will be given maximum priority.

3. The Department of Neighborhood and Business Development will provide financial and technical assistance to establish or expand Section 3 business concerns.
4. Continue implementation of City Council Resolution 91-25, which authorizes a policy of providing preferential treatment to local firms in the award of professional service agreements by the City of Rochester.
5. To focus on a results oriented approach, the following annual goals are established and represent minimum targets:
 - At least 10 percent of the total dollar amount of all Section 3 covered contracts for building trades work arising in connection with housing rehabilitation, housing construction, and other public construction will go to Section 3 business concerns; and
 - At least 3 percent of the total dollar amount of all other covered Section 3 contracts will go to Section 3 business concerns.

B. Training and Employment

The training and employment procedures would apply to all contracts and subcontracts over \$100,000.

1. For each contract, a projected work force by trade would have to be submitted by the contractor. The projection should indicate the need for new employees by trade and residency of existing employees.
2. If the contractor requires new employees, Section 3 goals will be established. Maximum preference will be given to Section 3 residents residing in the service areas or neighborhood where the covered project is located.
3. The following training and employment goals have been established:
 - 30 percent of the aggregate number of new hires for the period from July 1, 2010 to June 30, 2011 should be Section 3 residents.
 - 30 percent of the aggregate number of new hires for the period from July 1, 2011 to June 30, 2012 should be Section 3 residents.
 - 30 percent of the aggregate number of new hires for the period from July 1, 2012 to June 30, 2013 should be Section 3 residents.

Section 3 residents will be encouraged to participate in existing training and supportive services and utilize services offered by the Bureau of Youth Services.

C. Section 3 Awareness

1. To increase citizen awareness, the Section 3 Implementation Plan will be appended to the Consolidated Community Development Plan/Annual Action Plan.
2. The City of Rochester's Section 3 goals will be publicized through meetings with community organizations, presentations as part of contractor orientation of bid requirements, incorporation of the Section 3 clause in all covered contracts and

inclusion of Section 3 information in the solicitations or requests for proposals by advising prospective contractors of Section 3 requirements.

D. Section 3 Monitoring and Reporting

1. The Department of Finance will be responsible for Section 3 implementation and monitoring.
2. An annual report will be prepared for the purpose of determining the effectiveness of Section 3. The report will show the number of residents hired and the number of Section 3 business concerns receiving contracts.
3. The City will maintain records showing compliance with Section 3 including copies of advertisements, procurement procedures, solicitations, mailing lists and bid proposals, documentation of preconstruction conferences, letters to community organizations, complaints and records of contact made with unions or contractors' associations.

E. Complaint process

1. Any Section 3 resident or business may file a complaint alleging noncompliance of Section 3 by the City, contractor or subcontractor. Complaints regarding the Section 3 Plan should be addressed to the Director of Finance, City Hall, Room 109A, 30 Church Street, Rochester, New York 14614. The City will make every reasonable effort to provide a written response within 15 days.

Appendix
CONSOLIDATED COMMUNITY DEVELOPMENT PLAN
MINORITY AND WOMEN'S BUSINESS ENTERPRISE OUTREACH PROCEDURES

The City of Rochester will establish and oversee a program to encourage the use of minority and women's business enterprises in connection with Consolidated Plan funded activities. To the maximum extent possible, this program will ensure the inclusion of minorities and women, and entities owned by minorities and women, including, without limitation, real estate firms, construction firms, appraisal firms, management firms, financial institutions, investment banking firms, underwriters, accountants, and providers of legal services in all contracts entered into by the City which involve assistance.

The Department of Neighborhood and Business Development administers a number of independent MBE activities for programs financed from the Consolidated Community Development Plan and other HUD grant programs.

The MBE/WBE outreach program to be developed for Consolidated Community Development Plan funded activities will be based upon the following standards, guidelines and actions prescribed by HUD:

Outreach Standards

1. Outreach efforts shall be undertaken in good faith and be comprehensive and continuing.

The City of Rochester has an impressive record in operating MBE outreach programs. Consolidated Plan outreach efforts will be expanded to include WBE activities.

2. Outreach efforts shall be supported by a statement of public policy and commitment published in the print media of widest local circulation.

A public statement regarding the City's MBE/WBE policies for the Consolidated Plan will be published in the local Gannett-Rochester newspapers. Media coverage will also be encouraged through a written news release.

3. MBE/WBE outreach efforts shall be supported by an office and/or a key, ranking staff person with oversight responsibilities and access to the chief elected official.

The Consolidated Community Development Plan MBE/WBE outreach efforts will be supported by the Commissioner of Neighborhood and Business Development and the Director of Housing.

4. MBE/WBE outreach efforts shall be designed to use all available and appropriate public and private sector local resources.

The Consolidated Community Development Plan MBE/WBE outreach efforts will be coordinated through the Bureau of Business and Housing Development for housing program activities.

Outreach Guidelines

1. Develop a systematic method for identifying and maintaining an inventory of certified MBE's and WBE's.

The Bureau of Business and Housing Development will maintain and publish an inventory of certified MBE's and WBE's for participation in housing programs. The inventory will include the types of entities identified in the first paragraph of these procedures.

2. Use the local media, electronic and print, to market and promote contract and business opportunities for MBE's and WBE's.

The City will pursue a media campaign to solicit MBE & WBE participation in the Consolidated Community Development Plan.

3. Develop information and documentary materials on contract/subcontract opportunities for MBE's and WBE's.

The Bureau of Business and Housing Development will provide written notice of all competitive bidding to certified MBE's and WBE's.

4. Develop solicitation and procurement procedures that facilitate opportunities for MBE's and WBE's.

In addition to the direct solicitation procedure stated in 3, the Department of Neighborhood and Business Development requires that at a minimum, one MBE bid out of four total bids be solicited for all Owner Occupied Rehabilitation and Investor-Owner Loan projects. Consolidated Plan procedures will be coordinated with other existing MBE/WBE solicitation efforts. All prime contractors will be required to incorporate MBE/WBE solicitation requirements.

5. Sponsor business opportunity-related meetings, conferences, seminars, etc. with MBE's and WBE's.

The City will work with minority and women owned business associations to sponsor informational meetings to explain City contract opportunities and bid processes necessary for participation and to produce a useful and complete minority business directory. To help improve our outreach, the City on an ongoing basis will review best practices from other businesses and communities. Also, the City will continue to support and network with the Rochester Minority Business Development Center, Inc. and other organizations committed to expanding the pool of qualified minority and women contractors.

6. Maintain centralized records on the use and participation of MBE's and WBE's.

The Department of Neighborhood and Business Development maintains records and annually reports on MBE and WBE participation for CDBG program activities. Record keeping practices will be amended to incorporate the Consolidated Plan.

Appendix

HOME PROGRAM

HOME PROGRAM AFFIRMATIVE MARKETING REQUIREMENTS AND PROCEDURES FOR MULTIPLE FAMILY HOUSING

The City of Rochester will require that owners of all HOME-assisted housing containing five (5) or more units shall affirmatively market said units to attract eligible persons from all racial, ethnic, and gender groups in the housing market area. The City will annually assess the affirmative marketing program to determine the success of the affirmative marketing activities and the need for any necessary corrective measures.

The owner will make good faith efforts to affirmatively market the units in accordance with the following procedures:

A. Fair Housing Information

1. The owner and the City shall agree upon an initial affirmative marketing strategy.
2. The owner shall prominently display a federal Fair Housing poster in his/her rental office.
3. The owner shall direct all Fair Housing questions from applicants to the City.

B. Marketing Strategy

1. The owner and the City shall agree upon an initial affirmative marketing strategy.
2. The strategy shall establish occupancy goals for the units based upon the demographic characteristics of the market area.
3. The strategy shall describe the specific marketing and outreach activities to be employed by the owners.
4. The marketing strategy may be amended, upon the written approval of the City, to reflect changed market conditions.

C. Marketing Contacts

1. The owner may utilize printed and/or electronic (radio and television) news media to advertise the availability of the units.
2. The owner may utilize local newspapers of general circulation, local magazines, newsletters or neighborhood organizations and housing advocacy organizations, or other approved publications which are known to circulate among low income populations for the placement of written advertisements.
3. The owner shall contact and provide information to any groups or individuals known or believed to be interested in the availability of the affordable rental units.

D. Targeted Outreach

1. The owner shall undertake specific efforts to inform and solicit rental applications from persons in the housing market area who are not likely to apply for the housing without specified outreach. These efforts may be directed toward non-English speaking populations, the developmentally or physically disabled, or other low income populations unlikely to become informed about the availability of the units through the efforts required in paragraph C above.

2. Specialized outreach efforts may be undertaken through direct contact or contacts with organizations known to represent the interests of the population of special concern, e.g. community or advocacy organizations, places of worship, employment centers, fair housing groups, housing counseling agencies, neighborhood health centers, and government agencies delivering services to the populations of special concerns.

E. Recordkeeping

1. The owner shall maintain a copy of the approved marketing strategy.
2. The owner shall maintain records documenting specific efforts taken in accordance with paragraphs A, B, C, and D.
3. The owner shall annually report to the City on activities and results of this affirmative marketing effort and shall make all affirmative marketing records available to the City at its request.
4. Affirmative marketing files shall include copies of advertisements; the racial, ethnic, and gender characteristics of potential tenants (applicants) who respond to the ad; and the characteristics of the tenants who actually rented the units.

F. Annual Assessment

1. The City will independently evaluate and assess the adequacy of the owner's affirmative marketing efforts on an annual basis.
2. The annual assessment will be based upon a number of factors, including but not limited to: consistency with the approved marketing strategy; the owner's success in meeting occupancy goals; the cumulative number of vacancy days; the number of rental applications generated from targeted groups; and the extent to which handicap-accessible units, if any, are occupied by the people for whom they were designed to serve.
3. When it can be determined that affirmative marketing efforts have been limited or unsuccessful, the City will require changes to improve their effectiveness.

In furtherance of the City's commitment to non-discrimination and equal opportunity in housing, it is the City's affirmative marketing goal to assure that individuals who normally might not apply for vacant rental units because of their race, color, ethnicity, religion, sex, handicap, or familial status be made aware of vacancies in housing units funded through the HOME program; feel welcome to apply; and have the opportunity to rent the units.

This policy will be carried out through the affirmative marketing procedures set forth in Section I above and through the following direct actions:

- A. The City will inform the public about: its affirmative marketing policy under the HOME program; the Fair Housing Act; Title VI of the Civil Rights Act of 1964; Executive Order 11063, as amended; and Section 504 of the Rehabilitation Act of 1973.
- B. The City will carry out "A" above by: the placement of public notices in local newspapers of general circulation; the placement of public service announcements through electronic media; the distribution of printed materials; and through community contacts.

HOME PROGRAM
HOMEBUYER RESALE AND HOME SUBSIDY RECAPTURE GUIDELINES

The City of Rochester will comply with the following HOME regulations (24 CFR Part 92.254(a)(5) regarding resale and recapture of HOME subsidies. In addition, prescribed language for both resale and recapture provisions is also provided below.

[Code of Federal Regulations]

[Title 24, Volume 1]

[Revised as of April 1, 2005] From the U.S. Government Printing Office via GPO Access

Title 24—Housing and Urban Development
Part 92-Home Investment Partnerships Program

24 CFR Part 92.254(a)(5)

(5) Resale and recapture. To ensure affordability, the participating jurisdiction must impose either resale or recapture requirements, at its option. The participating jurisdiction must establish the resale or recapture requirements that comply with the standards of this section and set forth the requirements in its consolidated plan. HUD must determine that they are appropriate.

(I) Resale. Resale requirements must ensure, if the housing does not continue to be the principal residence of the family for the duration of the period of affordability, that the housing is made available for subsequent purchase only to a buyer whose family qualifies as a low-income family and will use the property as its principal residence. The resale requirement must also ensure that the price at resale provides the original HOME-assisted owner a fair return on investment (including the homeowner's investment and any capital improvement) and ensure that the housing will remain affordable to a reasonable range of low-income homebuyers. The period of affordability is based on the total amount of HOME funds invested in the housing.

(A) Except as provided in paragraph (a)(5)(i)(B) of this section, deed restrictions, covenants running with the land, or other similar mechanisms must be used as the mechanism to impose the resale requirements. The affordability restrictions may terminate upon occurrence of any of the following termination events: foreclosure, transfer in lieu of foreclosure or assignment of an FHA insured mortgage to HUD. The participating jurisdiction may use purchase options, rights of first refusal or other preemptive rights to purchase the housing before foreclosure to preserve affordability. The affordability restrictions shall be revived according to the original terms if, during the original affordability period, the owner of record before the termination event, obtains an ownership interest in the housing.

(B) Certain housing may be presumed to meet the resale restriction (i.e., the housing will be available and affordable to a reasonable range of low-income homebuyers; a low-income homebuyer will occupy the housing as the family's principal residence; and the original owner will be afforded a fair return on investment) during the period of affordability without the imposition of enforcement mechanisms by the participating jurisdiction. The presumption must be based upon a market analysis of the neighborhood in which the housing is located. The market analysis must include an evaluation of the location and characteristics of the housing and residents in the neighborhood (e.g., sale prices, age and amenities of the housing stock, incomes of residents, percentage of owner-occupants) in relation to housing and incomes in the

housing market area. An analysis of the current and projected incomes of neighborhood residents for an average period of affordability for homebuyers in the neighborhood must support the conclusion that a reasonable range of low-income families will continue to qualify for mortgage financing. For example, an analysis shows that the housing is modestly priced within the housing market area and that families with incomes of 65% to 80 % of area median can afford monthly payments under average FHA terms without other government assistance and housing will remain affordable at least during the next five to seven years compared to other housing in the market area; the size and amenities of the housing are modest and substantial rehabilitation will not significantly increase the market value; the neighborhood has housing that is not currently owned by the occupants, but the participating jurisdiction is encouraging homeownership in the neighborhood by providing homeownership assistance and by making improvements to the streets, sidewalks, and other public facilities and services. If a participating jurisdiction in preparing a neighborhood revitalization strategy under Sec. 91.215(e)(2) of its consolidated plan or Empowerment Zone or Enterprise Community application under 24 CFR part 597 has incorporated the type of market data described above, that submission may serve as the required analysis under this section. If the participating jurisdiction continues to provide homeownership assistance for housing in the neighborhood, it must periodically update the market analysis to verify the original presumption of continued affordability.

- (ii) Recapture. Recapture provisions must ensure that the participating jurisdiction recoups all or a portion of the HOME assistance to the homebuyers, if the housing does not continue to be the principal residence of the family for the duration of the period of affordability. The participating jurisdiction may structure its recapture provisions based on its program design and market conditions. The period of affordability is based upon the total amount of HOME funds subject to recapture described in paragraph (a)(5)(ii)(A)(5) of this section.
 - (A) The following options for recapture requirements are acceptable to HUD. The participating jurisdiction may adopt, modify or develop its own recapture requirements for HUD approval. In establishing its recapture requirements, the participating jurisdiction is subject to the limitation that when the recapture requirement is triggered by a sale (voluntary or involuntary) of the housing unit, and there are no net proceeds or the net proceeds are insufficient to repay the HOME investment due, the participating jurisdiction can only recapture the net proceeds, if any. The net proceeds are the sales price minus superior loan repayment (other than HOME funds) and any closing costs.
 - (1) Recapture entire amount. The participating jurisdiction may recapture the entire amount of the HOME investment from the homeowner.
 - (2) Reduction during affordability period. The participating jurisdiction may reduce the HOME investment amount to be recaptured on a prorate basis for the time the homeowner has owned and occupied the housing measured against the required affordability period.
 - (3) Shared net proceeds. If the net proceeds are not sufficient to recapture the full HOME investment (or a reduced amount as provided for in paragraph (a)(5)(ii)(A)(2) of this section) plus enable the homeowner to recover the amount of the homeowner's down payment and any capital improvement investment made by the owner since purchase, the participating jurisdiction may share the net proceeds. The net proceeds are the sales price minus loan repayment (other than HOME funds) and closing costs. The net proceeds may be divided proportionally as set forth in the following mathematical formulas:

- a. HOME Investment X Net Proceeds = HOME Amount to be Recaptured
HOME Investment +
Homeowner Investment
- b. HOME Investment X Net Proceeds = Amount to Homeowner
HOME Investment +
Homeowner Investment

- (4) Owner investment returned first. The participating jurisdiction may permit the homebuyer to recover the homebuyer's entire investment (down payment and capital improvements made by the owner since purchase) before recapturing the HOME investment.
- (5) Amount subject to recapture. The HOME investment that is subject to recapture is based on the amount of HOME assistance that enabled the homebuyer to buy the dwelling unit. This includes any HOME assistance that reduced the purchase price from fair market value to an affordable price, but excludes the amount between the cost of producing the unit and the market value of the property (i.e., the development subsidy). The recaptured funds must be used to carry out HOME-eligible activities in accordance with the requirements of this part. If the HOME assistance is only used for the development subsidy and therefore not subject to recapture, the resale option must be used.

END OF CITATION FROM 24 CFR Part 92.254(a)(5)

The City of Rochester will use the resale option above, utilizing the following deed restrictive covenant:
The following chart indicates the provision the HOME funded programs will utilize.

Program	Description	Recap	Resale
Home Rochester	Write-down subsidy for the acquisition/rehabilitation for owner occupants	X	
Community Development Organization, CHDO	Write-down subsidy for the acquisition/rehabilitation for owner occupants	X	
New Construction	Infrastructure for Habitat for Humanity and other developers of affordable homeowner-housing	X	
Employer Assisted Housing Initiative	Provides a match of up to up to \$3,000 of downpayment and closing cost assistance to employees of participating employers purchasing homes.		X
Home Purchase Assistance	Provides up to \$3,000 of downpayment and closing cost assistance to buyers purchasing homes on the		X

	private market.		
Homebuyer Assistance	Provides up to \$6,000 of downpayment and closing cost assistance to buyers purchasing CHDO/Home Rochester homes.		X

Resale:

The City shall use the Resale option for the Home Rochester and Affordable New Construction (Homeownership) programs. The following deed restrictive covenant below shall be used. Also, see attached copy of deed restriction that is recorded.

Restrictive Covenant

Title to this property (the "Property") is conveyed subject to the following restrictions:

The Grantee has acquired this property with the assistance of funds from the City of Rochester provided under 24 CFR Part 92, the HOME Investment Partnership Program (the "Regulations"). As a condition of the subsidy, the Grantee agrees:

- A. The Property must be the Grantee's principal residence for ten (10) or fifteen (15) years dependent on HOME investment from the date of this deed.
- B. If the above ten (10) or fifteen (15) year period has not expired and the Grantee sells the Property, the Grantee agrees to sell the Property to a low-income family as defined in the Regulations that will use the Property as its principal residence for the duration of the ten (10) or fifteen (15) year period. Documentation must be provided to the City of Rochester verifying the prospective buyer's income eligibility. The price at resale must: (1) ensure that the Property will remain affordable (as defined in the Regulations) to a reasonable range of low-income homebuyers, and (2) provide the Grantee a fair return on investment (including the Grantee's investment and any capital improvement).

Notwithstanding the aforementioned provisions, the affordability restrictions and residency requirement shall terminate upon occurrence of any of the following termination events:

- 1. Foreclosure;
- 2. Transfer in lieu of foreclosure; or
- 3. Assignment of an FHA insured mortgage to HUD.

However, the affordability restriction and residency requirement shall be revived according to their original terms if, during the original residency period of ten years, the Grantee before the termination event, or any other entity that includes the Grantee or those with whom the Grantee has or had family or business ties, obtains an ownership in the project or property.

In the event that the HOME-assisted Grantee wishes to sell the assisted home, the City of Rochester shall be afforded the right of first refusal to purchase the subject property.

Recapture

The City uses the Recapture option for all of its downpayment and closing cost assistance programs; Employer Assisted Housing Initiative, Home Purchase Assistance Program and the Homebuyer Assistance Program.

The homeowner shall be required to repay a portion of the HOME funds used to assist the homeowner with the original purchase of the home on a pro-rated basis in accordance with the following schedule, per the Note and Mortgage securing the HOME subsidy:

<u>Time Elapsed</u>	<u>Repayment Percentage 5 Year</u>
1st month through 24th month	100%
25th month through 36th month	75%
37th month through 48th month	50%
49th month through 60th month	25%
61st month-	0%

MONROE COUNTY CLERK'S OFFICE
ROCHESTER, NY

THIS IS NOT A BILL. THIS IS YOUR RECEIPT

Return To:

ROCHESTER, NY

ROCHESTER HOUSING DEVELOPMENT FUND CORP

Receipt #

Index DEEDS

Book Page

No. Pages : 4

Instrument DEED

Date :

Time :

Control #

TT #

Ref 1 #

Employee :

COUNTY FEE NUMBER PAGES	\$	12.00
COUNTY FEE RECORDING	\$	8.00
COUNTY FEE TP584	\$	5.00
RP5217 COUNTY FEE	\$	9.00
RP5217 STATE EQUALIZATION FE	\$	116.00
STATE FEE CULTURAL EDUCATION	\$	14.25
STATE FEE RECORDS MANAGEMENT	\$	4.75
STATE FEE TRANSFER TAX	\$	240.00

Total \$ 409.00

State of New York

MONROE COUNTY CLERK'S OFFICE

WARNING - THIS SHEET CONSTITUTES THE CLERKS
ENDORSEMENT, REQUIRED BY SECTION 317-a(5) &
SECTION 319 OF THE REAL PROPERTY LAW OF THE
STATE OF NEW YORK. DO NOT DETACH OR REMOVE.

CHERYL DINOLFO
MONROE COUNTY CLERK

TRANSFER AMT

TRANSFER AMT \$

TRANSFER TAX \$240.00



RECORDED

Bargain and Sale Deed with Covenant against Grantor's Acts - Individual or Corporation

2010 JUN - 1 AM 10:03

THIS INDENTURE, made the ___ day of .

Two Thousand Ten, MONROE COUNTY CLERK

BETWEEN

ROCHESTER HOUSING DEVELOPMENT FUND CORPORATION, with its office at 183 East Main Street, Suite 900, Rochester, NY 14604,

Grantor, and

, residing at ___ Street, Rochester, New York 146

Grantee,

RECORDED
2010 JUN - 1 AM 10:53
MONROE COUNTY CLERK

WITNESSETH that Grantor, in consideration of One Dollar (\$1.00) lawful money of the United States and other good and valuable consideration, paid by Grantee, does hereby grant and release unto the Grantee, the heirs or successors and assigns of Grantee forever,

ALL THAT TRACT OR PARCEL OF LAND, situate in the City of Rochester, County of Monroe and State of New York, being Lot Number ___ as shown on a map of the ___, a subdivision of the ___ part of Lot ___ Township, Range ___ made for the ___, by ___ Surveyor, and filed in the Monroe County Clerk's Office in Liber ___ of Maps, at page ___

Said Lot Number ___ is situate on the ___ of ___ Street and is 30 feet wide and 100 feet deep (more or less) as shown on said map.

Subject to all covenants, easements and restrictions of record affecting said premises, if any.

Being and hereby intending to convey the same premises conveyed to the Grantor by deed recorded in the Monroe County Clerk's Office in Liber ___ of Deeds, page ___

Tax Account No.:

Property and Tax Mailing Address:

Rochester, New York .

This conveyance does not represent all, or substantially all of the assets of Grantor, and is done in the normal course of business.

Title to this property (the "Property") is conveyed subject to the following restrictions:

The Grantee has acquired this property with the assistance of funds from New York State Division of Housing and Community Renewal, the City of Rochester and/or the State of New York provided under 24 CFR §92 (the "Regulations"), the HOME Investment Partnership Program. As a condition of the subsidy, the Grantee agrees:

A. The Property must be the Grantee's principal residence for ten (10) years from the date of this deed.

B. If the above ten (10) year period has not expired and the Grantee sells the Property, the Grantee agrees to sell the Property to a low-income family as defined in the Regulations that will use the Property as its principal residence for the duration of the ten (10) year period. The Property must remain affordable as defined in the Regulations to low-income families for a period of ten (10) years commencing upon recording of this deed.

Notwithstanding the aforementioned provisions, the affordability restrictions and residency requirements shall terminate upon occurrence of any of the following termination events:

1. Foreclosure;
2. Transfer in lieu of foreclosure; or
3. Assignment of an FHA insured mortgage to HUD.

However, the affordability restrictions and residency requirement shall be revived according to their original terms if, during the original residency period of ten (10) years, the Grantee before the termination event, or any entity that includes the Grantee or those with whom the Grantee has or had family or business ties, obtains an ownership in the project or property.

In the event that the HOME-assisted Grantee wishes to sell the assisted home, the City of Rochester shall be afforded the right of first refusal to purchase the subject property.

The HOME assisted Grantee shall be entitled to a fair return on their investment, including any capital improvements which may have been made to the subject property. However, the homeowner is not guaranteed a return on their investment through the HOME Program. The return on investment will be dictated by market conditions at the time of the sale. The return on investment shall be considered fair if it does not exceed the value of the homeowner's equity investment, plus closing costs incurred in the resale, plus a rate of property appreciation which does not exceed the average rate of appreciation for residential properties of the same type as established by the City Assessor for the period of ownership, plus the value of any capital improvements which may have been made to the subject property.

TOGETHER with all right, title and interest, if any, of Grantor in and to any streets and roads abutting the above described premises to the center lines thereof; TOGETHER with the appurtenances and all the estate and rights of Grantor in and to said premises; TO HAVE AND TO HOLD the premises herein granted unto Grantee, the heirs or successors and assigns forever.

AND Grantor covenants that he has not done or suffered anything whereby the said premises have been encumbered in any way whatsoever, except as aforesaid.

AND that in Compliance with Sec. 13 of the Lien Law, Grantor will receive the consideration for this conveyance and will hold the right to receive such consideration as a trust fund to be applied first for the purpose of paying the cost of the improvement and will apply the same first to the

payment of the cost of the improvement before using any part of the total of the same for any other purpose.

Grantee executes this deed for the sole purpose of acknowledging the restrictions contained herein.

The words "Grantor" or "Grantee" shall be construed as if it read "Grantors" or "Grantees" whenever the sense of this indenture so requires.

IN WITNESS WHEREOF, Grantor and Grantee have executed this indenture as of the day and year first above written.

IN PRESENCE OF:

ROCHESTER HOUSING DEVELOPMENT
FUND CORPORATION

By: [Signature]

STATE OF NEW YORK
COUNTY OF MONROE SS.:

On the ____ day of ____ 2010, before me, personally appeared _____ personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that she executed the same in her capacity, and that by her signature on the instrument, the individual, or the person upon behalf of which the individual acted, executed the instrument.

[Signature]
Notary Public LUZ N ALVAREZ
NOTARY PUBLIC-STATE OF NEW YORK
No. 01AL6192142
Qualified in Monroe County
My Commission Expires August 25, 2012

STATE OF NEW YORK
COUNTY OF MONROE SS.:

On the ____ day of ____, 2010, before me, personally appeared _____ personally known to me or proved to me on the basis of satisfactory evidence to be the individual(s) whose name(s) is (are) subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their capacity(ies), and that by his/her/their signature(s) on the instrument, the individual(s), or the person upon behalf of which the individual(s) acted, executed the instrument.

KEVIN E. BRZEZINSKI
NOTARY PUBLIC, State of New York
Reg. No. 01BR6140771
Monroe County
My Commission Expires Feb. 13, 2014

[Signature]
Notary Public

Consolidated Community Development Plan

Citizen Participation Plan

Background

In 1995, the United States Department of Housing and Urban Development (HUD) consolidated into a single submission the planning and application aspects of the following four HUD community development formula grant programs: Community Development Block Grant (CDBG), Emergency Shelter (now Solutions) Grant (ESG), Home Investment Partnerships (HOME), and Housing Opportunities for Persons with AIDS (HOPWA). The reporting requirements for these programs were also consolidated.

The Department of Neighborhood and Business Development (NBD), Office of the Commissioner is the lead agency responsible for the consolidated plan submission and reporting to HUD. NBD's Bureau of Business and Housing Development is responsible for CDBG and the HOME Programs housing activities, ESG and HOPWA programs, and manages CDBG assisted business development activities. The Department of Recreation and Youth Services administers CDBG assisted public service projects. The Department of Environmental Services is responsible for CDBG assisted public facilities and improvements.

The City of Rochester encourages citizens to participate in the planning and implementation of the Consolidated Community Development Plan. Public meetings are held at times convenient for citizens and at locations within the program target areas that are accessible to the disabled. In addition, the City provides opportunities for citizens to submit written comments, proposals, and recommendations. It also publishes and distributes material in both English and Spanish upon request.

HUD requires the City to adopt a citizen participation plan for the consolidated planning, application, and reporting processes. The Plan also covers Section 108 Loan Guarantee Program.

Purpose

The Citizen Participation Plan establishes policies and procedures to encourage citizen involvement in planning for the use of federal funds that are available under the Community Development Block Grant (CDBG), Emergency Solutions Grant (ESG), Home Investment Partnership (HOME), and Housing Opportunities for Persons with AIDS (HOPWA). The City promotes citizen participation in the following activities:

- Development of the Consolidated Community Development Plan which contains a five-year strategic plan that outlines strategies and goals for use of federal funds;
- Development of each Annual Action Plan, which describes specific projects and activities that will be undertaken during the year with federal funds to address priority needs;
- Review and comment on substantial amendments to the Consolidated Community Development Plan and/or Annual Action Plan;
- Review and comment on the annual Consolidated Annual Performance and Evaluation Report which describes the process of implementing the Plan through the proposed actions identified in the Annual Action Plan; and,
- Review and comment on substantial amendments to the Citizen Participation Plan.

The City of Rochester encourages participation by low- and moderate-income persons, particularly those living in slum and blighted areas, and in areas where CDBG funds are proposed to be used, and by residents of predominantly low- and moderate-income neighborhoods. Predominantly low- and moderate-income neighborhoods are defined as those where 51% or more of the residents have incomes that are 80% or less of the median family income. Actions are taken to encourage participation by minorities, non-English speaking persons and persons with disabilities. Residents of public and assisted housing and other low-income residents of targeted revitalization areas in which public and assisted housing is located are also encouraged to participate.

Consolidated Community Development Plan and Action Plan

The City submits a Consolidated Community Development Plan every five years and an Action Plan each year to the U.S. Department of Housing and Urban Development (HUD).

The Consolidated Community Development Plan consists of a strategic plan and an annual action plan. The strategic plan contains a community development needs assessment, a housing market analysis, and long term strategies to meet priority needs. The annual action plan describes specific projects and activities that will be undertaken in the coming year with federal funds to address priority needs. The proposed Consolidated Plan covers the period from July 1, 2010 through June 30, 2015.

The City of Rochester may also participate in the Section 108 Loan Guarantee Program. Under the program, the City of Rochester may borrow from the federal government an amount equal to five times its annual Community Development Block Grant, using the grant as security. The City may then utilize these funds for eligible activities such as acquisition, rehabilitation, and economic development.

Among other input, the development of strategic plans for the four quadrant areas provides context and direction to the Consolidated Community Development Plan.

The Goals and Policy Priorities adopted by the Administration also guide the development of the plan. These include:

- Promote policies that grow the local economy, ensuring adequate jobs and income for all residents;
- Make investing in city properties an affordable and competitive alternative to the suburbs;
- Sustain safe and vibrant city neighborhoods and businesses;
- Maximize home ownership;
- Assist property owners in meeting their financial obligations so they have a better chance of retaining their properties;
- Encourage owners and tenants to maintain their properties in ways that enhance value and condition;
- Provide incentives to motivate property owners to comply with building codes, to maximize the need for enforcement.

The City consults with local public agencies that assist low and moderate income persons, including City staff, State and federal agencies, and neighboring local government and regional agencies. The City also consults with private agencies, including local nonprofit service providers and advocates such as the local public housing agency, health agencies, homeless service providers, nonprofit housing developers,

social service agencies (including those focusing on services to children, the elderly, persons with disabilities, persons with HIV/AIDS, and persons with substance abuse problems).

There are also opportunities for citizens, nonprofit organizations, and other interested parties to review and comment on a draft Annual Action Plan before its implementation.

The City's Housing Policy guides the allocation of CDBG and HOME resources available to the City of Rochester to address priority housing needs and specific objectives.

The City will hold a general meeting on April 9, 2013 in City Council Chambers to provide information on the plan and solicit input on community development and housing needs.

The City has sent a request to the Department of Housing and Urban Development for an extension for the submission of the Consolidated Community Development Plan/2013-14 Annual Action Plan. It is anticipated that the plan will be submitted by June 30, 2013.

A Draft Annual Action Plan will be prepared and published by May 18, 2013. In addition to the activities proposed to be undertaken, the Plan will detail the amount of federal assistance expected to be received (including grant funds and program income) and the range of activities that may be undertaken, including the estimated amount that will benefit persons of low and moderate income and the plans to minimize displacement of persons and to assist any persons displaced.

If, as a result of a program activity, any residential displacement and relocation must occur, the City of Rochester ensures that it will develop an Anti-Displacement and Relocation Plan in connection with that project in accordance with federal regulations. Specifically, the City will comply with the anti-displacement and relocation requirements of the Uniform Relocation Act and the Housing and Community Development Act of 1974, as amended, and implementing regulations of 24 CFR Part 42.

The City will publish a notice in the Democrat & Chronicle which will summarize the Consolidated Community Development Plan/Annual Action Plan. It will contain a description of the contents and purposes of the Plan and a list of locations where copies of the entire proposed Plan may be reviewed.

The Plan will be available at public libraries and copies will be available in the Department of Neighborhood and Business Development, and the Bureau of Communications in City Hall, which are accessible to the disabled. It will also be posted on the City's website. The City will make a reasonable number of free copies of the Plan to citizens and groups that request it. The Plan will be available for 30 days for comment.

The Draft Plan will be submitted to City Council for its consideration. The City will hold a public hearing on the draft Consolidated Community Development Plan. At this hearing, the public is invited to comment on all phases of the Plan, including housing and community development needs, proposed activities, and program performance. The City will consider any comments or views of citizens received in writing, or orally at public hearings in preparing the final Plan.

After the City Council adopts the Plan, it will be submitted to the United States Department of Housing and Urban Development for approval. Copies of the adopted Plan will be available in the Department of Neighborhood and Business Development, and the Communications Bureau in City Hall. A summary of

all comments received within the 30-day comment period will be included in the Final Plan that is submitted to HUD.

Submission of Comments and Proposals

The public is invited to make its views on needs and priorities known, as well as proposals for specific activities. These can be submitted in writing to Associate Administrative Analyst, Room 224B, City Hall, 30 Church Street, Rochester, New York 14614. Responses to written submissions will be provided.

Technical Assistance

City staff is available upon request to help citizens and groups adequately participate in all aspects of the planning of the Consolidated Community Development Program. All requests for technical assistance should be directed to Associate Administrative Analyst, Room 224B, City Hall, 30 Church Street, Rochester, New York 14614.

Bilingual Services

This year, as in the past, bilingual services will be provided to the Hispanic community. Information handouts will be made available in Spanish, if requested. Bilingual assistance is also available upon request to help interpret information.

Comments and Complaints

The City will consider any comments or views of citizens received in writing or orally at public hearings in preparing the final Consolidated Community Development Plan, amendments to the Plan or the Consolidated Annual Performance and Evaluation Report. A summary of these comments or views and a summary of any comments or views not accepted and the reasons will be attached to the final Consolidated Community Development Plan, amendments to the Plan or Performance Report.

Any complaints regarding this Citizen Participation Plan or the development or implementation of the Consolidated Community Development Plan should be addressed to Associate Administrative Analyst, Department of Neighborhood & Business Development, Room 224B, City Hall, 30 Church Street, Rochester, NY 14614. The City will make every reasonable effort to provide written responses within 15 days.

Publicity

The meetings and public hearings will be publicized through the use of newspaper ads, press releases, posting on the City's website, or direct mailings to sector committees. Adequate advance notice with sufficient information about the subject will be provided to permit informed comment.

2013-14 Consolidated Community Development Plan/Implementation Phase

The implementation phase of the Plan will begin on July 1, 2013. There are also opportunities for participation in the implementation and evaluation of the Plan's performance.

Plan Implementation and Community Involvement

The first step in implementing the various activities funded by the Consolidated Community Development Plan is appropriation of funds by City Council. Such action will take place throughout the program year.

Amendments

The need may arise during implementation to modify or amend the approved Annual Plan. Certain changes will be minor in nature and will not require public notification or citizen participation prior to the implementation of such changes. Other changes, defined as substantial, will require public notification and public review. The following changes are considered substantial amendments to the Consolidated Community Development Plan/Annual Action Plan:

- To make a substantial change in its allocation priorities or a substantial change in the method of distribution of funds;
- To carry out an activity, using funds from any program covered by the Consolidated Plan (including program income) not previously described in the action plan;
- Increasing or decreasing an activity's budget by more than 25%;
- Providing interim financing such as a "float loan";
- Transferring funds from a contingency;
- To change the purpose, scope, location or beneficiaries of an activity; or
- A Section 108 Loan that was not described in the Plan.

The City will publish a notice which will inform the public of the proposed changes and locations where a copy of the draft amendment may be reviewed. Interested parties will have 30 days to provide written comments on the proposed amendment. The City Council will hold a public hearing prior to considering amendments to the Plan. A description of the amendment(s) will be available at the hearing. A summary of all comments received within the 30 day period will be included in the substantial amendment to the Consolidated Community Development Plan/Annual Action Plan that is finally submitted to HUD.

Program Performance

The City of Rochester converted to the Integrated Disbursement and Information System in December 1996. The system enables the City to review a program's progress and monitor its performance on an ongoing basis.

A written Consolidated Annual Performance and Evaluation Report will be prepared annually. The report will contain a summary of resources and programmatic accomplishments, the status of actions taken to implement the strategy contained in the Consolidated Community Development Program, and evaluation of progress made during the year in addressing identified priority needs and objectives.

The City will publish a notice in the Democrat and Chronicle which will inform the public of the availability of the CAPER for review and comment and the locations where a copy of the draft document may be reviewed. Copies of the report will be available in the Department of Neighborhood and Business Development and the Communications Bureau in City Hall.

Interested parties will have 15 days to provide written comments on the report. A summary of all comments received within the 15-day period will be included in the CAPER that is finally submitted to HUD.

Records

The City of Rochester will provide reasonable and timely access to information and records relating to the Consolidated Plan and use of funds during the preceding five years, if available. Due to staff limitations, the City may not be able to analyze information and prepare specialized reports.

Copies of the following documents pertaining to the Consolidated Community Development Program and Annual Action Plan will be on file as they become available for public examination during regular business hours at the Rundel Library (Local History Division) located at 115 South Avenue and the Department of Neighborhood and Business Development (City Hall, Room 224 B, 30 Church Street, Rochester, NY):

1. Citizen Participation Plan
2. Draft Consolidated Community Development Plan
3. Final Consolidated Community Development Plan
4. Performance and Evaluation Report

Copies of these documents are also available by request.

WANTED

OR GO TO
icicle.com

Wheels

1530
★
FORD F-250 DIESEL 2003
Power, Stroke, 122K, 6.0L
very good cond. Best Deal!
Recent NYS! (job relocation)
\$12,000 (585) 455-9528

NISSAN FRONTIER '05 E
2008 KING CAB 7th owner
31K, V6, 4wd, 6 spd, trans,
overdrive, alloy wheels, all
options immaculate! Asking
\$17,400! 585-984-2815

Only Classified at ONLINE
24-Hour a Day
DemocratandChronicle.com

Wheels

1550
PLOW WESTERN
Unimount, 7'1/2" N. for '94
Chevy, w/til new wiring, Joy-
deck, 3' back blade, new
pump oil in, really good shape
\$700 offer. (585) 333-2158

1560
AUTO CREDIT: Auto finance
guaranteed Doan E-Z Auto
5018 W. Ridge Rd, 332-1111.

1570
Need Cash?
We Pay More!
Car price is
determined
by car's value
not weight.

Northside
Salvage
585-676-1020
Also buying:
•Catalytic
converters
•Aluminum
wheels
•Car batteries

apartments.com
more under the roof

Your most
comprehensive
apartment
resource.

Make a great career move.
Find a job with CareerKallid
Go to DemocratandChronicle.com
and click on Jobs.

Wheels

Bargain
Wheels

Autos, Parts, Trucks, etc. \$299 or less

1540
CHEVROLET CAVALIER
2005, 2 dr, 5 sp, 1 owner,
front cover, 127K, Like
new \$2900 585-520-1219

1606
KEYSTONE COUGAR: 2013
Xite 28' trailer 24RLS, sleeps
4-6, outside grill, 25K else-
where, pics on web \$20,900,
0 miles. 585-203-1182

DODGE NEON 2003
FOR PARTS ONLY
AS-IS: \$500 (585) 663-1887
days & evenings

PONTIAC GRAND PRIX
2002, 4 dr, 119K auto, clean,
runs great, new tires, just
NYS! \$2700, 585-353-5487

PETERBILT 379
2005, like new condition,
550HP, 18 Speed, manual,
diesel, all the extras! \$40,900
347-576-0189
sss.pont@gmail.com

Read the Employment Classifieds it
may be your best career move yet!

There's TRUST
in Classified Advertising.

Try the Classifieds once
and you'll be sold!
In print: CALL 585-454-1111.
ONLINE: DemocratandChronicle.com

Pets

2610
SHIH-TZU puppies - 6 & 10
wks. old, M&F (F's, several
different colors, wormed & 1st
shots, \$500 ea. 507-292-3888

SHIH-TZU PUPPIES
(purchased) 1st shots, vet
checked, 14/25 best offer
585-721-3827

Shih Tzu: Three weeks old,
AKC, SHIH-TZU puppies. Par-
ents of promise, good health
records, \$500 after 8 weeks.
\$750 senior ready (trained at
16 weeks), \$550 (trained at
\$750) trained. 585-775-7351
marfel@tds.com

SHIH-TZU-YORKIE puppy
9 wks. male. Very cute & playful
\$300 (Serious animal lovers
only). (585) 217-2345

TOY POODLE PUPPIES
ready for Easter, AKC, jet
black boys 7-9 lbs as adults,
\$600 ea. (585) 746-8032 or
laketalk@aol.com can text

WESTIE MINI: American Eski-
mo 6 yr old, all white,
good natured, very protective,
working for a good home.
Free. Hamlin, (585) 413-8063

YORKIE PUPS & Adult
Reg. very cute & small
vet checked, shots, wormed
Non Smoking/Ready Now
\$600 P2263 585-704-2996

YORKSHIRE TERRIER
PUPS (F, F, F, AKC reg.
small & adorable) \$1000 (1st
shots, wormed, dewormed, &
tells done, vet checked).
585-683-3227

2620
CAT: FURBIE! I am an awk-
ward spay! I'm a boy, I'm
kneading & I can't take the
long. I am in need of a lov-
ing home ASAP.
585-924-8172/266-4588

CAT: YOUNG ADULT F,
long black hair, very sweet,
spayed, shots, tested nega-
tive, inside only, in adult home.
\$25. 585-607-3540

2650
ATTENTION!
Dog Tax: Obsolete! Want a
better trained pet? Over

Legals

4900
NOTICE OF
PUBLIC HEARING
FOR THE CITY OF
ROCHESTER FY 2013-14
ANNUAL ACTION PLAN
FOR COMMUNITY
DEVELOPMENT BLOCK
GRANT, HOME, ESG AND
HOPWA FUNDING

Notice is hereby given that
the City of Rochester is cur-
rently preparing its Annual
Action Plan for FY 2013-14.
The City will apply for fund-
ing from the U.S. Department of
Housing and Urban Develop-
ment (HUD), including Com-
munity Development Block
Grant (CDBG) funds; Home
Investment Partnership
(HOME) funds; Emergency
Solutions Grant (ESG) funds;
(formerly Emergency Shelter
Grant) and Housing Opportu-
nities for Persons with AIDS
(HOPWA) funds.

In accordance with the Final
Rule for revised program regu-
lations, 24 CFR, Parts 91,
et al. and the City's citizen
participation plan, the City in-
tends to conduct a
community-wide public hear-
ing to address housing and
community development
needs. The purpose of the
hearing is to obtain the views
and comments of individuals
and organizations relative to
housing and community de-
velopment needs that may
be addressed by CDBG,
HOME, ESG AND HOPWA
funds. Individuals may also
comment on the City's per-
formance in carrying out
CDBG, HOME, ESG and
HOPWA activities. Individu-
als and organizations will be
given the opportunity to re-
commend specific activities to
be carried out with FY 2013-14
CDBG, HOME, ESG and
HOPWA funds.

PUBLIC HEARING
Date: April 9, 2013
Time: 6:00-7:30 PM
Location:
Council Chambers
City Hall
30 Church Street
Rochester, NY 14614

The City expects to publish a
summary of its proposed An-
nual Action Plan for FY 2013-
14 on or about May 16, 2013.
A copy of these documents
will be placed on public dis-
play for a thirty day review
period beginning on or about
May 16, 2013. A second
public hearing will be duly ad-
vertised and conducted to
present the proposed plan to
the public. The City will con-

sider comments on the pro-
posed plan prior to adoption
by the governing body of the
City of Rochester on June 18,
2013. The City intends to
submit its Annual Action Plan
to HUD on or about June 30,
2013. The program year is
July 1, 2013-June 30, 2014.

Information relative to the FY
2013-14 Annual Action Plan
is available during normal
business hours of 9 A.M. to 5
P.M., Monday through Friday,
in the Department of Neigh-
borhood and Business De-
velopment, 30 Church Street,
Room 224B, Rochester, NY
14614.

Assisted listening devices
and/or alternative formats are
available upon request.
American Sign Language
(ASL) interpreters; other aux-
iliary aids and services, or
reasonable modifications to
City meeting policies and/or
procedures such as to assist
members of the disabled
community who would like to
request a disability-related
accommodation in address-
ing the City, are available if
requested at least 3 business
days prior to the meeting.
Later requests will be accom-
modated to the extent feasi-
ble. Any person with a disabil-
ity requiring special accom-
modations to attend the pub-
lic meeting should notify
Lisa Solt at (585) 428-
9652. Every effort will be
made to provide reasonable
accommodations. The tele-
phone number for the hear-
ing is: TTY: (800)
682-1220.

The City provides bilingual
services to the Hispanic com-
munity. For assistance
please contact (585) 428-
9652 from 9 AM to 5 PM
Monday through Friday at
least three (3) business days
prior to the City Council
meeting to request these
services.

May Kay Kenrick
Associate Administrative
Analyst HIK-1X

CITATION
SURROGATE'S COURT,
ONTARIO COUNTY,
NEW YORK
FILE NO. 2013-73
TO: Sylvia Herr (mother of
James C. Lydon, whose
whereabouts are unknown) If
living, but if dead, her distrib-
utes, legal representatives,
assigns and all persons who
by purchase or otherwise
have an interest in the estate
of James C. Lydon, de-
ceased, derived through Syl-

via Herr, whose address is
unknown to the petitioner. A
petition having been duly
filed by Jeffrey G. Lydon who
is domiciled at 4401 McIntyre
Road, Trumansburg, New
York 14882, and
HEREBY CITED TO SHOW
CAUSE before the Surro-
gate's Court, Ontario County,
at 27 North Main Street, Canan-
daga, New York, on April
16, 2013 at 1:30 o'clock in
the afternoon, of that day,
why a decree should not be
made in the estate of James
C. Lydon lately domiciled at
7170 Gorkick Road, Naples,
New York, 14812, admitting
to probate a Will dated Janu-
ary 16, 2013, as the Will of
James C. Lydon, deceased,
relating to real and personal
property, and directing that
Letters Testamentary issued
to Jeffrey G. Lydon, Dated,
Attested and Seated March
7, 2013, Hon. Frederick G.
Kerney, Surrogate, Edward C.
Kerney, Kerney & Kerney,
11 North Street, Cananda-
gua, New York 14424 (585)
394-2068. HKC-4x

LEGAL NOTICE
Northern Sky Capital Man-
agement LLC
Northern Sky Capital Man-
agement LLC filed Articles of
Organization, with NYS on
February 09, 2013. Its prin-
cipal office is in Monroe County,
New York. The principal
business location is 375 Mt.
Hope Ave., Rochester, NY,
14620. The Secretary of
State has been designated
as its agent and the post of-
ice address to which the
Secretary of State shall mail
a copy of any process against
it is c/o Northern Sky Capital
Management, 375 Mt.
Hope Ave., Rochester,
NY, 14620. Purpose: Any
suitable purpose. HJK-6X

LEGAL NOTICE
Notice of formation of Katana
Services, LLC. Filed with
Secy. of State of N.Y. (SSNY)
on 03/04/2013.
Office location: Monroe
County, Principal business
location: 220 Wilcox Road
Way, Penfield, New York
14626. SSNY designated as
agent of LLC upon whom
process against it may be
served. SSNY shall mail
process to 226 Willow Pond
Way, Penfield, New York
14626. Purpose: Any lawful
acts or activities for which
LLCs may be organized. HKB-6x

LEGAL NOTICE
Notice is hereby given that
an order entered by the Su-
preme Court of the County
of Monroe on the 07th day of
March 2013, bearing Index
No. 2013-1559, is a copy of
which may be examined at
the office of the clerk, located
in Rochester, NY. I grant me
the right to assume the name
Analia Marie Richards. My
present address is 192 Car-
away Lane, Spencerport, NY
14559. My date of birth is
March 20, 2003. My present
name is Analia Marie
Dunnes. HJK-1X

LEGAL NOTICE
Notice of Formation of RO-
QUE REAL PROPERTY LLC
File No. 2013-73
of State of N.Y. (SSNY) on
02/14/2013. Office location:
Monroe County, SSNY desig-
nated as agent of LLC upon
whom process against it
may be served. SSNY shall
mail process to: ROQUE REAL
PROPERTY, LLC, 42
Shepard Street, Rochester,
NY 14620. Purpose: Any law-
ful activity. HJA-6x

Legals

4900
LEGAL NOTICE
NOTICE IS HEREBY GIVEN
THAT an order entered by
the supreme court, on the
28th day of February, 2013,
bearing Index 20132173, a
copy of which may be exam-
ined at the Office of the
Clerk, located at 3139 West
Main Street, Rochester, New
York, grants me the right ef-
fective on the 28th day of
February, 2013 to assume the
name of Brandon Michael
MacGregor. My present ad-
dress is: 4428 Mt. Road
Bldg. Rochester, New York
14616; The place of my birth
Rochester, New York. My
present name is Brandon Mi-
chael Pugh.

LEGAL NOTICE
Notice of formation of Katana
Services, LLC. Filed with
Secy. of State of N.Y. (SSNY)
on 03/04/2013.
Office location: Monroe
County, Principal business
location: 220 Wilcox Road
Way, Penfield, New York
14626. SSNY designated as
agent of LLC upon whom
process against it may be
served. SSNY shall mail
process to 226 Willow Pond
Way, Penfield, New York
14626. Purpose: Any lawful
acts or activities for which
LLCs may be organized. HKB-6x

LEGAL NOTICE
Notice is hereby given that
an order entered by the Su-
preme Court of the County
of Monroe on the 07th day of
March 2013, bearing Index
No. 2013-1559, is a copy of
which may be examined at
the office of the clerk, located
in Rochester, NY. I grant me
the right to assume the name
Analia Marie Richards. My
present address is 192 Car-
away Lane, Spencerport, NY
14559. My date of birth is
March 20, 2003. My present
name is Analia Marie
Dunnes. HJK-1X

LEGAL NOTICE
Notice of Formation of RO-
QUE REAL PROPERTY LLC
File No. 2013-73
of State of N.Y. (SSNY) on
02/14/2013. Office location:
Monroe County, SSNY desig-
nated as agent of LLC upon
whom process against it
may be served. SSNY shall
mail process to: ROQUE REAL
PROPERTY, LLC, 42
Shepard Street, Rochester,
NY 14620. Purpose: Any law-
ful activity. HJA-6x

Invitation for Bids
for
Light Commercial
Baseboard Covers

The Rochester Housing Au-
thority (RHA) will be accept-
ing sealed bids for the
above materials until Mon-
day, March 25, 2013 at
10:00 a.m. at which time bids
will be publicly opened.

Bid packages may be ob-
tained from the RHA Pro-
curement Offices at: 675
West Main Street, Rochester,
New York 14611 or
www.rochesterhousing.org

RHA reserves the right to re-
ject any or all proposals or
waive any informality of tech-
nicity in any proposal
deemed to be in the Interest
of the City. Bids may be
held by the RHA for a period
of time, not to exceed 90 days
from the deadline date for bid
submission.

The Rochester Housing
Authority HKA-2x

City of Rochester
Areas of Racial Concentration (2010) and LMI (2000) Census Block Groups

