

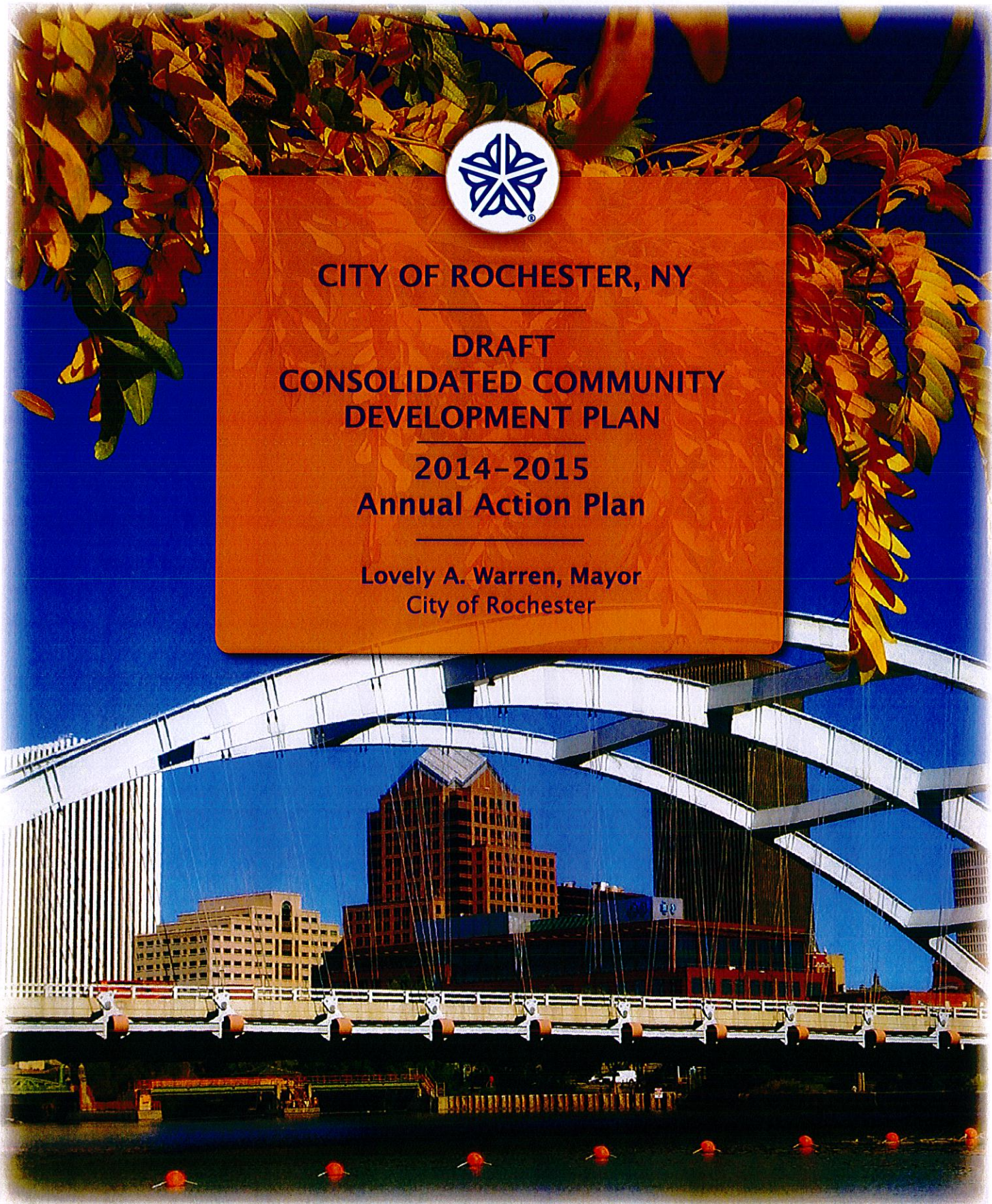


CITY OF ROCHESTER, NY

**DRAFT
CONSOLIDATED COMMUNITY
DEVELOPMENT PLAN**

**2014-2015
Annual Action Plan**

**Lovely A. Warren, Mayor
City of Rochester**



City of Rochester, NY 2014-15 Annual Action Plan

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**APPLICATION FOR
FEDERAL ASSISTANCE**

OMB Approved No. 3076-0006

Version 7/03

| | | | | |
|--|----------|--|---|------------------------------|
| 1. TYPE OF SUBMISSION: Application <input type="checkbox"/> Construction <input checked="" type="checkbox"/> Non-Construction | | Pre-application <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction | 2. DATE SUBMITTED | Applicant Identifier |
| | | | 3. DATE RECEIVED BY STATE | State Application Identifier |
| | | | 4. DATE RECEIVED BY FEDERAL AGENCY | Federal Identifier |
| 5. APPLICANT INFORMATION | | | | |
| Legal Name: | | Organizational Unit: Department: | | |
| Organizational DUNS: | | Division: | | |
| Address: Street: | | Name and telephone number of person to be contacted on matters involving this application (give area code) Prefix: First Name: | | |
| City: | | Middle Name | | |
| County: | | Last Name | | |
| State: | Zip Code | Suffix: | | |
| Country: | | Email: | | |
| 6. EMPLOYER IDENTIFICATION NUMBER (EIN): □□-□□□□□□□□ | | Phone Number (give area code) | | Fax Number (give area code) |
| 8. TYPE OF APPLICATION: <input type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) (See back of form for description of letters.) Other (specify) <input type="checkbox"/> <input type="checkbox"/> | | 7. TYPE OF APPLICANT: (See back of form for Application Types) Other (specify) | | |
| 10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: TITLE (Name of Program): Labor Management Cooperation Program □□-□□□□ | | 9. NAME OF FEDERAL AGENCY: | | |
| 12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.): | | 11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: | | |
| 13. PROPOSED PROJECT Start Date: Ending Date: | | 14. CONGRESSIONAL DISTRICTS OF: a. Applicant b. Project | | |
| 15. ESTIMATED FUNDING: | | 16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS? | | |
| a. Federal | \$ | a. Yes. <input type="checkbox"/> THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON DATE: | | |
| b. Applicant | \$ | b. No. <input type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372 | | |
| c. State | \$ | <input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW | | |
| d. Local | \$ | 17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT? | | |
| e. Other | \$ | <input type="checkbox"/> Yes If "Yes" attach an explanation. <input checked="" type="checkbox"/> No | | |
| f. Program Income | \$ | 18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED. | | |
| g. TOTAL | \$ | a. Authorized Representative | | |
| Prefix | | First Name | | Middle Name |
| Last Name | | Suffix | | |
| b. Title | | c. Telephone Number (give area code) | | |
| d. Signature of Authorized Representative | | e. Date Signed | | |

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential antidisplacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

Signature/Authorized Official

Date

Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
2. Overall Benefit. The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) _____ , _____ (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its

jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K and R;

Compliance with Laws -- It will comply with applicable laws.

Signature/Authorized Official

Date

Title

**OPTIONAL CERTIFICATION
CDBG**

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having a particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

Signature/Authorized Official

Date

Title

Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If the participating jurisdiction intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

Eligible Activities and Costs -- it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

Appropriate Financial Assistance -- before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;

Signature/Authorized Official

Date

Title

ESG Certifications

The Emergency Solutions Grants Program Recipient certifies that:

Major rehabilitation/conversion – If an emergency shelter’s rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation. If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion. In all other cases where ESG funds are used for renovation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

Essential Services and Operating Costs – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the jurisdiction will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the jurisdiction serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The jurisdiction will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal State, local, and private assistance available for such individuals.

Matching Funds – The jurisdiction will obtain matching amounts required under 24 CFR 576.201.

Confidentiality – The jurisdiction has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

Homeless Persons Involvement – To the maximum extent practicable, the jurisdiction will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

Consolidated Plan – All activities the jurisdiction undertakes with assistance under ESG are consistent with the jurisdiction’s consolidated plan.

Discharge Policy – The jurisdiction will establish and implement, to the maximum extent practicable and where appropriate policies and protocols for the discharge of persons from

publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

Signature/Authorized Official

Date

Title

HOPWA Certifications

The HOPWA grantee certifies that:

Activities -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

Building -- Any building or structure assisted under that program shall be operated for the purpose specified in the plan:

1. For at least 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility,
2. For at least 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.

Signature/Authorized Official

Date

Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING:

A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.



Annual Action Plan

The CPMP Annual Action Plan includes the SF 424 and Narrative Responses to Action Plan questions that CDBG, HOME, HOPWA, and ESG grantees must respond to each year in order to be compliant with the Consolidated Planning Regulations.

Narrative Responses

ACTION PLAN

Annual Action Plan includes the SF 424 and is due every year no less than 45 days prior to the start of the grantee's program year start date. HUD does not accept plans between August 15 and November 15.

Executive Summary 91.220(b)

1. The Executive Summary is required. Include the objectives and outcomes identified in the plan and an evaluation of past performance.

The City of Rochester 2014-15 Annual Action Plan contains the one-year plan to carry out housing and community development activities funded by Federal formula grant funds received in the 2014-15 program year from the U.S. Department of Housing and Urban Development (HUD). These funds are from the Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), Emergency Solutions Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA) programs.

A Housing Market Analysis was completed in July 2007. The objective of the analysis was to evaluate the City's current, future, and potential housing market and provide recommendations to guide the development of a new Housing Policy and Plan for the City of Rochester.

A new Housing Policy was approved by the Rochester City Council on March 18, 2008. The Housing Policy will guide the allocation of federal and other resources available to the City of Rochester to address priority housing needs and specific objectives.

The goals and policy priorities adopted by the administration also guided the development of the plan.

Our Mission: We provide unparalleled customer service and sound business practices in delivering every aspect of City services. We also work to alleviate concentration of poverty in the city by reinforcing education, employment and home ownership.

Neighborhood and Business Development Key Result Area: We will provide high quality housing, an environment that encourages successful businesses of all sizes, and safe and attractive neighborhoods to retain our current customers and attract new ones. We will use a team-oriented approach with our residents, investors and community partners to solve problems, address quality of life concerns, support our businesses and enhance our neighborhoods.

Policy Imperative for Neighborhood and Business Development:

- Improve the "look and feel" of every city neighborhood through increased business activity, real estate development, neighborhood revitalization, housing production and rehabilitation.
- Achieve more pro-active, timelier resolution of our priority quality of life issues, using empowered, problem solving teams in quadrant Neighborhood Service Centers.
- Firmly establish the City's reputation as customer-friendly and open for business, by seeking compliance with codes/regulations using the most streamlined and cost-effective practices.
- Recruit businesses that increase our population, jobs, valuation and economic opportunities.

The following funded activities support our policy priorities:

- Provide more mortgage subsidies, grants, and loans to encourage home ownership and the growth of neighborhood businesses;
- Expand availability of programs that assist property investors, home buyers and tenants to maintain the value and condition of their properties;
- Focus City financial resources to leverage private investment in city real estate;
- Rehabilitate salvageable vacant homes and develop vacant lots, in partnership with businesses, universities and community development organizations, in ways that revive entire neighborhoods.

The amount of revenue expected to be available to fund plan activities is estimated to be \$13,257,583. Of this amount, \$11,295,962 represents anticipated new grants from the federal government; \$1,961,621 represents anticipated receipt of loan and interest repayments on prior year Urban Development Action Grant, other program income, and reallocation.

Attachment I provides an indication of the grant amounts and compares them with the 2013-14 program year. A detailed summary of program income is presented in Attachment II.

Interim financing, referred to as a "Float Loan", is a procedure where a community uses CDBG funds for eligible activities that are expected to generate program income in time to carry out other activities included in the plan. Use of this procedure is based on the premise that the later activities do not require funds immediately and that the community's letter of credit will therefore contain a balance (the "float") that can be used on a temporary basis. Not only must the activity being financed with the float comply with all applicable CDBG requirements, including citizen participation requirements, but the program income generated from the activity is also subject to CDBG regulations.

The City has participated in HUD's Asset Control Area Partnership Program. This program involves the acquisition and rehabilitation of vacant property. The Rochester City Council amended the 2005-06 Annual Action Plan to authorize a \$2 million CDBG "Float Loan" for use by the Rochester Housing Development Corporation, for ACAP Phase 3. These funds have been repaid. The 2007-08 Annual Action Plan was

amended to provide a \$2,000,000 CDBG "Float Loan" for ACAP Phase 4. These funds have also been repaid.

It should be noted that the repayments will be used to finance activities that have been described in previous action plans that were amended to provide the funds. If a "Float Loan" is not repaid, the City will amend or delete funded activities. The selection of activities will be based on current needs and priorities and a substantial amendment will be prepared in accordance with the Citizen Participation Plan. This includes all funding sources included in the annual action plan.

The following table provides an indication of funding by program goal.

Funding by Program Goal

| GOAL | AMOUNT | PERCENT OF TOTAL |
|------------------------------------|--------------|------------------|
| Promote economic stability | \$1,605,000 | 12% |
| Improve the housing stock | \$10,599,156 | 80% |
| Respond to general community needs | \$454,522 | 3% |
| Other | \$598,905 | 5% |
| Total | \$13,257,583 | 100% |

The following table provides a summary of the specific objectives and proposed outcomes contained in the 2014-15 Annual Action Plan for all sources of funds included in the plan.

| NEED | Proposed Outcomes | | | | | | Organiz. |
|---------------------------------|-------------------|------|--------|------------|---------------|------------------|----------|
| | Businesses | Jobs | People | Households | Housing Units | Facilities /Imps | |
| Economic Development | 81 | 125 | | | | | |
| Owner Housing Rental Housing | | | | 357 | 308 | | 1 |
| Homeless/HIV/AIDS | | | TBD | 199 | | | |
| Public Services | | | 81021 | | | | |
| Public Facilities | | | | | | 14 | |
| Total | 81 | 125 | 81021 | 556 | 308 | 14 | 1 |

Summary of Needs and Outcomes

A summary of housing and community development objectives is provided in another section of this document.

Evaluation of Past Performance

The City of Rochester prepared a Consolidated Annual Performance and Evaluation Report (CAPER) for FY 2012 (July 1, 2012 to June 30, 2013). This CAPER was the

third of the five-year period and reported the FY 2012 accomplishments of the City's CDBG Program.

The Department of Neighborhood and Business Development and HUD annually assess the program's performance to determine whether the City of Rochester is in compliance with statutes and whether it has the continuing capacity to implement and administer federally assisted programs.

The CDBG program accomplished the following during the 2012-2013 program year:

- Economic Development: \$6.9 million was spent during the program year. The City assisted 79 businesses and projects where 125 jobs will be created or retained as a result of these efforts.
- Housing: \$3.6 million was spent during the program year to improve the quality of owner housing through activities such as residential assistance, rehabilitation, energy efficient improvements, and lead paint abatement. The City completed 566 units during the reporting period.
- Public Services: \$1.1 million was spent during the program year funding a variety of public service providers. The City assisted over 82,000 people during the reporting period.
- Planning and Administration: The City spent \$1.49 million for planning and administration during the reporting period, which accounts for 13.3% of expenditures.

The HOME Program accomplished the following during the program year:

- First-Time Homebuyer: \$1.34 million was spent on first-time homebuyer education and purchase assistance during the program year. The City completed 130 units.
- The City awarded 6 HOME funded MBE contracts totaling \$1,117,716 during the program year.

The Emergency Shelter Grant program accomplished the following during the 2012-13 program year:

- The City funded 22 projects. Overall, the City expected to serve 5,493 persons and the actual number served was 7,617.

The Housing Opportunities for Persons with AIDS program accomplished the following during the 2012-13 program year:

- The City funded two agencies. During the reporting period, the City expected to serve 200 households and the actual number served was 148 households.

The HUD Annual Community Assessment for the 2012-13 program year was received from HUD and indicated that the City of Rochester has met statutory and programmatic requirements and has the capacity to administer its HUD programs.

Citizen Participation 91.200 and 91.220(b)

2. Provide a summary of the citizen participation and consultation process (including efforts to broaden public participation in the development of the plan.

a. Based on the jurisdiction's current citizen participation plan, provide a summary of the citizen participation process used in the development of the consolidated plan. Include a description of actions taken to encourage participation of all its residents, including the following:

1. low- and moderate-income residents where housing and community development funds may be spent;
2. minorities and non-English speaking persons, as well as persons with disabilities;
3. local and regional institutions and other organizations (including businesses, developers, community and faith-based organizations);
4. residents of public and assisted housing developments and recipients of tenant- based assistance;
5. residents of targeted revitalization areas.

b. Identify agencies, groups, and organizations that participated in the process. This should reflect consultation requirements regarding the following:

1. General §91.100 (a)(1) - Consult with public and private agencies that provide health services, social and fair housing services (including those focusing on services to children, elderly persons, persons with disabilities, persons with HIV/AIDS and their families, homeless persons) during the preparation of the plan.
2. Homeless strategy §91.100 (a)(2) – Consult with public and private agencies that provide assisted housing, health services, and social services to determine what resources are available to address the needs of any persons that are chronically homeless.
3. Metropolitan planning §91.100 (a)(5) -- Consult with adjacent units of general local government, including local government agencies with metropolitan-wide planning responsibilities, particularly for problems and solutions that go beyond a single jurisdiction, i.e. transportation, workforce development, economic development, etc.
4. HOPWA §91.100 (b)-- Largest city in EMSA consult broadly to develop metropolitan-wide strategy for addressing needs of persons with HIV/AIDS and their families.
5. Public housing §91.100 (c) -- Consult with the local public housing agency concerning public housing needs, planned programs, and activities.

3. Provide a summary of citizen comments or views on the plan.

4. Provide a written explanation of comments not accepted and the reasons why these comments were not accepted.

The City conducted a public meeting to solicit input into the preparation of the Annual Action Plan on March 24, 2014. The Rochester City Council held a public hearing on the Annual Action Plan on May 20, 2014. It is the City's practice to advertise meetings in the Democrat and Chronicle.

In addition to community meetings, the City encouraged input from other governmental agencies and nonprofits on the goals and actions that should be considered in the consolidated planning process. Agencies and nonprofit organizations that serve City residents were contacted to identify specific needs and subsequent actions to address these needs.

City staff are in regular contact with a variety of community organizations to gather input on priority areas, issues of concern and other topics. The City also solicited input from social service and homeless agencies that provide housing assistance and related services to low- and moderate-income persons. These include contact with homeless service providers through the Homeless Services Network (HSN), HSN Subcommittees, Continuum of Care, other Housing and public service providers. The City also gathers information from stakeholders of the four Focused Investment Strategy (FIS) areas.

Consultation with the Rochester Housing Authority occurred to obtain data on the public housing inventory (including the Section 8 Housing Choice Voucher Program) and to discuss the Housing Authority's plans for public housing development activities.

For the FY 2014, the City of Rochester consulted with the Continuum of Care and held a public meeting on March 24, 2014. In addition, the City notified the adjacent units of government of the availability of the draft Annual Action Plan. Comments were invited and comments received were considered and included in the final Annual Action Plan submitted to HUD.

Four years ago, the City consulted with a variety of housing, social service, and other agencies in the process of preparing its FY 2010-2014 Consolidated Plan. Agencies were first contacted through a direct survey. Follow-up interviews were conducted with selected organizations to define needs and service gaps in greater detail.

Copies of the FY 2014 Action Plan were distributed to various public locations for public review and comment. A summary of the Annual Action Plan was also published in the Democrat and Chronicle on _____ to alert interested persons as to the availability of the Annual Action Plan. A copy of the Annual Action Plan was placed on the City's web site and was available at public libraries, Department of Neighborhood and Business Development and the Communications Bureau in City Hall.

The public review period ran from April 19, 2014 to May 20, 2014. On May 20, 2014, a public hearing was held and the City Council considered and approved the Plan for submission to HUD.

Summary of Citizen Comments

In 2010, the City of Rochester administered an online survey for City residents, business owners, and service providers to complete regarding their views and opinions on important housing and community development needs in the city. The results of the survey provided guidance to City officials to establish budget and program priorities for the next several years, specifically how to allocate federal funds received for housing and community development activities.

The City of Rochester Housing and Community Development Needs Survey was available on the Internet through the City of Rochester's web site via

www.zoomerang.com, an online survey tool. The survey posed a total of 45 questions. The survey was officially launched on January 25, 2010 and closed on February 25, 2010, giving area stakeholders and residents a month to complete the survey.

The link was advertised on the City's website (<http://www.cityofrochester.gov>), and was announced at various stakeholder and public meetings throughout the CP planning process. A total of 219 responses were received and analyzed.

The majority of the questions posed a series of statements on economic development needs, public facility and service needs, housing needs, recreation and infrastructure needs, and neighborhood needs, and asked survey participants to express their level of agreement or disagreement with the statements provided. There were also two open-ended questions that provided comment boxes for participants to express their comments and ideas.

The chart on the following page offers a brief overview of the online survey responses.

Responses to 2010 Online Resident Survey

| | |
|---|--|
| 94% housing needs should be met through rehabilitation of existing housing stock | 88% more programs for youth |
| 92% more programs to help homeowners repair their homes | 86% more life skills training for lower income households |
| 89% rehab and new construction should incorporate green technology, energy efficiency, sustainable design, etc. even if it costs more than traditional construction | 79% more literacy programs |
| 85% more programs to stimulate repairs to rental units | 78% more physical fitness and exercise programs and facilities |
| 84% programs to prevent individuals from becoming homeless | 75% more nutritional programs and facilities |
| 82% need for financial assistance for families seeking to purchase a home in the City | 73% more medical programs and facilities |
| 81% programs aimed at helping the homeless become more self-sufficient | 72% more mental health programs and facilities |
| 79% more homeless shelters and transitional housing facilities | 71% more programs for seniors |
| 74% more affordable housing for seniors | 66% need to provide more transportation programs |
| 64% need to expand the supply of housing accessible to persons with disabilities | 59% more community centers |
| 57% need to provide rental assistance to lower income households living in the City | 59% additional day care facilities and programs |
| 48% need for programs aimed at overcoming housing discrimination | |
| 46% need to expand the supply of rental housing for low-wage households | |
| 41% need for housing for people with HIV/AIDS | 94% need to support neighborhood crime awareness and prevention activities |
| 26% housing needs should be met through new housing construction | 93% need to undertake targeted neighborhood revitalization efforts |
| | 89% need to demolish vacant and deteriorated structures |
| | 81% need to provide financial assistance to preserve historic homes/buildings |
| | 79% need to provide a higher level of code enforcement |
| | 46% more fire stations and equipment |
| 84% need to improve streets and sidewalks | 83% need to provide employment training to City residents |
| 80% need to improve existing parks and recreation facilities | 73% need to help low to moderate income residents start or expand their businesses |
| 74% need to improve street lighting | 67% need to provide financial assistance to upgrade existing commercial businesses |
| 50% need to create new parks and recreation facilities | 55% need to help businesses purchase machinery and equipment |
| 42% need to expand or improve water and sewer services | |

The City received ___ citizen comment for the 2014-15 annual action plan during the public comment period.

Summary of Efforts

Copies of the FY 2014 Action Plan were distributed to various public locations for public review and comment. A summary of the CP was also published in the Democrat and Chronicle on _____ to alert interested persons as to the availability of the Annual Action Plan. A copy of the Annual Action Plan was placed on the City's web site and was available at public libraries, Department of Neighborhood and Business Development, and Communications Bureau in City Hall.

During the preparation of the five year Strategic Plan, the effort to outreach to persons with disabilities was made through contact with the local agencies that represent the needs of persons with disabilities. Information about opportunities to comment on the Five Year Plan was provided to local agencies.

Explanation of Comments Not Accepted

_____ comment was given and _____. See Summary of Citizen Comments section above.

Resources 91.220(c) (1) and (c)(2)

5. Identify the federal, state, and local resources (including program income) the jurisdiction expects to receive to address the needs identified in the plan. Federal resources should include Section 8 funds made available to the jurisdiction, Low-Income Housing Tax Credits, and competitive McKinney-Vento Homeless Assistance Act funds expected to be available to address priority needs and specific objectives identified in the strategic plan.
6. Explain how federal funds will leverage resources from private and non-federal public sources.

The United States Department of Housing and Urban Development annually awards federal funds to the City of Rochester for housing and community development activities. These funds are from the Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), Emergency Solutions Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA) programs. The City utilizes Urban Development Action Grant loan and interest payments for the City Development Fund.

The City of Rochester has identified a wide range of resources that can be invested to support the City's affordable housing initiatives. A summary of these resources, focusing on housing, is included below.

Federal Programs

- Community Development Block Grant (including program income)
- HOME Investment Partnership

- Emergency Solutions Grants
- Section 8 Housing Choice Voucher
- Section 202 Supportive Housing for the Elderly
- Public Housing HOPE VI Grants
- Public Housing Capital Funds
- Neighborhood Stabilization Program Funds
- Tax Credit Assistance Program (TCAP)/Credit Exchange Funds
- Low Income Housing Tax Credits
- New Market Tax Credits
- Economic Development Administration Public Works Program
- Empowerment Zone Bonds
- Department of Justice Weed and Seed Program
- Lead Hazard Control

State Resources

- Housing Trust Fund Program
- Homes for Working Families Program
- State Low Income Housing Tax Credits
- Affordable Housing Program
- State of New York Mortgage Agency
- Homeless Housing Assistance Program
- New York State Empire Zone
- Metropolitan Transportation Authority Capital Program

Private Resources

- Federal Home Loan Bank
- Community Preservation Corporation Funds
- Community Capital Resources

Annual Objectives 91.220(c)(3)

***If not using the CPMP Tool:** Complete and submit Table 3A.

***If using the CPMP Tool:** Complete and submit the Summary of Specific Annual Objectives Worksheets or Summaries.xls

Goals and objectives to be carried out during the action plan period are indicated by placing a check in the following boxes.

| <input checked="" type="checkbox"/> | Objective Category Decent Housing Which includes: | <input checked="" type="checkbox"/> | Objective Category: Suitable Living Environment Which includes: | <input checked="" type="checkbox"/> | Objective Category: Expanded Economic Opportunities Which Includes: |
|-------------------------------------|---|-------------------------------------|---|-------------------------------------|--|
| <input type="checkbox"/> | assisting homeless persons obtain affordable housing | <input checked="" type="checkbox"/> | improving the safety and livability of neighborhoods | <input checked="" type="checkbox"/> | job creation and retention |
| <input type="checkbox"/> | assisting persons at risk of becoming homeless | <input type="checkbox"/> | eliminating blighting influences and the deterioration of property and facilities | <input checked="" type="checkbox"/> | establishment, stabilization and expansion of small business (including micro-businesses) |
| <input checked="" type="checkbox"/> | retaining the affordable housing stock | <input checked="" type="checkbox"/> | increasing the access to quality public and private facilities | <input type="checkbox"/> | the provision of public services concerned with employment |
| <input type="checkbox"/> | increasing the availability of affordable permanent housing in standard condition to low-income and moderate-income families, particularly to members of disadvantaged minorities | <input checked="" type="checkbox"/> | reducing the isolation of income groups within areas through spatial de-concentration of housing opportunities for lower income persons and the revitalization of | <input checked="" type="checkbox"/> | the provision of jobs to low-income persons living in areas affected by those programs and activities under programs covered by the plan |

| | | | | | |
|---|--|---|--|---|---|
| | without discrimination on the basis of race, color, religion, sex, national origin, familial status, or disability | | deteriorating neighborhoods | | |
| x | increasing the supply of supportive housing which includes structural features and services to enable persons with special needs (including persons with HIV/AIDS) to live in dignity and independence | x | restoring and preserving properties of special historic, architectural, or aesthetic value | x | availability of mortgage financing for low income persons at reasonable rates using non-discriminatory lending practices |
| x | providing affordable housing that is accessible to job opportunities | x | conserving energy resources and use of renewable energy sources | x | access to capital and credit for development activities that promote the long-term economic social viability of the community |

7. Provide a summary of specific objectives that will be addressed during the program year.

Priority Non-Housing Community Development Needs

The City has identified the need for public infrastructure improvements, public facility improvements, and public service activities throughout Rochester. Most of these projects involve infrastructure upgrades and streetscape improvements. Owing to the diverse nature of the residents and neighborhoods of the city of Rochester, the non-housing community development needs within the city are varied and disparate, but there are some common elements. There are needs for public infrastructure improvements and public facility improvements throughout the city. In addition, there are significant social service needs that are not adequately addressed.

The City focus is on providing high quality housing, an environment that encourages successful businesses of all sizes, and safe and attractive neighborhoods to retain our current customers and attract new ones. We will use a team-oriented approach with our residents, investors and community partners to solve problems, address quality of life concerns, support our businesses and enhance our neighborhoods.

Policy Imperative for Neighborhood and Business Development:

- Improve the “look and feel” of every city neighborhood through increased business activity, real estate development, neighborhood revitalization, housing production and rehabilitation.
- Achieve more pro-active, timelier resolution of our priority quality of life issues, using empowered, problem solving teams in quadrant Neighborhood Service Centers.
- Firmly establish the City’s reputation as customer-friendly and open for business, by seeking compliance with codes/regulations using the most streamlined and cost-effective practices.
- Recruit businesses that increase our population, jobs, valuation and economic opportunities.

The following funded activities support our policy priorities:

- Provide more mortgage subsidies, grants, and loans to encourage home ownership and the growth of neighborhood businesses;
- Expand availability of programs that assist property investors, home buyers and tenants to maintain the value and condition of their properties;
- Focus City financial resources to leverage private investment in city real estate;
- Rehabilitate salvageable vacant homes and develop vacant lots, in partnership with businesses, universities and community development organizations, in ways that revive entire neighborhoods.

Goal: Promote Economic Stability

The City’s economic development efforts concentrate on commercial and industrial development through a series of strategies and initiatives designed to encourage investment, and create and retain jobs while strengthening city neighborhoods by providing vital neighborhood services. The primary goals will be to continue to provide economic development programs and services designed to assist projects which will result in new investment, new job creation, and/or job retention. The high priority needs are Economic Development Direct Financial Assistance to For-Profits and Economic Development Technical Assistance.

Goal: Respond to General Community Needs

While youth services needs in the city continue to be varied and extensive as evidenced by both the high level and severity of urban poverty, we acknowledge the scope of need in the context of finite resources. Major area funders including the City of Rochester, County of Monroe, Rochester City School District, and the United Way established a set of common “Communitywide Outcomes” that focused dollars and effort on established priorities.

The Consolidated Plan served as a framework that produced a number of successful collaborations and collective problem solving initiatives, in areas such as neighborhood planning, after-school programs, teen pregnancy prevention, violence prevention, and homelessness, and helped to marshal resources to achieve common goals.

As expected, the approach to funding youth services in the Rochester community continues to evolve, and funders continue to work together to address needs, and where appropriate, jointly support programs or efforts to improve long-term outcomes for youth across the City.

The Department of Recreation and Youth Services has completed a full departmental strategic planning process which has resulted in new priority recommendations and focus areas, which has resulted amendments to this section of the annual action plan.

Given our revised focus areas and reduced resources, support for youth programs utilizing CDBG funds will rest on the following four tenets:

1. An emphasis on incorporating youth development principles in youth programming that focus on prevention and intervention;
2. Focusing on services that enhance the employability and academic enrichment of City youth;

3. Outreach and support to parents and care givers of youth; and
4. Collaboration among service providers by using City funds to leverage other resources.

The City will use CDBG funds for various improvements in low- and moderate-income neighborhoods.

Table 2B

| PRIORITY COMMUNITY DEVELOPMENT NEEDS | | | |
|---|--|---|----------------|
| | Priority Need Level (High, Medium, Low) | Dollars to Address Unmet Priority Need | Goals |
| MISCELLANEOUS ACTIVITIES | | | |
| Acquisition of Real Property | Low | | |
| Disposition | Low | | |
| Clearance and Demolition | Low | | |
| Clearance of Contaminated Sites | Low | | |
| Code Enforcement | Medium | | |
| PUBLIC FACILITY IMPROVEMENTS | | | |
| Senior Centers | Low | | |
| Handicapped Centers | Low | | |
| Homeless Facilities | Medium | | |
| Youth Centers | Medium | | |
| Neighborhood Facilities | Medium | | |
| Child Care Centers | Low | | |
| Health Facilities | Low | | |
| Mental Health Facilities | Low | | |
| Parks and/or Recreation Facilities | High | | |
| Parking Facilities | Low | | |
| Tree Planting | High | | |
| Fire Stations/Equipment | High | 50000 | TBD |
| Abused/Neglected Children Facilities | Medium | | |
| Asbestos Removal | Low | | |
| Non-Residential Historic Preservation | Medium | | |
| Other Public Facility Needs | Low | | |
| INFRASTRUCTURE IMPROVEMENTS | | | |
| Water/Sewer Improvements | High | | |
| Street Improvements | High | | |
| Sidewalks | High | | |
| Solid Waste Disposal Improvements | Medium | | |
| Flood Drainage Improvements | Medium | | |
| Other Infrastructure: Right of Way | High | | |
| PUBLIC SERVICES | | | |
| Senior Services | Medium | 20000 | 100 People |
| Handicapped Services | Medium | | |
| Legal Services | Medium | 310000 | 224 Households |
| Youth Services | High | 30000 | 10 People |
| Youth Recreation | High | | |

| | | | |
|--|--------|---------------|--|
| Transportation Services | Medium | | |
| Substance Abuse Services | High | | |
| Employment/Training Services | High | 364522 | 640 People |
| Health Services | Low | | |
| Lead Hazard Screening | Low | | |
| Crime Awareness | Medium | | |
| Fair Housing Activities | Medium | | |
| Tenant Landlord Counseling | High | 130000 | 261 People |
| Other Services-Parent Training and Business Association Support/Street Manager | | 10000+ 160000 | 10 People (Parent Training) + 80000 People + 14 Public Improvements (BAS/Street Manager) |
| ECONOMIC DEVELOPMENT | | | |
| Commercial/Industrial Land Acquisition/Disposition | Low | | |
| Commercial/Industrial Infrastructure Development | Low | | |
| Commercial/Industrial Building Acq/Constr/ Rehab/ Façade Improvements | High | 180000 | 6 Businesses |
| ED Assistance to For-Profit | High | 1190000 | 125 Jobs 75 Businesses |
| ED Technical Assistance | High | | |
| Micro-Enterprise Assistance | Low | | |

Description of Activities 91.220(d) and (e)

***If not using the CPMP Tool:** Complete and submit Table 3C

***If using the CPMP Tool:** Complete and submit the Projects Worksheets

8. Provide a summary of the eligible programs or activities that will take place during the program year to address the priority needs and specific objectives identified in the strategic plan.

Describe the outcome measures for activities in accordance with Federal Register Notice dated March 7, 2006, i.e., general objective category (decent housing, suitable living environment, economic opportunity) and general outcome category (availability/accessibility, affordability, sustainability).

Specific Short-Term and Long-Term Objectives

Previously, Community Development Block Grant and HOME spending patterns reflected a widely dispersed spatial allocation. In order to achieve a greater impact, the department defined smaller areas for public investment and focused our expenditures over a multi-year period. This Focused Investment Strategy (FIS) will produce greater neighborhood impacts.

Community Development Objectives/Goals/Accomplishment Table

| Specific Objective/Goal | Specific Objective/Strategy | Initiatives | 2014-2015 Planned Accomplishments |
|---|---|---|--|
| Sustainability of Economic Opportunity/Promote Economic Stability | Improve economic opportunities for low-income persons | ED Financial Assist. Loan & Grant, Neighborhood Commercial Assistance Program, Targeted Façade Improvement Program | 125 Jobs 81 Businesses 80,000 People 14 Public Improvements |
| Availability / Accessibility of Suitable Living Environment / General Community Needs | Improve the services for low/mod income persons | Fire Department Small Equipment, Job Creation/Youth Development, Northeast Youth Organizing, Employment Opportunity Job Training Support- Operation Transformation, Employment Training Program and R3G-Raising Guys & Girls to Greatness, Parent Leadership Training Institute | 1021 People |

Public Facilities

Public facility improvements in the city of Rochester are important to supporting the quality of life by providing adequate space for the provision of services, holding of events that bring the community together, and addressing public safety concerns. In addition, Rochester’s various public facilities also support the need for open space and recreation amidst the city’s urban setting. The city will continue to support its various community development needs through public facilities improvements.

Need for Facilities for Young People: One of the needs often expressed in the focus group meetings was the need for more programs for young people. The Ryan Center provides a state of the art facility for the greater community.

The center, completed in September 2009, offers the following programs:

- Registration for an EZ RecPass ID, which provides access to top-notch team sports, outstanding arts programs, water skills classes, youth council leadership opportunities and more
- Fun, skill-building friendships in the safe atmosphere of city recreation
- Year-round recreation program for youth, teens and adults
- Summer Breakfast & Lunch program
- Kids Cafe Dinner program during the school year
- Saturday hours
- Seasonal special events
- Youth Council
- Collaborative programs with community organizations and Sully Library

Often expressed was the problem with access to schools for after school programs and activities. The Ryan Center, as an example, reached close to capacity a short time after it opened. While the center offers state of the art facilities and programming, young people who live a distance from the Ryan Center are not able to take advantage of its programming due to limited transportation options.

Infrastructure

Public infrastructure in many CDBG-eligible areas of Rochester is inadequate for current demands. Priority projects in the infrastructure category include the reconstruction of streets and sidewalks that are heavily traveled and in need of repair (including repaving, streetscape beautification, tree planting and curb cut improvements). Infrastructure Improvement projects will contribute to the safety and quality of life of neighborhoods throughout the city of Rochester and will benefit LMI persons.

As an older community, the infrastructure in the city of Rochester is outdated and in need of repair and upgrading. There is also a need for maintenance of infrastructure to avoid deferred maintenance and neglect that can result in costly future replacement. Maintaining and improving the City's infrastructure is important in the support of the long-term viability of the City of Rochester as a place to live, work, play, and operate a business.

The City seeks to foster, through the City Quadrant Teams, the empowerment of residents and property owners to develop neighborhood reinvestment plans that will stabilize residential and commercial properties in neighborhoods that have suffered with the highest rates of abandonment and vacancy.

The following are the vacant property strategy goals:

- Reduce vacancy, fires and crime
- Improve neighborhoods
- Sustainable economic development
- Assemble development sites
- Increase area for recreational use
- Create green amenities: green corridors, trail completion and enhancement, urban agriculture/community gardens
- Environmental remediation
- Strategic land use planning
- Enhance multi-purpose "land bank" program.

Economic Development

Programs to promote economic stability and growth are high priorities for Rochester. As discussed earlier, the City's economic development efforts concentrate on commercial and industrial development through a series of strategies and initiatives designed to encourage investment, and create and retain jobs while strengthening city neighborhoods by providing vital neighborhood services. The primary goals will be to continue to provide economic development programs and services designed to assist projects which will result in new investment, new job creation, and/or job retention and increasing tax revenue for the City.

The City works with private businesses and developers in an effort to coordinate economic development activities, including businesses recruitment and retention, job growth, employment training programs, etc. Downtown redevelopment and revitalization is an on-going activity in the City of Rochester. The City of Rochester continues to develop its business base. In addition, increased investments in historic

preservation revitalization of properties in and around the downtown have continued to be a focus. There continues to be a need for business recruitment and retention. As such, economic development will remain an important priority in the overall revitalization of Rochester.

Short-Term Goals: The City will support business retention and growth activities through the continuation of the Economic Development Financial Assistance Loan and Grant Program, which supports the following:

- Financial Assistance Loan and Grant Programs which are a jobs based program that provides loans and grants to assist private sector job retention or creation for new or expanding businesses within the city. The following activities are eligible for program assistance: acquisition, construction and/or renovation of real estate, purchase of furniture, fixtures and equipment, and working capital.
- Targeted Business Assistance-This program provides loans and grants to assist targeted small businesses which may have barriers to obtaining the capital necessary for business growth and development.
- Main Street Assistance-This program provides financial assistance to businesses and property owners in downtown. The program provides assistance for a variety of uses through low interest loans, interest rate subsidy grants, or loan to grant financing.
- Pre-development Grant

In addition:

- A Targeted Façade Improvement Program will assist businesses with façade improvements in focused areas of the city.
- As part of its business assistance program the City has a Small Business Matching Grant Program targeted to small retail and neighborhood commercial businesses with revenues of less than \$5 million annually. This program provides 50% matching grants up to \$8,000 for security improvements, signage, purchase of computers, marketing assistance, architect assistance, or purchase of FF&E.

Long-Term Goals: The City of Rochester will continue to support activities and programs that promote a stable and balanced economic base, the creation and retention of jobs, increase in the tax base, and improvement to the city's neighborhood commercial corridors.

Public Services

The City of Rochester will continue a range of public service efforts in order to improve the public services for low- to moderate-income residents in addition to other members of the community. The City funds a number of services for residents with the specific objective/goal of enhancing the availability/accessibility of a suitable living environment to meet general needs. These included the following services:

- Job Creation/Youth Development, Northeast Youth Organizing, Employment Opportunity Job Training Support-Operation Transformation Employment Training Program, Employment Opportunity Job Training Support-R3G Raising Guys & Girls to Greatness, and Parent Leadership Training Institute

In addition, the City funds a portion of the City of Rochester's fire department equipment needs through the following program:

- Fire Department Small Equipment (smoke and carbon monoxide detectors)

CDBG money is used by the Neighborhood Service Centers to create communities that are safe, thriving and economically viable by partnering with area neighborhood and business associations promoting living, working and playing in the four quadrants throughout the city.

At the focus groups that participated in the strategic planning sessions 4 years ago, crime in the city was identified as an area in need of being addressed. Neighborhood groups, homeless advocates, and housing developers all mentioned crime as a key issue to be addressed. One focus group participant stated that it is hard to ensure safety in many of the affordable neighborhoods. In addition, drugs and other illicit behavior has had a negative impact on businesses and residential areas. The City needs to look at ways to reduce crime and combat deterioration in the neighborhoods and the downtown area.

Geographic Distribution/Allocation Priorities 91.220(d) and (f)

9. Describe the geographic areas of the jurisdiction (including areas of low income families and/or racial/minority concentration) in which assistance will be directed during the next year. Where appropriate, the jurisdiction should estimate the percentage of funds the jurisdiction plans to dedicate to target areas.
10. Describe the reasons for the allocation priorities, the rationale for allocating investments geographically within the jurisdiction (or within the EMSA for HOPWA) during the next year, and identify any obstacles to addressing underserved needs.

The City generally targets community development activities in census tracts where 51% or more of the households have incomes that are 80% or less of the median family income. The map included in the Appendix depicts this area as determined from 2000 census data.

In addition, guidelines for allocating funds geographically are:

- Planning projects are city-wide.
- Economic development projects are city-wide and assist businesses that create jobs for low- and moderate-income persons or provide needed goods and services to residents of low- and moderate-income residential areas.
- Housing projects are city-wide and assist units occupied by low- and moderate-income families.
- Neighborhood improvement projects are city-wide and are targeted to neighborhoods that are primarily residential and at least 51% of the residents are low- and moderate-income.
- Human service and homeless projects are limited to a specific group of people, at least 51% of whom are low- and moderate-income.

The funding priorities and decisions for the City of Rochester are based in a process of neighborhood engagement that provides the basis for funding. The Quadrant Team approach was developed, in part, to assist in the process of identifying neighborhood issues and responding through a cross-functional team approach. The

Quad Team approach is threefold: communication, short-term problem-solving, and prioritization of longer-term projects. The long-term goal is to create a process of ongoing issue identification, resource allocation, and prioritization that continually informs the City on economic development, housing, and social issues.

The City consults with community groups, nonprofit groups and City department staff on an ongoing basis in the preparation of the Annual Action Plan. The City used statistical data compiled from a variety of sources to prepare a community profile, housing market analysis, and housing needs assessment that is included in the Five Year Consolidated Plan.

The City's federal funding priorities will also adhere to the following guidelines:

- At least 70% of CDBG expenditures will be for activities that benefit low- and moderate-income families.
- The amount of funds proposed for public service activities will not exceed 15% of the annual CDBG amount, including program income.
- The amount of funds proposed for planning and administration activities will not exceed 20% of the annual CDBG amount, including program income.

The City's federal CDBG funds are intended to provide low- and moderate-income households with viable communities, including decent housing, a suitable living environment, and expanded economic opportunities. Eligible activities include community facilities and improvements, housing rehabilitation and preservation, affordable housing development activities, public services, economic development, planning, and administration.

The system for establishing the priority for the selection of these projects is predicated upon the following criteria:

- Meeting the statutory requirements of the CDBG Program
- Meeting the needs of low- and moderate-income residents
- Focusing on low- and moderate-income areas or neighborhoods
- Coordination and leveraging of resources
- Response to expressed needs
- Sustainability and/or long-term impact, and
- The ability to demonstrate measurable progress and success

The City of Rochester also utilizes economic development tools to promote revitalization and growth. These include the Empire Zone Tax Incentive. Although this program expired June 30, 2010 for new entrants into the program, the current zone certified businesses must comply with program requirements to assure continuation of their benefits.

Focused Investment Strategy (FIS) Areas

In 2008, the Rochester City Administration and City Council adopted the Focused Investment Strategy (FIS) as a new approach to investing resources in City neighborhoods. The FIS approach of investing more resources in a few neighborhoods, rather than spreading them throughout the City, is aimed at

achieving positive change at the neighborhood level in a shorter period of time (three to five years) than could otherwise be realized.

The FIS began with a planning process in 2009 for four selected neighborhoods, one in each quadrant of the City:

- Northeast Quadrant—Marketview Heights
- Northwest Quadrant—Dewey Driving Park
- Southeast Quadrant—Beechwood
- Southwest Quadrant—Jefferson

Concurrent with and following the planning process, programs were developed that utilized City funds (20% of Community Development Block Grant resources were set aside for FIS), dedicated City Cash Capital, and leveraged funds from other City departments/programs, outside agencies, and private sources to address key neighborhood needs identified through the planning processes.

Now, nearly four years into FIS program implementation, an interim assessment report was completed that included:

- Accomplishments
- Impact on Neighborhood Health Indicators
- Stakeholder Team Feedback/Perceptions
- Challenges
- Next Steps/Recommendations

The goals of FIS that were identified at the outset are to:

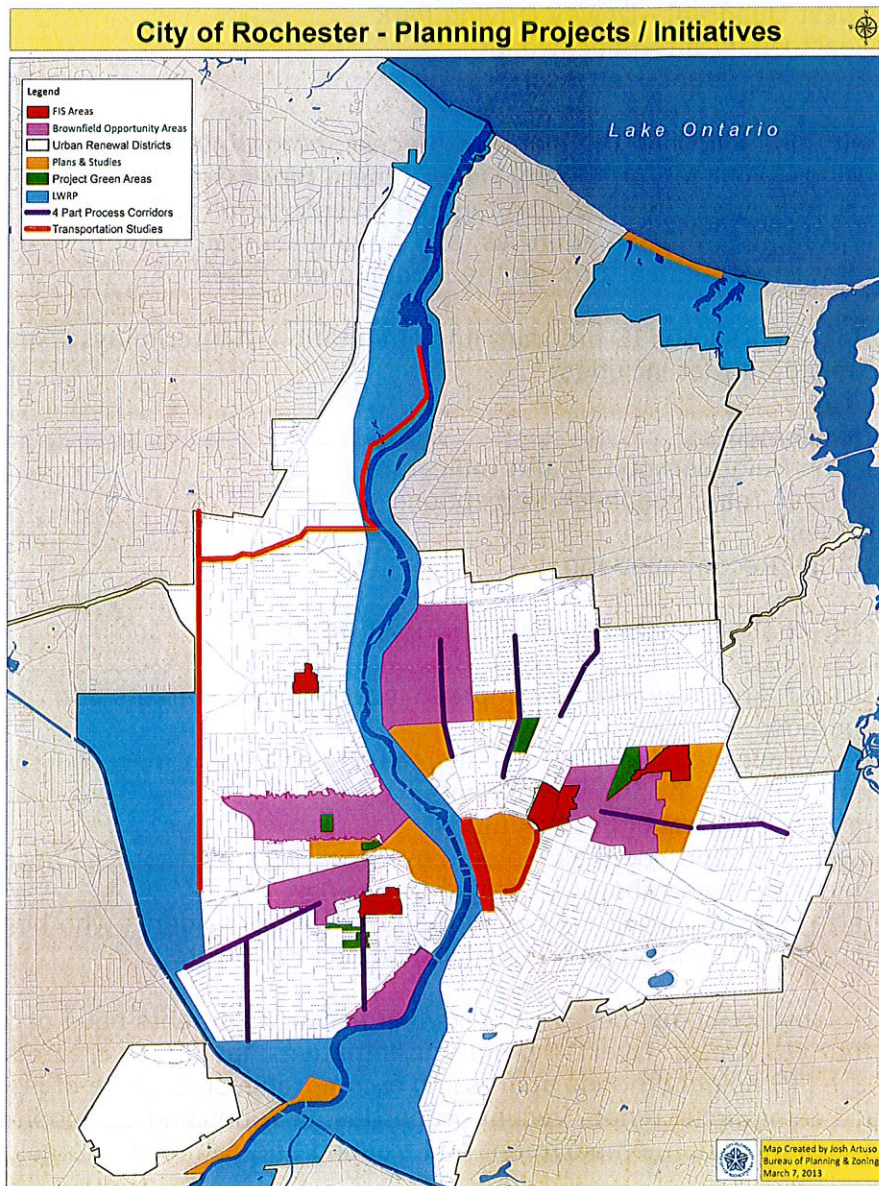
- Invest funds in a way that will improve local housing markets and neighborhood vitality
- Increase property values, thereby increasing the amount of resources available for services and investments
- Maximize the impact of Federal funds
- Empower neighborhood stakeholders by allocating the resources necessary to implement their neighborhood-based plans
- Maximize the number of low and moderate-income residents who benefit from the housing policy initiatives beyond the direct recipients

As of 2013, a substantial number of projects and accomplishments have occurred in each of the four FIS Areas. Over 14 programs/initiatives have been created and deployed in the FIS neighborhoods addressing a comprehensive range of issues from residential and commercial rehab, vacant properties, new housing development, public works, beautification, employment and training and community engagement.

Since FIS was launched, approximately \$14.7 million in City FIS resources (cash capital, CDF, and CDBG) has been allocated and/or invested in projects and programs in the four FIS areas (See FIS funding chart through fiscal year 2012-13 below).

Planning is underway to fund projects that will help make FIS investments in the four areas more equitable. These plans include investing a greater portion of rehab funds in Beechwood and Dewey Driving Park and undertaking streetscape improvements on main corridors in those two neighborhoods.

FIS funds have leveraged approximately \$56.5 million from other sources. It should be noted that, while the FIS does dedicate a portion of the City's resources to four areas, City resources are still being allocated in a way to maintain a high level of activity throughout the City and in other neighborhoods.



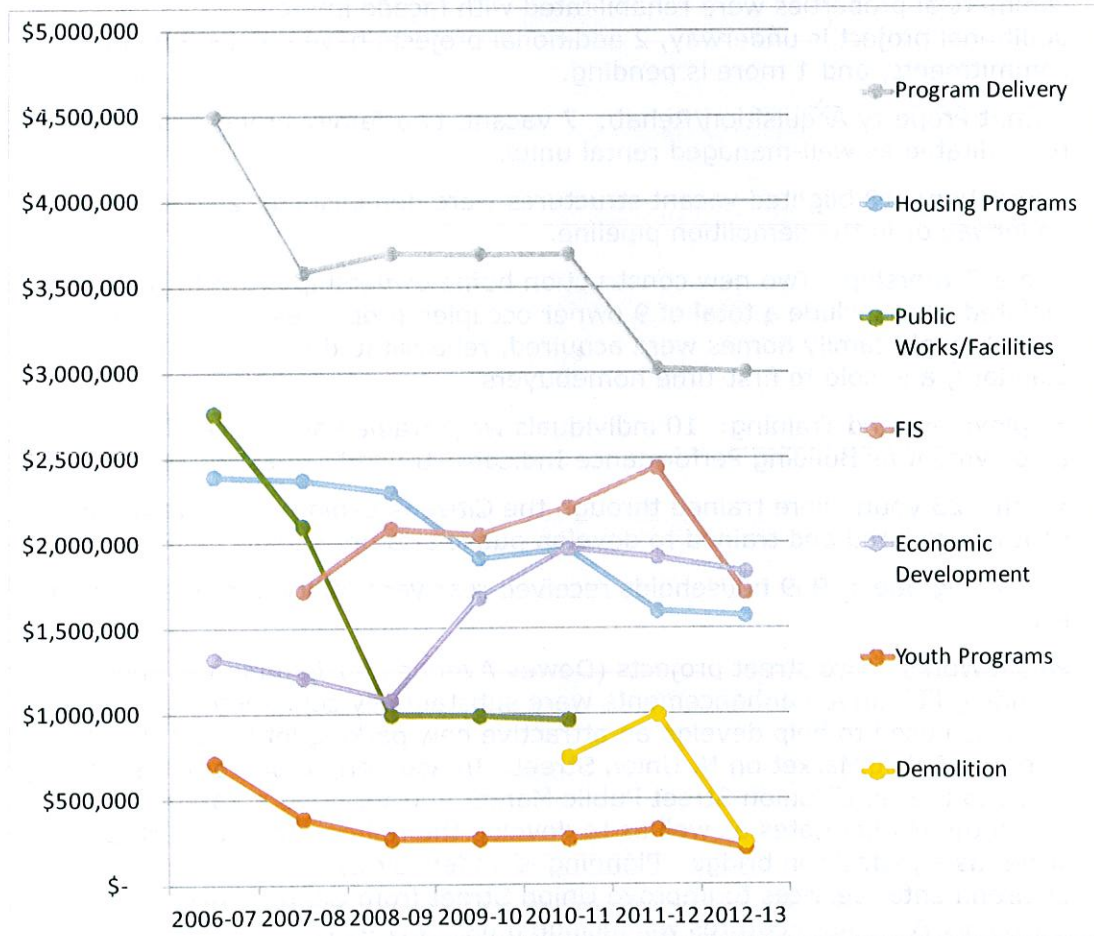
In addition, the graph below shows how the allocation of funding to FIS was accomplished as well as the impact of the reduction in CDBG funding on City programs for years before and during the implementation of FIS. In general, the allocation of FIS funding and weathering the substantial reduction in funding from HUD over time was accomplished through:

- Elimination of funding for Public Works/Facilities (funded instead by City Cash Capital and Bonds)
- Substantial reduction in the amount of CDBG allocated for Program Delivery (City staff)

- Reduction in certain Housing Programs and elimination of some housing contracts
- Reduction in funding for certain Youth Programs

At the same time, additional funding has been allocated for priorities of Economic Development and funding of Demolition and Fire Prevention began throughout these years.

It should be noted that CDBG funding is just one resource that provides assistance from the City for these activities. Changes to the level of CDBG funding may only reflect a change in how (or from what source) funding is provided rather than an overall policy or priority statement.



Activities and Accomplishments

Activities and accomplishments for all 4 FIS areas are provided below. Note: Most of the figures for completed projects are for the time period July 1, 2009-June 30, 2012.

- **Planning:** FIS implementation plans were completed for all four areas. Additional planning has been completed or begun, such as the Jefferson Avenue Revive Plan and the Marketview Heights Urban Renewal District.
- **Real Estate Development:** Two large-scale affordable rental projects (Voters Block Community and Holy Rosary Apartments) secured millions of dollars in funding to develop 152 units of high-quality units. Two additional projects are in process (Hardy Park Apartments will soon be underway and Corpus Christi is seeking financing through New York State).
- **Residential Property Improvements:** 101 residential properties were rehabbed through the Exterior Security Rehabilitation Program (ESRP). An additional 36 are underway.
- **Commercial Property Improvements and Business Development:** 14 commercial properties were rehabilitated with façade improvements. 1 additional project is underway, 2 additional projects have received financial commitments, and 1 more is pending.
- **Vacant Property Acquisition/Rehab:** 7 vacant, two-family properties were rehabilitated as well-managed rental units.
- **Demolition:** 18 blighted vacant structures were demolished. 26 are underway or in the demolition pipeline.
- **Home Ownership:** Two new construction home ownership projects were initiated, that include a total of 9 owner occupied properties. In addition, 7 vacant single-family homes were acquired, rehabilitated to a very high standard, and sold to first-time homebuyers.
- **Employment and Training:** 10 individuals were trained and placed in employment as Building Performance Indicator-trained professionals.
- **Youth:** 23 youth were trained through the Citizens Explorers Program and 10 youth were hired and trained to develop public arts projects in the FIS areas.
- **Utility Upgrades:** 959 households received rear yard utility upgrades through RGE.
- **Public Works:** Two street projects (Dewey Avenue and Jefferson Avenue) including FIS-driven enhancements were substantially completed. FIS funds were also used to help develop an attractive new parking lot across the street from the Public Market on N. Union Street. In addition, improvements were made to the North Union Street Public Market entrance and a parking area within the Market gates as well as to develop the railroad trestle on N. Union street as a pedestrian bridge. Planning is underway by the Department of Environmental Services to improve Union Street from Central Park to University Avenue. Features will include a gateway feature at Central Park, decorative crosswalks at all intersections, installation of 13 pedestrian level-light poles, new street bump outs at seven locations, and planters and benches. Additional public works are being planned for Webster Park and N. Union Street. Discussion continues and funding is being sought for the realignment of Dewey Avenue.
- **Other Activities:**
 - 1 vacant lot was beautified and transformed into a neighborhood asset.
 - 4 community projects were funded with mini-grants.

- 2 special projects (including one that aimed at revitalizing an entire small block and one that turned a vacant, dilapidated commercial structure into a viable reuse) were substantially completed.
- 56 households participated in a landscaping workshop and a planting initiative. Community groups also received plants for community spaces in the FIS areas.
- Rochester Walks! developed walking routes and established walking groups for all four FIS neighborhoods.
- Neighborhood Service Centers conducted targeted code enforcement, numerous uplifts, and addressed multiple problem properties in the FIS areas.
- Neighborhood branding concepts were developed for one FIS area.
- A public safety planning process was initiated in one FIS area. Public safety initiatives have also occurred in the other three areas.

Neighborhood Health Indicators

In order to assess whether or not all of these activities/projects are having an impact on the FIS areas, a handful of key neighborhood health indicators/secondary data measures were analyzed:

- Tenure Status
- Real Estate Sales
- Assessment Data
- Crime Rates
- Vacant Buildings

While it was determined too soon to assess the impact of some of the FIS projects on these measures, at this time the secondary data does seem to suggest that the FIS areas are seeing signs of improvement in their overall health. Large reductions in property crime and violent crime have been the most positive trend within the different geographies, along with a promising decline in the number of vacant structures in many of the FIS Priority areas. Some other indicators including real estate sales values and assessed values do not demonstrate improvement, but are not yet able to provide insight into the impact of FIS accomplishments due to data lags, measurement techniques and the timing of the completion of many projects. This is not surprising as a majority of the FIS projects included in the assessment, while many were initiated in 2009, were not completed until 2011 and 2012. Data on real estate sales and assessed values are expected to better measure results as properties improved through the program are sold and/or reassessed. Property assessment impacts will not be seen until the next citywide reassessment is completed in 2016.

Even with some positive indications and trends, the measurements of neighborhood health also demonstrate some of the substantial challenges and the distressed nature of the FIS areas. As described further in the challenges section of this report, the distressed nature of these neighborhoods makes it difficult to achieve results quickly. FIS areas combined median sale price in 2012 was \$46,125. This is \$22,775 less, or 69% of the citywide median of \$66,900. The same was true for assessment values, which ended 2012 at a median of \$44,000, 75% of the citywide statistic of \$58,000. Crime is also noteworthy with violent crime rates in FIS areas 67% higher than citywide at 48.4 crimes per 1000 people as compared to a rate of 32 per 1000.

Property crime rates were 43% higher in FIS areas at 228.1 as compared to the citywide statistic of 160. The tenure data show an 18.8% higher renter rate in FIS areas with 60.4% renter as compared to 41.6% renter citywide. This translated to a 39.6% homeownership rate, 18.8% lower than the 58.4% statistic citywide. 8.69% of FIS area residential structures are vacant, as compared to 5.25% of citywide residential structures.

Stakeholder Feedback

Stakeholder team members reflect positive views of the impact of FIS while acknowledging that numerous neighborhood challenges still exist. Across the four FIS areas, stakeholders consistently expressed that visible physical change is a notable success. Other perceived successes included a difference in how residents feel about their neighborhoods and improvements in civic engagement and public safety. Continued perceived challenges include unemployment and underemployment, commercial development, rental property management, resident engagement, and public safety concerns particularly those related to drugs and prostitution. Some frustration on the difficulty and pace of the implementation of strategies and projects has also been described.

Challenges

Neighborhood and Business Development staff members working on the FIS have also identified a number of challenges including:

- Distressed Nature of Selected Neighborhoods
- Public safety
- Problem properties contributed to by:
 - Mortgage foreclosure
 - Property tax default/liens
 - Owners who are not locally based
 - Large and poorly maintained/managed residential structures
- Vacant and underutilized commercial properties
- High rehabilitation costs
- Lack of up and running community building activities
- Difficulty managing many competing priorities
- Staff capacity
- Lack of a communications and/or marketing strategy

Recommendations/Next Steps

The FIS has had many accomplishments and its impact is beginning to be visible. Neighborhood and Business Development will continue FIS for the next year. The activities below will be incorporated into the FIS workplan over the next year:

- **Emphasizing the City commitment to FIS as a continued priority.** As discussed at the time of selection of these four neighborhoods, while the initial timeline proposed hoped to realize the goals of FIS in three to five years, because of the distressed nature of the neighborhoods selected the desired outcomes are likely to take longer to be seen. With the recognition that achieving the desired outcomes of FIS will take at least five years, the City voicing the initiative as a continued priority would help in future program planning and implementation. It would be helpful to encourage each

department (particularly DES, DRYS, RPD) to make an effort to further commit some of their staff resources and programs in these areas to complement the other City investment.

- **Working toward an equitable distribution of FIS funds across all 4 areas. For the next year, a funding plan has been drafted.**
- **Identifying and implementing ways to address concerns with public safety.**
- **Continuing to administer current rehabilitation programs and expand them to include properties that are hard to serve, such as those that are five to ten units.** Encourage owners to play a more active role financially and in terms of neighborhood involvement if receiving a grant. Create a palette of colors to be used by each area to provide more assistance to homeowners in color selections and coordination of colors on a given street to maximize the visual impact of the investments.
- **Identifying or developing a training program for property owners who have taken advantage of the FIS rehab grant programs to educate them about ongoing maintenance.**
- **Focusing on high profile properties such as corner properties or large residential commercial properties that would have a high impact if rehabilitated.**
- **Expanding homeownership opportunities.** Host a homeownership event specifically for the FIS highlighting FIS programs. Meet with realtors who work in the areas to inform them of the various programs so that they can be advocates for the program. Encourage local employers to consider these areas for EAH. Encourage lenders to become more involved in developing financing mechanisms to help spur home ownership opportunities.
- **Developing better communications about the status and accomplishments of FIS.** This could possibly include using the City's website to include a monthly trailer about FIS.
- **Celebrating the successes along with the residents in these areas.** This would include hosting events, including those that attract the media and bring attention to the accomplishments of each area.
- **Focusing on job opportunities for local residents and continue youth development initiatives.**
- **Creating programs that help change the detrimental behaviors of some residents upon the neighborhood and help them be good neighbors.**
- **Identifying other sponsors to fund activities not suitable for City funding, including community building activities that include food.**

- **Engaging a consultant to update the property condition survey (Building Conditions and Land Use survey or BCLU) for each area to quantify the impact of FIS programs on property conditions.**
- **Convening the original FIS Committee made up of City Staff and Neighborhood Stakeholders to share the results to date and determine next steps for FIS.**

Annual Affordable Housing Goals 91.220(g)

***If not using the CPMP Tool:** Complete and submit Table 3B Annual Housing Completion Goals.

***If using the CPMP Tool:** Complete and submit the Table 3B Annual Housing Completion Goals.

11. Describe the one-year goals for the number of homeless, non-homeless, and special-needs households to be provided affordable housing using funds made available to the jurisdiction and one-year goals for the number of households to be provided affordable housing through activities that provide rental assistance, production of new units, rehabilitation of existing units, or acquisition of existing units using funds made available to the jurisdiction. The term affordable housing shall be defined in 24 CFR 92.252 for rental housing and 24 CFR 92.254 for homeownership.

Lead-based Paint:

The Monroe County Department of Public Health reports that 174 children in the city of Rochester under the age of 6 had elevated blood lead levels (equal to or above 10ug/dl) in 2013, which is a slight decrease from 2012. The incidence of child lead poisoning has been steadily declining since 2003 when 932 children were reported as lead poisoned. The decline in the incidence of child lead poisoning is noteworthy; however, it has been argued that greater than 50% of children under age 6 in the city have not been tested for lead poisoning. Should this population be tested, the incidence of lead poisoning is expected to be much higher than the reported data.

It is well documented that at-risk children primarily reside in rental housing built before 1978. The City will continue to target this housing stock as it carries out its lead hazard control efforts. To illustrate the scope and magnitude of the problem, the City's pre-'78 rental housing stock should be understood. Since 2003, the City is responsible for producing 854 units of lead-safe pre-'78 rental housing units. According to the 2010 Census, an additional 47,655 units remain, all of which may contain lead hazards.

The majority of lead-poisoned children continue to reside in the following inner-City neighborhoods where some of the city's oldest housing stock is located: 14621 South; Edgerton; POD, CHAC, BEST; 19th Ward; Marketview Heights (north); Maplewood (east); Beechwood; 14621 (north); and Genesee/Jefferson/ Plymouth-Exchange. It is within these high-risk neighborhoods where the City will continue concentrating its lead hazard control efforts.

The City has received \$18.7 million in grant awards from HUD's Office of Healthy Homes and Lead Hazard Control since 2003 to support the City's lead hazard control efforts. Since this time, the City has produced 1,439 units of lead-safe housing (owner-occupied and rental) at a cost of \$27.4 million. The total investment includes

grant awards from HUD, the City's local funds, funding from the Greater Rochester Health Foundation, and funding from New York State.

The Consolidated Community Development Plan documents several actions to evaluate and reduce lead-based paint hazards and describes how lead based paint hazards will be integrated into housing policies and programs. During the 2014-15 program year, the City will undertake the following activities:

- The City will continue to manage its Lead Hazard Control program with funding received from HUD's Office of Healthy Homes and Lead Hazard Control and the Greater Rochester Health Foundation. It is expected that 125 units of lead-safe housing will be produced at a cost of \$1,750,000.
- Continue to integrate a "Healthy Homes" intervention for units served through the lead hazard control program;
- Partner with the Coalition to Prevent Lead Poisoning to educate property owners about the dangers of childhood lead poisoning and build community awareness of resources available for lead hazard control;
- Continue in partnership with the Monroe County Department of Public Health to identify and enroll families with lead-poisoned children;
- Apply to HUD for lead hazard control funding; and
- Seek non-traditional funding for lead hazard control

Priorities and Objectives

The City of Rochester adopted a Housing Policy as a result of the Housing Market Analysis conducted in September 2006. The Housing Policy is based on the July 2007 recommendations of the study. The Housing Policy sets the framework for policy decisions concerning the preservation of affordable housing and was adopted by the City in March 2008. The Policy is included in the 2010-2014 Strategic Plan.

The City of Rochester will invest its entitlement funds to create new and preserve existing affordable housing for households below 80% of median income in the neighborhoods surrounding the downtown district. Towards this end, the City has established the following housing priorities and objectives:

In FY 2014-2015, the City plans on using its federal and other resources to fund the following programs/activities related to a priority/objective in the Five Year Plan. The planned accomplishments below are for all federal dollars included in the annual action plan related to those funds (Housing Development Fund, Homeownership Fund, etc.)

Specific Objective #1:

Expand the supply of affordable rental and homeownership housing.

Housing Development Fund:

The following table is specifically for the Housing Development Fund:

| Specific Objective/Goal | Specific Objective/Strategy | Initiatives | 2014-15 Planned Accomplishments |
|---|---|--------------------------|---|
| Affordable of Decent Housing/ Improve the Housing Stock and General Property Conditions | Improve the availability of affordable owner housing; Improve access to affordable owner housing; Improve the quality of owner housing; Increase the supply of affordable rental housing; Increase the quality of affordable rental housing | Housing Development Fund | 1 Organization 269 Housing Units 100 People |

Specific Objective #2:

Improve access to owner-occupied housing, improve access to affordable housing.

Homeownership Promotion Fund:

The following table provides the specific objective/goal, specific objective/strategy, initiative, and planned accomplishments in 2014-15 for the Homeownership Promotion Fund:

| Specific Objective/Goal | Specific Objective/Strategy | Initiatives | 2014-15 Planned Accomplishments |
|---|---|------------------------------|---------------------------------|
| Affordable of Decent Housing/ Improve the Housing Stock and General Property Conditions | Improve access to affordable owner housing, Improve the quality of owner housing, Improve the services for low/mod income persons | Homeownership Promotion Fund | 314 Households |

Specific Objective #3:

Improve the quality of rental housing.

Rental Market Fund:

The following table provides the specific objective/goal, specific objective/strategy, initiative, and planned accomplishments in 2014-15 for the Rental Market Fund:

| Specific Objective/Goal | Specific Objective/Strategy | Initiatives | 2014-15 Planned Accomplishments |
|--|---|--------------------|---------------------------------|
| Affordability of Decent Housing/ Improve the Housing Stock and General Property Conditions | Improve the quality of affordable rental housing; Improve the services for low/mod income persons | Rental Market Fund | 261 People |

Specific Objective #4:

Improve access to affordable housing; increase the range of housing options and related services for persons with special needs.

Housing Choice Fund:

The following table provides the specific objective/goal, specific objective/strategy, initiative, and planned accomplishments in 2014-15 for the Housing Choice Fund:

| Specific Objective/Goal | Specific Objective/Strategy | Initiatives | 2014-15 Planned Accomplishments |
|---|--|---|---------------------------------|
| Availability/Accessibility/ Affordability of Decent Housing/ Improve the Housing Stock and General Property Conditions | Improve access to affordable housing; Increase range of housing options and related services for persons with special needs; Improve access to affordable rental housing | Housing Choice Fund, HOPWA, Emergency Solutions Grant | 199 Households TBD people |

Specific Objective #5:

To provide comprehensive planning and other planning activities including technical

assistance and resources to the NSC Quadrant management process. It also includes Focused Investment Strategy activities.

Neighborhood and Asset Based Planning Fund:

The following table provides the activities included for the Neighborhood and Asset Based Planning Fund:

| Specific Objective/Goal | Specific Objective/Strategy | Initiatives | 2014-15 Planned Accomplishments |
|--|--|--|-----------------------------------|
| Affordability of Decent Housing/ Improve the Housing Stock and General Property Conditions | Improve access to affordable owner housing; Improve the quality of owner housing; Increase the supply of affordable rental housing; Increase the quality of affordable rental housing; Improve the services for low/mod income persons | Neighborhood and Asset Based Planning Fund | 39 Housing Units 43 Households |

(The required HUD Housing Needs Table may be found as an Appendix of this document.)

Public Housing 91.220(h)

12. Describe the manner in which the plan of the jurisdiction will help address the needs of public housing and activities it will undertake during the next year to encourage public housing residents to become more involved in management and participate in homeownership.
13. If the public housing agency is designated as "troubled" by HUD or otherwise is performing poorly, the jurisdiction shall describe the manner in which it will provide financial or other assistance in improving its operations to remove such designation during the next year.

Rochester Housing Authority (RHA) Public Housing Agency Strategies

- Self-sufficiency programs, including home-ownership, through the RHA Resident Services Department
- Development of new affordable housing units to meet increased community need
- Redevelopment of existing RHA housing stock
- Increase the amount of voucher funding available to meet the affordable housing needs of the community
- Create local preferences to target assistance for homeless individuals and families; to contribute in achieving the community goal of ending homelessness in Rochester

Public Housing Program

The need for public housing continues to be significant. A steady demand for family public housing units continues, while there is a good supply of affordable public housing located in the city of Rochester for the elderly. As of February 2014, the number of units available in the city totaled 2,388. There are additional public housing units within the city of Rochester that are not owned or managed by RHA, 15 units at Anthony Square and 70 units at Carlson Commons and Plymouth Manor. Occupancy rate in the city for RHA public housing units is at 97.41% with the number of vacant units at 63 (This includes only units currently available for

occupancy. Those offline for modernization or casualty loss are not included in the vacancy count.)

RHA continues to conduct an internal feasibility study on all of its sites in an effort to follow RHA's strategic plan and Asset Management model while addressing the housing needs with the community. Once a plan is in place, and a schedule developed, a request will be submitted to HUD as permitted by utilizing an Inventory Removal or Rental Assistance Demonstration (RAD) Application. The disposition method may include homeownership, demolition and/or voluntary conversion. In December of 2013, RHA submitted 3 Rental (RAD) applications to HUD to convert 160 units of public housing to project based voucher units. They are currently awaiting HUD approval.

Capital Funds Program

RHA became a participant in the Public Housing Modernization/Comprehensive Grant Program (CGP) during FFY 1992. In FFY 2002, this program became known as the Comprehensive Fund Program (CFP). RHA has received HUD's approval of CGP/CFP plan since the program became available. The plan is a four-year spending plan for physical improvements, management improvements, and support services for public housing residents. Historically, each year RHA receives an annual formula allocation to address identified needs. RHA received approximately \$4.5 million in 2010-11, \$3.8 million in 2011-12, \$3.5 million in 2012-13 and will receive approximately \$3.3 million in 2013-2014.

The CFP Plan is based upon a complete evaluation of the nearly 2,329 public housing units in the city that are included in RHA's inventory. The plan presents physical needs assessment of each of RHA's 26 project locations and its scattered sites. The plan also identifies activities to improve management and provide support services to residents.

A complete copy of the CFP plan may be reviewed at the following locations:

Rochester Housing Authority
Exec. & Administrative Offices
675 West Main Street
Rochester, NY 14611
(585) 697-3602

City of Rochester
Business & Housing Dvlpmnt.
City Hall, Room 010A
30 Church Street
Rochester, NY 14614
(585) 428-6150

In 2012, RHA won an appeal to HUD that RHA had not reached the public housing unit limit established under the Federal Faircloth Limit. As a result RHA has committed funding to build up to 6 new units.

In 2013, RHA constructed 3 new units of public housing at its Harriet Tubman site at the corner of Upper Falls Blvd and Joseph Ave. The units are 2 bedroom side-by-side and part of one building. RHA intends to acquire additional housing units either by acquisition (with subsequent rehabilitation) and/or new construction. The funds for these activities will occur with unrestricted funds available to the Housing Authority, Replacement Housing Fund Factor Grants, proceeds from the sale of properties and/or CFP funds. Homeownership opportunities may occur for public housing residents and Housing Choice Voucher recipients and may involve a partnership with local organizations in a collaborative effort with the City of Rochester and the Rochester Housing Development Fund Corporation.

RHA has created an affiliate Homestead Management Enterprises, LLC for the purpose of actively seeking joint venture partnerships with 501(c)(3) housing and development companies and other private property owners to further the development and creation of affordable housing throughout the city of Rochester and Monroe County.

Housing Choice Voucher and other Rental Assistance Programs

The need for additional vouchers in the community continues to be significant. 11,500 families remain on the waiting list for tenant based voucher assistance. This waitlist has not accepted new applications and has been closed since November 2009. RHA anticipates providing assistance to approximately 1,000 new families in 2014-2015.

The RHA voucher inventory as of February 2014 is 8,924 vouchers, plus an additional 784 participants in the Permanent Supportive Housing with Rental Assistance Program (previously called Shelter Plus Care). RHA anticipates utilizing approximately 92% of their total voucher inventory and 100% of the budget authority for 2014-2015. RHA's voucher inventory has increased by more than 700 vouchers since February 2012.

RHA is responsible for administering the following programs in addition to the Tenant Based Voucher Program:

- Project Based Vouchers
- Enhanced Vouchers
- Permanent Supportive Housing w/Rental Assistance (Shelter Plus Care)
- HUD-VASH (Veterans Affairs Supportive Housing)
- Family Self-Sufficiency
- Home Ownership
- Nursing Home Transition and Diversion Program
- Designated Housing Vouchers
- Moderate Rehab
- Mainstream Vouchers
- Family Unification
- Disaster Relief HAP Program
- Rental Assistance Demonstration (RAD)

Management & Operations

Management improvements assist in enhancing property management operations and services, while expanding tenant involvement. Management improvements for FY 2014 include:

- Major software upgrades to the agency-wide public housing software system and provide related staff training.
- Continued commitment to move toward electronic records management – promoting direct deposit, online rental listings services, converting client forms to electronic records, requesting work orders and inspections on-line, etc;
- Conduct a second phase energy audit assessment and begin implementing practical recommendations in our public housing and administrative buildings;
- Review and update agency policies;
- Continue to upgrade RHA wide security systems, ongoing.

RHA Priority Public Housing Needs, 2014

| Public Housing Need Category | PHA Priority Need Level (High, Medium, Low, No Such Need) | Estimated Dollars To Address Category |
|---|---|--|
| Restoration and Revitalization | | |
| Capital Improvements | High | \$1 to 4 million annually |
| Modernization | High | \$1 to 4 million annually |
| Rehabilitation | High | \$1.2 million annually |
| Other (Specify) | | |
| Management and Operations | High | \$16 million annually |
| Improved Living Environment | | |
| Neighborhood Revitalization (non-capital) | Medium | \$1 to 2 million annually |
| Capital Improvements | Medium | \$500,000 to 1 million annually |
| Safety/Crime Prevention/Drug Elimination | High | \$650,000 annually |
| Other (Specify) | | |
| Economic Opportunity | | |
| Resident Services/Family Self Sufficiency | High | \$200,000 - 500,000 annually |
| Annual Total | | \$21,550,000 - \$29,350,000 |

Source: Rochester Housing Authority

The Rochester Housing Authority is not designated as a troubled agency by HUD.

Living Environment

The RHA continues its activities to improve the living environment of RHA residents. Enrichment activities are available to youth and adults. The following is a listing of activities provided:

1. Youth Programs

- a. After school/Youth leadership program at three RHA Public Housing Community Centers. This program is run by Baden Street Settlement and Rochester Society for the Prevention of Cruelty to Children.
- b. Resident summer camp.
- c. Referrals to the Navigator program to RochesterWorks- Provides Educational and Employment Services and Guidance for Public Housing and Housing Choice Voucher resident targets youth/young adults ages 17-21 that are not in school and possess at least one barrier to employment or education.

2. Senior Citizen Programs

- a. RSVP Program and I'm okay program through the Red Cross
- b. Grocery shopping bus service
- c. Enriched Housing Program and Assisted Living Program/FSOR
- d. Mobile markets provided by local vendors.
- e. Anthony Jordan Health Clinics – on site at two locations, transportation from other sites available. Offers medical and dental services for our residents.

3. Crime Prevention

- a. Security consultant services
- b. Crime prevention lectures, displays, and patrols
- c. Resident crime prevention organizing and tenant security programs

- d. High rise guard stations
- e. Security road patrol

4. Other Counseling/Support Services

- a. Enriched Housing program at 3 locations
- b. Eviction prevention counseling
- c. Service Coordinators
- d. Resident councils, Resident Advisory Board
- e. Resident Worker Program - provides work experience opportunity for Public Housing Residents, working with the maintenance departments.
- f. Various on site resident educational trainings such as fire safety, nutrition/wellness, blood pressure screening, flu clinics, smoking cessation, etc.
- g. Additional Resident Services activities include case management, education, training and employment services to residents to reach self-sufficiency and economic stability. Case management services are provided for participants enrolled in the Family Self-Sufficiency program. Upon a completed needs assessment, FSS participants are referred to community partners for employment, training.
 - 1. Employment - Skills assessment; job search assistance; job placement. Provided through collaboration and partnership with RochesterWorks, Inc (NYSDOL). Coordination of Section 3 Program and compliance.
 - 2. Training - Child care provider; referrals for other training opportunities; job readiness training. Public housing residents may qualify for child care assistance.
 - 3. Education - referrals to Computer assisted GED classes; scholarship assistance; adult basic education; computer literacy; English for Speakers of Other Languages (ESOL). Classes provided through partnerships with Monroe #1 BOCES and Literacy Volunteers of America.
 - 4. Business Start up - Small business development workshop; financial assistance for business start-up (micro loan program) Referrals are made to Urban League of Rochester and SCORE program for Business Start up training and development. RHA makes referrals to Legal Aid Society for legal advice for families who are interested in starting their own business.
 - 5. Family Self-Sufficiency - Home buyer seminars; budgeting and household finances; time management; family support; financial counseling services; credit restoration and money management. RHA has a partnership with Clearpoint Counseling Services to provide budgeting and household finance counseling. Consumer Credit Counseling Services provides Money Management workshop sessions and families are referrals are made to Neighborworks for families interested in pursuing homeownership.
 - 6. Support Group - Barriers to success; mental wellness; nutrition; values clarification

5. Public Housing Resident Participation

The Rochester Housing Authority (RHA) provides various opportunities for resident participation. The following paragraph provides an overview of the activities.

RHA administers the Family Self-Sufficiency (FSS) Program, a HUD program that encourages communities to develop local strategies to help voucher families obtain employment and work toward economic independence and self-sufficiency.

RHA works with Rochester Works to develop a comprehensive program that gives participating FSS family members the skills and experience to enable them to obtain employment that pays a living wage.

RHA encourages resident participation in management through resident councils throughout Rochester's public housing communities. The councils have input in modernization needs, the Family Self-Sufficiency program and the homeownership program, and give the residents a voice regarding public housing rules, procedures and avenues of communication.

Rochester Housing Authority is in the process of creating an associated 501(c)(3) subsidiary foundation for the purpose of raising money to support programs for the residents and tenants of RHA's public housing and Housing Choice Voucher holders. These programs are focused on developing self-sufficiency in the areas of youth success, programs for the elderly and disabled, education and career goals, and homeownership.

In terms of the Consolidated Plan (CP), the housing authority residents have an opportunity to comment on the plan. A copy of the CP and the Annual Action Plan is put on display at the Housing Authority.

6. Family Self-Sufficiency (FSS) Homeownership

RHA recently received PH-FSS coordinator funding to enroll public housing residents in the Family Self-Sufficiency program with emphasis on being a homeowner instead of a renter. RHA continues to recruit residents into the Family Self-Sufficiency program. The RHA is an active participant in the City's Uplift Program. The RHA has entered into a formal contractual agreement with Neighbor Works Rochester, Inc. and the Regional Center for Independent Living to assist public housing and Housing Choice Voucher residents with their homeownership goals. Thus far, 193 Housing Choice Voucher holders and public housing residents have utilized the program and have transitioned into homeownership.

7. Resident Opportunity and Self-Sufficiency (ROSS) Program

RHA received a grant for 2012-15 to coordinate public housing support services to assist residents in achieving economic independence and self-sufficiency for families.

Efforts to Enhance Coordination

Coordination between the RHA, private and governmental health and mental health services are enhanced by the City through the City's support of RHA social services programs and safety and security programs. The City also supports RHA's Family Self Sufficiency Program which links Housing Choice Voucher participants and Public Housing residents with local training and employment program related service agencies.

The City has assisted in revitalizing neighborhoods surrounding Public Housing developments in many areas. New schools and community centers have been built. Road reconstruction and street maintenance are regular. Fire and police protection efforts are ongoing at high levels of service. The Rochester Police Department works cooperatively with RHA in local drug elimination efforts and assisting in developing crime reduction strategies. RHA tenants regularly use nearby schools and recreation centers for educational needs and after school programs, although this has been

expressed in meetings as an area for greater engagement. The City's business development efforts are ongoing and touch upon many neighborhoods where public housing is located.

The RHA also provides support services to its residents through their involvement with many agencies. As examples, services to elderly are coordinated with the many Health care agencies such as Home care of Rochester, Elderone, VNS, and Jordan Health; while school children are linked with tutorial programs at two agencies and the City School District. The Navigator Program with RochesterWorks targets youth/young adults ages 17-21 that are not in school and possess at least one barrier to employment or education. The RHA works closely with RochesterWorks and the City's Department of Recreation and Youth Services to refer appropriate in-school public housing youth for summer and year-round employment opportunities.

RHA Agency Plan

RHA has prepared an Agency Plan in compliance with Section 511 of QHWRA. The Agency Plan embodies, in many respects, the concepts of the Consolidated Community Development Plan. Like the Consolidated Plan, HUD intends that the Agency Plan will provide a mechanism by which a PHA can examine its long-range and short-range needs, specifically the needs of the families that it serves, and design both long-term and short-term strategies for addressing those needs. Like the Consolidated Plan, the Agency Plan requires consultation with affected groups in the development of the plan.

The following are highlights of the Agency Plan:

- The Housing Choice Voucher Homeownership Program has enabled 141 Housing Voucher Program residents to become homeowners including those who are disabled.
- The Public Housing Admissions and Continued Occupancy Policy and the Housing Choice Voucher Administrative Plan have been updated and ratified. Both Plans will be reviewed on a yearly basis.

These plans require RHA to examine its existing operations and to design long range and short range strategies to address those needs. The five-year plan is to describe the mission and the long range goals and objectives for achieving its mission over the five year period from October 1, 2010 to September 30, 2015. The annual plan is to provide details about operations; program participants and their needs; needed capital improvements; available financial resources (federal and non-federal); the strategies for addressing needs; and the programs and services for the upcoming fiscal year.

RHA is obligated to ensure that the Agency Plan is consistent with the Consolidated Plan; including a description of the manner in which the applicable plan contents are consistent with the Consolidated Plan.

RHA's actions are consistent with the housing needs assessment of low-income housing needs as evidenced in the Consolidated Plan.

Homeless and Special Needs 91.220(i)

14. Describe, briefly, the jurisdiction's plan for the investment and use of available resources and one-year goals for reducing and ending homelessness.

The City of Rochester will receive and utilize Emergency Solutions Grant funds to help address the needs of homeless individuals and families and to prevent homelessness. All funds will be used in collaboration with the local Continuum of Care, Monroe County, the Homeless Services Network priorities, the City's Housing Policy and the recently completed Homelessness Resolution Strategy Report. The Homelessness Resolution Strategy Report completed by Housing Innovations has been adopted as the plan of action for addressing homelessness in the Monroe County area. The plan calls for:

- Increasing the number of overflow beds to accommodate the increase in the number of homeless during peak times and eliminating the hotel placements.
- Implementing diversion screening to avert households that are at shelters and other locations not fit for human habitation.
- Coordinated Access having a coordinated effort to connect the homeless with housing and other needed support services.

The City and County pooled their ESG resources to fund the components below for the 2013-14 program year for the services included below.

I. HOMELESSNESS PREVENTION/RAPID RE-HOUSING SERVICES

This may include housing search and financial assistance such as direct rental and utility payments for eviction prevention or assistance to move to other housing. Services also include referral to eviction prevention services (ex., legal services).

II. EMERGENCY SHELTER AND SHELTER DIVERSION

1. Up to 30 Added Overflow Capacity Beds at peak demand. The community goal is for establishment and/or construction of a total of up to thirty (30) shelter beds. Overflow units for families should be able to accommodate households with a male head of household and adolescent boys. These overflow beds should be used for persons who present after regular business hours so as to avoid having to make hotel placements. For after hours shelter needs, applicants could be screened by phone and if not diverted, be directed to shelters with overflow capacity. Vacancy information will be reported regularly by all shelters and maintained in the HMIS.

2. Up to 40 Added Year-Round Shelter Beds. The community's goal is for establishment and/or construction of a total of up to forty (40) shelter beds that will be added to the community inventory, and available throughout the year, and that can accommodate one or more of the eligible populations. Like Overflow units, year-round shelter beds for families should be able to accommodate households with a male head of household and adolescent boys. Vacancy information will be reported regularly by all shelters and maintained in the HMIS.

3. Emergency Shelter Year-Round Operation. Involves the staffing, maintenance, operating costs necessary to operate and maintain emergency shelters, and also to provide other emergency lodging when appropriate.

III. ESSENTIAL SERVICES

The City and County will only consider application for one or more of the Essential Services described as follows:

1. **Case Management:** Assessing, arranging, coordinating, and monitoring individualized services. Also included is providing housing stabilization as determined in needs assessment.
2. **Life Skills:** Critical life management skills necessary to assist the program participant to function independently in the community.
3. **Transportation:** Costs of travel by participants to and from shelters and overflow shelters and also medical care, employment, child care, or other facilities that provide eligible Essential Services; and cost of staff travel to support provision of Essential Services.
4. **Services to Special Needs Populations (specify):** Otherwise eligible for Essential Services (as in 1, 2, and 3 above) tailored to address special needs, for example, victims of domestic violence and related crimes/threats.

For the 2014-14 program year, the City and County will continue to collaborate and strengthen the Coordinated Access system and make sure that the plans from the Homeless Study continue to be implemented.

15. Describe specific action steps for reducing and ending homelessness that (i) reach out to homeless persons (especially unsheltered persons) and assessing their individual needs (ii) address emergency shelter and transitional housing needs; (iii) help homeless persons (especially persons that are chronically homeless, families with children, veterans, and unaccompanied youth) make the transition to permanent housing and independent living.

Over the next twelve months additional outreach will be provided to the homeless shelters to identify individuals and families that are homeless to provide housing options such as transitional or permanent and stabilization assistance (case management, life skills and transportation). Intervention services will also be provided to individuals that are homeless and living in areas not suitable for habitation.

The City and County will assess the pilot operation of a Coordinated Access system that will provide access to other needed support services that will assist homeless individuals and families that are at risk of becoming homeless.

16. Homelessness Prevention—Describe planned action steps for reducing and ending homelessness that help low income individuals and families avoid becoming homeless, especially extremely low-income individuals and families who are likely to be discharged from publicly funded institution and systems of care or those receiving assistance from public or private agencies that address housing, health, social service, employment, education or youth needs.

Homelessness Prevention and Outreach

In its efforts to prevent homelessness for vulnerable populations, the City of Rochester used the Homelessness Prevention and Rapid Re-housing Program (HPRP)

to focus on housing for the newly homeless and households at imminent risk of becoming homeless. Through the use of HPRP funds, the City, in collaboration with the County, provided temporary financial assistance and housing relocation and stabilization services to individuals and families who were homeless or would be homeless if not for the assistance being provided. Nearly 3,000 households were assisted.

Because of the success of the Heading Home Program in preventing homelessness and rapidly re-housing homeless persons and families, the City and County used their Second Allocation FY2011 ESG funding to continue Heading Home on a limited basis until December 31, 2012 and 40% of the 2012-13 funds for homeless prevention and rapid re-housing. Street outreach was also enhanced to increase the participation of households currently homeless. Some of the allocation from the 2013-14 ESG funds was used for rapid re-housing and prevention. It is anticipated that a homelessness prevention and rapid re-housing activity will continue utilizing 2014-15 ESG funds.

Eviction/Foreclosure prevention: Temporary financial support to prevent eviction/foreclosure is a fundamental tool to retain stable housing for those who experience a short-term financial crisis. This situation may be caused by an unexpected job loss, family break up or unexpected health condition. The household has the potential to rebound and regain financial stability, but needs short-term help to weather a crisis.

According to the 2007 City Housing Study, Rochester is facing a crisis precipitated by the rise of foreclosed properties. A City-commissioned 2000 study by the Housing Council showed that foreclosures in the City increased from 361 in 1990 to 1,000 in 1999. Citywide, more than 25 percent of sales were foreclosure-related. In some neighborhoods the percentage was 40 percent. In 2006, the Monroe County Clerk's Office recorded a total of 2,667 properties that were foreclosed upon or in a pre-foreclosure status.

Legal interventions: A wide range of accessible local legal services provide appropriate and often sustainable interventions to prevent homelessness. Issues addressed relate to eviction prevention, mortgage foreclosure, benefits acquisition or resumption, employment rights, domestic violence, child support and legal residency status. Most of these legal interventions have a favorable impact on a person's long-term income stream which, in turn, supports housing stability.

In 2006 after many years of planning, four not-for-profit legal organizations co-located in a central downtown location. This action has increased cross-agency service which improves clients' experiences and operating effectiveness.

Strategies to strengthen legal prevention interventions should be developed, especially those targeted at integration of legal services into human services delivery. In this approach, agencies contract for specific legal services and expected results rather than just making a general client referral.

Additionally, the City will continue to support efforts of human service agencies, social service providers and other organizations that provide services and assistance to individuals and families who are at imminent risk of becoming homeless.

Over the next twelve months, the City will continue to work to prevent homelessness of individuals and families and work with homeless service agencies to address homeless needs through the use of ESG funds within regulatory guidelines. The City and County will also consult/plan with the CoC, Homeless Services Network, to

identify community needs that can be implemented to align with the Federal Strategic Plan goals and HEARTH Act CoC Performance Measures.

It is anticipated that the City will continue its prevention program that was established with the infusion of Homeless Prevention and Rapid Re-Housing stimulus funding. The services include housing search and financial assistance such as direct rental and utility payments for eviction prevention or assistance to move to other housing. Service also includes referral to eviction prevention services (ex., legal services). The City and County funded this service jointly and was supported by the Rochester/Monroe County CoC and the Homeless Services Network. The City will also continue to contract with the Legal Aid Society to prevent evictions and fund foreclosure prevention services through our CDBG allocation to assist families that may be at imminent risk of becoming homeless.

Chronic Homelessness

HUD defines a chronically homeless person an unaccompanied individual with a disabling condition who has been continually homeless for 12 months or more or who has had four episodes of homelessness in a three-year period. The 2011 CoC point-in-time survey identified 76 such individuals in and around Rochester. This represents a 12% decrease over the 85 chronically homeless identified in 2010. This decrease reflects the success of the community in addressing the issue of chronic homelessness.

The City of Rochester has recognized a great need for shelter space to accommodate the chronically homeless. Since the 1980s, the City of Rochester has worked closely with a number of community-based organizations to preserve and upgrade existing housing for low-income individuals, and to create additional supportive housing – housing that, in addition to basic shelter, provides the array of services needed to help chronically homeless individuals become as self-sufficient as possible.

Current efforts include collaborating with the faith community and other local homeless service providers to outreach to specific homeless encampments to provide access to needed services and permanent housing opportunities.

Discharge Coordination Policy

Data shows that a key precipitating trigger for homelessness is discharge from an institutional setting. Comprehensive discharge plans that include housing for those exiting correctional facilities, the foster care system, mental health and chemical dependency facilities or hospitals can prevent homelessness for these at-risk populations. Discharge protocols that include securing safe and appropriate housing are in place at most key institutional settings.

Annually, 2,700 incarcerated persons are released into Monroe County from federal and state correctional facilities. This number is second only to New York City. Additionally, an estimated 1,200 persons in local correctional facilities serve sentences of three to twelve months which often results in the loss of employment, housing, health insurance, etc. Discharge protocols are mandated by NYS for those released from State correctional facilities, but are not in place or mandated at the local facilities.

Best practice discharge methods should be extended to local correctional units. A particular challenge is the fact that many individuals are discharged at the end of the last day of their sentence – near midnight. Some volunteer efforts attend to persons at this time of night, but formalized, well-structured services are not in place and could have a positive impact.

Regularly review protocols for all institutional settings to ensure consistent and effective implementation of discharge plans. Staff changes occur regularly and community resources change likewise. This presents an opportunity to develop cooperative training for frontline staff both in key institutions and key homeless community-based organizations.

The following discharge protocol summaries, as stated in the 2014 Continuum of Care Application, reflect the planned activities to implement a cohesive, community-wide Discharge Coordination Policy by the Rochester/Monroe County Continuum of Care Team and the Homeless Services Network. These planned activities will continue to be implemented.

Foster Care: New York State regulation 18 NYCRR Section 430.12(f), overseen by the Office of Children and Family Services, prohibits the release of youth in foster care to a shelter for adults, shelter for families, single-room occupancy hotel or any other congregate living arrangement which houses more than 10 unrelated persons. An appropriate residence must be identified, with the reasonable expectation that the discharge residence will remain available to the child for at least the first 12 months after discharge. The regulations require local social services districts to refer youth to any needed services and to give the youth written notice of the discharge at least 90 days prior to the planned discharge.

Health Care: According to the New York State Patient's Bill of Rights, hospitals within New York State are required to provide all patients with written discharge plans to which patients have to agree prior to being discharged from the hospital. All area hospitals and emergency rooms have agreed to not releasing patients to the streets and/or to McKinney Vento funded homeless programs until all other resources have been thoroughly examined and exhausted. Health care facilities will provide assistance in finding appropriate housing for persons being discharged that include extended care facilities, family members or friends.

Mental Health: The New York State Office of Mental Health regulations (Title 14 NYCRR, Section 595) governs the release of patients from state mental health facilities. These regulations mandate the provision of housing consistent with the level of care required by the patient and ensure that patients are not approved for release until they have a comprehensive discharge plan in place. Upon release, individuals are linked to their county's Single Point of Access (SPOA), which coordinates the implementation of their discharge plan, including arranging for housing, case management, mental health treatment and, if appropriate, vocational assistance.

Corrections: The New York State Division of Parole Rules and Regulations (9 NYCRR, Subtitle CC, Parts 8000-8011) govern the release of inmates from state correctional facilities. Approximately 45-60 days prior to the inmate's release date; Division staff initiate the discharge planning process. Housing, specialized treatment needs and employment are the highest priority goals addressed in this process.

17. Describe specific activities the jurisdiction plans to undertake to address the housing and supportive service needs of persons who are not homeless (elderly, frail elderly, persons with disabilities, persons with HIV/Aids, persons with alcohol or other substance abuse problems).

The City of Rochester will receive and utilize Emergency Solutions Grant funds to help address the needs of homeless individuals and families and to prevent homelessness. All funds will be used in harmony with the City's Housing Policy.

The CoC requested funding under the 2013-14 CoC Super NOFA to finance twenty (20) local renewal programs, nine (9) Shelter Plus Care (S+C) rental subsidy projects, and one new project, which were requested under Tier 1 funding. Four projects were presented in Tier 2. In April 2014, HUD announced that 20 Supportive Housing Program (SHP) renewal programs, nine (9) Shelter Plus Care (S+C) rental subsidy projects, and one new program were funded in the amount of \$8,997,280. To date, thirty (30) CoC projects/programs have been funded in accordance with the Rochester/Monroe County CoC Team's 2013-14 project recommendations.

For the first time, CoCs were awarded HUD planning funds as either a Collaborative Applicant or by selecting an organization to apply as the Collaborative applicant. Initially, the United Way Services Corp. (UWSC) had agreed to partner with the CoC to be the Collaborative applicant. Subsequently, Coordinated Care Services Incorporated will be assuming this role in the future.

In addition to the rental housing assistance the City will continue to involve the CoC, County, the Homeless Services Network, to update planning studies associated with providing housing and service recommendations for these populations. Also, the City will use some of its other entitlement resources and local funds to help fund housing development projects that will help to service these populations. To this end, the City has funded several projects that accommodated these populations namely:

- Eastman Commons – An 80 unit project for homeless and formerly homeless individuals. Units are also made available to households with special needs.
- Voters Block – 92 units of mixed rental housing that serve households that have been released from incarceration, special needs and affordable housing
- Paul Wolk Commons – 21 units of permanent housing units devoted to households with special needs and substance abuse issues.
- Neighborhoods of the Arts - 63 units of housing developed by DePaul to provide housing to households with special needs.
- DePaul West Main Street – A mixed income project, 111 units that service households with special needs and substance abuse issues and also contains general affordable units that can be accessed by the general public.
- Son House – A 21 unit project for the formerly homeless men with substance abuse diagnosis and

Two other special needs projects are currently under construction:

- Carriage Factory- a mixed income project that will serve severely mentally ill individuals and also have affordable units available to the general public.
- Corpus Christi-an affordable project that will provide 21 housing units in a redeveloped school building for resident artists.

The City has also pledged support for four other projects that are seeking funding from New York State. Two are elderly projects; Eastman Gardens is being developed by Edgemere Development and Sibley's which is being developed by Winn Development. Two are special needs projects: The Alexanderian which is being developed by East House and Wedge Point is being developed by Pathstone. Stadium Estates that is being developed by Cornerstone is another project seeking funding.

The City also annually provides Payment in Lieu of Taxes (PILOTS) to affordable housing projects that house the elderly, frail elderly and other special needs populations to help these locations to remain affordable. The City will continue to support rental assistance programs, affordable housing development and support services that provide continued assistance to these vulnerable populations.

Discharge Coordination Policies have been developed and implemented by the Homeless Services Network (HSN) and the Continuum of Care Team, groups which include representatives from the City of Rochester. Community-wide plans to prevent homelessness upon discharge have been developed, and staff at the discharging facilities are aware of the need to make appropriate arrangements for departing individuals. Specific planning has occurred for youth, those leaving prison/jail, those with mental health issues, and those leaving hospitals. The HSN Special Needs Population Committee will work with other institutions (i.e., inpatient rehab programs, other residential programs) to develop similar discharge protocols.

Homeless Needs

The CoC conducts an annual point in time count of sheltered and unsheltered homeless during the last week of January. The following data from the 2013 Point in Time was collected on January 30, 2013 (The results of the 2014 PIT and HIC have not yet been aggregated.):

| | Emergency Shelter | Transitional Housing | Unsheltered | Total |
|-------------------------------------|-------------------|----------------------|-------------|-------|
| Persons in Households with Children | | | | |
| # Households | 91 | 68 | 10 | 169 |
| # Persons (Adults & Children) | 321 | 187 | 58 | 566 |

| Persons in Households without Children | | | | |
|---|-----|-----|----|-----|
| # Households | 201 | 136 | 48 | 385 |
| # Persons | 212 | 138 | 50 | 400 |
| Persons in Households with Only Children (Under Age 18) | | | | |
| # Households | 10 | 11 | 7 | 28 |
| # Persons | 11 | 14 | 16 | 41 |

The 2013 Point in Time (PIT) count identified a total of 1,007 total homeless persons-883 sheltered persons and 124 unsheltered persons, compared to 699 persons identified in the 2012 PID count. The increase in the total number of persons identified may be attributed to several factors and does not likely represent an increase of the number of homeless persons in our community. The 2012 PIT count did not include a count of seven additional homeless programs that were included in the 2013 PIT count but were not a part of the 2012 count.

Summary of Homeless Persons By Subpopulation (PIT)

| Subpopulation | Sheltered | Unsheltered | Total |
|--|-----------|-------------|-------|
| Chronically Homeless | 41 | 50 | 91 |
| • Chronically Homeless Individuals | 41 | 22 | 63 |
| • Chronically Homeless Persons in Families | 0 | 28 | 28 |
| Severely Mentally Ill | 117 | 25 | 142 |
| Chronic Substance Abuse | 226 | 21 | 247 |
| Veterans | 47 | 1 | 48 |
| HIV/AIDS | 2 | 0 | 2 |
| Victims of Domestic Violence | 108 | 3 | 111 |

Housing Inventory Count

Rochester/Irondequoit/Greece/Monroe County Continuum of Care

| | Current Inventory (Beds) | Current Inventory (Units) |
|-----------------------------|--------------------------|---------------------------|
| Households without Children | | |
| Emergency Shelter | 260 | 260 |
| Transitional Housing | 129 | 129 |
| Safe Haven | 16 | 16 |

| | | |
|------------------------------|------|------|
| Permanent Supportive Housing | 762 | 762 |
| Total | 1167 | 1167 |
| Households with Children | | |
| Emergency Shelter | 280 | 104 |
| Transitional Housing | 198 | 78 |
| Permanent Supportive Housing | 1117 | 467 |
| Total | 1595 | 649 |

The Housing Inventory Count shows the number of beds and units available within the homeless system as of the time of the January 30, 2013 PIT count.

In response to the priorities set in the 10-year plan, Rochester and Monroe County's 2009 Supportive Housing Production Implementation Plan sets a production goal of 1,416 supportive housing units by 2017, with 472 units of permanent supportive housing ready for habitation by 2013. The emphasis on permanent supportive housing is based on the Housing First concept. Housing First programs place people directly into permanent housing without first requiring that tenants be "housing ready." The goals of Housing First programs are to house people who are homeless in permanent housing settings as quickly as possible, to provide services as needed to promote and sustain housing stability, and to assist persons on their paths toward recovery and independence.

Barriers to Affordable Housing 91.220(j)

18. Describe the actions that will take place during the next year to remove barriers to affordable housing.

The majority of assisted, affordable housing in the Rochester region is located within the city of Rochester. The City has a long history of supporting the development and ongoing operation of affordable rental housing through: site assembly activities, infrastructure development, providing both construction and permanent financing, and payments in lieu of tax agreements. One of the means of supporting the availability of affordable rental has been to assure that a sufficient supply of land has been appropriately zoned to accommodate such development.

Given the fact that the city is virtually built out, it is not expected that significant new rental development will occur, except for sites which are being redeveloped. Preservation of the existing supply of affordable rental therefore becomes paramount. The City has earnestly pursued the preservation of such existing housing, where appropriate, by encouraging both existing and new owners to retain the units as affordable. One of the means by which the City demonstrates its commitment is to renew, extend, and otherwise recommit to property tax exemptions and payments in lieu of taxes. Another means has been to support applications by property owners who are seeking state and federal assistance to improve these properties.

The City of Rochester has established a high priority for the fostering and maintaining affordable housing for low and moderate income households. The City will:

- Expand the supply of affordable rental and home ownership housing;
- Increase homeownership among low and moderate income prospective home buyers;
- Preserve and improve the existing stock of affordable housing; and
- Ensure equal access to housing.

To implement these objectives, the City will offer first time homebuyer assistance, housing rehabilitation, tenant-landlord counseling, and develop new affordable housing. The City will continue to make capital improvements in low and moderate income areas. All of the City's housing programs help to further fair housing and support housing choice.

The City enacted a new zoning code in 2003 and attention was given to assure that there would be no negative consequences on the development or preservation of affordable housing. The zoning code is evaluated periodically and any unanticipated negative consequences for affordable housing will be identified and addressed.

There are no known public policies in the City of Rochester that are a barrier to affordable housing. The Department of Neighborhood and Business Development monitors the following to insure that there are no known public policies that are a barrier to affordable housing:

- Tax policies affecting land and other property;
- Land use controls
- Zoning Ordinance
- Building Code
- Fees and Charges
- Growth Limits
- Restrictions on the return on residential development.

The City recently issued a Request for Proposal for an Analysis of Impediments to Fair Housing Study. This analysis will be completed and reported on during the 2015-16 program year. Any recommendations will be reflected in the next Consolidated Community Development Five Year Strategic Plan and also the Annual Action Plan.

Other Actions 91.220(k)

19. Describe the actions that will take place during the next year to address obstacles to meeting underserved needs, foster and maintain affordable housing, evaluate and reduce the number of housing units containing lead-based paint hazards, reduce the number of poverty-level families develop institutional structure, enhance coordination between public and private agencies (see 91.215(a), (b), (i), (j), (k), and (l)).

20. Describe the actions to coordinate its housing strategy with local and regional transportation planning strategies to ensure to the extent practicable that residents of affordable housing have access to public transportation.

The primary obstacle to meeting underserved needs for affordable housing in the city of Rochester is the gap in what households can afford to pay for housing and the price of housing. The City has a significant affordable housing stock, yet the income level for individual households such as single parent, elderly, disabled, or others of limited economic means, is insufficient to afford even the lowest of the market rate units. The City will continue to work on economic development to provide better job opportunities and with social service providers to assist such households. In addition, the City will work with for-profit and non-profit developers to create more affordable housing.

Another obstacle to meeting the needs of underserved households is the limited amount of funding received by the City. The City of Rochester will partner with other public agencies and nonprofit organizations, when feasible, to leverage resources and maximize outcomes in housing and community development.

A primary obstacle to meeting underserved economic development needs is the ability of developers to secure the necessary financing to undertake and complete projects. It is typically the role of the public sector to fill the gaps of these financing needs. However, on occasion, these gaps exceed the assistance capabilities of the City. Another obstacle is the ability to secure inexpensive and convenient parking options for Center City businesses and development projects.

Crime has been a major obstacle to the continued revitalization of neighborhood commercial areas. This Annual Action Plan contains funding for projects that provide security cameras and exterior lighting to help address the obstacles.

The Housing Needs Assessment documents a large percentage of households that experience one or more housing problems in 2000, including housing cost burden, overcrowding, and inadequate housing. A significant amount of the City's housing funds are directed toward addressing underserved needs.

There are many underserved groups such as the homeless. The Rochester/Monroe County Homeless Continuum of Care Plan describes a system that ranges from emergency housing and supportive service to permanent housing with homeless prevention and support services that homeless individuals and families need to achieve independent living.

PROGRAM SPECIFIC REQUIREMENTS

CDBG 91.220(I)(1)

1. Identify program income expected to be received during the program year, including:
 - amount expected to be generated by and deposited to revolving loan funds;
 - total amount expected to be received from each new float-funded activity included in this plan; and
 - amount expected to be received during the current program year from a float-funded activity described in a prior statement or plan.

2. Program income received in the preceding program year that has not been included in a statement or plan.
3. Proceeds from Section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in its strategic plan.
4. Surplus funds from any urban renewal settlement for community development and housing activities.
5. Any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan.
6. Income from float-funded activities.
7. Urgent need activities, only if the jurisdiction certifies.
8. Estimated amount of CDBG funds that will be used for activities that benefit persons of low- and moderate income.

Community Development Block Grant funds may be used to carry out a wide range of community and economic development activities specifically authorized by the Housing and Community Development Act. All assisted projects, with the exception of planning and administration, must address one of the three national objectives of the program: benefit low- and moderate-income persons; prevent or eliminate slums and blight; or when no other financial resources are available, meet other community development needs that are particularly urgent because existing conditions pose a serious and immediate threat to the health and welfare of the community. The City of Rochester will utilize at least 70% of CDBG funds to benefit low/moderate income persons.

Activities that can be carried out with CDBG funds include but are not limited to acquisition of real property, relocation, demolition, rehabilitation of residential and non-residential structures, and the construction of public facilities and improvements such as water and sewer facilities, streets, and neighborhood centers. In addition, CDBG funds may be used to pay for public services within certain limits and for activities relating to energy conservation and renewable resources. Communities may also provide assistance to businesses to carry out economic development activities.

The types of activities that are generally considered ineligible include the construction or rehabilitation of buildings for the general conduct of government, political activities, and certain income payments.

Section 108 is the loan guarantee provision of the Community Development Block Grant (CDBG) Program. Section 108 provides CDBG entitlement communities with a source of financing for economic development, housing rehabilitation, public facilities and large scale physical development projects. A Section 108 loan is not risk-free. A local government borrowing funds guaranteed by Section 108 must pledge their current and future CDBG allocations to cover the loan amount as security for the loan. The maximum repayment period for a Section 108 loan is twenty years. Section 108-assisted activities must comply with CDBG rules and requirements. All projects must either principally benefit low and moderate income persons, aid in the

elimination or prevention of slums and blight, or meet urgent needs of the community.

A city may apply for up to five times the latest approved CDBG entitlement amount, minus any outstanding Section 108 commitments and / or principal balances of Section 108 loans.

HOME 91.220(I)(1)

1. Describe other forms of investment. (See Section 92.205)
If grantee (PJ) plans to use HOME funds for homebuyers, did they state the guidelines of resale or recapture, as required in 92.254.
2. If grantee (PJ) plans to use HOME funds to refinance existing debt secured by multifamily housing that is being rehabilitated with HOME funds, state its refinancing guidelines required under 24 CFR 92.206(b).
3. Resale Provisions -- For homeownership activities, describe its resale or recapture guidelines that ensure the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4).

4. HOME Tenant-Based Rental Assistance -- Describe the local market conditions that led to the use of a HOME funds for tenant based rental assistance program.

If the tenant based rental assistance program is targeted to or provides a preference for a special needs group, that group must be identified in the Consolidated Plan as having an unmet need and show the preference is needed to narrow the gap in benefits and services received by this population.

5. If a participating jurisdiction intends to use forms of investment other than those described in 24 CFR 92.205(b), describe these forms of investment.
6. Describe the policy and procedures it will follow to affirmatively market housing containing five or more HOME-assisted units.
7. Describe actions taken to establish and oversee a minority outreach program within its jurisdiction to ensure inclusion, to the maximum extent possible, of minority and women, and entities owned by minorities and women, including without limitation, real estate firms, construction firms, appraisal firms, management firms, financial institutions, investment banking firms, underwriters, accountants, and providers of legal services, in all contracts, entered into by the participating jurisdiction with such persons or entities, public and private, in order to facilitate the activities of the participating jurisdiction to provide affordable housing under the HOME program or any other Federal housing law applicable to such jurisdiction.
8. If a jurisdiction intends to use HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds, state its financing guidelines required under 24 CFR 92.206(b).

The City of Rochester was designated as an eligible jurisdiction for participation in the HOME Program in 1992. HOME funds may be used for a variety of housing activities according to local housing needs. Eligible uses of funds include developing rental housing, housing rehabilitation, assistance to first-time home buyers, and new

construction. HOME funding may also be used for site acquisition, site improvements, demolition, and relocation. Funds may not be used for certain activities, including public housing modernization or operating subsidies for rental housing.

Participating jurisdictions must match their HOME funds and must also set aside 15% of their allocations for housing owned, developed, or sponsored by community housing development organizations. In the past, Rochester has qualified for a 100% reduction in the required local match amount as determined by HUD since it meets the requisite distress criteria. *HUD has not yet made information available for 2013-14.*

The City's investment of HOME funds is consistent with the forms of assistance included in 24 CFR 92.205(b). The City leverages its HOME funds with other funding secured by its housing partners to develop affordable homeownership and rental housing. Community Development Housing Development Organizations (CHDOs) and other non-profit housing developers apply for and receive funding from state and local resources to support the City's housing programs, projects and goals.

All projects that contain five or more units will be required to submit an affirmative marketing plan to be approved by City housing staff. The project manager will monitor projects to ensure adherence to the approved plan.

The City would consider refinancing requests that would include the following guidelines:

- A comprehensive review of the organizations project budget will be conducted which would include a review of existing loan terms, proforma, operating budgets and audited financial statements and an inspection of the property to ensure there is no evidence of disinvestment.
- The owner developer must submit a budget that demonstrates refinancing is necessary to maintain the affordability of the property for households at or below 50% AMI. Affordable is defined as not spending more than 30% of gross income for housing.
- The project will remain affordable after refinancing for 15 or more years.
- \$2,500 of investment per unit.
- At least two major systems are being replaced, i.e., roof and HVAC.
- Review management practices to determine long term feasibility.
- The project may be for rehabilitating existing units or creation of new units.
- The HOME funds may be used for projects the City deems feasible within the jurisdiction.
- The funds may not be used to refinance multifamily loans made by or insured by any Federal program, including CDBG.
- Other guidelines may be added to ensure compliance with 24 CFR 92.206(b)

HOPWA 91.220(I)(3)

1. One year goals for the number of households to be provided housing through the use of HOPWA activities for: short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family, tenant-based rental assistance, units provided in housing facilities that are being developed, leased, or operated.

Funds for the Housing Opportunities for Persons with AIDS are provided by formula to certain states and localities based on population and other considerations. Housing assistance and services under the program are limited to low-income persons with AIDS or related diseases and their families, but housing information services are available to persons with AIDS and their families without regard to income. Funds may be used for all types of housing designed to prevent homelessness for persons with AIDS and their families, including emergency housing, shared housing arrangements, apartments, single room occupancy dwellings and community residences. Appropriate supportive services must be provided in connection with HOPWA housing assistance.

The City of Rochester is designated the HOPWA grant recipient for the five county metropolitan area that includes Monroe, Livingston, Orleans, Wayne, and Ontario counties. The majority of assistance is provided in the Monroe County area.

A review of the housing needs and available housing services for people with HIV/AIDS was conducted by the University of Rochester in 1999 when the City was first designated the grant recipient for HOPWA. The review involved interviews with representatives of the organizations that had previously received HOPWA funds (through New York State) and other agencies that serve people with AIDS. The review resulted in the recommendation that the annual grants to these organizations be continued. Again in the City found high quality service delivery in its 2010 Evaluation and Enhancement Plan for the City of Rochester's Special Needs Housing Program: Emergency Shelter Grant and Housing Opportunities for Persons with AIDS. Since that time the continued good performance of the agencies has resulted in a decision to continue funding current providers. During 2014-15, the City of Rochester will distribute HOPWA funds to two local service providers as shown below. They have close working relationships with each other as well as with the medical community, the State rehabilitation agency, and community based organizations. They have developed partnerships with companies managing low-income housing.

AC Center, Inc., d/b/a Trillium Health provides services to AIDS/HIV individuals and their families, throughout the region. At their central Rochester location services include: medical and pharmacy services, counseling (individual and group), drop in center, a food cupboard and a clothing "closet" to serve Rochester, Monroe County and the region.

In the summer of 2011, HUD awarded Cares, Inc. with Catholic Charities Community Services as sub-grantee, one of nine HOPWA pilot grants for developing model service-with housing programs. This area will see Catholic Charities Community Services build upon its recently developed employment readiness counseling/networking service. The award is \$454,750 through December 2015, for Foundations for Living Program. It will serve this area and the Albany area. Stable housing is one of the factors correlated with increasing quality of life for clients. With improvements in health care and thus longevity for our HIV/AIDS citizens, it is possible for an increased number to consider working. Employment too is correlated with improved adherence to health stabilization.

Housing subsidies will be reserved for approximately 199 Rochester area AIDS/HIV clients who participate in employment readiness activity including training and work search.

Both sponsors reserve a greater part of their awards for long term support. While housing support needs are not decreasing, other sources are. Rents and housing expenses are increasing. This makes HOPWA funding a critical part of each sponsor's service funding.

| Organization | Amount | Projected Households Served |
|---|-----------|-----------------------------|
| AC Center Inc., d/b/a Trillium Health | \$413,683 | 126 |
| Catholics Charities | \$253,548 | 73 |

The agreements with these agencies will expire in June 2015. The project sponsors will receive no more than the 7% administrative cap already included in their award amount.

Through these agencies, the City will continue to fund short-term rent, mortgage and utility payments (which help to prevent homelessness) and long-term, client-based rental assistance. Individuals served are living in scattered site apartments. No funding will be used to develop or operate purpose-built, congregate housing facilities. In the experience of local providers of HIV/AIDS services, these are not suitable and/or practical to fund and operate.

The objective for the use of HOPWA funds during this year will continue to be the maintenance of people in stable housing. The planned number to be served is 199 households. Funding will provide individualized assistance to persons in scattered site housing, through short-term rent, mortgage and utility payments and long-term, client-based rental assistance.

No HOPWA program funding will be used to develop, operate/manage or lease housing. The City and local providers of HIV/AIDS services have chosen to maximize numbers of clients housed with direct services and assistance rather than divert sponsors' HOPWA resources to ownership/management activities.

Emergency Solutions Grant ESG 91.220(I)(4)

1. Identify the written standards for providing ESG assistance in accordance with 24 CFR 576.400(e)(1) and (e)(3).

The City, Continuum of Care (CoC) and HSN (a collaboration of more than 50 local homeless services legal, primary care, mental health, substance abuse, re-entry providers and community stakeholders) have met throughout the 2011 -12 and 2012-2013 program years to jointly plan for ESG. All sessions contained updates on participant qualifications, and other ESG directions. In keeping with the City's past practice of providing the Emergency Shelter Grants (ESG) Program Desk Guide March 2001 to all sub-recipients, the City has provided sub-recipients and community providers that coordinate with them the Emergency Solutions Grant Rules and Regulations, and tools available to date including Definition of Homelessness (Criteria for Defining Homeless and Record Keeping Requirements), Emergency Solutions Grants (ESG) Program Components and Activities December 15th, 2011. The City provided sub-recipients with information about the new homeless definition and eligibility, documentation and record requirements and HMIS participation. The CoC provides technical assistance and licenses to the City's sub-recipients.

A. Written Standards for Provision of Assistance

Policies and Procedures for Evaluating Those Eligible for Assistance

Each household will receive an initial consultation and eligibility assessment with a case manager or other authorized representative who can determine eligibility and the appropriate type of assistance needed;

- The household's total income must be at or below 30 percent of Area Median Income (AMI);
- The household must be either homeless (to receive rapid re-housing assistance) OR at risk of losing its housing (to receive homelessness prevention assistance); AND must meet the following circumstances:
- No appropriate subsequent housing options have been identified;
- The household lacks the financial resources to obtain immediate housing or remain in its existing housing; and
- The household lacks support networks needed to obtain immediate housing or remain in its existing housing.
- Documentation requirements:
 - Verification/proof of income
 - SSN for head of household
 - Verification of address/current living situation
 - Verification of household size
 - Some documentation of the problem(s) that led to the need for assistance as determined by the case manager (i.e.; proof of medical bills, loss of income, etc.)

Standards for the City/County prevention and rapid rehousing program operated by Coordinated Care Services and Wilson Commencement have been developed in accordance with "Eligibility Determination and Documentation Guidance- Homeless Prevention and Rapid Re-Housing Program (HPRP), HUD, revised March 17, 2010." These standards include:

- Eligibility requirements: Initial consultation/assessment and determination of income and housing status; access to alternative housing options
- Documentation: written or oral third party verification; applicant self-declaration

Performance Standards for Heading Home eligibility are as follows:

- Initial Consultation and Eligibility Determination: each applicant household must receive at least an initial consultation and eligibility assessment with a case manager or other authorized representative who can determine eligibility and the appropriate type of assistance needed
- Income: the household total income must be at or below 30% of Area Median Income (AMI)
- Housing Status: the household must be either literally homeless or at risk of losing housing within 14 days AND must meet the following circumstances: no appropriate subsequent housing options have been identified; the household lacks the financial resources to obtain immediate housing or

remain in its existing housing, and the household lacks a support network needed to obtain immediate housing or remain in existing housing

Performance Standards for Documentation are as follows:

- Housing status: written verification of sleeping in a shelter, sleeping in a place not meant for human habitation, being a patient in a hospital or other institution, being a participant in a transitional housing program, being a victim of domestic violence
- Homelessness prevention eligibility: written verification of potential loss of housing due to non-payment of rent and/or other lease violations, utility non-payment, non-payment of hotel/motel charges, foreclosure on rental or owner-occupied property, eviction of host family/friends, uninhabitable conditions, or discharge from an institution
- Housing options/resources: written documentation must be provided for other subsequent housing options, financial resources (e.g. wages and salary, self-employment/business, interest/dividend, pension/retirement, unemployment/disability, TANF/public assistance, alimony/child support/foster care, armed forces income) and support networks

Policies and Procedures for Coordination among Providers

Staff meetings will be held on a monthly basis to discuss program logistics and processes. Periodically, meetings of all participating agencies will be held to ensure effective ongoing management and provide updates on any new program developments, including HUD regulation updates.

Meetings with the City, County, and CoC will take place on a monthly basis. These sessions are intended to provide for oversight as well as updates on project status, outcomes to date, and to allow for discussion and resolution of any project issues.

Additionally, case managers will be meeting clients weekly in community-based organizations (CBO) sites, as well as in shelters. CBO and shelter staff will be routinely engaged in conversations and will be requested to participate in any process to assist the program in meeting the needs of the people and families it served.

Policies and Procedures for Determining and Prioritizing Type of Assistance

Below are detailed the procedures for determining and prioritizing eligible families and individuals by the type of assistance requested.

Prevention High Priority Risk Factors

- Eviction within two weeks
- Sudden and significant loss of income
- Sudden and significant increase in utility costs
- Severe housing cost burden (greater than 50% of income)
- High overcrowding
- Recent traumatic life event (loss of spouse, or recent health crisis)

- Significant medical debt

Rapid Re-Housing High Priority Risk Factors:

- Sleeping in an emergency shelter
- Sleeping on the streets, etc.
- Graduating from a transitional shelter
- Victim of domestic violence

Standards for Determining Share of Rent and Utilities Each Participant Must Pay

Under the ESG Heading Home Program, participants will not be required to contribute toward payments provided by the program. Counseling and case management services will focus on maintaining stable housing after program financial assistance ends.

Standards for Determining How Long Rent Assistance and How Amount is Adjusted Over Time

In order to avoid excessive funding of individual households, (thus limiting the number of households that can be served by the program), and in order to respond to the variety of circumstances that may create or threaten homelessness, and in order to emphasize serving those most in need of assistance, it has been determined that this program would benefit most from limiting the services provided to one-time only.

ESG funds for Heading Home Program do not support the long-term maintenance of any person or family. It will be the procedure of the ESG-funded Heading Home Program to provide short-term assistance for these individuals and families to help them obtain/maintain housing until a longer-term or even permanent subsidy becomes available.

Total benefit amounts will be made on a case-by-case basis. However:

- Payments cannot duplicate other subsidy programs
- All other sources of assistance in the community must be exhausted
- Potential DHS recipients will be referred there prior to acceptance in the program

Standards for Determining Type, Amount and Duration of Stabilization and/or Relocation Assistance

The types of assistance provided will be determined on a case-by-case basis by the case manager with consultation with the program manager under the guidance of the director of finance and will reflect program dollars available, number of clients served to date, and will recognize the established program end date.

Specific guidance and details are offered below by type of assistance:

- Rental Assistance: amount of rent assistance cannot exceed the actual amount of rent and must comply with the HUD rent reasonableness and affordability standards
- Short term rental assistance: cannot exceed 3 months
- Medium term rental assistance: cannot exceed 18 months and must be reviewed every three months. This option will be used in extraordinary circumstances only.
- Financial Assistance:
- Security Deposits: cannot exceed rent reasonableness standards as established by HUD
- Rental Arrears: cannot exceed rent reasonableness standards.
- Utility Payments: will follow local DHS/HEAP guidelines
- Moving Cost Assistance: will be reviewed on a case-by-case basis - A local moving company partnership has been established and estimates are provided prior to move
- Storage Fees: maximum of 3 months

Process for Making Sub-Awards

The City of Rochester will receive and utilize Emergency Solutions Grant funds to help address the needs of homeless individuals and families and to prevent homelessness. All funds will be used in collaboration with the local Continuum of Care, Monroe County, the Homeless Services Network priorities, the City's Housing Policy and the recently completed Homelessness Resolution Strategy Report. The Homelessness Resolution Strategy Report completed by Housing Innovations of New York City in conjunction with Dennis Culhane of the University of Pittsburg is a comprehensive report with several key recommendations. The report included an assessment of the Rochester Monroe County Continuum of Care, recommendations for the elimination of hotel placements and how to move forward with a coordinated access system. The report also included other relevant suggestions to improve the overall homeless system. The City, County, CoC, Homeless Service Network endorsed and support these recommendations.

Based on the input of the CoC and other stakeholders, the City of Rochester and the County of Monroe issued a joint RFP for the 2013/14 Emergency Solutions Grants funding. In the RFP the following services were solicited to receive funding and this is the plan for how the ESG funding will be used: Homelessness Prevention/Rapid Rehousing Services, Emergency Shelter and Shelter Diversion, and Essential Services.

Homeless Participation Requirement

In compliance with Section 576.405(a) of the Interim Rule, the City and County have engaged persons who are currently or formerly homeless in their planning process. Four formerly homeless individuals have participated in our planning process. The Co-Chair of the Rochester/Monroe County CoC is a formerly homeless individual.

In addition to serving as CoC Co-Chair, he has been substantially involved in planning for the use of ESG funding. Additionally, there is a second formerly homeless individual on the CoC Team, as well as another member of the Homeless

Services Network, who have also been consulted in the planning process for ESG funding.

Performance Standards

Performance standards for ESG recipients are required by HUD and have been developed in consultation with the CoC. The purpose of performance standards is to provide a measure for the ESG recipient and the CoC to evaluate each ESG service provider's effectiveness. It is anticipated that the City and County's performance standards for ESG activities will continue to evolve over time and will be developed to complement the CoC program performance measures.

Specific performance standards that will be applied to the ESG Heading Home Program are based on the proposed accomplishments of:

- Increase the range of housing options and related services for program participants
 - Increase knowledge of rights and responsibilities through Tenant Education for 70% of program participants
 - Increase the number of program participants stably housed at 12 months to 75%
 - Reduce participant transiency by providing opportunities for safe and affordable housing
 - Reduce the number of participants who have received rapid re-housing services from entering or re-entering local shelter programs to 20% or less
 - Prevent rapid re-housing participants from re-entering shelters
The City and CoC will continue to distribute and provide technical assistance for the tools as they are available, and to incorporate the community's development of Single Point of Access (SPOA) that is underway. See 2. Below.
2. If the Continuum of Care for the jurisdiction's area has established a centralized or coordinated assessment system that meets HUD requirements, describe the centralized or coordinated assessment system and the requirements for using the system, including the exception for victim service providers, as set forth under 24 CFR 576.400(d)

A coordinated assessment system is not currently in place. However, with the Homelessness Strategy study completed by Housing Innovations and the University of Pennsylvania, the City and County and the CoC are poised for implementation. CoC members (including the City) have participated in community discussions led by consultants Dennis Culhane (University of Penn.) and Suzanne Wagner (Housing Innovations) who have engaged stakeholders in implementing plans to 1) reduce the number of homeless families referred to hotel/motels for shelter without on-site supportive services; and 2) develop a coordinated access system for homeless services.

By centralizing intake and program admissions decisions, a coordinated entry process makes it more likely that families will be served by the right intervention more quickly. (*One Way In: The Advantages of Introducing a System-Wide Coordinated Entry for Homeless Families* - National Alliance to End Homelessness)
The City engaged the services of the consultants, identified above, to assist the

community in developing and implementing a single point of access system (SPOA) for homeless services. The consultants have worked with the CoC, providers and stakeholders to develop a system based on the specific needs of the Rochester/Monroe County community. The focus of the Coordinated Access system will be to ensure that eligible persons and priority groups are served, clients' needs and the services received are consistent and stewardship of community resources and exceptions for victim service providers are accommodated. Common elements of the CA will include an application/assessment process, eligibility/intake standards, an eligibility determination process, prioritization of needs, a housing referral process and oversight to ensure compliance. The providers, stakeholders, the CoC, HMIS, City and County continue to work with the consultants to develop a system tailored to community need.

3. Identify the process for making awards and how the jurisdiction intends to make its allocation available to nonprofit organizations, and in the case of urban counties, funding to participating units of local government.

Awards for 2013-14 are based upon a 2013 Request for Proposal (RFP) process in which the City published by Legal Notice in the local Democrat and Chronicle; announced availability on its established website for Proposals; distributed via networks including those of the CoC, Homeless Shelter Network and Housing Choice listserve. Awardees were chosen by a committee of City, County, and CoC administrative board. Services under this proposal cycle will run through June 30, 2014. An RFP will be issued for the 2014-15 program year that will provide for services through June 30, 2015.

4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), specify the plan for reaching out to and consulting with homeless or formerly homeless individuals in considering and making policies and decisions regarding any facilities or services that receive funding under ESG.

In compliance with Section 576.405(a) of the Interim Rule, the City has engaged persons who are currently or formerly homeless in their planning process. Four formerly homeless individuals have participated in our planning process and continue to be engaged.

5. Describe the performance standards for evaluating ESG activities.

The City utilizes the Integrated Disbursement and Information System (IDIS) to document program progress and monitor program performance on an ongoing basis. The City requires all ESG sub-recipients to submit data to HMIS as required by HUD. The CoC began participation in the HUD PULSE system, which provides point-in-time shelter counts of homeless persons on a quarterly basis, in the 4th quarter of 2012.

A Consolidated Annual Performance and Evaluation Report (CAPER) is prepared annually by the City. It contains a summary of resources and programmatic accomplishments, the status of actions taken in concert with the CoC to implement the strategies contained in the Consolidated Plan, and evaluation of progress made in addressing identified priority needs and objectives.

Performance Standards are in alignment with the new measures implemented with the HEARTH Act including:

- reducing the duration of homelessness

- reducing recidivism
 - reducing the number of people who become homeless
 - increasing employment and income
 - ending homelessness
6. Describe the consultation with each Continuum of Care that serves the jurisdiction in determining how to allocate ESG funds, develop performance standards, evaluate outcomes of activities assisted by ESG funds, and develop funding policies, and procedures for the administration and operation HMIS.

The City's ESG funding has been coordinated with the CoC. The CoC Team has 10 ex-officio members appointed by public and private organizations deemed necessary to develop, maintain, monitor and continuously improve a comprehensive, coordinated and flexible system of homeless housing and support services. They represented the City, Monroe County, United Way, Rochester Housing Authority, HSN and four community-based planning participants, who are formerly homeless individuals. In addition, there are elected general members from both public and private groups from the community, i.e. business sector, faith-based organizations, veterans organizations, schools, advocacy groups, etc. This diverse team is the primary planning and coordinating body for homeless housing and services in this community. The CoC recently approved new bylaws that will be helpful as it moves toward becoming a Unified Funding agency.

The CoC has been a long-time participant in the ESG planning process for the City and County. Proposals in response to the CoC annual NOFA are reviewed by the CoC team that includes City and County representation. ESG community priorities and planning have been discussed at both CoC and HSN meetings. City and County housing staff consulted extensively with the CoC relative to the preparation of their respective 2011 ESG Substantial Amendments.

ESG funding continues to be a critical issue for local homeless service providers. Facilitated discussions of ESG community priorities are held at the HSN meetings. CoC members and community stakeholders are strongly encouraged to participate. Significantly, CoC members have participated in community discussions led by consultants Dennis Culhane and Suzanne Wagner, who are engaged stakeholders in implementing plans to 1) reduce the number of homeless families referred to hotel/motels for shelter without on-site supportive services; and 2) develop Single Point of Assessment and Access systems for homeless services.

The CoC, members of HSN and housing staff from the City and County have also collectively participated in planning for the allocation of 2014-2015 ESG funding.

The CoC is participating in the HUD CoC Check-Up self-evaluation designed to assist continuums in determining their current capacity, performance and readiness for the HEARTH Act. Survey respondents, including ESG funding recipients, were asked to rate the CoC on four domains: governance and structure; planning and process; infrastructure and administrative capacity, and housing and services. The CoC will submit a written Action Plan to HUD making it eligible for future technical assistance. Results of the Check-Up process are expected to enhance the ability of the CoC to be a leader in the efforts to coordinate ESG funded services, respond to HEARTH rulings and end homelessness in the Rochester/Monroe County community.

OTHER NARRATIVES AND ATTACHMENTS

Include any action plan information that was not covered by a narrative in any other section. If optional tables are not used, provide comparable information that is required by consolidated plan regulations.

MONITORING:

The City of Rochester continually monitors the performance of housing and community development activities to assure that they are carried out in accordance with applicable laws and regulations and to ensure that performance goals are achieved. The following is a description of standards and procedures that the City will use to ensure compliance.

The City of Rochester converted to the Integrated Disbursement and Information System in 1996. The system enables the City to review a program's progress and monitor performance on an ongoing basis.

A written Performance and Evaluation Report will be prepared annually. The report will contain a summary of resources and programmatic accomplishments, the status of actions taken to implement the strategy contained in the Consolidated Community Development Program, and evaluation of progress made during the year in addressing identified priority needs and objectives.

The standards and procedures used to monitor economic development projects to ensure long term compliance with the program requirements include annual job verification reports and certifications to be submitted by the program recipients. We will continue to review our project monitoring procedures and policies with our accounting and legal departments to ensure that we maintain tight fiscal controls.

The department will employ standards and procedures such as maintaining current program guidelines and utilizing appropriate underwriting analysis and documentation. Also continued will be an active process of post-closing administration, which involves monitoring employment information. Staff monitors projects to ensure that projects are completed and program objectives are met.

As a condition of receiving HOME funds, the City agreed to maintain all HOME assisted units as affordable housing and in compliance with Housing Quality Standards (HQS). A site visit is made to each development and multifamily rehabilitation project in order to conduct mandatory tenant file reviews and physical inspections. The greater of 10 units, or 10 percent of the total development units are inspected and tenant files reviewed. All sampling is performed randomly. Tenant file reviews consist of evaluating documentation, verifying rent amounts, conducting income calculations, and lease review. On-site inspections are performed in accordance with HQS.

Additionally, first time homeowner units will be monitored. Annually, each homeowner will be sent a letter requesting verification that the home continued to be their primary residence and that they were maintaining the property.

Section 85.40(a) of the Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments requires the City to monitor the day-to-day operations of subrecipient activities to assure compliance with applicable federal requirements and ensure that performance goals are being achieved.

The goal of subrecipient monitoring is to identify any problems and to recommend corrections in order to reinforce and improve the subrecipient performance. The City approaches monitoring program activities proposed in the Community Development Program as an ongoing process involving continuous subrecipient communication and evaluation. The process involves frequent telephone contacts, written communications, analysis of reports and evaluations, periodic meetings, and on-site visits.

The City will monitor each subrecipient receiving funds. Risk analysis is an important concept in determining the frequency and intensity of monitoring. Subrecipients that manage complex programs, handle program income, lack capacity, or have experienced recent problems such as incomplete performance reports are monitored more frequently.

In-house reviews of subrecipients are also conducted. The in-house review consists of a review of the subrecipient's monthly performance report and the supporting documentation submitted with the request for payment. The review of the report includes a comparison of actual accomplishments to the objectives contained in the subrecipient agreement. Subrecipients are informed of any problems or concerns and asked to submit corrective action plans.

The City conducts on-site visits of each subrecipient on an annual basis. The subrecipient is given adequate notice in advance of the monitoring visit. To prepare for the on-site visit, the City will perform administrative monitoring by reviewing documents such as the subrecipient agreement, performance reports, evaluations, and correspondence to and from the subrecipient. The purpose of the review is to identify potential problems, program status, and to provide recommendations to correct any problem areas.

The City's monitor will meet with appropriate subrecipient officials and explain the purpose of the monitoring visit. All appropriate material generated by the subrecipient which provides more detailed information on program and budget performance and status are reviewed. The monitor completes a written evaluation of the monitoring session and retains same in the subrecipient/project file.

After the on-site visit, the subrecipient is informed by letter or a conference session of the results of the monitoring, including any problems or concerns and a schedule of any corrective action required.

The Bureau of Accounting is responsible for the financial monitoring of each activity and/or project, including a review of the subrecipient's financial records and handling of program income.

The City asks all subrecipient agencies to submit their most recent audited financial statements. Subrecipient agencies expending \$500,000 or more in federal funds from all sources during their previous year are required to submit an annual audit that complies with the more stringent standards of OMB Circular A-133 as published by the U.S. Office of Management and Budget.

All grant applications that require a Certification of Consistency with the Consolidated Community Development Plan will be reviewed. An annual report on all activities certified by the City as being in accordance with the plan is prepared.

The City reserves the right, on an as needed basis, to request updates on Consolidated Plan certified activities and/or conduct site visits to ensure consistency with the original proposed activities and long-term compliance.

The City will monitor housing-related activities that are discussed in the plan. This monitoring will be limited to requests for information on a yearly and as needed basis. We will continue to examine the best practices of other communities nationally to bring innovative programs to our community.

The City will also continue with the Outcome Performance Measurement System developed by the U.S. Department of Housing and Urban Development. The system includes objectives, outcome measures and indicators that describe outputs. The objectives are Creating Suitable Living Environments, Providing Decent Affordable Housing, and Creating Economic Opportunities. The outcome categories are Accessibility/Availability, Affordability, and Sustainability. There is a standardized list of output indicators to report on as appropriate for the chosen objectives and outcomes. The objectives and indicators provided reflect the rationale for funding the activity. The indicators will describe, in numerical terms, any particular benefit that the activity produced. The system is designed to enable grantees to inform the public of the many outcomes of assisted programs. The goal is to focus on more outcome-oriented information and be able to report the results. The system will be an important tool to report to citizens the many benefits provided by assisted activities.

Concentrations of Minority and Hispanic Persons

The racial make-up of Rochester has changed significantly since 1990. Between 1990 and 2010, the number of minority residents increased from 90,133 to 118,513. Combined with a decrease in the total population, the share of minority residents rose from 38.9% to 56.3% over 20 years.

Diversity among the minority population is changing. The number of Black residents has slightly increased, Asian residents have increased by 59.1%, and persons of "Some Other Race" has decreased since 2000. Persons of Hispanic Origin have also increased. The following trends also were noted:

- Black residents have increased from 31.5% of the population to 41.7%.
- American Indians/Alaskan Natives experienced a decrease in population from 1,103 in 1990 to 1,013 in 2010, or a change of -8.2%.
- Asians and Pacific Islanders represented 1.8% of the total population in 1990 but increased to 3.1% of the total population in 2010.
- Persons of "Some Other Race" in 2010 represented 6.5% of the population, an increase from 1990.
- "Persons of two or more races" was a new category in the 2000 Census. Then, the population in this category comprised 3.8% of the total population. This segment increased to 4.4% of the total population in 2010.

- Persons of Hispanic origin¹ account for 16.4% of total population. Between 1990 and 2010, the Hispanic population increased from 20,055 to 34,456 a 71.8% increase in 20 years.

¹ Hispanic origin is defined by the Census Bureau as "people whose origins are from Spain, the Spanish-speaking countries of Central or South America, the Caribbean, or those identifying themselves generally as Spanish, Spanish-American, etc. Origin can be viewed as ancestry, nationality, or country of birth of the person or person's parents or ancestors prior to their arrival in the United States. Spanish/Hispanic/Latino people may be of any race."

Trends in Population by Race and Ethnic Origin – 1990 to 2010

| | 1990 | | 2000 | | 2010 | | % Change 1990-2008 |
|----------------------------|---------|--------|---------|--------|---------|--------|-----------------------|
| | # | % | # | % | # | % | |
| Rochester | 231,636 | 100.0% | 219,773 | 100.1% | 210,565 | 100.0% | -9.1% |
| White | 141,503 | 61.1% | 106,161 | 48.3% | 91,951 | 43.7% | -35.0% |
| Black | 73,024 | 31.5% | 84,717 | 38.6% | 87,897 | 41.7% | 20.4% |
| Amer. Indian/Alaska Native | 1,103 | 0.5% | 1,033 | 0.5% | 1,013 | 0.5% | -8.2% |
| Asian/ Pacific Islander | 4,081 | 1.8% | 5,047 | 2.3% | 6,493 | 3.1% | 59.1% |
| Some Other Race | 11,925 | 5.1% | 14,452 | 6.6% | 13,754 | 6.5% | 15.3% |
| Two or More Races | n/a | n/a | 8,363 | 3.8% | 9,356 | 4.4% | n/a |
| Hispanic | 20,055 | 8.7% | 28,032 | 12.8% | 34,456 | 16.4% | 71.8% |

Source: U.S. Census, 1990 (SF1- P1, P6 and P8), 2000 (SF1- P1, P3 and P4) and 2010 Redistricting Data (P.L. 94-171)

The table on the following page presents population by race and ethnicity. The data is presented by census tract for all 210,565 City residents in 2010. HUD defines areas of racial or ethnic concentration as geographical areas where the percentage of a specific minority or ethnic group is 10 percentage points higher than in the City overall.

- Black residents comprised 41.7% of the population. Therefore, an area of racial concentration includes census tracts where the percentage of Black residents is 51.7% or higher. There are 31 census tracts that meet this criterion for Black residents.
- Asian residents comprised 3.1% of the population of Rochester. Therefore, an area of racial concentration includes census tracts where the percentage of Asian residents is 13.1% or higher. There are 2 census tracts that meet this criterion for Asian residents.
- Residents of "Some Other Race" comprised 6.5% of the population of Rochester. Therefore, an area of racial concentration includes census tracts where the percentage of "Some Other Race" is 16.5% or higher. There are 12 census tracts that meet this criterion for "Some Other Race" residents.
- Persons of Hispanic ethnicity represent 16.4% of the city's population. Therefore, an area of ethnic concentration would include census tracts of 26.4% or higher. There are 23 census tracts which meet this criterion for persons of Hispanic ethnicity. Census tracts which are areas of Hispanic concentration only are shown in italics.

It must be noted that a number of the census tracts have multiple concentrations of racial and/or ethnic populations. In total, 42 of the 80 census tracts in Rochester were areas of racial and/or Hispanic concentration, as shown in the table on the following two pages.

Population by Race and Ethnicity - 2010

| | Total Population | White Residents | | Black Residents | | Asian/Pacific Islanders | | Some Other Race | | Hispanic Residents | |
|--------------------|------------------|-----------------|-------|-----------------|-------|-------------------------|-------|-----------------|-------|--------------------|-------|
| | | # | % | # | % | # | % | # | % | % | # |
| Rochester City | 210,565 | 91,951 | 43.7% | 87,897 | 41.7% | 6,594 | 3.1% | 13,754 | 6.5% | 34,456 | 16.4% |
| Census Tract 2 | 2,589 | 791 | 30.6% | 1,171 | 45.2% | 227 | 8.8% | 182 | 7.0% | 539 | 20.8% |
| Census Tract 7 | 2,087 | 413 | 19.8% | 1,188 | 56.9% | 18 | 0.9% | 375 | 18.0% | 839 | 40.2% |
| Census Tract 10 | 3,668 | 2,828 | 77.1% | 527 | 14.4% | 140 | 3.8% | 59 | 1.6% | 190 | 5.2% |
| Census Tract 13 | 1,843 | 248 | 13.5% | 1,124 | 61.0% | 5 | 0.3% | 344 | 18.7% | 708 | 38.4% |
| Census Tract 15 | 1,021 | 176 | 17.2% | 584 | 57.2% | 2 | 0.2% | 223 | 21.8% | 425 | 41.6% |
| Census Tract 18 | 5,182 | 3,069 | 59.2% | 1,526 | 29.4% | 136 | 2.6% | 233 | 4.5% | 756 | 14.6% |
| Census Tract 19 | 2,530 | 1,236 | 48.9% | 888 | 35.1% | 103 | 4.1% | 119 | 4.7% | 385 | 15.2% |
| Census Tract 20 | 4,812 | 2,162 | 44.9% | 1,875 | 39.0% | 206 | 4.3% | 269 | 5.6% | 741 | 15.4% |
| Census Tract 21 | 3,577 | 1,553 | 43.4% | 1,484 | 41.5% | 128 | 3.6% | 202 | 5.6% | 513 | 14.3% |
| Census Tract 22 | 3,039 | 1,013 | 33.3% | 1,327 | 43.7% | 233 | 7.7% | 250 | 8.2% | 571 | 18.8% |
| Census Tract 23 | 4,254 | 1,314 | 30.9% | 1,904 | 44.8% | 291 | 6.8% | 403 | 9.5% | 1,019 | 24.0% |
| Census Tract 24 | 3,573 | 1,235 | 34.6% | 1,696 | 47.5% | 141 | 3.9% | 294 | 8.2% | 777 | 21.7% |
| Census Tract 27 | 1,432 | 72 | 5.0% | 1,252 | 87.4% | 19 | 1.3% | 29 | 2.0% | 128 | 8.9% |
| Census Tract 29 | 3,740 | 3,262 | 87.2% | 235 | 6.3% | 113 | 3.0% | 40 | 1.1% | 133 | 3.6% |
| Census Tract 30 | 1,924 | 1,390 | 72.2% | 361 | 18.8% | 43 | 2.2% | 47 | 2.4% | 147 | 7.6% |
| Census Tract 31 | 4,626 | 4,113 | 88.9% | 230 | 5.0% | 114 | 2.5% | 57 | 1.2% | 181 | 3.9% |
| Census Tract 32 | 1,827 | 1,015 | 55.6% | 664 | 36.3% | 47 | 2.6% | 21 | 1.1% | 140 | 7.7% |
| Census Tract 33 | 1,657 | 1,281 | 77.3% | 251 | 15.1% | 43 | 2.6% | 11 | 0.7% | 78 | 4.7% |
| Census Tract 34 | 2,506 | 1,668 | 66.6% | 546 | 21.8% | 153 | 6.1% | 49 | 2.0% | 170 | 6.8% |
| Census Tract 35 | 1,514 | 1,331 | 87.9% | 106 | 7.0% | 33 | 2.2% | 6 | 0.4% | 46 | 3.0% |
| Census Tract 36 | 2,703 | 2,105 | 77.9% | 337 | 12.5% | 90 | 3.3% | 44 | 1.6% | 142 | 5.3% |
| Census Tract 37 | 3,074 | 2,509 | 81.6% | 349 | 11.4% | 60 | 2.0% | 55 | 1.8% | 195 | 6.3% |
| Census Tract 38.02 | 2,320 | 1,663 | 71.7% | 107 | 4.6% | 428 | 18.4% | 30 | 1.3% | 125 | 5.4% |
| Census Tract 38.05 | 7,253 | 4,575 | 63.1% | 1,238 | 17.1% | 1,063 | 14.7% | 131 | 1.8% | 363 | 5.0% |
| Census Tract 39 | 2,042 | 415 | 20.3% | 1,090 | 53.4% | 69 | 3.4% | 314 | 15.4% | 704 | 34.5% |
| Census Tract 41 | 1,227 | 446 | 36.3% | 493 | 40.2% | 89 | 7.3% | 109 | 8.9% | 327 | 26.7% |
| Census Tract 46.02 | 2,446 | 765 | 31.3% | 1,216 | 49.7% | 45 | 1.8% | 305 | 12.5% | 603 | 24.7% |
| Census Tract 47.01 | 3,033 | 1,448 | 47.7% | 1,007 | 33.2% | 65 | 2.1% | 344 | 11.3% | 1,016 | 33.5% |
| Census Tract 47.02 | 2,189 | 701 | 32.0% | 867 | 39.6% | 107 | 4.9% | 386 | 17.6% | 781 | 35.7% |
| Census Tract 48 | 2,428 | 521 | 21.5% | 1,263 | 52.0% | 30 | 1.2% | 472 | 19.4% | 933 | 38.4% |
| Census Tract 49 | 1,898 | 309 | 16.3% | 1,129 | 59.5% | 21 | 1.1% | 352 | 18.5% | 662 | 34.9% |
| Census Tract 50 | 1,777 | 354 | 19.9% | 802 | 45.1% | 72 | 4.1% | 413 | 23.2% | 772 | 43.4% |
| Census Tract 51 | 1,441 | 308 | 21.4% | 752 | 52.2% | 59 | 4.1% | 216 | 15.0% | 515 | 35.7% |
| Census Tract 52 | 1,594 | 260 | 16.3% | 927 | 58.2% | 36 | 2.3% | 270 | 16.9% | 545 | 34.2% |
| Census Tract 53 | 1,987 | 344 | 17.3% | 1,158 | 58.3% | 21 | 1.1% | 346 | 17.4% | 681 | 34.3% |
| Census Tract 54 | 3,869 | 1,425 | 36.8% | 1,881 | 48.6% | 26 | 0.7% | 308 | 8.0% | 796 | 20.6% |
| Census Tract 55 | 1,981 | 312 | 15.7% | 1,222 | 61.7% | 20 | 1.0% | 331 | 16.7% | 708 | 35.7% |
| Census Tract 56 | 2,345 | 429 | 18.3% | 1,452 | 61.9% | 60 | 2.6% | 291 | 12.4% | 618 | 26.4% |
| Census Tract 57 | 1,932 | 332 | 17.2% | 1,314 | 68.0% | 6 | 0.3% | 154 | 8.0% | 347 | 18.0% |

Population by Race and Ethnicity - 2010

| | Total Population | White Residents | | Black Residents | | Asian/Pacific Islanders | | Some Other Race | | Hispanic Residents | |
|--------------------|------------------|-----------------|-------|-----------------|-------|-------------------------|------|-----------------|-------|--------------------|-------|
| | | # | % | # | % | # | % | # | % | % | # |
| Census Tract 58 | 3,981 | 1,153 | 29.0% | 2,324 | 58.4% | 25 | 0.6% | 215 | 5.4% | 541 | 13.6% |
| Census Tract 59 | 1,752 | 354 | 20.2% | 1,163 | 66.4% | 7 | 0.4% | 102 | 5.8% | 270 | 15.4% |
| Census Tract 60 | 3,415 | 2,218 | 64.9% | 899 | 26.3% | 24 | 0.7% | 88 | 2.6% | 287 | 8.4% |
| Census Tract 61 | 2,353 | 2,017 | 85.7% | 230 | 9.8% | 26 | 1.1% | 34 | 1.4% | 112 | 4.8% |
| Census Tract 62 | 3,084 | 620 | 20.1% | 2,294 | 74.4% | 18 | 0.6% | 37 | 1.2% | 158 | 5.1% |
| Census Tract 63 | 3,071 | 330 | 10.7% | 2,555 | 83.2% | 12 | 0.4% | 33 | 1.1% | 129 | 4.2% |
| Census Tract 64 | 2,591 | 97 | 3.7% | 2,315 | 89.3% | 3 | 0.1% | 48 | 1.9% | 140 | 5.4% |
| Census Tract 65 | 1,616 | 71 | 4.4% | 1,455 | 90.0% | 20 | 1.2% | 17 | 1.1% | 47 | 2.9% |
| Census Tract 66 | 1,968 | 73 | 3.7% | 1,765 | 89.7% | 15 | 0.8% | 27 | 1.4% | 99 | 5.0% |
| Census Tract 67 | 3,066 | 491 | 16.0% | 2,390 | 78.0% | 21 | 0.7% | 23 | 0.8% | 131 | 4.3% |
| Census Tract 68 | 2,828 | 796 | 28.1% | 1,847 | 65.3% | 18 | 0.6% | 43 | 1.5% | 152 | 5.4% |
| Census Tract 69 | 1,907 | 254 | 13.3% | 1,520 | 79.7% | 21 | 1.1% | 23 | 1.2% | 89 | 4.7% |
| Census Tract 70 | 2,963 | 935 | 31.6% | 1,805 | 60.9% | 67 | 2.3% | 31 | 1.0% | 135 | 4.6% |
| Census Tract 71 | 3,177 | 810 | 25.5% | 2,148 | 67.6% | 43 | 1.4% | 37 | 1.2% | 155 | 4.9% |
| Census Tract 75 | 2,925 | 355 | 12.1% | 2,365 | 80.9% | 13 | 0.4% | 62 | 2.1% | 204 | 7.0% |
| Census Tract 76 | 2,938 | 2,255 | 76.8% | 462 | 15.7% | 24 | 0.8% | 77 | 2.6% | 211 | 7.2% |
| Census Tract 77 | 2,790 | 2,204 | 79.0% | 376 | 13.5% | 54 | 1.9% | 48 | 1.7% | 146 | 5.2% |
| Census Tract 78.01 | 2,458 | 2,175 | 88.5% | 146 | 5.9% | 71 | 2.9% | 18 | 0.7% | 68 | 2.8% |
| Census Tract 78.02 | 1,495 | 1,313 | 87.8% | 92 | 6.2% | 43 | 2.9% | 15 | 1.0% | 41 | 2.7% |
| Census Tract 79 | 1,915 | 552 | 28.8% | 980 | 51.2% | 18 | 0.9% | 262 | 13.7% | 709 | 37.0% |
| Census Tract 80 | 2,399 | 465 | 19.4% | 1,393 | 58.1% | 13 | 0.5% | 380 | 15.8% | 777 | 32.4% |
| Census Tract 81 | 4,390 | 1,538 | 35.0% | 1,907 | 43.4% | 93 | 2.1% | 590 | 13.4% | 1,500 | 34.2% |
| Census Tract 82 | 3,073 | 1,048 | 34.1% | 1,362 | 44.3% | 32 | 1.0% | 438 | 14.3% | 1,001 | 32.6% |
| Census Tract 83.01 | 4,048 | 1,910 | 47.2% | 1,408 | 34.8% | 44 | 1.1% | 463 | 11.4% | 1,166 | 28.8% |
| Census Tract 84 | 2,912 | 758 | 26.0% | 1,488 | 51.1% | 64 | 2.2% | 421 | 14.5% | 984 | 33.8% |
| Census Tract 85 | 3,678 | 3,140 | 85.4% | 312 | 8.5% | 33 | 0.9% | 52 | 1.4% | 247 | 6.7% |
| Census Tract 86 | 4,575 | 3,757 | 82.1% | 492 | 10.8% | 57 | 1.2% | 130 | 2.8% | 383 | 8.4% |
| Census Tract 87.01 | 3,696 | 1,724 | 46.6% | 1,183 | 32.0% | 339 | 9.2% | 185 | 5.0% | 606 | 16.4% |
| Census Tract 87.02 | 1,499 | 578 | 38.6% | 755 | 50.4% | 30 | 2.0% | 71 | 4.7% | 212 | 14.1% |
| Census Tract 88 | 2,580 | 1,040 | 40.3% | 1,100 | 42.6% | 135 | 5.2% | 197 | 7.6% | 507 | 19.7% |
| Census Tract 92 | 1,303 | 346 | 26.6% | 520 | 39.9% | 12 | 0.9% | 349 | 26.8% | 790 | 60.6% |
| Census Tract 93.01 | 2,379 | 493 | 20.7% | 1,382 | 58.1% | 7 | 0.3% | 397 | 16.7% | 809 | 34.0% |
| Census Tract 93.02 | 1,452 | 916 | 63.1% | 382 | 26.3% | 55 | 3.8% | 36 | 2.5% | 119 | 8.2% |
| Census Tract 94 | 4,430 | 2,240 | 50.6% | 1,703 | 38.4% | 250 | 5.6% | 134 | 3.0% | 545 | 12.3% |
| Census Tract 95 | 2,963 | 1,183 | 39.9% | 1,483 | 50.1% | 167 | 5.6% | 32 | 1.1% | 138 | 4.7% |
| Census Tract 96.01 | 1,543 | 162 | 10.5% | 1,266 | 82.0% | 12 | 0.8% | 50 | 3.2% | 137 | 8.9% |
| Census Tract 96.02 | 1,640 | 421 | 25.7% | 978 | 59.6% | 7 | 0.4% | 118 | 7.2% | 274 | 16.7% |
| Census Tract 96.03 | 2,272 | 846 | 37.2% | 977 | 43.0% | 78 | 3.4% | 166 | 7.3% | 501 | 22.1% |
| Census Tract 96.04 | 1,116 | 352 | 31.5% | 520 | 46.6% | 15 | 1.3% | 149 | 13.4% | 360 | 32.3% |
| Census Tract 9801 | 24 | 22 | 91.7% | 0 | 0.0% | 0 | 0.0% | 1 | 4.2% | 0 | 0.0% |

Low- Moderate-income Areas

The table on the following two pages presents information regarding low- and moderate-income (LMI) persons in Rochester. LMI persons, as determined by HUD, have incomes at or below 80% of the median family income (MFI). In its 2009 estimates, HUD determined that there were 138,005 LMI persons in Rochester, equivalent to 65.6% of the population for whom this rate is determined.

HUD defines an LMI census block group in the City of Rochester as one in which 51.0% or more of the population have incomes of 80% or less of MFI. According to this criterion, 186 of the City's 242 census block groups qualify as LMI areas. The following chart lists all block groups for which LMI status has been determined.

LMI Census Block Groups, 2000

| Census Tract | Block Group | Number of LMI persons | Universe of LMI | Percent of LMI Persons |
|--------------|-------------|-----------------------|-----------------|------------------------|
| 2.00 | 1 | 508 | 540 | 94.1% |
| 2.00 | 2 | 1,304 | 1,466 | 88.9% |
| 2.00 | 3 | 642 | 735 | 87.3% |
| 7.00 | 1 | 731 | 923 | 79.2% |
| 7.00 | 2 | 892 | 950 | 93.9% |
| 7.00 | 3 | 583 | 707 | 82.5% |
| 10.00 | 1 | 722 | 1,282 | 56.3% |
| 10.00 | 4 | 452 | 666 | 67.9% |
| 13.00 | 1 | 1,588 | 1,657 | 95.8% |
| 15.00 | 1 | 1,485 | 1,560 | 95.2% |
| 18.00 | 4 | 465 | 663 | 70.1% |
| 18.00 | 6 | 549 | 854 | 64.3% |
| 19.00 | 1 | 533 | 975 | 54.7% |
| 19.00 | 2 | 541 | 754 | 71.8% |
| 19.00 | 3 | 504 | 710 | 71.0% |
| 20.00 | 1 | 267 | 456 | 58.6% |
| 20.00 | 2 | 316 | 545 | 58.0% |
| 20.00 | 3 | 712 | 1,058 | 67.3% |
| 20.00 | 4 | 524 | 916 | 57.2% |
| 20.00 | 5 | 404 | 696 | 58.0% |
| 20.00 | 6 | 672 | 1,012 | 66.4% |
| 21.00 | 2 | 367 | 616 | 59.6% |
| 21.00 | 3 | 582 | 1,068 | 54.5% |
| 22.00 | 1 | 566 | 860 | 65.8% |
| 22.00 | 2 | 588 | 730 | 80.5% |
| 22.00 | 3 | 435 | 698 | 62.3% |
| 22.00 | 4 | 504 | 660 | 76.4% |
| 23.00 | 1 | 1,099 | 1,282 | 85.7% |
| 23.00 | 2 | 680 | 1,006 | 67.6% |
| 23.00 | 3 | 680 | 799 | 85.1% |
| 23.00 | 4 | 1,061 | 1,298 | 81.7% |
| 24.00 | 2 | 827 | 1,045 | 79.1% |
| 24.00 | 3 | 574 | 783 | 73.3% |
| 24.00 | 4 | 653 | 862 | 75.8% |
| 24.00 | 5 | 517 | 750 | 68.9% |
| 27.00 | 1 | 581 | 699 | 83.1% |
| 27.00 | 3 | 569 | 779 | 73.0% |
| 29.00 | 2 | 615 | 1,113 | 55.3% |
| 29.00 | 3 | 459 | 722 | 63.6% |
| 29.00 | 4 | 837 | 1,177 | 71.1% |
| 30.00 | 1 | 452 | 750 | 60.3% |
| 30.00 | 2 | 836 | 1,264 | 66.1% |
| 32.00 | 1 | 745 | 913 | 81.6% |
| 32.00 | 3 | 1,328 | 1,549 | 85.7% |
| 33.00 | 1 | 488 | 831 | 58.7% |
| 33.00 | 2 | 744 | 918 | 81.0% |
| 34.00 | 1 | 838 | 1,126 | 74.4% |

| Census Tract | Block Group | Number of LMI persons | Universe of LMI | Percent of LMI Persons |
|--------------|-------------|-----------------------|-----------------|------------------------|
| 34.00 | 2 | 650 | 1,205 | 53.9% |
| 36.00 | 1 | 765 | 1,154 | 66.3% |
| 36.00 | 3 | 481 | 895 | 53.7% |
| 37.00 | 2 | 446 | 833 | 53.5% |
| 38.01 | 3 | 1,397 | 2,072 | 67.4% |
| 38.01 | 4 | 987 | 1,634 | 60.4% |
| 39.00 | 1 | 917 | 1,051 | 87.3% |
| 39.00 | 2 | 853 | 1,191 | 71.6% |
| 40.00 | 1 | 535 | 723 | 74.0% |
| 40.00 | 2 | 590 | 710 | 83.1% |
| 41.00 | 1 | 357 | 453 | 78.8% |
| 41.00 | 2 | 526 | 600 | 87.7% |
| 41.00 | 4 | 384 | 397 | 96.7% |
| 46.02 | 1 | 353 | 591 | 59.7% |
| 46.02 | 2 | 1,508 | 1,778 | 84.8% |
| 47.01 | 1 | 1,663 | 2,027 | 82.0% |
| 47.02 | 1 | 374 | 623 | 60.0% |
| 47.02 | 2 | 505 | 926 | 54.5% |
| 47.02 | 3 | 535 | 746 | 71.7% |
| 48.00 | 1 | 689 | 885 | 77.9% |
| 48.00 | 2 | 669 | 865 | 77.3% |
| 48.00 | 3 | 664 | 804 | 82.6% |
| 49.00 | 1 | 975 | 1,243 | 78.4% |
| 49.00 | 2 | 685 | 891 | 76.9% |
| 50.00 | 2 | 639 | 766 | 83.4% |
| 50.00 | 3 | 785 | 929 | 84.5% |
| 50.00 | 4 | 640 | 670 | 95.5% |
| 51.00 | 1 | 689 | 839 | 82.1% |
| 51.00 | 2 | 659 | 745 | 88.5% |
| 52.00 | 1 | 577 | 746 | 77.3% |
| 52.00 | 3 | 513 | 614 | 83.6% |
| 52.00 | 4 | 729 | 846 | 86.2% |
| 53.00 | 1 | 585 | 912 | 64.1% |
| 53.00 | 2 | 1,100 | 1,268 | 86.8% |
| 54.00 | 3 | 586 | 760 | 77.1% |
| 54.00 | 4 | 555 | 681 | 81.5% |
| 55.00 | 1 | 567 | 761 | 74.5% |
| 55.00 | 2 | 587 | 721 | 81.4% |
| 55.00 | 3 | 707 | 872 | 81.1% |
| 56.00 | 1 | 741 | 883 | 83.9% |
| 56.00 | 2 | 585 | 916 | 63.9% |
| 56.00 | 3 | 615 | 754 | 81.6% |
| 57.00 | 1 | 507 | 779 | 65.1% |
| 57.00 | 2 | 547 | 581 | 94.1% |
| 57.00 | 3 | 564 | 606 | 93.1% |
| 58.00 | 1 | 635 | 1,186 | 53.5% |
| 58.00 | 2 | 742 | 979 | 75.8% |

LMI Census Block Groups, 2000, continued

| Census Tract | Block Group | Number of LMI persons | Universe of LMI | Percent of LMI Persons |
|--------------|-------------|-----------------------|-----------------|------------------------|
| 58.00 | 3 | 529 | 788 | 67.1% |
| 58.00 | 4 | 870 | 1,024 | 85.0% |
| 59.00 | 1 | 619 | 746 | 83.0% |
| 59.00 | 2 | 891 | 1,078 | 82.7% |
| 60.00 | 1 | 655 | 988 | 66.3% |
| 60.00 | 3 | 368 | 657 | 56.0% |
| 60.00 | 4 | 650 | 1,091 | 59.6% |
| 62.00 | 1 | 597 | 1,032 | 57.8% |
| 63.00 | 1 | 444 | 544 | 81.6% |
| 63.00 | 2 | 764 | 1,089 | 70.2% |
| 64.00 | 1 | 619 | 731 | 84.7% |
| 64.00 | 2 | 423 | 459 | 92.2% |
| 64.00 | 3 | 370 | 506 | 73.1% |
| 64.00 | 4 | 700 | 984 | 71.1% |
| 65.00 | 1 | 852 | 1,048 | 81.3% |
| 65.00 | 3 | 693 | 916 | 75.7% |
| 66.00 | 1 | 699 | 910 | 76.8% |
| 66.00 | 2 | 902 | 1,199 | 75.2% |
| 67.00 | 1 | 310 | 508 | 61.0% |
| 67.00 | 2 | 590 | 1,057 | 55.8% |
| 67.00 | 3 | 484 | 720 | 67.2% |
| 68.00 | 1 | 506 | 970 | 52.2% |
| 69.00 | 1 | 636 | 799 | 79.6% |
| 69.00 | 2 | 1,068 | 1,342 | 79.6% |
| 70.00 | 1 | 903 | 1,339 | 67.4% |
| 70.00 | 2 | 697 | 1,015 | 68.7% |
| 71.00 | 4 | 389 | 705 | 55.2% |
| 71.00 | 5 | 374 | 625 | 59.8% |
| 75.00 | 1 | 761 | 1,038 | 73.3% |
| 75.00 | 2 | 360 | 685 | 52.6% |
| 75.00 | 3 | 915 | 1,222 | 74.9% |
| 76.00 | 4 | 366 | 669 | 54.7% |
| 77.00 | 1 | 598 | 1,072 | 55.8% |
| 77.00 | 3 | 591 | 934 | 63.3% |
| 78.01 | 6 | 653 | 1,281 | 51.0% |
| 79.00 | 1 | 1,023 | 1,372 | 74.6% |
| 79.00 | 3 | 542 | 807 | 67.2% |
| 80.00 | 1 | 871 | 1,106 | 78.8% |
| 80.00 | 2 | 502 | 606 | 82.8% |
| 80.00 | 3 | 600 | 842 | 71.3% |
| 81.00 | 1 | 581 | 983 | 59.1% |
| 81.00 | 2 | 828 | 1,057 | 78.3% |
| 81.00 | 3 | 700 | 1,014 | 69.0% |
| 81.00 | 4 | 823 | 1,114 | 73.9% |
| 82.00 | 1 | 666 | 1,064 | 62.6% |
| 82.00 | 3 | 474 | 919 | 51.6% |

| Census Tract | Block Group | Number of LMI persons | Universe of LMI | Percent of LMI Persons |
|--------------|-------------|-----------------------|-----------------|------------------------|
| 82.00 | 4 | 707 | 1,048 | 67.5% |
| 83.01 | 1 | 487 | 870 | 56.0% |
| 83.01 | 2 | 626 | 1,064 | 58.8% |
| 83.01 | 3 | 831 | 1,310 | 63.4% |
| 83.01 | 4 | 458 | 788 | 58.1% |
| 84.00 | 1 | 661 | 1,049 | 63.0% |
| 84.00 | 2 | 637 | 906 | 70.3% |
| 84.00 | 3 | 600 | 929 | 64.6% |
| 85.00 | 1 | 677 | 1,004 | 67.4% |
| 85.00 | 2 | 1,154 | 1,946 | 59.3% |
| 86.00 | 1 | 825 | 1,440 | 57.3% |
| 86.00 | 5 | 864 | 1,676 | 51.6% |
| 87.01 | 1 | 454 | 803 | 56.5% |
| 87.01 | 2 | 502 | 731 | 68.7% |
| 87.01 | 6 | 613 | 1,091 | 56.2% |
| 87.01 | 7 | 563 | 890 | 63.3% |
| 87.02 | 3 | 491 | 868 | 56.6% |
| 87.02 | 4 | 418 | 566 | 73.9% |
| 88.00 | 1 | 725 | 1,256 | 57.7% |
| 89.00 | 9 | 18 | 21 | 85.7% |
| 92.00 | 1 | 463 | 565 | 81.9% |
| 92.00 | 3 | 662 | 703 | 94.2% |
| 93.01 | 1 | 342 | 589 | 58.1% |
| 93.01 | 2 | 579 | 654 | 88.5% |
| 93.01 | 3 | 805 | 882 | 91.3% |
| 93.01 | 4 | 685 | 760 | 90.1% |
| 93.02 | 1 | 382 | 485 | 78.8% |
| 93.02 | 2 | 676 | 915 | 73.9% |
| 94.00 | 1 | 254 | 451 | 56.3% |
| 94.00 | 2 | 997 | 1,142 | 87.3% |
| 94.00 | 3 | 38 | 38 | 100.0% |
| 94.00 | 4 | 716 | 881 | 81.3% |
| 95.00 | 1 | 339 | 628 | 54.0% |
| 95.00 | 2 | 513 | 973 | 52.7% |
| 95.00 | 3 | 772 | 970 | 79.6% |
| 96.01 | 1 | 527 | 640 | 82.3% |
| 96.01 | 2 | 130 | 170 | 76.5% |
| 96.01 | 3 | 601 | 744 | 80.8% |
| 96.02 | 1 | 950 | 1,118 | 85.0% |
| 96.02 | 2 | 548 | 709 | 77.3% |
| 96.03 | 1 | 906 | 1,010 | 89.7% |
| 96.03 | 3 | 659 | 903 | 73.0% |
| 96.03 | 5 | 519 | 621 | 83.6% |
| 96.04 | 1 | 815 | 845 | 96.4% |
| 96.04 | 2 | 665 | 702 | 94.7% |
| 109.01 | 1 | 29 | 29 | 100.0% |

Source: U.S. Census 2000

Concentrations of LMI Persons and Minority Persons

Of the 76 census tracts which contained block groups identified as LMI areas, all but one were noted also to be areas of racial or ethnic concentration. Census Tract 38.02 was an area of racial or ethnic concentration but not an LMI area.

The map included in the Appendix illustrates the areas of concentration of racial and LMI persons.

City Development Fund

The City Development Fund was established in 1986. The objective of the fund is to provide for development incentives that leverage private investment in the City's housing stock, enhance the tax base, and retain and create jobs. The Development Fund, ensures that scarce resources are recycled to the maximum extent possible and that neighborhood and business initiatives are continued in the future.

Capitalization of the fund is provided chiefly by loan and interest repayments received from Urban Development Action Grant loan recipients. Use of these repayments primarily for new loans will not only leverage private funding, but will generate further payments to fund new loans. Thus, the loan fund has cumulatively increasing effects.

CDF will also be used for homeownership/growing the tax base Focused Investment Strategy/Neighborhood Development.

The Rochester Housing Authority administers a Section 8 Rental Assistance Program which provides payments to owners of private market rate units or directly to tenants (vouchers). The Low-Income Housing Tax Credit Program provides federal tax credits to individuals and corporations that invest in low-income housing. Tax credits are sold to people with high tax liability and proceeds are used to create housing. Tax credit allocations are awarded through New York State on a competitive basis.

The City enlists a variety of non-federal public and private resources to provide decent housing, suitable living environments and expanded economic opportunities for its residents. No one resource can build communities. The City uses a variety of resources, not only to implement its strategic plan but also to link City strategies. This allows the City to reinforce coordination of activities between and among agencies and to leverage additional resources.

The following table provides a listing of other private and non-federal resources expected to be available to address the needs identified in the Consolidated Community Development Plan and Annual Action Plan. The City of Rochester will use federal resources to leverage these non-federal public and private resources. Many assisted activities such as housing assistance, economic development, and human services require matching funds from other sources.

| NEEDS | RESOURCES | |
|----------------------------|---|--|
| | NON-FEDERAL | PRIVATE |
| Housing | City of Rochester Operating/Capital Budget Low Income Tax Credit Monroe County Dept. of Human Services Monroe County Dept. of Public Health NYS Housing Finance Agency NYS Affordable Housing Corporation NYS Department of Social Services NYS Housing Trust Fund Rochester Housing Authority | Banks and Other Lenders Buyer and Investor Equity Enterprise Foundation Federal Home Loan Bank Flower City Habitat for Humanity Greater Rochester Housing Partnership NeighborWorks Rochester United Way of Greater Rochester Rochester Housing Development Fund Rochester/MLK Fund Health Foundation Employers participating in the EAHF Program |
| Homeless | NYS Department of Human Services NYS Division for Youth NYS Office of Mental Health Monroe County Department of Human Services Monroe County Department of Public Health | AC Center Catholic Charities ESG Recipients |
| Infrastructure Improvement | City of Rochester Capital Improvement | |
| Public Service | City of Rochester Operating Budget Monroe County Department of Human Services Monroe County Department of Public Health NYS Crime Victims Board NYS Department of Health NYS Office of Temporary and Disability Assistance NYS Department of Transportation NYS Office of Children and Family Services NYS Division of Criminal Justice Services NYS Education Department NYS Office of the Aging NYS Office of Alcoholism and Substance Abuse NYS Office of Mental Retardation and | Catholic Charities Legal Aid Society Monroe County Legal Assistance Corporation Private Foundations Rochester Area Community Foundation United Way of Greater Rochester The Housing Council |

| | | |
|-----------------------------|---|--|
| | Developmental Disabilities | |
| Other Community Development | City of Rochester Operating Budget Monroe County Department of Public Health NYS Department of Social Services NYS Department of Health NYS Department of State NYS Division of Housing & Community Renewal NYS Energy Office NYS Office for the Aging | IBERO Small Business Investment Company Urban League Economic Development Corporation |
| Planning | City of Rochester Operating Budget | Neighborhood Service Centers |

Section 108 Loan Program

Summary of Accomplishments

| Project Name | Project Number | Amount | Planned Jobs Created/Retained | Actual Jobs Created/Retained | Actual Low/Mod Jobs Created/Retained | Does Project Comply with National Objectives? |
|----------------------------|------------------|-------------|-------------------------------|------------------------------|--------------------------------------|---|
| Alling and Cory Industries | B-93-MC-36-0003A | \$2,235,000 | 20/179 | 0/179 | 0/95 | No-Low Mod Jobs |
| High Falls Brewing | B-00-MC-36-0003A | \$5,000,000 | 69.5/422.5 | 100/422.5 | 100/422.5 | Yes-Low Mod Jobs |

ATTACHMENT I
ANNUAL ACTION PLAN
ESTIMATED REVENUE SUMMARY

| SOURCE | 2013-14 | 2014-15 | CHANGE |
|--------------------------------------|----------------------|----------------------|------------------|
| Community Development Program | | | |
| Community Development Block Grant | \$ 8,198,055 | \$ 7,963,860 | (234,195) |
| Program Income | \$ 1,100,000 | \$ 1,000,000 | (100,000) |
| Reallocation | \$ 1,170,309 | \$ 553,178 | (617,131) |
| Program Income held by subrecipients | \$ - | \$ - | - |
| Total | \$ 10,468,364 | \$ 9,517,038 | (951,326) |
| City Development Fund | | | |
| UDAG Repayments | \$ 200,000 | \$ 150,000 | (50,000) |
| Total | \$ 200,000 | \$ 150,000 | (50,000) |
| HOME Program | | | |
| Home Investment Partnerships | \$ 1,897,548 | \$ 1,978,236 | 80,688 |
| Program Income | \$ 20,000 | \$ 200,000 | 180,000 |
| Reallocation | \$ 2,789 | \$ 58,443 | 55,654 |
| Recaptured | \$ - | \$ - | - |
| Total | \$ 1,920,337 | \$ 2,236,679 | 316,342 |
| Emergency Solutions Grant | | | |
| | \$ 571,885 | \$ 666,000 | 94,115 |
| HOPWA | | | |
| | \$ 657,405 | \$ 687,866 | 30,461 |
| TOTAL | \$ 13,817,991 | \$ 13,257,583 | (560,408) |

ATTACHMENT II
ANNUAL ACTION PLAN
PROGRAM INCOME

| DESCRIPTION | GRANTEE | SUBRECIPIENT |
|--|---------|--------------|
| Demolition | \$ | - |
| Proceeds from the Sale / Rental of Land | \$ | - |
| Revolving Loan Fund | | |
| Economic Development & Rehab Loan Repayments | \$ | 1,000,000 |
| Recapture | \$ | 200,000 |
| Reversionary Interest | | - |

New Specific Objective

Summary of Specific Annual Objectives

| Specific Obj. # | Outcome/Objective Specific Annual Objectives | Sources of Funds | Performance Indicators | Year | Expected Number | Actual Number | Percent Completed |
|---|---|------------------|---------------------------|------|-----------------|---------------|-------------------|
| DH-2 Affordability of Decent Housing | | | | | | | |
| DH-2 (1) | Improve the Housing Stock and General Property Conditions - Improve the quality of owner-occupied housing, Improve access to affordable owner housing, Increase the availability of affordable owner housing, Increase the supply of affordable rental housing, and Improve the quality of life of affordable rental housing. | CDBG | Units/Structures Assisted | 2010 | 426 | | 0% |
| | | | | 2011 | 523 | | 0% |
| | | HOME | | 2012 | 450 | | 0% |
| | | | | 2013 | 252 | | 0% |
| | | | | 2014 | 308 | | 0% |
| | | | | | | 0 | #DIV/0! |
| | | | | | | | |
| | Improve the Housing Stock and General Property Conditions - Improve services for low/mod income persons | HOME | Households Assisted | 2010 | 485 | | 0% |
| | | | | 2011 | 465 | | 0% |
| | | CDBG | | 2012 | 460 | | 0% |
| | | | | 2013 | 403 | | 0% |
| | | | | 2014 | 357 | | 0% |
| | | | | | | 0 | #DIV/0! |
| | | | | | | | |
| | CDBG | People Assisted | 2010 | 690 | | 0% | |
| | | | 2011 | 726 | | 0% | |
| | HOME | | 2012 | 725 | | 0% | |
| | | | 2013 | 720 | | 0% | |
| | | | 2014 | 261 | | 0% | |
| | | | | | 0 | #DIV/0! | |
| | | | | | | | |
| | | | MULTI-YEAR GOAL | | | | |



Summary of Specific Annual Objectives

| Specific Obj. # | Outcome/Objective Specific Annual Objectives | Sources of Funds | Performance Indicators | Year | Expected Number | Actual Number | Percent Completed |
|------------------------|--|------------------|------------------------|------------------------|-----------------|---------------|-------------------|
| SL-1 | Availability/Accessibility of Suitable Living Environment | | | | | | |
| SL-1 (1) | General Community Needs - Improve the services for low/mod income persons. Improve the Housing Stock and General Property Conditions - Improve services for low/mod income persons | CDBG | People assisted | 2010 | 426 | | 0% |
| | | | | 2011 | 0 | | #DIV/0! |
| | | | | 2012 | 0 | | #DIV/0! |
| | | | | 2013 | 512 | | 0% |
| | | | | 2014 | 760 | | 0% |
| | | | | MULTI-YEAR GOAL | | | |
| | Improve the quality of affordable rental housing; increase range of housing options and related services for persons with special needs; Improve access to affordable rental housing | ESG | People assisted | 2010 | TBD | | #VALUE! |
| | | | | 2011 | TBD | | #VALUE! |
| | | | | 2012 | TBD | | #VALUE! |
| | | | | 2013 | TBD | | #VALUE! |
| | | | | 2014 | TBD | | #VALUE! |
| | MULTI-YEAR GOAL | | | | | 0 | #DIV/0! |
| | | HOPWA | Households assisted | 2010 | 220 | | 0% |
| | | | | 2011 | 210 | | 0% |
| 2012 | | | | 200 | | 0% | |
| 2013 | | | | 190 | | 0% | |
| 2014 | | | | 199 | | 0% | |
| MULTI-YEAR GOAL | | | | | 0 | #DIV/0! | |



Summary of Specific Annual Objectives

| Specific Obj. # | Outcome/Objective Specific Annual Objectives | Sources of Funds | Performance Indicators | Year | Expected Number | Actual Number | Percent Completed | | | |
|--|--|------------------|---|---------------------------|-----------------|---------------|-------------------|---|---------|---------|
| EO-3 Sustainability of Economic Opportunity | | | | | | | | | | |
| EO-3 (1) | Promote Economic Stability - Improve economic opportunities for low-income persons | CDBG | Businesses assisted | 2010 | 112 | | 0% | | | |
| | | | | 2011 | 123 | | 0% | | | |
| | | | | 2012 | 119 | | 0% | | | |
| | | | | 2013 | 80 | | 0% | | | |
| | | | | 2014 | 81 | | 0% | | | |
| | | | | MULTI-YEAR GOAL | | | | | 0 | #DIV/0! |
| | Specific Annual Objective | CDBG | Jobs Created - Expected number was adjusted to reflect \$35,000 per job created | 2010 | 75 | | 0% | | | |
| | | | | 2011 | 75 | | 0% | | | |
| | | | | 2012 | 87 | | 0% | | | |
| | | | | 2013 | 50 | | 0% | | | |
| | | | | 2014 | 125 | | 0% | | | |
| | | | | MULTI-YEAR GOAL | | | | | 0 | #DIV/0! |
| | | | | Specific Annual Objective | CDBG | Sites | 2010 | 3 | | 0% |
| | | | | | | | 2011 | 0 | | #DIV/0! |
| 2012 | 0 | | #DIV/0! | | | | | | | |
| 2013 | 0 | | #DIV/0! | | | | | | | |
| 2014 | 0 | | #DIV/0! | | | | | | | |
| MULTI-YEAR GOAL | | | | | | | | 0 | #DIV/0! | |

Summary of Specific Annual Objectives

| Specific Obj. # | Outcome/Objective Specific Annual Objectives | Sources of Funds | Performance Indicators | Year | Expected Number | Actual Number | Percent Completed |
|------------------------|--|------------------|------------------------|------------------------|-----------------|----------------|-------------------|
| EO-3 | Sustainability of Economic Opportunity | | | | | | |
| EO-3 (2) | Promote Economic Stability - Improve economic opportunities for low-income persons | CDBG | People Assisted | 2010 | 120000 | | 0% |
| | | | | 2011 | 120000 | | 0% |
| | | | | 2012 | 120000 | | 0% |
| | | | | 2013 | 120000 | | 0% |
| | | | | 2014 | 80000 | | 0% |
| | | | | MULTI-YEAR GOAL | | 0 | #DIV/0! |
| | Specific Annual Objective | CDBG | Public Facilities | 2010 | 18 | | 0% |
| | | | | 2011 | 18 | | 0% |
| | | | | 2012 | 18 | | 0% |
| | | | | 2013 | 18 | | 0% |
| | | | | 2014 | 14 | | 0% |
| | | | | MULTI-YEAR GOAL | | 0 | #DIV/0! |
| | Specific Annual Objective | | Housing Units | 2010 | | | #DIV/0! |
| | | | | 2011 | 5* | | #VALUE! |
| 2012 | | | | TBD | | #VALUE! | |
| 2013 | | | | 0 | | #DIV/0! | |
| 2014 | | | | 0 | | #DIV/0! | |
| MULTI-YEAR GOAL | | | | | 0 | #DIV/0! | |

*Housing units are included here as they are part of NBD Project Assistance Fund which includes funds to assist both businesses and housing units, but falls under the objective of Promote Economic Stability.

TABLE 5B ANNUAL HOUSING COMPLETION GOALS

| ANNUAL AFFORDABLE RENTAL HOUSING GOALS (SEC. 215) | Annual Expected Number Completed | Resources used during the period | | | |
|---|----------------------------------|-------------------------------------|-------------------------------------|--------------------------|-------------------------------------|
| | | CDBG | HOME | ESG | HOPWA |
| Acquisition of existing units | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Production of new units | 30 | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Rehabilitation of existing units | 28 | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Rental Assistance | 199 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| Total Sec. 215 Rental Goals | 257 | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| ANNUAL AFFORDABLE OWNER HOUSING GOALS (SEC. 215) | | | | | |
| Acquisition of existing units | 19 | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Production of new units | 6 | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Rehabilitation of existing units | 333 | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Homebuyer Assistance | 21 | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Total Sec. 215 Owner Goals | 379 | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| ANNUAL AFFORDABLE HOUSING GOALS (SEC. 215) | | | | | |
| Homeless | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Non-Homeless | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Special Needs | 199 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| Total Sec. 215 Affordable Housing | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| ANNUAL HOUSING GOALS | | | | | |
| Annual Rental Housing Goal | 257 | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| Annual Owner Housing Goal | 379 | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Total Annual Housing Goal | 636 | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> |

For the purpose of identification of annual goals, an assisted household is one that will receive benefits through the investment of Federal funds, either alone or in conjunction with the investment of other public or private funds.

| | | | | | | |
|---|----------------|--|---------------|-------------------------------------|----------------|---------|
| Project Name: ED Financial Assistance Loan and Grant Program | | IDIS Project #: | | UOG Code: NY365544 Rochester | | |
| Description: This program will provide various forms of financial assistance to city businesses, including low-interest loans, interest rate subsidy grants, and matching grants for building improvements and pre-development studies. This allocation funds the following ED programs: Financial Assistance Loans and Grants, Building Renovation Matching Grant, Targeted Business Assistance, Main Street Assistance, and Pre-Development Grant. | | | | | | |
| Location: City-wide | | Priority Need Category Select one: Economic Development | | | | |
| Expected Completion Date: 6/30/2015 | | Explanation: National Objective: Low / Mod Jobs, Low / Mod Jobs Location Based, LMA | | | | |
| Objective Category <input type="radio"/> Decent Housing <input type="radio"/> Suitable Living Environment <input checked="" type="radio"/> Economic Opportunity | | Specific Objectives 1. Improve economic opportunities for low-income persons 2. 3. | | | | |
| Outcome Categories <input type="checkbox"/> Availability/Accessibility <input type="checkbox"/> Affordability <input checked="" type="checkbox"/> Sustainability | | | | | | |
| Project-level Accomplishments | 13 Jobs | Proposed | 125 | Accmpl. Type: | Proposed | |
| | | Underway | | | Underway | |
| | | Complete | | | Complete | |
| | 08 Businesses | Proposed | 25 | Accmpl. Type: | Proposed | |
| | | Underway | | | Underway | |
| | | Complete | | | Complete | |
| | Accmpl. Type: | | | Accmpl. Type: | | |
| | | Proposed | | | Proposed | |
| | | Underway | | | Underway | |
| | | Complete | | | Complete | |
| Proposed Outcome | | Performance Measure | | Actual Outcome | | |
| Sustainability for purpose of creating economic opportunity | | jobs created / businesses assisted | | | | |
| 04A Clean-up of Contaminated Sites 570.201(d) | | 20 Planning 570.205 | | | | |
| 14E Rehab; Publicly or Privately-Owned Commercial/Indu 570.20C | | Matrix Codes | | | | |
| 18A ED Direct Financial Assistance to For-Profits 570.203(b) | | Matrix Codes | | | | |
| Program Year 1 | CDBG | Proposed Amt. | 456,996 | CDBG | Proposed Amt. | 391,410 |
| | | Actual Amount | | prior years | Actual Amount | |
| | Other | Proposed Amt. | 270,000 | Fund Source: | Proposed Amt. | |
| | CDF | Actual Amount | | | Actual Amount | |
| | Accmpl. Type: | Proposed Units | | Accmpl. Type: | Proposed Units | |
| | Actual Units | | | Actual Units | | |
| | Proposed Units | | | Proposed Units | | |
| | Actual Units | | | Actual Units | | |
| Program Year 2 | CDBG | Proposed Amt. | 240,183 | CDBG | Proposed Amt. | 583,734 |
| | | Actual Amount | | Prior Years | Actual Amount | |
| | Other | Proposed Amt. | 202,500 | Fund Source: | Proposed Amt. | |
| | CDF | Actual Amount | | | Actual Amount | |
| | Accmpl. Type: | Proposed Units | | Accmpl. Type: | Proposed Units | |
| | Actual Units | | | Actual Units | | |
| | Proposed Units | | | Proposed Units | | |
| | Actual Units | | | Actual Units | | |
| Program Year 3 | CDBG | Proposed Amt. | 711,550 | CDBG | Proposed Amt. | 112800 |
| | | Actual Amount | | Prior Years | Actual Amount | |
| | Fund Source: | Proposed Amt. | | Fund Source: | Proposed Amt. | |
| | | Actual Amount | | | Actual Amount | |
| | Accmpl. Type: | Proposed Units | | Accmpl. Type: | Proposed Units | |
| | Actual Units | | | Actual Units | | |
| | Proposed Units | | | Proposed Units | | |
| | Actual Units | | | Actual Units | | |
| Program Year 4 | CDBG | Proposed Amt. | 750,000 | Fund Source: | Proposed Amt. | |
| | | Actual Amount | | | Actual Amount | |
| | Other | Proposed Amt. | 115,000 | Fund Source: | Proposed Amt. | |
| | CDF | Actual Amount | | | Actual Amount | |
| | 13 Jobs | Proposed Units | 50 | Accmpl. Type: | Proposed Units | |
| | Actual Units | | | Actual Units | | |
| 08 Businesses | Proposed Units | 23 | Accmpl. Type: | Proposed Units | | |
| | Actual Units | | | Actual Units | | |
| Program Year 5 | CDBG | Proposed Amt. | 950,000 | Fund Source: | Proposed Amt. | |
| | | Actual Amount | | | Actual Amount | |
| | Other | Proposed Amt. | 75,000 | Fund Source: | Proposed Amt. | |
| | CDF | Actual Amount | | | Actual Amount | |
| | 13 Jobs | Proposed Units | 125 | Accmpl. Type: | Proposed Units | |
| | Actual Units | | | Actual Units | | |
| 08 Businesses | Proposed Units | 25 | Accmpl. Type: | Proposed Units | | |
| | Actual Units | | | Actual Units | | |

Grantee Name: City of Rochester

CPMP Version 2.0

| | | | | | |
|---|----------------------|--|---------------|-------------------------------------|----------------|
| Project Name: Neighborhood Commercial Assistance Program | | IDIS Project #: | | UOG Code: NY365544 Rochester | |
| Description: The project provides Small Business Matching Grants up to \$5,000 for advertising, computers, architectural assistance and exterior sign and the Small Business Security Matching Grant up to \$2,000 for security cameras, alarm systems, exterior lighting and security fencing, \$2000 for furniture, fixtures and equipment, and \$1000 for signage. The program also provides support to business associations, including area advertising, permanent improvements, and street managers. The program supports microenterprises, essential neighborhood businesses, and businesses creating job opportunities for LMI. | | | | | |
| Location: City-wide | | Priority Need Category Select one: Economic Development | | | |
| Expected Completion Date: 6/30/2015 | | Explanation: LMA | | | |
| Objective Category <input type="radio"/> Decent Housing <input type="radio"/> Suitable Living Environment <input checked="" type="radio"/> Economic Opportunity | | Specific Objectives | | | |
| Outcome Categories <input type="checkbox"/> Availability/Accessibility <input type="checkbox"/> Affordability <input checked="" type="checkbox"/> Sustainability | | 1 Improve economic opportunities for low-income persons 2 3 | | | |
| Project-level Accomplishments | 08 Businesses | Proposed | 50 | Accmpl. Type: | Proposed |
| | | Underway | | | Underway |
| | | Complete | | | Complete |
| | 01 People | Proposed | 80,000 | Accmpl. Type: | Proposed |
| | | Underway | | | Underway |
| | | Complete | | | Complete |
| | 11 Public Facilities | Proposed | 14 | Accmpl. Type: | Proposed |
| | | Underway | | | Underway |
| | | Complete | | | Complete |
| Proposed Outcome Sustainability for the purpose of creating economic opportunity | | Performance Measure Jobs created / businesses assisted | | Actual Outcome | |
| 03 Public Facilities and Improvements (General) 570.201(c) | | Matrix Codes | | | |
| 05 Public Services (General) 570.201(e) | | Matrix Codes | | | |
| 18A ED Direct Financial Assistance to For-Profits 570.203(b) | | Matrix Codes | | | |
| Program Year 1 | CDBG | Proposed Amt. | 360,000 | Fund Source: | Proposed Amt. |
| | | Actual Amount | | | Actual Amount |
| | Other | Proposed Amt. | | Fund Source: | Proposed Amt. |
| | | Actual Amount | | | Actual Amount |
| | Accmpl. Type: | Proposed Units | | Other | Proposed Units |
| | Actual Units | | | Actual Units | |
| | Accmpl. Type: | Proposed Units | | Accmpl. Type: | Proposed Units |
| | | Actual Units | | | Actual Units |
| Program Year 2 | CDBG | Proposed Amt. | 443,386 | Fund Source: | Proposed Amt. |
| | | Actual Amount | | | Actual Amount |
| | Fund Source: | Proposed Amt. | | Fund Source: | Proposed Amt. |
| | | Actual Amount | | | Actual Amount |
| | Accmpl. Type: | Proposed Units | | Accmpl. Type: | Proposed Units |
| | Actual Units | | | Actual Units | |
| | Accmpl. Type: | Proposed Units | | Accmpl. Type: | Proposed Units |
| | | Actual Units | | | Actual Units |
| Program Year 3 | CDBG | Proposed Amt. | 444,000 | Fund Source: | Proposed Amt. |
| | | Actual Amount | | | Actual Amount |
| | Fund Source: | Proposed Amt. | | Fund Source: | Proposed Amt. |
| | | Actual Amount | | | Actual Amount |
| | Accmpl. Type: | Proposed Units | | Accmpl. Type: | Proposed Units |
| | Actual Units | | | Actual Units | |
| | Accmpl. Type: | Proposed Units | | Accmpl. Type: | Proposed Units |
| | | Actual Units | | | Actual Units |
| Program Year 4 | CDBG | Proposed Amt. | 440,000 | Fund Source: | Proposed Amt. |
| | | Actual Amount | | | Actual Amount |
| | Fund Source: | Proposed Amt. | | Fund Source: | Proposed Amt. |
| | | Actual Amount | | | Actual Amount |
| | 08 Businesses | Proposed Units | 50 | 11 Public Facilities | Proposed Units |
| | Actual Units | | | Actual Units | |
| 01 People | Proposed Units | 120000 | Accmpl. Type: | Proposed Units | |
| | Actual Units | | | Actual Units | |
| Program Year 5 | CDBG | Proposed Amt. | 400,000 | Fund Source: | Proposed Amt. |
| | | Actual Amount | | | Actual Amount |
| | Fund Source: | Proposed Amt. | | Fund Source: | Proposed Amt. |
| | | Actual Amount | | | Actual Amount |
| | 08 Businesses | Proposed Units | 50 | 11 Public Facilities | Proposed Units |
| | Actual Units | | | Actual Units | |
| 01 People | Proposed Units | 80,000 | Accmpl. Type: | Proposed Units | |
| | Actual Units | | | Actual Units | |

| | | | | | |
|--|--|------------------------------------|----------------|-----------------------|--|
| Project Name: Targeted Façade Improvement Program | | | | | |
| Description: | IDIS Project #: UOG Code: NY365544 Rochester | | | | |
| This program provides funds for façade improvements in targeted areas. Grants range from \$15,000 to \$40,000. | | | | | |
| Location: City-wide | Priority Need Category Select one: Economic Development | | | | |
| Expected Completion Date: 6/30/2015 | Explanation: National Objective: Low/Mod Area | | | | |
| Objective Category <input type="radio"/> Decent Housing <input type="radio"/> Suitable Living Environment <input checked="" type="radio"/> Economic Opportunity | Specific Objectives | | | | |
| Outcome Categories <input type="checkbox"/> Availability/Accessibility <input type="checkbox"/> Affordability <input checked="" type="checkbox"/> Sustainability | 1 Improve economic opportunities for low-income persons 2 3 | | | | |
| Project-level Accomplishments | 08 Businesses | Proposed 6 | Accompl. Type: | Proposed | |
| | | Underway | | Underway | |
| | | Complete | | Complete | |
| | Accompl. Type: | Proposed | Accompl. Type: | Proposed | |
| | | Underway | | Underway | |
| | | Complete | | Complete | |
| | Accompl. Type: | Proposed | Accompl. Type: | Proposed | |
| | | Underway | | Underway | |
| | | Complete | | Complete | |
| Proposed Outcome | | Performance Measure | | Actual Outcome | |
| Sustainability for purpose of creating economic opportunity | | jobs created / businesses assisted | | | |
| 18A ED Direct Financial Assistance to For-Profits 570.203(b) | | Matrix Codes | | Matrix Codes | |
| Matrix Codes | | Matrix Codes | | Matrix Codes | |
| Matrix Codes | | Matrix Codes | | Matrix Codes | |
| Program Year 1 | CDBG | Proposed Amt. 360,000 | Fund Source: | Proposed Amt. | |
| | Prior Years | Actual Amount | | Actual Amount | |
| | Fund Source: | Proposed Amt. | Fund Source: | Proposed Amt. | |
| | | Actual Amount | | Actual Amount | |
| | Accompl. Type: | Proposed Units | Accompl. Type: | Proposed Units | |
| | | Actual Units | | Actual Units | |
| Program Year 2 | CDBG | Proposed Amt. 350,000 | Fund Source: | Proposed Amt. | |
| | | Actual Amount | | Actual Amount | |
| | Fund Source: | Proposed Amt. | Fund Source: | Proposed Amt. | |
| | | Actual Amount | | Actual Amount | |
| | Accompl. Type: | Proposed Units | Accompl. Type: | Proposed Units | |
| | | Actual Units | | Actual Units | |
| Program Year 3 | CDBG | Proposed Amt. 350,000 | Fund Source: | Proposed Amt. | |
| | | Actual Amount | | Actual Amount | |
| | Fund Source: | Proposed Amt. | Fund Source: | Proposed Amt. | |
| | | Actual Amount | | Actual Amount | |
| | Accompl. Type: | Proposed Units | Accompl. Type: | Proposed Units | |
| | | Actual Units | | Actual Units | |
| Program Year 4 | CDBG | Proposed Amt. 200,000 | Fund Source: | Proposed Amt. | |
| | | Actual Amount | | Actual Amount | |
| | Fund Source: | Proposed Amt. | Fund Source: | Proposed Amt. | |
| | | Actual Amount | | Actual Amount | |
| | 08 Businesses | Proposed Units 7 | Accompl. Type: | Proposed Units | |
| | | Actual Units | | Actual Units | |
| Program Year 5 | CDBG | Proposed Amt. 180,000 | Fund Source: | Proposed Amt. | |
| | | Actual Amount | | Actual Amount | |
| | Fund Source: | Proposed Amt. | Fund Source: | Proposed Amt. | |
| | | Actual Amount | | Actual Amount | |
| | 08 Businesses | Proposed Units 6 | Accompl. Type: | Proposed Units | |
| | | Actual Units | | Actual Units | |
| | Accompl. Type: | Proposed Units | Accompl. Type: | Proposed Units | |
| | | Actual Units | | Actual Units | |

Grantee Name: City of Rochester

| | |
|---|---|
| Project Name: Neighborhood and Business Program Delivery | |
| Description: | IDIS Project #: UOG Code: NY365544 Rochester |
| The allocation provides funds for the development of overall economic development policies and provides support services, coordination of real estate development, management of feasibility and consultant studies, monitoring of legal and professional service agreements, preparation of site and financial analyses, performing market research, outreach to businesses, preparation of loan packages and support, pre-development services, outreach, financial, technical and site selection assistance to businesses, organization of neighborhood commercial business assistance programs. ESG and HOPWA funds are used for the administration of the Emergency Shelter Grant and HOPWA programs. Funds are used for staff involved in the planning, coordinating, and monitoring of the City's housing initiatives. | |
| Location: City-wide | Priority Need Category: Select one: Economic Development |
| Expected Completion Date: 6/30/2015 | Explanation: National Objective: Low/Mod Jobs, Low/Mod Jobs Location Based, Low/Mod Area, Low/Mod Housing |
| Objective Category: <ul style="list-style-type: none"> <input type="radio"/> Decent Housing <input checked="" type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity | Specific Objectives: <ul style="list-style-type: none"> 1. Improve economic opportunities for low-income persons 2. Improve the services for low/mod income persons 3. Increase the availability of affordable owner housing |
| Outcome Categories: <ul style="list-style-type: none"> <input checked="" type="checkbox"/> Availability/Accessibility <input checked="" type="checkbox"/> Affordability <input checked="" type="checkbox"/> Sustainability | |
| Project-level Accomplishments | Table with columns: Accompl. Type, Proposed, Underway, Complete |
| Proposed Outcome | Performance Measure: Jobs created / businesses assisted |
| Sustainability-creating econ. opportunity; avail/access-creating suitable living environments; affordability-creating decent housing | Actual Outcome |

| | |
|--|------------------------------|
| 188 ED Technical Assistance 570.203(b) | 31B Administration - grantee |
| 20 Planning 570.205 | Matrix Codes |

| Program Year | Category | Proposed Amt. | Actual Amount | HOME | Proposed Amt. | Actual Amount |
|----------------|----------------|----------------|---------------|----------------|----------------|---------------|
| Program Year 1 | CDBG | 2,331,800 | | HOME | 349,259 | |
| | ESG | 21,165 | | HOPWA | 21,276 | |
| | Accompl. Type: | Proposed Units | | Accompl. Type: | Proposed Units | |
| | Accompl. Type: | Actual Units | | Accompl. Type: | Actual Units | |
| Program Year 2 | CDBG | 1,921,624 | | HOME | 309,120 | |
| | ESG | 21,148 | | HOPWA | 21,396 | |
| | Accompl. Type: | Proposed Units | | Accompl. Type: | Proposed Units | |
| | Accompl. Type: | Actual Units | | Accompl. Type: | Actual Units | |
| Program Year 3 | CDBG | 1,900,203 | | HOME | 186,719 | |
| | ESG | 37,746 | | HOPWA | 20,747 | |
| | Accompl. Type: | Proposed Units | | Accompl. Type: | Proposed Units | |
| | Accompl. Type: | Actual Units | | Accompl. Type: | Actual Units | |
| Program Year 4 | CDBG | 2,100,203 | | HOME | 179,380 | |
| | ESG | 53,790 | | HOPWA | 19,710 | |
| | Accompl. Type: | Proposed Units | | Accompl. Type: | Proposed Units | |
| | Accompl. Type: | Actual Units | | Accompl. Type: | Actual Units | |
| Program Year 5 | CDBG | 2,100,203 | | HOME | 197,823 | |
| | ESG | 49,950 | | HOPWA | 20,635 | |
| | Accompl. Type: | Proposed Units | | Accompl. Type: | Proposed Units | |
| | Accompl. Type: | Actual Units | | Accompl. Type: | Actual Units | |

| | | | | | | |
|--|----------------|--|---------------|-----------------------|----------------|-----------|
| Project Name: Homeownership Fund | | UOG Code: NY365544 Rochester | | | | |
| Description: Provides downpayment/closing cost assistance to first-time homebuyers, pre- and post-purchase education and training; write-down subsidies for the acquisition and rehabilitation and resale of vacant structures; foreclosure prevention services and mortgage default resolution services. | | | | | | |
| Location: City-wide | | Priority Need Category Select one: Owner Occupied Housing | | | | |
| Explanation: National Objective: Low/ Mod Housing, Low/ Mod Limited Clientele | | | | | | |
| Expected Completion Date: 6/30/2015 | | | | | | |
| Objective Category <input checked="" type="radio"/> Decent Housing <input type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity | | | | | | |
| Outcome Categories <input type="checkbox"/> Availability/Accessibility <input checked="" type="checkbox"/> Affordability <input type="checkbox"/> Sustainability | | Specific Objectives | | | | |
| | | 1. Improve the quality of owner housing | | | | |
| | | 2. Improve access to affordable owner housing | | | | |
| | | 3. Improve the services for low/mod income persons | | | | |
| Project-level Accomplishments | 04 Households | Proposed | 314 | Accmpl. Type: | Proposed | |
| | | Underway | | | Underway | |
| | | Complete | | | Complete | |
| | Accmpl. Type: | Proposed | | Accmpl. Type: | Proposed | |
| | | Underway | | | Underway | |
| | | Complete | | | Complete | |
| | Accmpl. Type: | Proposed | | Accmpl. Type: | Proposed | |
| | | Underway | | | Underway | |
| | | Complete | | | Complete | |
| Proposed Outcome | | Performance Measure | | Actual Outcome | | |
| Affordability for the Purpose of Creating Decent Housing | | Housing Units Assisted, Households Assisted, People Assisted | | | | |
| 13 Direct Homeownership Assistance 570.201(n) | | 14A Rehab; Single-Unit Residential 570.202 | | | | |
| 05 Public Services (General) 570.201(e) | | 14G Acquisition - for Rehabilitation 570.202 | | | | |
| 05Q Subsistence Payments 570.204 | | 21H HOME Admin/Planning Costs of PJ (subject to 5% cap | | | | |
| Program Year 1 | CDBG | Proposed Amt. | 375,000 | Other | Proposed Amt. | 50,000 |
| | | Actual Amount | | CDF | Actual Amount | |
| | HOME | Proposed Amt. | 350,000 | Other | Proposed Amt. | 2,149,000 |
| | | Actual Amount | | Mortgages | Actual Amount | |
| | 04 Households | Proposed Units | 325 | 04 Households | Proposed Units | 8 |
| CDBG | Actual Units | | CDF | Actual Units | | |
| 04 Households | Proposed Units | 152 | Accmpl. Type: | Proposed Units | | |
| HOME | Actual Units | | | Actual Units | | |
| Program Year 2 | CDBG | Proposed Amt. | 300,000 | Other | Proposed Amt. | 37,500 |
| | | Actual Amount | | CDF | Actual Amount | |
| | HOME | Proposed Amt. | 185,000 | Other | Proposed Amt. | 1,495,000 |
| | | Actual Amount | | Mortgages | Actual Amount | |
| | 04 Households | Proposed Units | 250 | 04 Households | Proposed Units | 75 |
| CDBG | Actual Units | | CDF | Actual Units | | |
| 04 Households | Proposed Units | 140 | Accmpl. Type: | Proposed Units | | |
| HOME | Actual Units | | | Actual Units | | |
| Program Year 3 | CDBG | Proposed Amt. | 375,000 | Other | Proposed Amt. | 120,000 |
| | | Actual Amount | | CDF | Actual Amount | |
| | HOME | Proposed Amt. | 100,000 | Other | Proposed Amt. | 2,940,000 |
| | | Actual Amount | | Mortgages | Actual Amount | |
| | 04 Households | Proposed Units | 250 | 04 Households | Proposed Units | 102 |
| CDBG | Actual Units | | CDF | Actual Units | | |
| 04 Households | Proposed Units | 108 | Accmpl. Type: | Proposed Units | | |
| HOME | Actual Units | | | Actual Units | | |
| Program Year 4 | CDBG | Proposed Amt. | 375,000 | Other | Proposed Amt. | 45,000 |
| | | Actual Amount | | CDF | Actual Amount | |
| | HOME | Proposed Amt. | 480,000 | Fund Source: | Proposed Amt. | |
| | | Actual Amount | | | Actual Amount | |
| | 04 Households | Proposed Units | 250 | 04 Households | Proposed Units | 7 |
| CDBG | Actual Units | | CDF | Actual Units | | |
| 04 Households | Proposed Units | 46 | Accmpl. Type: | Proposed Units | | |
| HOME | Actual Units | | | Actual Units | | |
| Program Year 5 | CDBG | Proposed Amt. | 310,000 | Other | Proposed Amt. | 45,000 |
| | | Actual Amount | | CDF | Actual Amount | |
| | HOME | Proposed Amt. | 530,000 | HOME | Proposed Amt. | 58,443 |
| | | Actual Amount | | Prior Years | Actual Amount | |
| | 04 Households | Proposed Units | 226 | 04 Households | Proposed Units | 7 |
| CDBG | Actual Units | | CDF | Actual Units | | |
| 04 Households | Proposed Units | 81 | Accmpl. Type: | Proposed Units | | |
| HOME | Actual Units | | | Actual Units | | |

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|--|----------------|---|---------|-------------------------------------|----------------|
| Project Name: Rental Market Fund | | IDIS Project #: | | UOG Code: NY365544 Rochester | |
| Provides support to landlords to successfully maintain rental housing. Services may include landlord training and education courses, and individual counseling. Tenant education, owner-tenant mediation, and eviction prevention services will be provided for renters, and engaging landlords to support coordinated access and other strategic systems. | | | | | |
| Location: City-wide | | Priority Need Category | | | |
| | | Select one: | | Public Services | |
| Explanation: | | | | | |
| Expected Completion Date: 6/30/2015 | | National Objective: Low/ Mod Housing | | | |
| Objective Category | | | | | |
| <input checked="" type="radio"/> Decent Housing <input type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity | | | | | |
| Outcome Categories | | Specific Objectives | | | |
| <input type="checkbox"/> Availability/Accessibility <input checked="" type="checkbox"/> Affordability <input type="checkbox"/> Sustainability | | 1. Improve the quality of affordable rental housing 2. Improve the services for low/mod income persons 3. | | | |
| Project-level Accomplishments | 01 People | Proposed | 261 | Accompl. Type: | Proposed |
| | | Underway | | | Underway |
| | | Complete | | | Complete |
| | Accompl. Type: | Proposed | | Accompl. Type: | Proposed |
| | | Underway | | | Underway |
| | | Complete | | | Complete |
| | Accompl. Type: | Proposed | | Accompl. Type: | Proposed |
| | | Underway | | | Underway |
| | | Complete | | | Complete |
| | Accompl. Type: | Proposed | | Accompl. Type: | Proposed |
| | | Underway | | | Underway |
| | | Complete | | | Complete |
| Proposed Outcome | | Performance Measure | | Actual Outcome | |
| Affordability for the Purpose of Creating Decent Housing | | People Assisted | | | |
| 05K Tenant/Landlord Counseling 570.201(e) | | Matrix Codes | | Matrix Codes | |
| Matrix Codes | | Matrix Codes | | Matrix Codes | |
| Matrix Codes | | Matrix Codes | | Matrix Codes | |
| Program Year 1 | CDBG | Proposed Amt. | 170,000 | Fund Source: | Proposed Amt. |
| | | Actual Amount | | | Actual Amount |
| | Fund Source: | Proposed Amt. | | Fund Source: | Proposed Amt. |
| | | Actual Amount | | | Actual Amount |
| | Accompl. Type: | Proposed Units | | Accompl. Type: | Proposed Units |
| | Actual Units | | | Actual Units | |
| | Accompl. Type: | Proposed Units | | Accompl. Type: | Proposed Units |
| | | Actual Units | | | Actual Units |
| Program Year 2 | CDBG | Proposed Amt. | 185,000 | Fund Source: | Proposed Amt. |
| | | Actual Amount | | | Actual Amount |
| | Fund Source: | Proposed Amt. | | Fund Source: | Proposed Amt. |
| | | Actual Amount | | | Actual Amount |
| | 01 People | Proposed Units | | Accompl. Type: | Proposed Units |
| | Actual Units | | | Actual Units | |
| | Accompl. Type: | Proposed Units | | Accompl. Type: | Proposed Units |
| | | Actual Units | | | Actual Units |
| Program Year 3 | CDBG | Proposed Amt. | 173,312 | Fund Source: | Proposed Amt. |
| | | Actual Amount | | | Actual Amount |
| | Fund Source: | Proposed Amt. | | Fund Source: | Proposed Amt. |
| | | Actual Amount | | | Actual Amount |
| | Accompl. Type: | Proposed Units | | Accompl. Type: | Proposed Units |
| | Actual Units | | | Actual Units | |
| | Accompl. Type: | Proposed Units | | Accompl. Type: | Proposed Units |
| | | Actual Units | | | Actual Units |
| Program Year 4 | CDBG | Proposed Amt. | 175,000 | Fund Source: | Proposed Amt. |
| | | Actual Amount | | | Actual Amount |
| | Fund Source: | Proposed Amt. | | Fund Source: | Proposed Amt. |
| | | Actual Amount | | | Actual Amount |
| | 01 People | Proposed Units | 720 | Accompl. Type: | Proposed Units |
| | Actual Units | | | Actual Units | |
| | Accompl. Type: | Proposed Units | | Accompl. Type: | Proposed Units |
| | | Actual Units | | | Actual Units |
| Program Year 5 | CDBG | Proposed Amt. | 130,000 | Fund Source: | Proposed Amt. |
| | | Actual Amount | | | Actual Amount |
| | Fund Source: | Proposed Amt. | | Fund Source: | Proposed Amt. |
| | | Actual Amount | | | Actual Amount |
| | 01 People | Proposed Units | 261 | Accompl. Type: | Proposed Units |
| | Actual Units | | | Actual Units | |
| | Accompl. Type: | Proposed Units | | Accompl. Type: | Proposed Units |
| | | Actual Units | | | Actual Units |

| | | | | | | |
|--|----------------|---|----------------|------------------------|-------------------------------------|---------|
| Project Name: Housing Choice Fund | | Description: | | IDIS Project #: | UOG Code: NY365544 Rochester | |
| <p>The City is charged with affirmatively furthering fair housing choice under federal requirements governing the use of CDBG and HOME funding. Funding will be used to continue implementation of programs and initiatives that support housing choice. Funds will provide services to the homeless, those at risk of becoming homeless and persons with HIV/AIDS that enable their ability to obtain and maintain permanent housing solutions. Funds may be used for Homelessness Prevention, Rapid Re-housing (housing relocation and stabilization, financial assistance, rental assistance), Emergency Shelter and Shelter Diversion (development of overflow capacity and shelter beds and shelter operations), Essential Services (case management, life skills, transportation and services to special needs populations), other services that support the CoC and coordinated access systems, and identifying and engaging landlords for participation.</p> | | | | | | |
| Location: City-wide | | Priority Need Category | | | | |
| | | Select one: | | Rental Housing | | |
| Expected Completion Date: 6/30/2015 | | Explanation: National Objective: Low/ Mod Housing | | | | |
| Objective Category <input type="radio"/> Decent Housing <input checked="" type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity | | Specific Objectives | | | | |
| Outcome Categories <input checked="" type="checkbox"/> Availability/Accessibility <input checked="" type="checkbox"/> Affordability <input type="checkbox"/> Sustainability | | 1. Improve the quality of affordable rental housing 2. Increase range of housing options & related services for persons w/ special needs 3. Improve the services for low/mod income persons | | | | |
| Project-level Accomplishments | 04 Households | Proposed | 199 | Accompl. Type: | Proposed | |
| | | Underway | | | Underway | |
| | | Complete | | | Complete | |
| 01 People | Proposed | TBD | Accompl. Type: | Proposed | | |
| | Underway | | | Underway | | |
| | Complete | | | Complete | | |
| Accompl. Type: | Proposed | | Accompl. Type: | Proposed | | |
| | Underway | | | Underway | | |
| | Complete | | | Complete | | |
| Proposed Outcome | | Performance Measure | | Actual Outcome | | |
| Availability/Accessibility-Purpose of Creating Suitable Living Environments, Affordability-Purpose of Creating Decent Housing | | People, Homeless Persons/Persons Assisted, Units for Persons with HIV/AIDS | | | | |
| 03T Operating Costs of Homeless/AIDS Patients Programs | | 21D Fair Housing Activities (subject to 20% Admin cap) 570.206 | | | | |
| 05 Public Services (General) 570.201(e) | | 31D Administration - project sponsor | | | | |
| 05Q Subsistence Payments 570.204 | | 31F Tenant based rental assistance | | | | |
| Program Year 1 | CDBG | Proposed Amt. | 50,000 | HOPWA | Proposed Amt. | 687,944 |
| | | Actual Amount | | | Actual Amount | |
| | ESG | Proposed Amt. | 402,135 | Other | Proposed Amt. | 402,135 |
| | | Actual Amount | | ESG Match | Actual Amount | |
| | Accompl. Type: | Proposed Units | | 04 Households | Proposed Units | 220 |
| | Actual Units | | HOPWA | Actual Units | | |
| | Proposed Units | | Accompl. Type: | Proposed Units | | |
| | Actual Units | | | Actual Units | | |
| Program Year 2 | ESG | Proposed Amt. | 401,818 | Other | Proposed Amt. | 422,966 |
| | | Actual Amount | | ESG Match | Actual Amount | |
| | HOPWA | Proposed Amt. | 691,830 | Fund Source: | Proposed Amt. | |
| | | Actual Amount | | | Actual Amount | |
| | 01 People | Proposed Units | TBD | Accompl. Type: | Proposed Units | |
| ESG | Actual Units | | | Actual Units | | |
| 04 Households | Proposed Units | 210 | Accompl. Type: | Proposed Units | | |
| HOPWA | Actual Units | | | Actual Units | | |
| Program Year 3 | ESG | Proposed Amt. | 717,174 | Other | Proposed Amt. | 754,920 |
| | | Actual Amount | | ESG Match | Actual Amount | |
| | HOPWA | Proposed Amt. | 670,848 | Fund Source: | Proposed Amt. | |
| | | Actual Amount | | | Actual Amount | |
| | 01 People | Proposed Units | | Accompl. Type: | Proposed Units | |
| | Actual Units | | | Actual Units | | |
| 04 Households | Proposed Units | | Accompl. Type: | Proposed Units | | |
| | Actual Units | | | Actual Units | | |
| Program Year 4 | ESG | Proposed Amt. | 663,410 | Other | Proposed Amt. | |
| | | Actual Amount | | ESG Match | Actual Amount | |
| | HOPWA | Proposed Amt. | 637,290 | Fund Source: | Proposed Amt. | |
| | | Actual Amount | | | Actual Amount | |
| | 01 People | Proposed Units | TBD | Accompl. Type: | Proposed Units | |
| ESG | Actual Units | | | Actual Units | | |
| 04 Households | Proposed Units | 190 | Accompl. Type: | Proposed Units | | |
| HOPWA | Actual Units | | | Actual Units | | |
| Program Year 5 | ESG | Proposed Amt. | 616,050 | Fund Source: | Proposed Amt. | |
| | | Actual Amount | | | Actual Amount | |
| | HOPWA | Proposed Amt. | 667,231 | Fund Source: | Proposed Amt. | |
| | | Actual Amount | | | Actual Amount | |
| | 01 People | Proposed Units | TBD | Accompl. Type: | Proposed Units | |
| ESG | Actual Units | | | Actual Units | | |
| 04 Households | Proposed Units | 199 | Accompl. Type: | Proposed Units | | |
| HOPWA | Actual Units | | | Actual Units | | |

| | | | | | | |
|---|------------------|---|---------------|-------------------------------------|----------------|---------|
| Project Name: Neighborhood and Asset Based Planning Fund | | IDIS Project #: | | UOG Code: NY365544 Rochester | | |
| <p>Description: Comprehensive Planning, strategic and other planning activities, technical assistance, and resources to neighborhood groups participating in the NSC Quadrant management and funding of identified priorities. The funds will also be used for the Focused Investment Strategy (FIS) that will stabilize and improve four neighborhoods. FIS will focus on activities that will increase homeownership, stabilize existing owner occupants and investment properties, demolition, new housing construction, rehabilitation and redevelopment of multifamily buildings, mixed use and commercial buildings, comprehensive and strategic planning and evaluation studies, vacant lot improvements, community engagement/building activities, job and youth training, public safety, community art programs, landscaping, fencing, streetscape and beautification, and public improvements.</p> | | | | | | |
| Location: City-wide and four selected neighborhoods | | <p>Priority Need Category</p> <p>Select one: <input type="text" value="Owner Occupied Housing"/></p> | | | | |
| Expected Completion Date: 6/30/2015 | | Explanation: National Objective: Low/ Mod Housing | | | | |
| <p>Objective Category</p> <p><input type="radio"/> Decent Housing</p> <p><input checked="" type="radio"/> Suitable Living Environment</p> <p><input type="radio"/> Economic Opportunity</p> | | <p>Specific Objectives</p> <p>1. <input type="text" value="Improve access to affordable owner housing"/></p> <p>2. <input type="text" value="Improve the quality of owner housing"/></p> <p>3. <input type="text" value="Increase the supply of affordable rental housing"/></p> | | | | |
| <p>Outcome Categories</p> <p><input type="checkbox"/> Availability/Accessibility</p> <p><input checked="" type="checkbox"/> Affordability</p> <p><input type="checkbox"/> Sustainability</p> | | | | | | |
| Project-level Accomplishments | 10 Housing Units | Proposed | 39 | Accmpl. Type: | Proposed | |
| | | Underway | | | Underway | |
| | | Complete | | | Complete | |
| 04 Households | Proposed | 43 | Accmpl. Type: | Proposed | | |
| | Underway | | | Underway | | |
| | Complete | | | Complete | | |
| Accmpl. Type: | Proposed | | Accmpl. Type: | Proposed | | |
| | Underway | | | Underway | | |
| | Complete | | | Complete | | |
| Proposed Outcome | | Performance Measure | | Actual Outcome | | |
| Affordability for the Purpose of Creating Decent Housing | | Housing Units Assisted, Households Assisted, People Assisted | | | | |
| 14A Rehab; Single-Unit Residential 570.202 | | 05 Public Services (General) 570.201(e) | | | | |
| 14B Rehab; Multi-Unit Residential 570.202 | | Matrix Codes | | | | |
| 20 Planning 570.205 | | Matrix Codes | | | | |
| Program Year 1 | CDBG | Proposed Amt. | 2,696,983 | Other | Proposed Amt. | 100,000 |
| | | Actual Amount | | Owner Match | Actual Amount | |
| | Other | Proposed Amt. | 80,000 | Fund Source: | Proposed Amt. | |
| | CDF | Actual Amount | | | Actual Amount | |
| | Accmpl. Type: | Proposed Units | | Accmpl. Type: | Proposed Units | |
| | Actual Units | | | Actual Units | | |
| Program Year 2 | CDBG | Proposed Amt. | 2,864,072 | Fund Source: | Proposed Amt. | |
| | | Actual Amount | | | Actual Amount | |
| | Other | Proposed Amt. | 60,000 | Fund Source: | Proposed Amt. | |
| | CDF | Actual Amount | | | Actual Amount | |
| | 10 Housing Units | Proposed Units | 65 | Accmpl. Type: | Proposed Units | |
| CDBG | Actual Units | | | Actual Units | | |
| Program Year 3 | 10 Housing Units | Proposed Units | 2 | Accmpl. Type: | Proposed Units | |
| | CDF | Actual Units | | | Actual Units | |
| | CDBG | Proposed Amt. | 2,173,592 | Fund Source: | Proposed Amt. | |
| | | Actual Amount | | | Actual Amount | |
| | Other | Proposed Amt. | 30,000 | Fund Source: | Proposed Amt. | |
| CDF | Actual Amount | | | Actual Amount | | |
| Program Year 4 | 10 Housing Units | Proposed Units | 47 | Accmpl. Type: | Proposed Units | |
| | CDBG | Actual Units | | | Actual Units | |
| | 10 Housing Units | Proposed Units | 1 | Accmpl. Type: | Proposed Units | |
| | CDF | Actual Units | | | Actual Units | |
| | CDBG | Proposed Amt. | 2,225,019 | Fund Source: | Proposed Amt. | |
| | Actual Amount | | | Actual Amount | | |
| Program Year 5 | Other | Proposed Amt. | 40,000 | Fund Source: | Proposed Amt. | |
| | CDF | Actual Amount | | | Actual Amount | |
| | 10 Housing Units | Proposed Units | 110 | 04 Households | Proposed Units | 100 |
| | CDBG | Actual Units | | | Actual Units | |
| | 01 People | Proposed Units | 90 | Accmpl. Type: | Proposed Units | |
| | Actual Units | | | Actual Units | | |
| Program Year 5 | CDBG | Proposed Amt. | 1,958,364 | Fund Source: | Proposed Amt. | |
| | | Actual Amount | | | Actual Amount | |
| | Other | Proposed Amt. | 30,000 | Fund Source: | Proposed Amt. | |
| | CDF | Actual Amount | | | Actual Amount | |
| | 10 Housing Units | Proposed Units | 39 | 04 Households | Proposed Units | 4 |
| CDBG | Actual Units | | CDF | Actual Units | | |
| 04 Households | Proposed Units | 39 | Accmpl. Type: | Proposed Units | | |
| CDBG | Actual Units | | | Actual Units | | |

| | | | |
|----------------------|---------------------------------|------------------|--------------------|
| Project Name: | Fire Department Small Equipment | | |
| Description: | IDIS Project #: | UOG Code: | NY365544 Rochester |

The Rochester Fire Department will provide and install free smoke and carbon monoxide detectors to low- and moderate-income families living in residential properties. The department will also install replacement batteries in existing detectors at no charge.

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| Location: City-wide | Priority Need Category Select one: Public Services |
|-------------------------------|--|

Expected Completion Date: 6/30/2015
National Objective: Low/ Mod Area

Objective Category
 Decent Housing
 Suitable Living Environment
 Economic Opportunity

Outcome Categories
 Availability/Accessibility
 Affordability
 Sustainability

| Project-level Accomplishments | Specific Objectives | | Accompl. Type: | Proposed | Underway | Complete |
|-------------------------------|---|---|----------------|----------|----------|----------|
| | 1 | 2 | | | | |
| 01 People | Improve the services for low/mod income persons | | | | | |
| Accompl. Type: | | | | | | |
| Accompl. Type: | | | | | | |

| Proposed Outcome | Performance Measure | Actual Outcome |
|---|---------------------|----------------|
| Availability/Accessibility-Purpose of Creating Suitable Living Environments | People Assisted | |

05 Public Services (General) 570.201(e) Matrix Codes

Matrix Codes Matrix Codes

Matrix Codes Matrix Codes

| Program Year | CDBG | Fund Source | Accompl. Type | Proposed | | Actual | |
|----------------|------|-------------|---------------|----------|-------|--------|-------|
| | | | | Amt. | Units | Amt. | Units |
| Program Year 1 | | | | 75,000 | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| Program Year 2 | | | | 75,000 | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| Program Year 3 | | | | 75,000 | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| Program Year 4 | | | | 75,000 | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| Program Year 5 | | | | 50,000 | | | |
| | | | | | | | |
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|--|----------------|---|---------|---|----------------|
| Project Name: Job Creation / Youth Development | | IDIS Project #: | | UOG Code: NY365544 Rochester | |
| Description: Funding will be used to lend support to programs or activities that are identified as providing an integrated environment for the provision of job readiness, work awareness, and basic occupational skills development for low/mod income City youth seeking employment or career exploration services. Projects will also provide asset development opportunities for youth, skills development for parents; and youth wages. | | | | | |
| Location: City-wide | | Priority Need Category | | | |
| | | Select one: <input type="text" value="Public Services"/> | | Explanation: National Objective: Low/ Mod Limited Clientele | |
| Expected Completion Date: 6/30/2014 | | | | | |
| Objective Category <input type="radio"/> Decent Housing <input checked="" type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity | | Specific Objectives | | | |
| Outcome Categories <input checked="" type="checkbox"/> Availability/Accessibility <input type="checkbox"/> Affordability <input type="checkbox"/> Sustainability | | 1. <input type="text" value="Improve the services for low/mod income persons"/> 2. <input type="text"/> 3. <input type="text"/> | | | |
| Project-level Accomplishments | 01 People | Proposed | 400 | Accmpl. Type: | Proposed |
| | | Underway | | | Underway |
| | | Complete | | | Complete |
| | Accmpl. Type: | Proposed | | Accmpl. Type: | Proposed |
| | | Underway | | | Underway |
| | | Complete | | | Complete |
| | Accmpl. Type: | Proposed | | Accmpl. Type: | Proposed |
| | | Underway | | | Underway |
| | | Complete | | | Complete |
| Proposed Outcome | | Performance Measure | | Actual Outcome | |
| Availability/Accessibility-Purpose of Creating Suitable Living Environments | | People Assisted | | | |
| 05D Youth Services 570.201(e) | | Matrix Codes | | Matrix Codes | |
| Matrix Codes | | Matrix Codes | | Matrix Codes | |
| Matrix Codes | | Matrix Codes | | Matrix Codes | |
| Program Year 1 | CDBG | Proposed Amt. | 153,439 | Fund Source: | Proposed Amt. |
| | | Actual Amount | | | Actual Amount |
| | Fund Source: | Proposed Amt. | | Fund Source: | Proposed Amt. |
| | | Actual Amount | | | Actual Amount |
| | Accmpl. Type: | Proposed Units | | Accmpl. Type: | Proposed Units |
| | Actual Units | | | Actual Units | |
| | Proposed Units | | | Proposed Units | |
| | Actual Units | | | Actual Units | |
| Program Year 2 | CDBG | Proposed Amt. | 127,939 | Fund Source: | Proposed Amt. |
| | | Actual Amount | | | Actual Amount |
| | Fund Source: | Proposed Amt. | | Fund Source: | Proposed Amt. |
| | | Actual Amount | | | Actual Amount |
| | Accmpl. Type: | Proposed Units | | Accmpl. Type: | Proposed Units |
| | Actual Units | | | Actual Units | |
| | Proposed Units | | | Proposed Units | |
| | Actual Units | | | Actual Units | |
| Program Year 3 | CDBG | Proposed Amt. | 128,000 | Fund Source: | Proposed Amt. |
| | | Actual Amount | | | Actual Amount |
| | Fund Source: | Proposed Amt. | | Fund Source: | Proposed Amt. |
| | | Actual Amount | | | Actual Amount |
| | Accmpl. Type: | Proposed Units | | Accmpl. Type: | Proposed Units |
| | Actual Units | | | Actual Units | |
| | Proposed Units | | | Proposed Units | |
| | Actual Units | | | Actual Units | |
| Program Year 4 | CDBG | Proposed Amt. | 128,000 | Fund Source: | Proposed Amt. |
| | | Actual Amount | | | Actual Amount |
| | Fund Source: | Proposed Amt. | | Fund Source: | Proposed Amt. |
| | | Actual Amount | | | Actual Amount |
| | 01 People | Proposed Units | 200 | Accmpl. Type: | Proposed Units |
| | Actual Units | | | Actual Units | |
| | Proposed Units | | | Proposed Units | |
| | Actual Units | | | Actual Units | |
| Program Year 5 | CDBG | Proposed Amt. | 267,122 | Fund Source: | Proposed Amt. |
| | | Actual Amount | | | Actual Amount |
| | Fund Source: | Proposed Amt. | | Fund Source: | Proposed Amt. |
| | | Actual Amount | | | Actual Amount |
| | 01 People | Proposed Units | 400 | Accmpl. Type: | Proposed Units |
| | Actual Units | | | Actual Units | |
| | Proposed Units | | | Proposed Units | |
| | Actual Units | | | Actual Units | |

Project Name: Northeast Youth Organizing Project (formerly South West Youth Organizing)

Description: ID:IS Project #: UOG Code: NY365544 Rochester

The goal is to build strong ties within the northeast community between youth, businesses, existing teen agencies and neighborhood adults to create positive change. The Center for Teen Empowerment will work with youth from the northeast neighborhoods to develop and implement 10 initiatives for youth in the northeast area of the city. The Center for Teen Empowerment provides the adult staff to guide the youth in their activities and initiatives.

Location: City-wide

Priority Need Category: Select one: Public Services

Explanation: National Objective: Low/ Mod Limited Clientele

Expected Completion Date: 6/30/2014

Objective Category:
 Decent Housing
 Suitable Living Environment
 Economic Opportunity

Outcome Categories:
 Availability/Accessibility
 Affordability
 Sustainability

Specific Objectives:
 1 Improve the services for low/mod income persons
 2
 3

| Project-level Accomplishments | 01 People | Proposed | 10 | Underway | Complete | Proposed | Underway | Complete |
|-------------------------------|-----------|----------|----|----------|----------|----------|----------|----------|
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |

| Proposed Outcome | Performance Measure | Actual Outcome |
|---|---------------------|----------------|
| Availability/Accessibility-Purpose of Creating Suitable Living Environments | People Assisted | |
| 05D Youth Services 570.201(e) | | |

| Program Year 1 | Fund Source: | Proposed Amt. | Actual Amount | Proposed Amt. | Actual Amount |
|----------------|--------------|---------------|---------------|---------------|---------------|
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

| Program Year 2 | CDBG | Proposed Amt. | 30,000 | Actual Amount | Proposed Amt. | Actual Amount |
|----------------|------|---------------|--------|---------------|---------------|---------------|
| | | | | | | |
| | | | | | | |
| | | | | | | |

| Program Year 3 | CDBG | Proposed Amt. | 30,000 | Actual Amount | Proposed Amt. | Actual Amount |
|----------------|------|---------------|--------|---------------|---------------|---------------|
| | | | | | | |
| | | | | | | |
| | | | | | | |

| Program Year 4 | CDBG | Proposed Amt. | 30,000 | Actual Amount | Proposed Amt. | Actual Amount |
|----------------|------|---------------|--------|---------------|---------------|---------------|
| | | | | | | |
| | | | | | | |
| | | | | | | |

| Program Year 5 | CDBG | Proposed Amt. | 30,000 | Actual Amount | Proposed Amt. | Actual Amount |
|----------------|------|---------------|--------|---------------|---------------|---------------|
| | | | | | | |
| | | | | | | |
| | | | | | | |

| Program Year 5 | 01 People | Proposed Units | 10 | Actual Units | Proposed Units | Actual Units |
|----------------|-----------|----------------|----|--------------|----------------|--------------|
| | | | | | | |
| | | | | | | |
| | | | | | | |

Grantee Name: **City of Rochester**

Project Name: Employment Opportunity Job Training Support - Operation Transformation Employment Training program
Description: IDIS Project #: UOG Code: NY365544 Rochester
 This Funding will be used to provide workforce development support to low/mod income city adults participating in the Operation Transformation Employment Training program, in the form of resume consultation and development, interview skills development, and job placement assistance. Funding will also support a staff person to coordinate employment readiness and career awareness training to city residents ages 18 - 25, as well as leadership skills development including social and interpersonal skills, conflict resolution, team building, and decision-making.

Location: City-wide
Priority Need Category: Select one: Public Services
Explanation: National Objective: Low/ Mod Limited Clientele
Expected Completion Date: 6/30/2014
Objective Category:
 Decent Housing
 Suitable Living Environment
 Economic Opportunity
Outcome Categories:
 Availability/Accessibility
 Affordability
 Sustainability
Specific Objectives:
 1 Improve the services for low/mod income persons
 2
 3

| Project-level Accomplishments | 01 People | Proposed | 160 | | Proposed | |
|-------------------------------|-----------|----------|-----|--|----------|--|
| | | Underway | | | Underway | |
| | | Complete | | | Complete | |
| | | Proposed | | | Proposed | |
| | | Underway | | | Underway | |
| | | Complete | | | Complete | |
| | | Proposed | | | Proposed | |
| | | Underway | | | Underway | |
| | | Complete | | | Complete | |

| Proposed Outcome | Performance Measure | Actual Outcome |
|---|---------------------|----------------|
| Availability/Accessibility-Purpose of Creating Suitable Living Environments | People Assisted | |

| | | |
|------------------------------------|--|--|
| 05D Youth Services 570.201(e) | | |
| 05H Employment Training 570.201(e) | | |

| Program Year 1 | Fund Source: | Proposed Amt. | | | Proposed Amt. | |
|----------------|--------------|----------------|---------------|--|----------------|---------------|
| | | | Actual Amount | | | Actual Amount |
| | | Proposed Amt. | | | Proposed Amt. | |
| | | Actual Amount | | | Actual Amount | |
| | | Proposed Units | | | Proposed Units | |
| | | Actual Units | | | Actual Units | |
| | | Proposed Units | | | Proposed Units | |
| | | Actual Units | | | Actual Units | |

| Program Year 2 | Fund Source: | Proposed Amt. | | | Proposed Amt. | |
|----------------|--------------|----------------|---------------|--|----------------|---------------|
| | | | Actual Amount | | | Actual Amount |
| | | Proposed Amt. | | | Proposed Amt. | |
| | | Actual Amount | | | Actual Amount | |
| | | Proposed Units | | | Proposed Units | |
| | | Actual Units | | | Actual Units | |
| | | Proposed Units | | | Proposed Units | |
| | | Actual Units | | | Actual Units | |

| Program Year 3 | CDBG | Proposed Amt. | 56,400 | | Proposed Amt. | |
|----------------|------|----------------|---------------|--|----------------|---------------|
| | | | Actual Amount | | | Actual Amount |
| | | Proposed Amt. | | | Proposed Amt. | |
| | | Actual Amount | | | Actual Amount | |
| | | Proposed Units | | | Proposed Units | |
| | | Actual Units | | | Actual Units | |
| | | Proposed Units | | | Proposed Units | |
| | | Actual Units | | | Actual Units | |

| Program Year 4 | CDBG | Proposed Amt. | 56,400 | | Proposed Amt. | |
|----------------|-----------|----------------|---------------|--|----------------|---------------|
| | | | Actual Amount | | | Actual Amount |
| | | Proposed Amt. | | | Proposed Amt. | |
| | | Actual Amount | | | Actual Amount | |
| | 01 People | Proposed Units | 210 | | Proposed Units | |
| | | Actual Units | | | Actual Units | |
| | | Proposed Units | | | Proposed Units | |
| | | Actual Units | | | Actual Units | |

| Program Year 5 | CDBG | Proposed Amt. | 56,400 | | Proposed Amt. | |
|----------------|-----------|----------------|---------------|--|----------------|---------------|
| | | | Actual Amount | | | Actual Amount |
| | | Proposed Amt. | | | Proposed Amt. | |
| | | Actual Amount | | | Actual Amount | |
| | 01 People | Proposed Units | 160 | | Proposed Units | |
| | | Actual Units | | | Actual Units | |
| | | Proposed Units | | | Proposed Units | |
| | | Actual Units | | | Actual Units | |

Grantee Name: City of Rochester

Project Name: Employment Opportunity Job Training Support - R3G: Raising Guys and Girls to Greatness
Description: IDIS Project #: UOG Code: NY365544 Rochester
 This funding will support a staff person to coordinate employment readiness and career awareness training to city students, ages 14 - 19, as well as leadership skills development including social and interpersonal skills, conflict resolution, team building, and decision-making. Additionally, students will participate in internships with the City and/or local employers. Funding will also support be used to provide workforce development support to low/mod income city youth participating in the R3G program: Raising Guys and Girls to Greatness in the form of resume consultation and development, interview skills development, and job placement assistance.

Location: City-wide
 Select one: Public Services
Explanation:
 National Objective: Low/ Mod Limited Clientele

Expected Completion Date: 6/30/2014
Objective Category:
 Decent Housing
 Suitable Living Environment
 Economic Opportunity
Outcome Categories:
 Availability/Accessibility
 Affordability
 Sustainability

| | | | | | |
|-------------------------------|-----------|----------|----|----------|----------|
| Project-level Accomplishments | 01 People | Proposed | 80 | Underway | Complete |
| | | Proposed | | Underway | Complete |
| | | Proposed | | Underway | Complete |

| Proposed Outcome | Performance Measure | Actual Outcome |
|---|---------------------|----------------|
| Availability/Accessibility-Purpose of Creating Suitable Living Environments | People Assisted | |

| | | |
|------------------------------------|--|--|
| 05D Youth Services 570.201(e) | | |
| 05H Employment Training 570.201(e) | | |

| Program Year | Fund Source | Proposed Amt. | Actual Amount | Proposed Units | Actual Units |
|----------------|-------------|---------------|---------------|----------------|--------------|
| Program Year 1 | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| Program Year 2 | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| Program Year 3 | CDBG | | 56,400 | | |
| | | | | | |
| | | | | | |
| | | | | | |
| Program Year 4 | CDBG | | 56,400 | | |
| | | | | | |
| | | | | | |
| | 01 People | | | 210 | |
| Program Year 5 | CDBG | | 41,000 | | |
| | | | | | |
| | | | | | |
| | 01 People | | | 80 | |

Project Name: Parent Leadership Training Institute (PLTI)
Description: IDIS Project #: UOG Code: NY365544 Rochester
 Funding will support the Parent Leadership Training Institute (PLTI) that teaches parents how to become involved in the civics process, and thereby increase their involvement and engagement in their child's academic and development process. Parents are trained through a 20 week process, which includes public speaking and budgeting skills. This project is a joint effort with the Early Childhood Development Initiative (ECDI), and will be housed at Rochester's Child, Inc.

Location: **Priority Aligned Category:**
 Select one: Public Services
 Explanation:
 National Objective: N/A

Expected Completion Date: 6/30/2015
Objective Category:
 Decent Housing
 Suitable Living Environment
 Economic Opportunity

Outcome Categories:
 Availability/Accessibility
 Affordability
 Sustainability

| Project-level Accomplishments | 01 People | Proposed | 10 | Accompl. Type: | Proposed |
|-------------------------------|-----------|----------|----------|----------------|----------|
| | | | Underway | | |
| | | Complete | | | Complete |
| | | Proposed | | | Proposed |
| | | Underway | | | Underway |
| | | Complete | | | Complete |
| | | Proposed | | | Proposed |
| | | Underway | | | Underway |
| | | Complete | | | Complete |

| Proposed Outcome | Performance Measure | Actual Outcome |
|------------------|---------------------|----------------|
| | People served | |

| | |
|---|--|
| 13 Direct Homeownership Assistance 570.201(n) | 14A Rehab; Single-Unit Residential 570.202 |
| 05 Public Services (General) 570.201(e) | 14G Acquisition - for Rehabilitation 570.202 |
| 05Q Subsistence Payments 570.204 | 21H HOME Admin/Planning Costs of P) (subject to 5% cap |

| Program Year | Fund Source | Proposed Amt. | Actual Amount | Fund Source | Proposed Amt. | Actual Amount |
|----------------|-------------|---------------|---------------|-------------|---------------|---------------|
| | | | | | | |
| Program Year 1 | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| Program Year 2 | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| Program Year 3 | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| Program Year 4 | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| Program Year 5 | COBG | | 10,000 | | | |
| | | | | | | |
| | | | | | | |
| | 01 People | | 10 | | | |

| | | | |
|--|------------------------------------|-----------|--------------------|
| Project Name: | Indirect Costs - Unallocated Staff | | |
| Description: | IDIS Project #: | UOG Code: | NY365544 Rochester |
| This program provides funds for City services, such as legal and financial services that benefit more than one activity. | | | |

| | |
|-------------------------------|-------------------------------------|
| Location: City-wide | Priority Need Category |
| | Select one: Planning/Administration |
| Explanation: | |

| | |
|--|--|
| Expected Completion Date: 6/30/2015 | National Objective: NA |
| Objective Category | |
| <input type="radio"/> Decent Housing <input type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity | |
| Outcome Categories | Specific Objectives |
| <input type="checkbox"/> Availability/Accessibility <input type="checkbox"/> Affordability <input type="checkbox"/> Sustainability | 1. Improve the services for low/mod income persons 2. 3. |

| | | | | | | |
|--------------------------------------|-----------------|----------|--|-----------------|----------|--|
| Project-level Accomplishments | Accmpl. Type: ▼ | Proposed | | Accmpl. Type: ▼ | Proposed | |
| | | Underway | | | Underway | |
| | | Complete | | | Complete | |
| | Accmpl. Type: ▼ | Proposed | | Accmpl. Type: ▼ | Proposed | |
| | | Underway | | | Underway | |
| | | Complete | | | Complete | |
| | Accmpl. Type: ▼ | Proposed | | Accmpl. Type: ▼ | Proposed | |
| | | Underway | | | Underway | |
| | | Complete | | | Complete | |

| | | |
|-------------------------|----------------------------|-----------------------|
| Proposed Outcome | Performance Measure | Actual Outcome |
| N/A | N/A | |

| | |
|----------------------------|--------------|
| 21B Indirect Costs 570.206 | Matrix Codes |
| Matrix Codes | Matrix Codes |
| Matrix Codes | Matrix Codes |

| | CDBG ▼ | Proposed Amt. | Actual Amount | Fund Source: ▼ | Proposed Amt. | Actual Amount |
|-----------------------|---------|---------------|---------------|----------------|---------------|---------------|
| | | | | | | |
| Program Year 1 | 618,100 | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| Program Year 2 | 549,899 | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| Program Year 3 | 549,899 | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| Program Year 4 | 349,899 | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| Program Year 5 | 349,899 | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

Grantee Name: City of Rochester

| | | | |
|---|--------------------------|-----------|--------------------|
| Project Name: | Program Management Staff | | |
| Description: | IDIS Project #: | UOG Code: | NY365544 Rochester |
| This program provides funds to partially finance the cost of planning, management, coordination, contract development and monitoring of federal formula programs. | | | |

| | |
|-------------------------------|--|
| Location: City-wide | Priority Need Category Select one: Planning/Administration |
|-------------------------------|--|

| | |
|---|---|
| Expected Completion Date: 6/30/2015 | Explanation: National Objective: NA |
|---|---|

| | |
|---|--|
| Objective Category <input type="radio"/> Decent Housing <input type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity | Specific Objectives 1, Improve the services for low/mod income persons 2, 3, |
| Outcome Categories <input type="checkbox"/> Availability/Accessibility <input type="checkbox"/> Affordability <input type="checkbox"/> Sustainability | |

| Project-level Accomplishments | Accompl. Type: | Proposed | Underway | Complete | Accompl. Type: | Proposed | Underway | Complete |
|-------------------------------|----------------|----------|----------|----------|----------------|----------|----------|----------|
| | | | | | | | | |
| | | | | | | | | |

| Proposed Outcome | Performance Measure | Actual Outcome |
|------------------|---------------------|----------------|
| N/A | N/A | |

| | |
|--|--------------|
| 21A General Program Administration 570.206 | Matrix Codes |
| Matrix Codes | Matrix Codes |
| Matrix Codes | Matrix Codes |

| Program Year | CDBG | Proposed Amt. | Actual Amount | Fund Source: | Proposed Amt. | Actual Amount |
|----------------|----------------|----------------|---------------|--------------|---------------|---------------|
| Program Year 1 | | 354,000 | | | | |
| | Fund Source: | | | | | |
| | Accompl. Type: | Proposed Units | Actual Units | | | |
| | Accompl. Type: | Proposed Units | Actual Units | | | |
| Program Year 2 | | 184,306 | | | | |
| | Fund Source: | | | | | |
| | Accompl. Type: | Proposed Units | Actual Units | | | |
| | Accompl. Type: | Proposed Units | Actual Units | | | |
| Program Year 3 | | 184,306 | | | | |
| | Fund Source: | | | | | |
| | Accompl. Type: | Proposed Units | Actual Units | | | |
| | Accompl. Type: | Proposed Units | Actual Units | | | |
| Program Year 4 | | 184,306 | | | | |
| | Fund Source: | | | | | |
| | Accompl. Type: | Proposed Units | Actual Units | | | |
| | Accompl. Type: | Proposed Units | Actual Units | | | |
| Program Year 5 | | 249,006 | | | | |
| | Fund Source: | | | | | |
| | Accompl. Type: | Proposed Units | Actual Units | | | |
| | Accompl. Type: | Proposed Units | Actual Units | | | |

ATTACHMENT VI
2014-15 ANNUAL ACTION PLAN
PROPOSED PROJECT / ACTIVITY SUMMARY BY GOAL

| GOAL / PROJECT / ACTIVITY | CDBG 2014-15 | CDBG PRIOR YEARS | HOME | HOME PRIOR YEARS | ESG | HOPWA | CDF | TOTAL |
|--|---------------------|-------------------|---------------------|------------------|-------------------|-------------------|-------------------|----------------------|
| PROMOTE ECONOMIC STABILITY | | | | | | | | |
| ED Financial Assistance Loan and Grant | \$ 950,000 | | | | | | \$ 75,000 | \$ 1,025,000 |
| Neighborhood Commercial Assistance Program | \$ 400,000 | | | | | | \$ - | \$ 400,000 |
| Targeted Façade Improvement Program | \$ 180,000 | | | | | | \$ - | \$ 180,000 |
| SubTotal | \$ 1,530,000 | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 75,000 | \$ 1,605,000 |
| IMPROVE THE HOUSING STOCK AND GENERAL PROPERTY CONDITIONS | | | | | | | | |
| Housing Development Fund | \$ 1,881,866 | \$ 553,178 | \$ 1,450,413 | | | | | \$ 3,885,457 |
| Neighborhood and Business - Program Delivery | \$ 2,100,203 | \$ 197,823 | \$ 1,978,236 | \$ 58,443 | \$ 49,950 | \$ 20,635 | \$ - | \$ 2,368,611 |
| Homeownership Fund | \$ 310,000 | \$ - | \$ 530,000 | \$ 58,443 | \$ - | \$ - | \$ 45,000 | \$ 943,443 |
| Rental Market Fund | \$ 130,000 | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 130,000 |
| Housing Choice Fund | \$ - | \$ - | \$ - | \$ - | \$ 616,050 | \$ 667,231 | \$ - | \$ 1,283,281 |
| Neighborhood and Asset-Based Planning Fund | \$ 1,958,364 | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 30,000 | \$ 1,988,364 |
| SubTotal | \$ 6,380,433 | \$ 553,178 | \$ 2,178,236 | \$ 58,443 | \$ 666,000 | \$ 687,866 | \$ 75,000 | \$ 10,599,155 |
| GENERAL COMMUNITY NEEDS | | | | | | | | |
| Fire Department Small Equipment | \$ 50,000 | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 50,000 |
| Job Creation / Youth Development | \$ 267,122 | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 267,122 |
| Northeast Youth Organizing | \$ 30,000 | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 30,000 |
| Employment Opportunity Job Training Support-Operation Transformation Employment Training Program | \$ 56,400 | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 56,400 |
| Employment Opportunity Job Training Support-R3G Raising Guys & Girls to Greatness | \$ 41,000 | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 41,000 |
| Parent Leadership Training Institute | \$ 10,000 | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 10,000 |
| SubTotal | \$ 454,522 | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 454,522 |
| OTHER PROGRAMS | | | | | | | | |
| Indirect Costs | \$ 349,899 | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 349,899 |
| Program Management Staff | \$ 249,006 | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 249,006 |
| SubTotal | \$ 598,905 | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 598,905 |
| TOTAL | \$ 8,963,860 | \$ 553,178 | \$ 2,178,236 | \$ 58,443 | \$ 666,000 | \$ 687,866 | \$ 150,000 | \$ 13,257,583 |

ATTACHMENT VI
ANNUAL ACTION PLAN
HOUSING FUND SUMMARY - PROPOSED PROJECTS / ACTIVITIES

| GOAL / PROJECT / ACTIVITY | CDBG | HOME | ESG | HOPWA | CDF | TOTAL |
|---|---------------------|---------------------|-------------------|-------------------|------------------|----------------------|
| Housing Development Fund | | | | | | |
| Community Housing Development Organizations | \$ - | \$ 400,000 | \$ - | \$ - | \$ - | \$ 400,000 |
| Housing Development Support | \$ 186,000 | \$ - | \$ - | \$ - | \$ - | \$ 186,000 |
| New Construction for Homeownership | \$ - | \$ 120,000 | \$ - | \$ - | \$ - | \$ 120,000 |
| Affordable Housing Fund | \$ - | \$ 930,413 | \$ - | \$ - | \$ - | \$ 930,413 |
| Lead Hazard Reduction | \$ 200,000 | \$ - | \$ - | \$ - | \$ - | \$ 200,000 |
| Housing Repair Programs (OORP, Targeted Rehab, EARP, Jay Orchard, window referrals) | \$ 2,029,044 | \$ - | \$ - | \$ - | \$ - | \$ 2,029,044 |
| Aging in Place | \$ 20,000 | \$ - | \$ - | \$ - | \$ - | \$ 20,000 |
| Total | \$ 2,435,044 | \$ 1,450,413 | \$ - | \$ - | \$ - | \$ 3,885,457 |
| Neighborhood and Business - Program Delivery | \$ 2,100,203 | \$ 197,823 | \$ 49,950 | \$ 20,635 | \$ - | \$ 2,368,611 |
| Homeownership Fund | | | | | | |
| Buyer Assistance | \$ - | \$ 240,000 | \$ - | \$ - | \$ 45,000 | \$ 285,000 |
| Homebuyer Training | \$ - | \$ 50,000 | \$ - | \$ - | \$ - | \$ 50,000 |
| Foreclosure Prevention | \$ 310,000 | \$ - | \$ - | \$ - | \$ - | \$ 310,000 |
| HOME Rochester | \$ - | \$ 298,443 | \$ - | \$ - | \$ - | \$ 298,443 |
| Total | \$ 310,000 | \$ 588,443 | \$ - | \$ - | \$ 45,000 | \$ 943,443 |
| Rental Market Fund | \$ 130,000 | \$ - | \$ - | \$ - | \$ - | \$ 130,000 |
| Tenant and Landlord Services | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Promote Housing Choice | \$ - | \$ - | \$ 616,050 | \$ 667,231 | \$ - | \$ 1,283,281 |
| Homeless and HIV/AIDS Services | \$ - | \$ - | \$ 616,050 | \$ 667,231 | \$ - | \$ 1,283,281 |
| Unallocated | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Total | \$ - | \$ - | \$ 616,050 | \$ 667,231 | \$ - | \$ 1,283,281 |
| Neighborhood and Asset-Based Planning Fund | \$ 365,592 | \$ - | \$ - | \$ - | \$ - | \$ 365,592 |
| Planning Staff | \$ 365,592 | \$ - | \$ - | \$ - | \$ - | \$ 365,592 |
| Quadrant Plan Support | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Focused Investment Strategy | \$ 1,392,772 | \$ - | \$ - | \$ - | \$ 30,000 | \$ 1,422,772 |
| Community Plan Development | \$ 200,000 | \$ - | \$ - | \$ - | \$ - | \$ 200,000 |
| Total | \$ 1,958,364 | \$ - | \$ - | \$ - | \$ 30,000 | \$ 1,988,364 |
| TOTAL | \$ 6,933,611 | \$ 2,236,679 | \$ 666,000 | \$ 687,866 | \$ 75,000 | \$ 10,579,156 |

| Project | Description | Amount | Sources | Served |
|---|--|--------------------|----------|-------------------------------|
| Housing Development Fund Community Housing Development Organization | Subsidy funds for development and rehabilitation assistance for property owners | \$400,000 | HOME | 11 Housing Units |
| Housing Development Support | Provides operating support to facilitate development (RHDFC) | \$186,000 | CDBG | 1 Organization |
| New Housing Construction | Provides infrastructure subsidies for Habitat and other developers of affordable housing | \$120,000 | HOME | 6 Housing Units |
| Lead Hazard Reduction | Provides grants of up to \$18,000 for owner occupants and landlords to reduce lead hazards | \$200,000 | CDBG | 20 Housing Units |
| Affordable Housing Fund | Provide gap financing for the development of affordable housing | \$930,413 | HOME | 30 Housing Units |
| Housing Repair Programs | Provides grants of up to \$20000 for repairs | \$2,029,044 | CDBG | 202 Housing Units |
| Aging In Place | Safety assessment and minor safety repairs and improvements to assist elderly to remain in the home. | \$20,000 | CDBG | 100 People |
| Homeownership Promotion Fund Buyer Assistance | Provides downpayment and closing cost assistance of up to \$6000 | \$240,000/\$45,000 | HOME/CDF | 47 Households (40 Home/7 CDF) |
| Homebuyer Training | Provides pre- and post- purchase training for first time homebuyers to the city. | \$50,000 | HOME | 33 Households |
| Foreclosure Prevention | Mortgage default resolution/foreclosure prevention services including legal services | \$310,000 | CDBG | 226 Households |
| HOME Rochester | Provides subsidy funds for the development of homeownership opportunities through the acquisition and rehabilitation of vacant properties | \$298,443 | HOME | 8 Households |
| Rental Market Fund Landlord/Tenant Services | Provides education and support to landlords and tenants. Also provides services that support eviction prevention and legal services for tenants. | \$130,000 | CDBG | 261 People |

Housing Choice Fund

ESG

Street outreach, rapid rehousing, homelessness prevention, operational support to shelters and support services to low income at-risk households

\$616,050 ESG

TBD

HOPWA

Provides rental assistance to low income households where a member of the household is diagnosed with HIV/AIDS

\$667,231 HOPWA

199 Households

Neighborhood and Asset Planning Fund

Community Planning & Leadership Development

Funds for planning-comprehensive plan, strategic plan and other neighborhood plans

\$200,000 CDBG

NA

FIS

Resources are targeted to improve four neighborhoods. Activities include but are not limited to: rehabilitation of structures, demolition and redevelopment of multi-family buildings

\$1392772 (CDBG) CDBG
/\$30,000 (CDF) CDF

39 Housing Units, 39 Households (CDBG) and 4 Households (CDF)

Planning Staff

\$365,592 CDBG

| Housing Needs Table | | Grantee: | | Only complete blue sections. Do NOT type in sections other than blue. | | | | | | | | | | | | | Priority Need? | Plan to Fund? | Fund Source | Households with a Disabled Member | Disproportionate Racial/Ethnic Need? | # of Households in Lead-Hazard Housing | Total/Low Income HIV/AIDS Population |
|---------------------------|---------------------------|---------------------------|----------------------|---|--------|--------|---------|---------|------------|-----------|-----|----|----|----|---|---|----------------|---------------|-------------|-----------------------------------|--------------------------------------|--|--------------------------------------|
| | | | | 3-5 Year Quantities | | | | | | | | | | | | | | | | | | | |
| | | | | Year 1 | Year 2 | Year 3 | Year 4* | Year 5* | Multi-Year | % of Goal | | | | | | | | | | | | | |
| MFI | Owner | Elderly | NUMBER OF HOUSEHOLDS | 100% | 3147 | 8 | 8 | 8 | 8 | 8 | 8 | 40 | 0 | 0% | M | Y | 77.9 | 5578 | No | TBD | | | |
| | | | Any housing problems | 68.2 | 2146 | 5 | 5 | 5 | 5 | 5 | 5 | 25 | 0 | 0% | M | Y | | | | | | | |
| | | | Cost Burden > 30% | 67.5 | 2124 | 3 | 3 | 3 | 3 | 3 | 3 | 15 | 0 | 0% | M | Y | | | | | | | |
| | | Cost Burden > 50% | 44.8 | 1410 | 3 | 3 | 3 | 3 | 3 | 3 | 15 | 0 | 0% | M | Y | | | | | | | | |
| | | NUMBER OF HOUSEHOLDS | 100% | 6735 | 43 | 43 | 43 | 43 | 43 | 43 | 215 | 0 | 0% | H | Y | | | | | | | | |
| | | With Any Housing Problems | 91.5 | 6163 | 18 | 18 | 18 | 18 | 18 | 18 | 90 | 0 | 0% | H | Y | | | | | | | | |
| | Cost Burden > 30% | 90.3 | 6082 | 25 | 25 | 25 | 25 | 25 | 25 | 125 | 0 | 0% | H | Y | | | | | | | | | |
| | Cost Burden > 50% | 78.9 | 5314 | 25 | 25 | 25 | 25 | 25 | 25 | 125 | 0 | 0% | H | Y | | | | | | | | | |
| | NUMBER OF HOUSEHOLDS | 100% | 1669 | 44 | 44 | 44 | 44 | 44 | 44 | 220 | 0 | 0% | H | Y | | | | | | | | | |
| | With Any Housing Problems | 92.7 | 1547 | 19 | 19 | 19 | 19 | 19 | 19 | 95 | 0 | 0% | H | Y | | | | | | | | | |
| | Cost Burden > 30% | 90.9 | 1517 | 25 | 25 | 25 | 25 | 25 | 25 | 125 | 0 | 0% | H | Y | | | | | | | | | |
| | Cost Burden > 50% | 76.2 | 1272 | 25 | 25 | 25 | 25 | 25 | 25 | 125 | 0 | 0% | H | Y | | | | | | | | | |
| NUMBER OF HOUSEHOLDS | 100% | 7444 | 43 | 43 | 43 | 43 | 43 | 43 | 218 | 0 | 0% | H | Y | | | | | | | | | | |
| With Any Housing Problems | 77.2 | 5747 | 18 | 18 | 18 | 18 | 18 | 18 | 93 | 0 | 0% | H | Y | | | | | | | | | | |
| Cost Burden > 30% | 76.7 | 5710 | 25 | 25 | 25 | 25 | 25 | 25 | 125 | 0 | 0% | H | Y | | | | | | | | | | |
| Cost Burden > 50% | 67.3 | 5010 | 25 | 25 | 25 | 25 | 25 | 25 | 125 | 0 | 0% | H | Y | | | | | | | | | | |
| NUMBER OF HOUSEHOLDS | 100% | 1332 | 5 | 5 | 5 | 5 | 5 | 5 | 25 | 0 | 0% | H | Y | | | | | | | | | | |
| With Any Housing Problems | 72.1 | 960 | 2 | 2 | 2 | 2 | 2 | 2 | 10 | 0 | 0% | H | Y | | | | | | | | | | |
| Cost Burden > 30% | 72.1 | 960 | 3 | 3 | 3 | 3 | 3 | 3 | 15 | 0 | 0% | H | Y | | | | | | | | | | |
| Cost Burden > 50% | 46.7 | 622 | 3 | 3 | 3 | 3 | 3 | 3 | 15 | 0 | 0% | H | Y | | | | | | | | | | |
| NUMBER OF HOUSEHOLDS | 100% | 936 | 48 | 48 | 48 | 48 | 48 | 48 | 240 | 0 | 0% | H | Y | | | | | | | | | | |
| With Any Housing Problems | 87.8 | 822 | 22 | 22 | 22 | 22 | 22 | 22 | 110 | 0 | 0% | H | Y | | | | | | | | | | |
| Cost Burden > 30% | 87.4 | 818 | 26 | 26 | 26 | 26 | 26 | 26 | 130 | 0 | 0% | H | Y | | | | | | | | | | |
| Cost Burden > 50% | 77.5 | 725 | 26 | 26 | 26 | 26 | 26 | 26 | 130 | 0 | 0% | H | Y | | | | | | | | | | |
| NUMBER OF HOUSEHOLDS | 100% | 273 | 48 | 48 | 48 | 48 | 48 | 48 | 240 | 0 | 0% | H | Y | | | | | | | | | | |
| With Any Housing Problems | 82.1 | 224 | 22 | 22 | 22 | 22 | 22 | 22 | 110 | 0 | 0% | H | Y | | | | | | | | | | |
| Cost Burden > 30% | 79.1 | 216 | 26 | 26 | 26 | 26 | 26 | 26 | 130 | 0 | 0% | H | Y | | | | | | | | | | |
| Cost Burden > 50% | 70.3 | 192 | 26 | 26 | 26 | 26 | 26 | 26 | 130 | 0 | 0% | H | Y | | | | | | | | | | |
| NUMBER OF HOUSEHOLDS | 100% | 590 | 48 | 48 | 48 | 48 | 48 | 48 | 240 | 0 | 0% | H | Y | | | | | | | | | | |
| With Any Housing Problems | 73.9 | 436 | 22 | 22 | 22 | 22 | 22 | 22 | 110 | 0 | 0% | H | Y | | | | | | | | | | |
| Cost Burden > 30% | 73.9 | 436 | 26 | 26 | 26 | 26 | 26 | 26 | 130 | 0 | 0% | H | Y | | | | | | | | | | |
| Cost Burden > 50% | 71.2 | 420 | 26 | 26 | 26 | 26 | 26 | 26 | 130 | 0 | 0% | H | Y | | | | | | | | | | |
| NUMBER OF HOUSEHOLDS | 100% | 1664 | 20 | 20 | 20 | 20 | 20 | 20 | 100 | 0 | 0% | H | Y | | | | | | | | | | |
| With Any Housing Problems | 51.1 | 850 | 6 | 6 | 6 | 6 | 6 | 6 | 30 | 0 | 0% | H | Y | | | | | | | | | | |
| Cost Burden > 30% | 50.0 | 832 | 14 | 14 | 14 | 14 | 14 | 14 | 70 | 0 | 0% | H | Y | | | | | | | | | | |
| Cost Burden > 50% | 15.7 | 261 | 14 | 14 | 14 | 14 | 14 | 14 | 70 | 0 | 0% | H | Y | | | | | | | | | | |
| NUMBER OF HOUSEHOLDS | 100% | 3828 | 160 | 160 | 160 | 160 | 160 | 160 | 800 | 0 | 0% | H | Y | | | | | | | | | | |
| With Any Housing Problems | 76.6 | 2932 | 125 | 125 | 125 | 125 | 125 | 125 | 625 | 0 | 0% | H | Y | | | | | | | | | | |
| Cost Burden > 30% | 73.8 | 2825 | 35 | 35 | 35 | 35 | 35 | 35 | 175 | 0 | 0% | H | Y | | | | | | | | | | |
| Cost Burden > 50% | 19.7 | 754 | 35 | 35 | 35 | 35 | 35 | 35 | 175 | 0 | 0% | H | Y | | | | | | | | | | |

| related | old Income >50 to <=80% MFI | | | | | | | | | | Household Income >30 to <=50% | | | | | | | | | | |
|---------|-----------------------------|------------------|---------------|---------------|---------|------------------|---------------|---------------|---------|------------------|-------------------------------|---------------|---------|------------------|---------------|---------------|---------|------------------|---------------|---------------|------|
| | Renter | | | | | Owner | | | | | Renter | | | | | Owner | | | | | |
| | Elderly | All other hshold | Large Related | Small Related | Elderly | All other hshold | Large Related | Small Related | Elderly | All other hshold | Large Related | Small Related | Elderly | All other hshold | Large Related | Small Related | Elderly | All other hshold | Large Related | Small Related | |
| | NUMBER OF HOUSEHOLDS | 100% | 1154 | 100% | 1154 | 100% | 1154 | 100% | 1154 | 100% | 1154 | 100% | 1154 | 100% | 1154 | 100% | 1154 | 100% | 1154 | 100% | 1154 |
| | With Any Housing Problems | 71.9 | 830 | 189 | 189 | 189 | 189 | 189 | 189 | 189 | 189 | 189 | 189 | 189 | 189 | 189 | 189 | 189 | 189 | 189 | 189 |
| | Cost Burden > 30% | 60.3 | 696 | 149 | 149 | 149 | 149 | 149 | 149 | 149 | 149 | 149 | 149 | 149 | 149 | 149 | 149 | 149 | 149 | 149 | 149 |
| | Cost Burden > 50% | 12.9 | 149 | 40 | 40 | 40 | 40 | 40 | 40 | 40 | 40 | 40 | 40 | 40 | 40 | 40 | 40 | 40 | 40 | 40 | 40 |
| | NUMBER OF HOUSEHOLDS | 100% | 3684 | 100% | 3684 | 100% | 3684 | 100% | 3684 | 100% | 3684 | 100% | 3684 | 100% | 3684 | 100% | 3684 | 100% | 3684 | 100% | 3684 |
| | With Any Housing Problems | 71.9 | 2649 | 188 | 188 | 188 | 188 | 188 | 188 | 188 | 188 | 188 | 188 | 188 | 188 | 188 | 188 | 188 | 188 | 188 | 188 |
| | Cost Burden > 30% | 69.4 | 2557 | 150 | 150 | 150 | 150 | 150 | 150 | 150 | 150 | 150 | 150 | 150 | 150 | 150 | 150 | 150 | 150 | 150 | 150 |
| | Cost Burden > 50% | 13.4 | 494 | 38 | 38 | 38 | 38 | 38 | 38 | 38 | 38 | 38 | 38 | 38 | 38 | 38 | 38 | 38 | 38 | 38 | 38 |
| | NUMBER OF HOUSEHOLDS | 100% | 1811 | 100% | 1811 | 100% | 1811 | 100% | 1811 | 100% | 1811 | 100% | 1811 | 100% | 1811 | 100% | 1811 | 100% | 1811 | 100% | 1811 |
| | With Any Housing Problems | 45.7 | 828 | 27 | 27 | 27 | 27 | 27 | 27 | 27 | 27 | 27 | 27 | 27 | 27 | 27 | 27 | 27 | 27 | 27 | 27 |
| | Cost Burden > 30% | 45.1 | 817 | 18 | 18 | 18 | 18 | 18 | 18 | 18 | 18 | 18 | 18 | 18 | 18 | 18 | 18 | 18 | 18 | 18 | 18 |
| | Cost Burden > 50% | 15.8 | 286 | 9 | 9 | 9 | 9 | 9 | 9 | 9 | 9 | 9 | 9 | 9 | 9 | 9 | 9 | 9 | 9 | 9 | 9 |
| | NUMBER OF HOUSEHOLDS | 100% | 1025 | 100% | 1025 | 100% | 1025 | 100% | 1025 | 100% | 1025 | 100% | 1025 | 100% | 1025 | 100% | 1025 | 100% | 1025 | 100% | 1025 |
| | With Any Housing Problems | 82.8 | 849 | 152 | 152 | 152 | 152 | 152 | 152 | 152 | 152 | 152 | 152 | 152 | 152 | 152 | 152 | 152 | 152 | 152 | 152 |
| | Cost Burden > 30% | 81.9 | 839 | 78 | 78 | 78 | 78 | 78 | 78 | 78 | 78 | 78 | 78 | 78 | 78 | 78 | 78 | 78 | 78 | 78 | 78 |
| | Cost Burden > 50% | 40.9 | 419 | 74 | 74 | 74 | 74 | 74 | 74 | 74 | 74 | 74 | 74 | 74 | 74 | 74 | 74 | 74 | 74 | 74 | 74 |
| | NUMBER OF HOUSEHOLDS | 100% | 337 | 100% | 337 | 100% | 337 | 100% | 337 | 100% | 337 | 100% | 337 | 100% | 337 | 100% | 337 | 100% | 337 | 100% | 337 |
| | With Any Housing Problems | 86.4 | 291 | TBD | | | | | | | | | | | | | | | | | |
| | Cost Burden > 30% | 75.7 | 255 | TBD | | | | | | | | | | | | | | | | | |
| | Cost Burden > 50% | 37.7 | 127 | TBD | | | | | | | | | | | | | | | | | |
| | NUMBER OF HOUSEHOLDS | 100% | 579 | 100% | 579 | 100% | 579 | 100% | 579 | 100% | 579 | 100% | 579 | 100% | 579 | 100% | 579 | 100% | 579 | 100% | 579 |
| | With Any Housing Problems | 80.7 | 467 | 152 | 152 | 152 | 152 | 152 | 152 | 152 | 152 | 152 | 152 | 152 | 152 | 152 | 152 | 152 | 152 | 152 | 152 |
| | Cost Burden > 30% | 78.2 | 453 | 97 | 97 | 97 | 97 | 97 | 97 | 97 | 97 | 97 | 97 | 97 | 97 | 97 | 97 | 97 | 97 | 97 | 97 |
| | Cost Burden > 50% | 49.6 | 287 | 55 | 55 | 55 | 55 | 55 | 55 | 55 | 55 | 55 | 55 | 55 | 55 | 55 | 55 | 55 | 55 | 55 | 55 |
| | NUMBER OF HOUSEHOLDS | 100% | 1158 | 100% | 1158 | 100% | 1158 | 100% | 1158 | 100% | 1158 | 100% | 1158 | 100% | 1158 | 100% | 1158 | 100% | 1158 | 100% | 1158 |
| | With Any Housing Problems | 31.1 | 360 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Cost Burden > 30% | 27.8 | 322 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Cost Burden > 50% | 9.8 | 113 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | NUMBER OF HOUSEHOLDS | 100% | 3554 | 100% | 3554 | 100% | 3554 | 100% | 3554 | 100% | 3554 | 100% | 3554 | 100% | 3554 | 100% | 3554 | 100% | 3554 | 100% | 3554 |
| | With Any Housing Problems | 29.2 | 1038 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 |
| | Cost Burden > 30% | 22.8 | 810 | 98 | 98 | 98 | 98 | 98 | 98 | 98 | 98 | 98 | 98 | 98 | 98 | 98 | 98 | 98 | 98 | 98 | 98 |
| | Cost Burden > 50% | 0.6 | 21 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 |
| | NUMBER OF HOUSEHOLDS | 100% | 885 | 100% | 885 | 100% | 885 | 100% | 885 | 100% | 885 | 100% | 885 | 100% | 885 | 100% | 885 | 100% | 885 | 100% | 885 |
| | With Any Housing Problems | 33.7 | 298 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Cost Burden > 30% | 12.3 | 109 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Cost Burden > 50% | 0.0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | NUMBER OF HOUSEHOLDS | 100% | 5113 | 100% | 5113 | 100% | 5113 | 100% | 5113 | 100% | 5113 | 100% | 5113 | 100% | 5113 | 100% | 5113 | 100% | 5113 | 100% | 5113 |
| | With Any Housing Problems | 27.7 | 1416 | 225 | 225 | 225 | 225 | 225 | 225 | 225 | 225 | 225 | 225 | 225 | 225 | 225 | 225 | 225 | 225 | 225 | 225 |
| | Cost Burden > 30% | 26.2 | 1340 | 125 | 125 | 125 | 125 | 125 | 125 | 125 | 125 | 125 | 125 | 125 | 125 | 125 | 125 | 125 | 125 | 125 | 125 |
| | Cost Burden > 50% | 1.4 | 72 | 10 | 10 | 10 | 10 | 10 | 10 | 10 | 10 | 10 | 10 | 10 | 10 | 10 | 10 | 10 | 10 | 10 | 10 |
| | NUMBER OF HOUSEHOLDS | 100% | 1951 | 100% | 1951 | 100% | 1951 | 100% | 1951 | 100% | 1951 | 100% | 1951 | 100% | 1951 | 100% | 1951 | 100% | 1951 | 100% | 1951 |
| | With Any Housing Problems | 16.5 | 322 | 33 | 33 | 33 | 33 | 33 | 33 | 33 | 33 | 33 | 33 | 33 | 33 | 33 | 33 | 33 | 33 | 33 | 33 |
| | Cost Burden > 30% | 16.2 | 316 | 22 | 22 | 22 | 22 | 22 | 22 | 22 | 22 | 22 | 22 | 22 | 22 | 22 | 22 | 22 | 22 | 22 | 22 |
| | Cost Burden > 50% | 4.6 | 90 | 11 | 11 | 11 | 11 | 11 | 11 | 11 | 11 | 11 | 11 | 11 | 11 | 11 | 11 | 11 | 11 | 11 | 11 |
| | NUMBER OF HOUSEHOLDS | 100% | 2593 | 100% | 2593 | 100% | 2593 | 100% | 2593 | 100% | 2593 | 100% | 2593 | 100% | 2593 | 100% | 2593 | 100% | 2593 | 100% | 2593 |
| | With Any Housing Problems | 55.5 | 1439 | 219 | 219 | 219 | 219 | 219 | 219 | 219 | 219 | 219 | 219 | 219 | 219 | 219 | 219 | 219 | 219 | 219 | 219 |

Jurisdiction

Housing Market Analysis

Complete cells in blue.

| Housing Stock Inventory | Vacancy Rate | 0 & 1 Bedroom | 2 Bedrooms | 3+ Bedroom | Total | Substandard Units |
|--|--------------|---------------|------------|------------|-------|-------------------|
| Affordability Mismatch | | | | | | |
| Occupied Units: Renter | | 21776 | 15456 | 13759 | 50991 | |
| Occupied Units: Owner | | 807 | 5740 | 26136 | 32683 | |
| Vacant Units: For Rent | 10% | 2052 | 1905 | 1066 | 5023 | |
| Vacant Units: For Sale | 5% | 121 | 480 | 921 | 1522 | |
| Total Units Occupied & Vacant | | 24756 | 23581 | 41882 | 90219 | 0 |
| Rents: <u>Applicable FMRs (in \$s)</u> | | 590-652 | 797 | 957 | | |
| Rent Affordable at 30% of MFI (in \$s) | | 491-831 | 491-831 | 491-831 | | |
| Public Housing Units | | | | | | |
| Occupied Units | | 1353 | 390 | 608 | 2351 | |
| Vacant Units | | | | | 0 | |
| Total Units Occupied & Vacant | | 1353 | 390 | 608 | 2351 | 0 |
| Rehabilitation Needs (in \$s) | | | | | 0 | |

Continuum of Care Homeless Population and Subpopulations Chart

| Part 1: Homeless Population | Sheltered | | | Un-sheltered | Total | Jurisdiction | |
|--|-----------|--------------|-------|--------------|------------------|-------------------------------|--------------|
| | Emergency | Transitional | Total | | | Data Quality | Data Quality |
| 1. Homeless Individuals | 243 | 77 | 0 | 320 | (N) enumerations | | |
| 2. Homeless Families with Children | 71 | 48 | 0 | 119 | (N) enumerations | | |
| 2a. Persons in Homeless with Children Families | 212 | 122 | 0 | 334 | | | |
| Total (lines 1 + 2a) | 455 | 199 | 0 | 654 | | | |
| Part 2: Homeless Subpopulations | | | | | | | |
| | Sheltered | | | Un-sheltered | | Total | |
| 1. Chronically Homeless | | | 76 | 0 | 76 | Data Quality (N) enumerations | |
| 2. Severely Mentally Ill | | | 175 | 0 | 175 | | |
| 3. Chronic Substance Abuse | | | 186 | 0 | 186 | | |
| 4. Veterans | | | 44 | 0 | 44 | | |
| 5. Persons with HIV/AIDS | | | 5 | 0 | 5 | | |
| 6. Victims of Domestic Violence | | | 123 | 0 | 123 | | |
| 7. Youth (Under 18 years of age) | | | 33 | 0 | 33 | | |

| Part 3: Homeless Needs Table: Individuals | Needs | Currently Available | Gap | 5-Year Quantities | | | | | | | | | | Total | | Priority H, M, L | Plan to Fund? Y N | Fund Source: CDBG, HOME, HOPWA, ESG or Other | |
|---|-------|---------------------|-----|-------------------|----------|--------|----------|--------|----------|--------|----------|--------|----------|-------|--------|------------------|-------------------|--|-----------|
| | | | | Year 1 | | Year 2 | | Year 3 | | Year 4 | | Year 5 | | Goal | Actual | | | | % of Goal |
| | | | | Goal | Complete | Goal | Complete | Goal | Complete | Goal | Complete | Goal | Complete | | | | | | |
| Emergency Shelters | 264 | 232 | 32 | 7 | 0 | 7 | 0 | 8 | 0 | 8 | 0 | 8 | 0 | 38 | 0 | 0% | L | N | |
| Transitional Housing | 81 | 96 | -15 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | #DIV/0! | L | N | |
| Permanent Supportive Housing | 1160 | 611 | 549 | 110 | 20 | 110 | 0 | 110 | 0 | 110 | 0 | 110 | 0 | 550 | 20 | 4% | H | Y | Other |
| Total | 1505 | 939 | 566 | 110 | 20 | 110 | 0 | 110 | 0 | 110 | 0 | 110 | 0 | 550 | 20 | 4% | H | Y | Other |
| Chronically Homeless | 79 | 66 | | | | | | | | | | | | | | | H | Y | Other |

| Part 4: Homeless Needs Table: Families | Needs | Currently Available | Gap | 5-Year Quantities | | | | | Total | | Priority H, M, L | Plan to Fund? Y N | Fund Source: CDBG, HOME, HOPWA, ESG or Other | | |
|---|-------------|---------------------|-------------|-------------------|-----------|-----------|----------|-----------|----------|-----------|------------------|-------------------|---|-----------|-----------|
| | | | | Year 1 | Year 2 | Year 3 | Year 4 | Year 5 | Goal | Actual | | | | % of Goal | |
| | | | | Goal | Complete | Goal | Complete | Goal | Complete | Goal | | | | Complete | % of Goal |
| Emergency Shelters | 212 | 280 | -68 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | #DIV/0! | L | N | |
| Transitional Housing | 153 | 198 | -45 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | #DIV/0! | L | N | |
| Permanent Supportive Housing | 897 | 1117 | -220 | 32 | 22 | 32 | 0 | 32 | 0 | 32 | 0 | 14% | H | Y | Other |
| Total | 1262 | 1595 | -333 | 32 | 22 | 32 | 0 | 32 | 0 | 32 | 0 | 14% | | | |

Completing Part 1: Homeless Population. This must be completed using statistically reliable, unduplicated counts or estimates of homeless persons in sheltered and unsheltered locations at a one-day point in time. The counts must be from: (A) administrative records, (N) enumerations, (S) statistically reliable samples, or (E) estimates. The quality of the data presented in each box must be identified as: (A), (N), (S) or (E).

Completing Part 2: Homeless Subpopulations. This must be completed using statistically reliable, unduplicated counts or estimates of homeless persons in sheltered and unsheltered locations at a one-day point in time. The numbers must be from: (A) administrative records, (N) enumerations, (S) statistically reliable samples, or (E) estimates. The quality of the data presented in each box must be identified as: (A), (N), (S) or (E).

Sheltered Homeless. Count adults, children and youth residing in shelters for the homeless. "Shelters" include all emergency shelters and transitional shelters for the homeless, including domestic violence shelters, residential programs for runaway/homeless youth, and any hotel/motel/apartment voucher arrangements paid by a public/private agency because the person or family is homeless. Do not count: (1) persons who are living doubled up in conventional housing; (2) formerly homeless persons who are residing in Section 8 SRO, Shelter Plus Care, SHP permanent housing or other permanent housing units; (3) children or youth, who because of their own or a parent's homelessness or abandonment, now reside temporarily and for a short anticipated duration in hospitals, residential treatment facilities, emergency foster care, detention facilities and the like; and (4) adults living in mental health facilities, chemical dependency facilities, or criminal justice facilities.

Unsheltered Homeless. Count adults, children and youth sleeping in places not meant for human habitation. Places not meant for human habitation include streets, parks, alleys, parking ramps, parts of the highway system, transportation depots and other parts of transportation systems (e.g. subway tunnels, railroad car), all-night commercial establishments (e.g. movie theaters, laundromats, restaurants), abandoned buildings, building roofs or stairwells, chicken coops and other farm outbuildings, caves, campgrounds, vehicles, and other similar places.

Grantee Name: **Jurisdiction**

| Supportive Services Needed | Needs | Currently Available | GAP | 3-5 Year Quantities | | | | | | | | | | Total | | Priority Need: H, M, L | Plan to Fund? Y N | Fund Source: CDBG, HO | | |
|--|-------------|---------------------|-------------|---------------------|----------|------------|----------|------------|----------|------------|----------|------------|----------|------------|----------|------------------------|-------------------|-----------------------|-----------|----------|
| | | | | Year 1 | | Year 2 | | Year 3 | | Year 4* | | Year 5* | | Goal | Actual | | | | % of Goal | |
| | | | | Goal | Complete | Goal | Complete | Goal | Complete | Goal | Complete | Goal | Complete | | | | | | | |
| Housing Needed | | | | | | | | | | | | | | | | | | | | |
| 52. Elderly | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | #DIV/0! | L | N |
| 53. Frail Elderly | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | #DIV/0! | L | N |
| 54. Persons w/ Severe Mental Illness | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | #DIV/0! | L | N |
| 55. Developmentally Disabled | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | #DIV/0! | L | N |
| 56. Physically Disabled | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | #DIV/0! | L | N |
| 57. Alcohol/Other Drug Addicted | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | #DIV/0! | L | N |
| 58. Persons w/ HIV/AIDS & their famill | 0 | 0 | 0 | 220 | 0 | 220 | 0 | 220 | 0 | 220 | 0 | 220 | 0 | 220 | 0 | 0 | 0 | #DIV/0! | L | N |
| 59. Public Housing Residents | 4339 | 22 | 4317 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | #DIV/0! | L | N |
| Total | 4339 | 22 | 4317 | 220 | 0 | 220 | 0 | 220 | 0 | 220 | 0 | 220 | 0 | 220 | 0 | 1100 | 0 | 0% | L | N |
| Supportive Services Needed | | | | | | | | | | | | | | | | | | | | |
| 60. Elderly | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | #DIV/0! | L | N |
| 61. Frail Elderly | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | #DIV/0! | L | N |
| 62. Persons w/ Severe Mental Illness | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | #DIV/0! | L | N |
| 63. Developmentally Disabled | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | #DIV/0! | L | N |
| 64. Physically Disabled | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | #DIV/0! | L | N |
| 65. Alcohol/Other Drug Addicted | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | #DIV/0! | L | N |
| 66. Persons w/ HIV/AIDS & their famill | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | #DIV/0! | H | Y |
| 67. Public Housing Residents | 150 | 140 | 10 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | #DIV/0! | L | N |
| Total | 150 | 140 | 10 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | #DIV/0! | L | N |

Housing and Community Development Activities

| | Needs | Current | Gap | 5-Year Quantities | | | | | Cumulative | Priority Need: H, M, L | Dollars to Address | Plan to Fund? Y/N | Fund Source |
|---|--------|---------|-------|-------------------|--------|--------|--------|--------|------------|---------------------------|--------------------|----------------------|-------------|
| | | | | Year 1 | Year 2 | Year 3 | Year 4 | Year 5 | | | | | |
| 01 Acquisition of Real Property 570.201(a) | 0 | 0 | 0 | | | | | | 0 | | N | | |
| 02 Disposition 570.201(b) | 0 | 0 | 0 | | | | | | 0 | | N | | |
| 03 Public Facilities and Improvements (General) 570.201(c) | 17 | 17 | 0 | | | | | | 0 | | H | | |
| 03A Senior Centers 570.201(c) | 0 | 0 | 0 | | | | | | 0 | | N | | |
| 03B Handicapped Centers 570.201(c) | 0 | 0 | 0 | | | | | | 0 | | N | | |
| 03C Homeless Facilities (not operating costs) 570.201(c) | 0 | 0 | 0 | | | | | | 0 | | N | | |
| 03D Youth Centers 570.201(c) | 35 | 35 | 0 | | | | | | 0 | | N | | |
| 03E Neighborhood Facilities 570.201(c) | 1 | 1 | 0 | | | | | | 0 | | N | | |
| 03F Parks, Recreational Facilities 570.201(c) | 116 | 116 | 0 | TBD | TBD | TBD | TBD | TBD | 0 | | H | | |
| 03G Parking Facilities 570.201(c) | 7 | 8 | -1 | | | | | | 0 | | L | \$525,000 | |
| 03H Solid Waste Disposal Improvements 570.201(c) | 1 | 0 | 1 | | | | | | 0 | | L | \$0 | |
| 03I Flood Drain Improvements 570.201(c) | 0 | 0 | 0 | | | | | | 0 | | L | \$0 | |
| 03J Water/Sewer Improvements 570.201(c) | 20 | 20 | 0 | | | | | | 0 | | L | \$0 | |
| 03K Street Improvements 570.201(c) | 21 | 20 | 1 | TBD | TBD | TBD | TBD | TBD | 0 | | H | 4300000 | |
| 03L Sidewalks 570.201(c) | 20 | 20 | 0 | | | | | | 0 | | L | \$0 | |
| 03M Child Care Centers 570.201(c) | 0 | 0 | 0 | | | | | | 0 | | L | \$0 | |
| 03N Tree Planting 570.201(c) | 453 | 453 | 0 | | | | | | 0 | | L | \$0 | |
| 03O Fire Stations/Equipment 570.201(c) | 16 | 16 | 0 | | | | | | 0 | | L | \$0 | |
| 03P Health Facilities 570.201(c) | 3 | 3 | 0 | | | | | | 0 | | L | \$0 | |
| 03Q Abused and Neglected Children Facilities 570.201(c) | 0 | 0 | 0 | | | | | | 0 | | N | | |
| 03R Asbestos Removal 570.201(c) | 0 | 0 | 0 | | | | | | 0 | | N | | |
| 03S Facilities for AIDS Patients (not operating costs) 570.201(c) | 0 | 0 | 0 | | | | | | 0 | | N | | |
| 03T Operating Costs of Homeless/AIDS Patients Programs | 0 | 0 | 0 | | | | | | 0 | | N | | |
| 04 Clearance and Demolition 570.201(d) | 0 | 0 | 0 | | | | | | 0 | | N | | |
| 04A Clean-up of Contaminated Sites 570.201(d) | 0 | 0 | 0 | | | | | | 0 | | N | | |
| 05 Public Services (General) 570.201(e) | 219999 | 153000 | 66999 | TBD | TBD | TBD | TBD | TBD | 15 | | H | 500000 | |
| 05A Senior Services 570.201(e) | 7360 | 7360 | 0 | | | | | | 0 | | L | \$0 | |
| 05B Handicapped Services 570.201(e) | 23150 | 23150 | 0 | | | | | | 0 | | L | \$0 | |
| 05C Legal Services 570.201(e) | 10000 | 10000 | 0 | | | | | | 0 | | L | \$0 | |
| 05D Youth Services 570.201(e) | 23336 | 6500 | 16836 | 402 | 402 | 402 | 402 | 402 | 2010 | | H | 1330195 | |
| 05E Transportation Services 570.201(e) | 40500 | 40500 | 0 | | | | | | 0 | | L | \$0 | |
| 05F Substance Abuse Services 570.201(e) | 21154 | 4475 | 16679 | | | | | | 0 | | M | | |
| 05G Battered and Abused Spouses 570.201(e) | 5256 | 3905 | 1351 | | | | | | 0 | | H | | |
| 05H Employment Training 570.201(e) | 11880 | 1250 | 10630 | | | | | | 0 | | H | | |
| 05I Crime Awareness 570.201(e) | 780 | 780 | 0 | | | | | | 0 | | L | \$0 | |
| 05J Fair Housing Activities (if CDBG, then subject to 570.201(e)) | 2020 | 404 | 1616 | TBD | TBD | TBD | TBD | TBD | 0 | | H | 250000 | |
| 05K Tenant/Landlord Counseling 570.201(e) | 26563 | 1000 | 25563 | 690 | 690 | 690 | 690 | 690 | 3450 | | H | 850000 | |
| 05L Child Care Services 570.201(e) | 9437 | 9437 | 0 | | | | | | 0 | | L | \$0 | |
| 05M Health Services 570.201(e) | 99999 | 21900 | 78099 | | | | | | 0 | | L | \$0 | |
| 05N Abused and Neglected Children 570.201(e) | 2963 | 984 | 1979 | | | | | | 0 | | L | \$0 | |
| 05O Mental Health Services 570.201(e) | 31668 | 6813 | 24855 | | | | | | 0 | | L | \$0 | |
| 05P Screening for Lead-Based Paint/Lead Hazards Poison 570.20 | 20633 | 8469 | 12164 | | | | | | 0 | | L | \$0 | |
| 05Q Subsidence Payments 570.204 | 28200 | 24204 | 3996 | | | | | | 0 | | L | \$0 | |
| 05R Homeownership Assistance (not direct) 570.204 | 9704 | 2125 | 7579 | | | | | | 0 | | H | | |
| 05S Rental Housing Subsidies (if HOME, not part of 5% 570.204 | 25431 | 1765 | 23666 | | | | | | 0 | | H | | |
| 05T Security Deposits (if HOME, not part of 5% Admin c | 0 | 0 | 0 | | | | | | 0 | | N | | |
| 06 Interim Assistance 570.201(f) | 0 | 0 | 0 | | | | | | 0 | | N | | |
| 07 Urban Renewal Completion 570.201(h) | 0 | 0 | 0 | | | | | | 0 | | N | | |
| 08 Relocation 570.201(i) | 0 | 0 | 0 | | | | | | 0 | | N | | |
| 09 Loss of Rental Income 570.201(i) | 0 | 0 | 0 | | 1 | | | | 0 | | N | | |

| HOME | | | | | | | | | | | | | |
|---|--------|--------|-------|------|------|------|------|------|------|-------|---|----------|-------|
| Homeownership assistance | 0 | 0 | 0 | | | | | | | | | | |
| Acquisition of existing rental units | 0 | 0 | 0 | | | | | | | 0 | | | |
| Production of new rental units | 12808 | 0 | 12808 | 78 | 25 | 25 | 25 | 25 | 25 | 178 | H | 12907460 | |
| Rehabilitation of existing rental units | | | | | | | | | | | | | |
| Rental assistance | 0 | 0 | 0 | | | | | | | 0 | | | |
| Acquisition of existing owner units | 2336 | 36 | 2300 | 36 | 36 | 36 | 36 | 36 | 36 | 180 | H | 3631825 | |
| Production of new owner units | 1881 | 10 | 1871 | 10 | 10 | 10 | 10 | 10 | 10 | 50 | H | 750000 | |
| Rehabilitation of existing owner units | 7871 | 128 | 7743 | 20 | 20 | 20 | 20 | 20 | 20 | 100 | H | | |
| Homeownership assistance | 3217 | 85 | 3132 | 25 | 25 | 25 | 25 | 25 | 25 | 125 | H | 750000 | |
| Totals | 789450 | 433563 | 4E+05 | 2071 | 2018 | 2018 | 2018 | 2018 | 2018 | 10143 | | | H/CDF |

HOPWA Performance Chart 1

| | Needs | Current | Gap | Year 1 | | | | | | | | | | | | | | | |
|---|------------|------------|-----------|--------------------|----------|----------|----------|--------------|--------------|---------------------|----------|----------|----------|----------|----------|----------|----------|----------|----------|
| | | | | Outputs Households | | Funding | | HOPWA Budget | HOPWA Actual | Leveraged Non-HOPWA | | | | | | | | | |
| | | | | Goal | Actual | Goal | Actual | | | | | | | | | | | | |
| Tenant-based Rental Assistance | 200 | 130 | 70 | | | | | | | | | | | | | | | | |
| Short-term Rent, Mortgage and Utility payments | 380 | 380 | 0 | 220 | | | | | | | | | | | | | | | |
| Facility-based Programs | 0 | 0 | 0 | | | | | | | | | | | | | | | | |
| Units in facilities supported with operating costs | 0 | 0 | 0 | | | | | | | | | | | | | | | | |
| Units in facilities developed with capital funds and placed in service during the program year | 0 | 0 | 0 | | | | | | | | | | | | | | | | |
| Units in facilities being developed with capital funding but not yet opened (show units of housing planned) | 0 | 0 | 0 | | | | | | | | | | | | | | | | |
| Stewardship (developed with HOPWA but no current operation or other costs) Units of housing subject to three- or ten-year use agreements | 0 | 0 | 0 | | | | | | | | | | | | | | | | |
| Adjustment for duplication of households (i.e., moving between types of housing) | | | | | | | | | | | | | | | | | | | |
| Subtotal unduplicated number of households/units of housing assisted | 580 | 510 | 70 | 220 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Supportive Services | | | | | | | | | | | | | | | | | | | |
| Supportive Services in conjunction with housing activities (for households above in HOPWA or leveraged other units) | 0 | 0 | 0 | | | | | | | | | | | | | | | | |
| Housing Placement Assistance | | | | | | | | | | | | | | | | | | | |
| Housing Information Services | 0 | 0 | 0 | | | | | | | | | | | | | | | | |
| Permanent Housing Placement Services | 0 | 0 | 0 | | | | | | | | | | | | | | | | |
| Housing Development, Administration, and Management Services | | | | | | | | | | | | | | | | | | | |
| Resource Identification to establish, coordinate and develop housing assistance resources | | | | | | | | | | | | | | | | | | | |
| Project Outcomes/Program Evaluation (if approved) | | | | | | | | | | | | | | | | | | | |
| Grantee Administration (maximum 3% of total) (i.e., costs for general management, oversight, coordination, evaluation, and reporting) | | | | | | | | | | | | | | | | | | | |
| Project Sponsor Administration (maximum 7% of total) (i.e., costs for general management, oversight, coordination, evaluation, and reporting) | | | | | | | | | | | | | | | | | | | |
| Other Activity (if approved in grant agreement) Specify: | | | | | | | | | | | | | | | | | | | |
| 1 | 0 | 0 | 0 | | | | | | | | | | | | | | | | |
| 2 | 0 | 0 | 0 | | | | | | | | | | | | | | | | |
| 3 | 0 | 0 | 0 | | | | | | | | | | | | | | | | |
| 4 | 0 | 0 | 0 | | | | | | | | | | | | | | | | |

HOPWA Performance Chart 2

| Type of Housing Assistance | Total Number of Households Receiving Assistance | Average Length of Stay [in weeks] | Number of Households Remaining in Project at the End of the Program Year | Number of Households that left the Project | What happened to the Households that left | PY1 | PY2 | PY3 |
|---|---|-----------------------------------|--|--|---|-----|-----|-----|
| Tenant-based Rental Assistance | 0 | PY1 | PY1 | #VALUE! | Emergency Shelter | | | |
| | 0 | PY2 | PY2 | #VALUE! | Temporary Housing | | | |
| | 0 | PY3 | PY3 | #VALUE! | Private Hsg | | | |
| | 0 | PY4 | PY4 | #VALUE! | Other HOPWA | | | |
| | 0 | PY5 | PY5 | #VALUE! | Other Subsidy | | | |
| Short-term Rent, Mortgage, and Utility Assistance | 0 | PY1 | PY1 | #VALUE! | Institution | | | |
| | 0 | PY2 | PY2 | #VALUE! | Jail/Prison | | | |
| | 0 | PY3 | PY3 | #VALUE! | Disconnected | | | |
| | 0 | PY4 | PY4 | #VALUE! | Death | | | |
| | 0 | PY5 | PY5 | #VALUE! | | | | |
| Facility-based Housing Assistance | 0 | PY1 | PY1 | #VALUE! | Emergency Shelter | | | |
| | 0 | PY2 | PY2 | #VALUE! | Temporary Housing | | | |
| | 0 | PY3 | PY3 | #VALUE! | Private Hsg | | | |
| | 0 | PY4 | PY4 | #VALUE! | Other HOPWA | | | |
| | 0 | PY5 | PY5 | #VALUE! | Other Subsidy | | | |

Appendix

CITY OF ROCHESTER SECTION 3 OF THE HOUSING AND URBAN DEVELOPMENT ACT IMPLEMENTATION PLAN

The following is the current Section 3 Implementation Plan. A review of the plan is underway. It is in the process of being revised. The revised plan will become a part of a larger community effort which includes Monroe County, Rochester Housing Authority and other stakeholders. It is anticipated that the plan will be completed by the beginning of the 2014-15 fiscal year.

Background

Section 3 of the Housing and Urban Development Act of 1968 requires that economic opportunities generated by federally assisted housing and community development programs shall, to the greatest extent feasible, be given to low and very low income persons and to businesses that provide economic opportunities for these persons.

Section 3 makes economic development a "benefit" for all seeking assistance to find decent, safe and sanitary housing and a suitable living environment. Section 3 applies to all U.S. Department of Housing and Urban Development formula grant recipients and their contractors and covers opportunities for training, employment and contracts for work arising in connection with:

- Housing rehabilitation
- Housing construction; and
- Other public construction

The requirements of Section 3 apply to the entire project no matter whether the project is fully or partially funded federal assistance. Section 3 also applies to a contractor or subcontractor when the amount of assistance to the project exceeds \$200,000 and the contract or subcontract exceeds \$100,000.

Policy

It is the policy of the City of Rochester to take affirmative steps to give preference for training and jobs to low and very low income city residents. Preference for contracts is given to business concerns which are owned (51 percent) by or which employ (30 percent) low and very low income residents.

Implementation Plan

A. Contractor Utilization

1. The City of Rochester annually enters into approximately 700 contracts and subcontracts, including professional service contracts, for implementation of projects funded by federal housing and community development programs. The dollar amount of these contracts and subcontracts is approximately \$9,000,000. A number of these contracts are awarded for work under Section 3 covered projects.
2. The City of Rochester will take any necessary affirmative steps to assure that Section 3 business concerns are used when possible including:
 - Notifying potential contractors of Section 3 requirements and incorporating a Section 3 clause in all solicitations and business concerns;
 - Placing Section 3 business concerns on solicitation lists;

- Assuring that Section 3 business concerns are solicited whenever they are potential sources;
- Dividing the total project requirements, where economically feasible, into smaller tasks to permit maximum participation by Section 3 business concerns; and
- Requiring the prime contractor, if subcontracts are to be awarded, to take similar affirmative steps.

Section 3 business concerns that provide economic opportunities for Section 3 residents in the service area or neighborhood of the project will be given maximum priority.

3. The Department of Neighborhood and Business Development will provide financial and technical assistance to establish or expand Section 3 business concerns.
4. Continue implementation of City Council Resolution 91-25, which authorizes a policy of providing preferential treatment to local firms in the award of professional service agreements by the City of Rochester.
5. To focus on a results oriented approach, the following annual goals are established and represent minimum targets:
 - At least 10 percent of the total dollar amount of all Section 3 covered contracts for building trades work arising in connection with housing rehabilitation, housing construction, and other public construction will go to Section 3 business concerns; and
 - At least 3 percent of the total dollar amount of all other covered Section 3 contracts will go to Section 3 business concerns.

B. Training and Employment

The training and employment procedures would apply to all contracts and subcontracts over \$100,000.

1. For each contract, a projected work force by trade would have to be submitted by the contractor. The projection should indicate the need for new employees by trade and residency of existing employees.
2. If the contractor requires new employees, Section 3 goals will be established. Maximum preference will be given to Section 3 residents residing in the service areas or neighborhood where the covered project is located.
3. The following training and employment goals have been established:
 - 30 percent of the aggregate number of new hires for the period from July 1, 2010 to June 30, 2011 should be Section 3 residents.
 - 30 percent of the aggregate number of new hires for the period from July 1, 2011 to June 30, 2012 should be Section 3 residents.
 - 30 percent of the aggregate number of new hires for the period from July 1, 2012 to June 30, 2013 should be Section 3 residents.

Section 3 residents will be encouraged to participate in existing training and supportive services and utilize services offered by the Bureau of Youth Services.

C. Section 3 Awareness

1. To increase citizen awareness, the Section 3 Implementation Plan will be appended to the Consolidated Community Development Plan/Annual Action Plan.
2. The City of Rochester's Section 3 goals will be publicized through meetings with community organizations, presentations as part of contractor orientation of bid requirements, incorporation of the Section 3 clause in all covered contracts and

inclusion of Section 3 information in the solicitations or requests for proposals by advising prospective contractors of Section 3 requirements.

D. Section 3 Monitoring and Reporting

1. The Department of Finance will be responsible for Section 3 implementation and monitoring.
2. An annual report will be prepared for the purpose of determining the effectiveness of Section 3. The report will show the number of residents hired and the number of Section 3 business concerns receiving contracts.
3. The City will maintain records showing compliance with Section 3 including copies of advertisements, procurement procedures, solicitations, mailing lists and bid proposals, documentation of preconstruction conferences, letters to community organizations, complaints and records of contact made with unions or contractors' associations.

E. Complaint process

1. Any Section 3 resident or business may file a complaint alleging noncompliance of Section 3 by the City, contractor or subcontractor. Complaints regarding the Section 3 Plan should be addressed to the Director of Finance, City Hall, Room 109A, 30 Church Street, Rochester, New York 14614. The City will make every reasonable effort to provide a written response within 15 days.

Appendix
CONSOLIDATED COMMUNITY DEVELOPMENT PLAN
MINORITY AND WOMEN'S BUSINESS ENTERPRISE OUTREACH PROCEDURES

The City of Rochester will establish and oversee a program to encourage the use of minority and women's business enterprises in connection with Consolidated Plan funded activities. To the maximum extent possible, this program will ensure the inclusion of minorities and women, and entities owned by minorities and women, including, without limitation, real estate firms, construction firms, appraisal firms, management firms, financial institutions, investment banking firms, underwriters, accountants, and providers of legal services in all contracts entered into by the City which involve assistance.

The Department of Neighborhood and Business Development administers a number of independent MBE activities for programs financed from the Consolidated Community Development Plan and other HUD grant programs.

The MBE/WBE outreach program to be developed for Consolidated Community Development Plan funded activities will be based upon the following standards, guidelines and actions prescribed by HUD:

Outreach Standards

1. Outreach efforts shall be undertaken in good faith and be comprehensive and continuing.

The City of Rochester has an impressive record in operating MBE outreach programs. Consolidated Plan outreach efforts will be expanded to include WBE activities.

2. Outreach efforts shall be supported by a statement of public policy and commitment published in the print media of widest local circulation.

A public statement regarding the City's MBE/WBE policies for the Consolidated Plan will be published in the local Gannett-Rochester newspapers. Media coverage will also be encouraged through a written news release.

3. MBE/WBE outreach efforts shall be supported by an office and/or a key, ranking staff person with oversight responsibilities and access to the chief elected official.

The Consolidated Community Development Plan MBE/WBE outreach efforts will be supported by the Commissioner of Neighborhood and Business Development and the Director of Housing.

4. MBE/WBE outreach efforts shall be designed to use all available and appropriate public and private sector local resources.

The Consolidated Community Development Plan MBE/WBE outreach efforts will be coordinated through the Bureau of Business and Housing Development for housing program activities.

Outreach Guidelines

1. Develop a systematic method for identifying and maintaining an inventory of certified MBE's and WBE's.

The Bureau of Business and Housing Development will maintain and publish an inventory of certified MBE's and WBE's for participation in housing programs. The inventory will include the types of entities identified in the first paragraph of these procedures.

2. Use the local media, electronic and print, to market and promote contract and business opportunities for MBE's and WBE's.

The City will pursue a media campaign to solicit MBE & WBE participation in the Consolidated Community Development Plan.

3. Develop information and documentary materials on contract/subcontract opportunities for MBE's and WBE's.

The Bureau of Business and Housing Development will provide written notice of all competitive bidding to certified MBE's and WBE's.

4. Develop solicitation and procurement procedures that facilitate opportunities for MBE's and WBE's.

In addition to the direct solicitation procedure stated in 3, the Department of Neighborhood and Business Development requires that at a minimum, one MBE bid out of four total bids be solicited for all Owner Occupied Rehabilitation and Investor-Owner Loan projects. Consolidated Plan procedures will be coordinated with other existing MBE/WBE solicitation efforts. All prime contractors will be required to incorporate MBE/WBE solicitation requirements.

5. Sponsor business opportunity-related meetings, conferences, seminars, etc. with MBE's and WBE's.

The City will work with minority and women owned business associations to sponsor informational meetings to explain City contract opportunities and bid processes necessary for participation and to produce a useful and complete minority business directory. To help improve our outreach, the City on an ongoing basis will review best practices from other businesses and communities. Also, the City will continue to support and network with the Rochester Minority Business Development Center, Inc. and other organizations committed to expanding the pool of qualified minority and women contractors.

6. Maintain centralized records on the use and participation of MBE's and WBE's.

The Department of Neighborhood and Business Development maintains records and annually reports on MBE and WBE participation for CDBG program activities. Record keeping practices will be amended to incorporate the Consolidated Plan.

Appendix

HOME PROGRAM

HOME PROGRAM AFFIRMATIVE MARKETING REQUIREMENTS AND PROCEDURES FOR MULTIPLE FAMILY HOUSING

The City of Rochester will require that owners of all HOME-assisted housing containing five (5) or more units shall affirmatively market said units to attract eligible persons from all racial, ethnic, and gender groups in the housing market area. The City will annually assess the affirmative marketing program to determine the success of the affirmative marketing activities and the need for any necessary corrective measures.

The owner will make good faith efforts to affirmatively market the units in accordance with the following procedures:

- A. Fair Housing Information
 1. The owner and the City shall agree upon an initial affirmative marketing strategy.
 2. The owner shall prominently display a federal Fair Housing poster in his/her rental office.
 3. The owner shall direct all Fair Housing questions from applicants to the City.
- B. Marketing Strategy
 1. The owner and the City shall agree upon an initial affirmative marketing strategy.
 2. The strategy shall establish occupancy goals for the units based upon the demographic characteristics of the market area.
 3. The strategy shall describe the specific marketing and outreach activities to be employed by the owners.
 4. The marketing strategy may be amended, upon the written approval of the City, to reflect changed market conditions.
- C. Marketing Contacts
 1. The owner may utilize printed and/or electronic (radio and television) news media to advertise the availability of the units.
 2. The owner may utilize local newspapers of general circulation, local magazines, newsletters or neighborhood organizations and housing advocacy organizations, or other approved publications which are known to circulate among low income populations for the placement of written advertisements.
 3. The owner shall contact and provide information to any groups or individuals known or believed to be interested in the availability of the affordable rental units.
- D. Targeted Outreach
 1. The owner shall undertake specific efforts to inform and solicit rental applications from persons in the housing market area who are not likely to apply for the housing without specified outreach. These efforts may be directed toward non-English speaking populations, the developmentally or physically disabled, or other low income populations unlikely to become informed about the availability of the units through the efforts required in paragraph C above.

2. Specialized outreach efforts may be undertaken through direct contact or contacts with organizations known to represent the interests of the population of special concern, e.g. community or advocacy organizations, places of worship, employment centers, fair housing groups, housing counseling agencies, neighborhood health centers, and government agencies delivering services to the populations of special concerns.
- E. Recordkeeping
1. The owner shall maintain a copy of the approved marketing strategy.
 2. The owner shall maintain records documenting specific efforts taken in accordance with paragraphs A, B, C, and D.
 3. The owner shall annually report to the City on activities and results of this affirmative marketing effort and shall make all affirmative marketing records available to the City at its request.
 4. Affirmative marketing files shall include copies of advertisements; the racial, ethnic, and gender characteristics of potential tenants (applicants) who respond to the ad; and the characteristics of the tenants who actually rented the units.
- F. Annual Assessment
1. The City will independently evaluate and assess the adequacy of the owner's affirmative marketing efforts on an annual basis.
 2. The annual assessment will be based upon a number of factors, including but not limited to: consistency with the approved marketing strategy; the owner's success in meeting occupancy goals; the cumulative number of vacancy days; the number of rental applications generated from targeted groups; and the extent to which handicap-accessible units, if any, are occupied by the people for whom they were designed to serve.
 3. When it can be determined that affirmative marketing efforts have been limited or unsuccessful, the City will require changes to improve their effectiveness.

In furtherance of the City's commitment to non-discrimination and equal opportunity in housing, it is the City's affirmative marketing goal to assure that individuals who normally might not apply for vacant rental units because of their race, color, ethnicity, religion, sex, handicap, or familial status be made aware of vacancies in housing units funded through the HOME program; feel welcome to apply; and have the opportunity to rent the units.

This policy will be carried out through the affirmative marketing procedures set forth in Section I above and through the following direct actions:

- A. The City will inform the public about: its affirmative marketing policy under the HOME program; the Fair Housing Act; Title VI of the Civil Rights Act of 1964; Executive Order 11063, as amended; and Section 504 of the Rehabilitation Act of 1973.
- B. The City will carry out "A" above by: the placement of public notices in local newspapers of general circulation; the placement of public service announcements through electronic media; the distribution of printed materials; and through community contacts.

HOME PROGRAM
 HOMEBUYER RESALE AND HOME SUBSIDY RECAPTURE GUIDELINES

The City of Rochester will comply with the following HOME regulations (24 CFR Part 92.254(a)(5) regarding resale and recapture of HOME subsidies. In addition, prescribed language for both resale and recapture provisions is also provided below.

It should be noted that the City of Rochester operations regarding Resale and Recapture are as follows:

The chart below delineates the programs for Resale and Recapture and provides the tools to be used including the mechanisms for affordability.

| | | | |
|--|--|---|---|
| Home Rochester | Write-down subsidy for the acquisition/rehabilitation for owner occupants | X | |
| Community Development Organization, CHDO | Write-down subsidy for the acquisition/rehabilitation for owner occupants | X | |
| New Construction | Infrastructure for Habitat for Humanity and other developers of affordable homeowner-housing | X | |
| Employer Assisted Housing Initiative | Provides a match of up to up to \$3,000 of downpayment and closing cost assistance to employees of participating employers purchasing homes. | | X |
| Home Purchase Assistance | Provides up to \$3,000 of downpayment and closing cost assistance to buyers purchasing homes on the private market. | | X |
| Homebuyer Assistance | Provides up to \$6,000 of downpayment and closing cost assistance to buyers purchasing CHDO/Home Rochester homes. | | X |

Resale:

The City shall use the Resale option for the Home Rochester and Affordable New Construction (Homeownership) programs. The City shall not use a presumption of affordability but instead use an enforcement mechanism to meet the resale requirement. The following deed restrictive covenant below shall be used. Also, see attached copy of deed restriction that is recorded.

Restrictive Covenant

Title to this property (the "Property") is conveyed subject to the following restrictions:

The Grantee has acquired this property with the assistance of funds from the City of Rochester provided under 24 CFR Part 92, the HOME Investment Partnership Program (the "Regulations"). As a condition of the subsidy, the Grantee agrees:

- A. The Property must be the Grantee's principal residence for ten (10) or fifteen (15) years dependent on HOME investment from the date of this deed.
- B. If the above ten (10) or fifteen (15) year period has not expired and the Grantee sells the Property, the Grantee agrees to sell the Property to a low-income family as defined in the Regulations that will use the Property as its principal residence for the duration of the ten (10) or fifteen (15) year period. Documentation must be provided to the City of Rochester verifying the prospective buyer's income eligibility. The price at resale must: (1) ensure that the Property will remain affordable (as defined in the Regulations) to a reasonable range of low-income homebuyers, and (2) provide the Grantee a fair return on investment (including the Grantee's investment and any capital improvement).

Notwithstanding the aforementioned provisions, the affordability restrictions and residency requirement shall terminate upon occurrence of any of the following termination events:

1. Foreclosure;
2. Transfer in lieu of foreclosure; or
3. Assignment of an FHA insured mortgage to HUD.

However, the affordability restriction and residency requirement shall be revived according to their original terms if, during the original residency period of ten years, the Grantee before the termination event, or any other entity that includes the Grantee or those with whom the Grantee has or had family or business ties, obtains an ownership in the project or property.

In the event that the HOME-assisted Grantee wishes to sell the assisted home, the City of Rochester shall be afforded the right of first refusal to purchase the subject property.

Fair Rate of Return:

The rate of return will be based upon the percentage change in the Consumer Price Index over the period of ownership plus the owner's downpayment and any capital improvements. Capital improvements would include window replacement, major system replacement such as roofs, plumbing, updated electrical, bathrooms and kitchens, additions and porches and central air conditioning. If the property depreciates in value there may not be a return on investment.

Reasonable Range of Low-come Buyers

The City will continue make the home affordable to buyers within 48 to 80% of buyers the Area Median Income (AMI).

Affordability: During the affordability the City would help market the home through the affordable housing network which includes pre purchase counseling agencies, housing maintenance organizations and local associations dedicated to promoting affordable housing. The City will also make available down payment and closing cost assistance to new, eligible, homebuyers of HOME assisted house to assist with affordability.

Recapture

The City uses the Recapture option for all of its downpayment and closing cost assistance programs; Employer Assisted Housing Initiative, Home Purchase Assistance Program and the Homebuyer Assistance Program.

The homeowner shall be required to repay a portion of the HOME funds used to assist the homeowner with the original purchase of the home on a pro-rated basis in accordance with the following schedule, per the Note and Mortgage securing the HOME subsidy:

| <u>Time Elapsed</u> | <u>Repayment Percentage 5 Year</u> |
|-------------------------------|---|
| 1st month through 24th month | 100% |
| 25th month through 36th month | 75% |
| 37th month through 48th month | 50% |
| 49th month through 60th month | 25% |
| 61st month- | 0% |

MONROE COUNTY CLERK'S OFFICE
ROCHESTER, NY

THIS IS NOT A BILL. THIS IS YOUR RECEIPT

Return To:

ROCHESTER, NY

ROCHESTER HOUSING DEVELOPMENT FUND CORP

Receipt #

Index DEEDS

Book Page

No. Pages : 4

Instrument DEED

Date :

Time :

Control #

TT #

Ref 1 #

Employee :

| | | |
|------------------------------|----|--------|
| COUNTY FEE NUMBER PAGES | \$ | 12.00 |
| COUNTY FEE RECORDING | \$ | 8.00 |
| COUNTY FEE TP584 | \$ | 5.00 |
| RP5217 COUNTY FEE | \$ | 9.00 |
| RP5217 STATE EQUALIZATION FE | \$ | 116.00 |
| STATE FEE CULTURAL EDUCATION | \$ | 14.25 |
| STATE FEE RECORDS MANAGEMENT | \$ | 4.75 |
| STATE FEE TRANSFER TAX | \$ | 240.00 |

Total \$ 409.00

State of New York

MONROE COUNTY CLERK'S OFFICE

WARNING - THIS SHEET CONSTITUTES THE CLERKS
ENDORSEMENT, REQUIRED BY SECTION 317-a(5) &
SECTION 319 OF THE REAL PROPERTY LAW OF THE
STATE OF NEW YORK. DO NOT DETACH OR REMOVE.

CHERYL DINOLEO

MONROE COUNTY CLERK



TRANSFER AMT

TRANSFER AMT \$

TRANSFER TAX \$240.00

Bargain and Sale Deed with Covenant against Grantor's Acts - Individual or Corporation

RECORDED

2010 JUN -1 AM 10:03

THIS INDENTURE, made the ____ day of .

Two Thousand Ten
MONROE COUNTY CLERK

BETWEEN

ROCHESTER HOUSING DEVELOPMENT FUND CORPORATION, with its office at 183 East Main Street, Suite 900, Rochester, NY 14604,

Grantor, and

, residing at _____ Street, Rochester, New York 146

Grantee,

2010 JUN -1 AM 10:53
MONROE COUNTY CLERK

RECORDED

WITNESSETH that Grantor, in consideration of One Dollar (\$1.00) lawful money of the United States and other good and valuable consideration, paid by Grantee, does hereby grant and release unto the Grantee, the heirs or successors and assigns of Grantee forever,

ALL THAT TRACT OR PARCEL OF LAND, situate in the City of Rochester, County of Monroe and State of New York, being Lot Number _____ as shown on a map of the _____, a subdivision of the _____ part of Lot _____ Township _____ Range made for the _____, by _____ Surveyor, and filed in the Monroe County Clerk's Office in Liber _____ of Maps, at page _____

Said Lot Number _____ is situate on the _____ of _____ Street and is 30 feet wide and 100 feet deep (more or less) as shown on said map.

Subject to all covenants, easements and restrictions of record affecting said premises, if any.

Being and hereby intending to convey the same premises conveyed to the Grantor by deed recorded in the Monroe County Clerk's Office in Liber _____ of Deeds, page _____

Tax Account No.:

Property and Tax Mailing Address:

Rochester, New York .

This conveyance does not represent all, or substantially all of the assets of Grantor, and is done in the normal course of business.

Title to this property (the "Property") is conveyed subject to the following restrictions:

The Grantee has acquired this property with the assistance of funds from New York State Division of Housing and Community Renewal, the City of Rochester and/or the State of New York provided under 24 CFR §92 (the "Regulations"), the HOME Investment Partnership Program. As a condition of the subsidy, the Grantee agrees:

A. The Property must be the Grantee's principal residence for ten (10) years from the date of this deed.

B. If the above ten (10) year period has not expired and the Grantee sells the Property, the Grantee agrees to sell the Property to a low-income family as defined in the Regulations that will use the Property as its principal residence for the duration of the ten (10) year period. The Property must remain affordable as defined in the Regulations to low-income families for a period of ten (10) years commencing upon recording of this deed.

Notwithstanding the aforementioned provisions, the affordability restrictions and residency requirements shall terminate upon occurrence of any of the following termination events:

1. Foreclosure;
2. Transfer in lieu of foreclosure; or
3. Assignment of an FHA insured mortgage to HUD.

However, the affordability restrictions and residency requirement shall be revived according to their original terms if, during the original residency period of ten (10) years, the Grantee before the termination event, or any entity that includes the Grantee or those with whom the Grantee has or had family or business ties, obtains an ownership in the project or property.

In the event that the HOME-assisted Grantee wishes to sell the assisted home, the City of Rochester shall be afforded the right of first refusal to purchase the subject property.

The HOME assisted Grantee shall be entitled to a fair return on their investment, including any capital improvements which may have been made to the subject property. However, the homeowner is not guaranteed a return on their investment through the HOME Program. The return on investment will be dictated by market conditions at the time of the sale. The return on investment shall be considered fair if it does not exceed the value of the homeowner's equity investment, plus closing costs incurred in the resale, plus a rate of property appreciation which does not exceed the average rate of appreciation for residential properties of the same type as established by the City Assessor for the period of ownership, plus the value of any capital improvements which may have been made to the subject property.

TOGETHER with all right, title and interest, if any, of Grantor in and to any streets and roads abutting the above described premises to the center lines thereof; TOGETHER with the appurtenances and all the estate and rights of Grantor in and to said premises; TO HAVE AND TO HOLD the premises herein granted unto Grantee, the heirs or successors and assigns forever.

AND Grantor covenants that he has not done or suffered anything whereby the said premises have been encumbered in any way whatsoever, except as aforesaid.

AND that in Compliance with Sec. 13 of the Lien Law, Grantor will receive the consideration for this conveyance and will hold the right to receive such consideration as a trust fund to be applied first for the purpose of paying the cost of the improvement and will apply the same first to the

payment of the cost of the improvement before using any part of the total of the same for any other purpose.

Grantee executes this deed for the sole purpose of acknowledging the restrictions contained herein.

The words "Grantor" or "Grantee" shall be construed as if it read "Grantors" or "Grantees" whenever the sense of this indenture so requires.

IN WITNESS WHEREOF, Grantor and Grantee have executed this indenture as of the day and year first above written.

IN PRESENCE OF:

ROCHESTER HOUSING DEVELOPMENT
FUND CORPORATION

By: [Signature]

STATE OF NEW YORK
COUNTY OF MONROE SS.:

On the ____ day of ____ 2010, before me, personally appeared _____ personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that she executed the same in her capacity, and that by her signature on the instrument, the individual, or the person upon behalf of which the individual acted, executed the instrument.

[Signature]
Notary Public LUZ N ALVAREZ
NOTARY PUBLIC-STATE OF NEW YORK
No. 01A16192142
Qualified in Monroe County
My Commission Expires August 26, 2012

STATE OF NEW YORK
COUNTY OF MONROE SS.:

On the ____ day of ____ 2010, before me, personally appeared _____ personally known to me or proved to me on the basis of satisfactory evidence to be the individual(s) whose name(s) is (are) subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their capacity(ies), and that by his/her/their signature(s) on the instrument, the individual(s), or the person upon behalf of which the individual(s) acted, executed the instrument.

KEVIN E. BRZEZINSKI
NOTARY PUBLIC, State of New York
Reg. No. 01BR6140771
Monroe County
My Commission Expires Feb. 13, 2014

[Signature]
Notary Public

Consolidated Community Development Plan

Citizen Participation Plan

Background

In 1995, the United States Department of Housing and Urban Development (HUD) consolidated into a single submission the planning and application aspects of the following four HUD community development formula grant programs: Community Development Block Grant (CDBG), Emergency Shelter (now Solutions) Grant (ESG), Home Investment Partnerships (HOME), and Housing Opportunities for Persons with AIDS (HOPWA). The reporting requirements for these programs were also consolidated.

The Department of Neighborhood and Business Development (NBD), Office of the Commissioner is the lead agency responsible for the consolidated plan submission and reporting to HUD. NBD's Bureau of Business and Housing Development is responsible for CDBG and the HOME Programs housing activities, ESG and HOPWA programs, and manages CDBG assisted business development activities. The Department of Recreation and Youth Services administers CDBG assisted public service projects. The Department of Environmental Services is responsible for CDBG assisted public facilities and improvements.

The City of Rochester encourages citizens to participate in the planning and implementation of the Consolidated Community Development Plan. Public meetings are held at times convenient for citizens and at locations within the program target areas that are accessible to the disabled. In addition, the City provides opportunities for citizens to submit written comments, proposals, and recommendations. It also publishes and distributes material in both English and Spanish upon request.

HUD requires the City to adopt a citizen participation plan for the consolidated planning, application, and reporting processes. The Plan also covers Section 108 Loan Guarantee Program.

Purpose

The Citizen Participation Plan establishes policies and procedures to encourage citizen involvement in planning for the use of federal funds that are available under the Community Development Block Grant (CDBG), Emergency Solutions Grant (ESG), Home Investment Partnership (HOME), and Housing Opportunities for Persons with AIDS (HOPWA). The City promotes citizen participation in the following activities:

- Development of the Consolidated Community Development Plan which contains a five-year strategic plan that outlines strategies and goals for use of federal funds;
- Development of each Annual Action Plan, which describes specific projects and activities that will be undertaken during the year with federal funds to address priority needs;
- Review and comment on substantial amendments to the Consolidated Community Development Plan and/or Annual Action Plan;
- Review and comment on the annual Consolidated Annual Performance and Evaluation Report which describes the process of implementing the Plan through the proposed actions identified in the Annual Action Plan; and,
- Review and comment on substantial amendments to the Citizen Participation Plan.

The City of Rochester encourages participation by low- and moderate-income persons, particularly those living in slum and blighted areas, and in areas where CDBG funds are proposed to be used, and by residents of predominantly low- and moderate-income neighborhoods. Predominantly low- and moderate-income neighborhoods are defined as those where 51% or more of the residents have incomes that are 80% or less of the median family income. Actions are taken to encourage participation by minorities, non-English speaking persons and persons with disabilities. Residents of public and assisted housing and other low-income residents of targeted revitalization areas in which public and assisted housing is located are also encouraged to participate.

Consolidated Community Development Plan and Action Plan

The City submits a Consolidated Community Development Plan every five years and an Action Plan each year to the U.S. Department of Housing and Urban Development (HUD).

The Consolidated Community Development Plan consists of a strategic plan and an annual action plan. The strategic plan contains a community development needs assessment, a housing market analysis, and long term strategies to meet priority needs. The annual action plan describes specific projects and activities that will be undertaken in the coming year with federal funds to address priority needs. The current Consolidated Plan covers the period from July 1, 2010 through June 30, 2015.

The City of Rochester may also participate in the Section 108 Loan Guarantee Program. Under the program, the City of Rochester may borrow from the federal government an amount equal to five times its annual Community Development Block Grant, using the grant as security. The City may then utilize these funds for eligible activities such as acquisition, rehabilitation, and economic development.

Among other input, the development of strategic plans for the four quadrant areas provides context and direction to the Consolidated Community Development Plan.

The Goals and Policy Priorities adopted by the Administration also guide the development of the plan. These include:

- Promote policies that grow the local economy, ensuring adequate jobs and income for all residents;
- Make investing in city properties an affordable and competitive alternative to the suburbs;
- Sustain safe and vibrant city neighborhoods and businesses;
- Maximize home ownership;
- Assist property owners in meeting their financial obligations so they have a better chance of retaining their properties;
- Encourage owners and tenants to maintain their properties in ways that enhance value and condition;
- Provide incentives to motivate property owners to comply with building codes, to maximize the need for enforcement.

The City consults with local public agencies that assist low and moderate income persons, including City staff, State and federal agencies, and neighboring local government and regional agencies. The City also consults with private agencies, including local nonprofit service providers and advocates such as the local public housing agency, health agencies, homeless service providers, nonprofit housing developers,

social service agencies (including those focusing on services to children, the elderly, persons with disabilities, persons with HIV/AIDS, and persons with substance abuse problems).

There are also opportunities for citizens, nonprofit organizations, and other interested parties to review and comment on a draft Annual Action Plan before its implementation.

The City's Housing Policy guides the allocation of CDBG and HOME resources available to the City of Rochester to address priority housing needs and specific objectives.

The City will hold a general meeting on March 24, 2014 in City Council Chambers to provide information on the plan and solicit input on community development and housing needs.

The City has sent a request to the Department of Housing and Urban Development for an extension for the submission of the Consolidated Community Development Plan/2014-15 Annual Action Plan. It is anticipated that the plan will be submitted by June 30, 2014.

A Draft Annual Action Plan will be prepared and published by April 19, 2014. In addition to the activities proposed to be undertaken, the Plan will detail the amount of federal assistance expected to be received (including grant funds and program income) and the range of activities that may be undertaken, including the estimated amount that will benefit persons of low and moderate income and the plans to minimize displacement of persons and to assist any persons displaced.

If, as a result of a program activity, any residential displacement and relocation must occur, the City of Rochester ensures that it will develop an Anti-Displacement and Relocation Plan in connection with that project in accordance with federal regulations. Specifically, the City will comply with the anti-displacement and relocation requirements of the Uniform Relocation Act and the Housing and Community Development Act of 1974, as amended, and implementing regulations of 24 CFR Part 42.

The City will publish a notice in the Democrat & Chronicle which will summarize the Consolidated Community Development Plan/Annual Action Plan. It will contain a description of the contents and purposes of the Plan and a list of locations where copies of the entire proposed Plan may be reviewed.

The Plan will be available at public libraries and copies will be available in the Department of Neighborhood and Business Development, and the Bureau of Communications in City Hall, which are accessible to the disabled. It will also be posted on the City's website. The City will make a reasonable number of free copies of the Plan to citizens and groups that request it. The Plan will be available for 30 days for comment.

The Draft Plan will be submitted to City Council for its consideration. The City will hold a public hearing on the draft Consolidated Community Development Plan. At this hearing, the public is invited to comment on all phases of the Plan, including housing and community development needs, proposed activities, and program performance. The City will consider any comments or views of citizens received in writing, or orally at public hearings in preparing the final Plan.

After the City Council adopts the Plan, it will be submitted to the United States Department of Housing and Urban Development for approval. Copies of the adopted Plan will be available in the Department of Neighborhood and Business Development, and the Communications Bureau in City Hall. A summary of

all comments received within the 30-day comment period will be included in the Final Plan that is submitted to HUD.

Submission of Comments and Proposals

The public is invited to make its views on needs and priorities known, as well as proposals for specific activities. These can be submitted in writing to Associate Administrative Analyst, Room 224B, City Hall, 30 Church Street, Rochester, New York 14614. Responses to written submissions will be provided.

Technical Assistance

City staff is available upon request to help citizens and groups adequately participate in all aspects of the planning of the Consolidated Community Development Program. All requests for technical assistance should be directed to Associate Administrative Analyst, Room 224B, City Hall, 30 Church Street, Rochester, New York 14614.

Bilingual Services

This year, as in the past, bilingual services will be provided to the Hispanic community. Information handouts will be made available in Spanish, if requested. Bilingual assistance is also available upon request to help interpret information.

Comments and Complaints

The City will consider any comments or views of citizens received in writing or orally at public hearings in preparing the final Consolidated Community Development Plan, amendments to the Plan or the Consolidated Annual Performance and Evaluation Report. A summary of these comments or views and a summary of any comments or views not accepted and the reasons will be attached to the final Consolidated Community Development Plan, amendments to the Plan or Performance Report.

Any complaints regarding this Citizen Participation Plan or the development or implementation of the Consolidated Community Development Plan should be addressed to Associate Administrative Analyst, Department of Neighborhood & Business Development, Room 224B, City Hall, 30 Church Street, Rochester, NY 14614. The City will make every reasonable effort to provide written responses within 15 days.

Publicity

The meetings and public hearings will be publicized through the use of newspaper ads, press releases, posting on the City's website, or direct mailings to sector committees. Adequate advance notice with sufficient information about the subject will be provided to permit informed comment.

2014-15 Consolidated Community Development Plan/Implementation Phase

The implementation phase of the Plan will begin on July 1, 2014. There are also opportunities for participation in the implementation and evaluation of the Plan's performance.

Plan Implementation and Community Involvement

The first step in implementing the various activities funded by the Consolidated Community Development Plan is appropriation of funds by City Council. Such action will take place throughout the program year.

Amendments

The need may arise during implementation to modify or amend the approved Annual Plan. Certain changes will be minor in nature and will not require public notification or citizen participation prior to the implementation of such changes. Other changes, defined as substantial, will require public notification and public review. The following changes are considered substantial amendments to the Consolidated Community Development Plan/Annual Action Plan:

- To make a substantial change in its allocation priorities or a substantial change in the method of distribution of funds;
- To carry out an activity, using funds from any program covered by the Consolidated Plan (including program income) not previously described in the action plan;
- Increasing or decreasing an activity's budget by more than 25%;
- Providing interim financing such as a "float loan";
- Transferring funds from a contingency;
- To change the purpose, scope, location or beneficiaries of an activity; or
- A Section 108 Loan that was not described in the Plan.

The City will publish a notice which will inform the public of the proposed changes and locations where a copy of the draft amendment may be reviewed. Interested parties will have 30 days to provide written comments on the proposed amendment. The City Council will hold a public hearing prior to considering amendments to the Plan. A description of the amendment(s) will be available at the hearing. A summary of all comments received within the 30 day period will be included in the substantial amendment to the Consolidated Community Development Plan/Annual Action Plan that is finally submitted to HUD.

Program Performance

The City of Rochester converted to the Integrated Disbursement and Information System in December 1996. The system enables the City to review a program's progress and monitor its performance on an ongoing basis.

A written Consolidated Annual Performance and Evaluation Report will be prepared annually. The report will contain a summary of resources and programmatic accomplishments, the status of actions taken to implement the strategy contained in the Consolidated Community Development Program, and evaluation of progress made during the year in addressing identified priority needs and objectives.

The City will publish a notice in the Democrat and Chronicle which will inform the public of the availability of the CAPER for review and comment and the locations where a copy of the draft document may be reviewed. Copies of the report will be available in the Department of Neighborhood and Business Development and the Communications Bureau in City Hall.

Interested parties will have 15 days to provide written comments on the report. A summary of all comments received within the 15-day period will be included in the CAPER that is finally submitted to HUD.

Records

The City of Rochester will provide reasonable and timely access to information and records relating to the Consolidated Plan and use of funds during the preceding five years, if available. Due to staff limitations, the City may not be able to analyze information and prepare specialized reports.

Copies of the following documents pertaining to the Consolidated Community Development Program and Annual Action Plan will be on file as they become available for public examination during regular business hours at the Rundel Library (Local History Division) located at 115 South Avenue and the Department of Neighborhood and Business Development (City Hall, Room 224 B, 30 Church Street, Rochester, NY):

1. Citizen Participation Plan
2. Draft Consolidated Community Development Plan
3. Final Consolidated Community Development Plan
4. Performance and Evaluation Report

Copies of these documents are also available by request.

**NOTICE OF PUBLIC HEARING FOR THE CITY OF ROCHESTER FY 2014-15 ANNUAL ACTION
PLAN FOR COMMUNITY DEVELOPMENT BLOCK GRANT, HOME, ESG AND HOPWA
FUNDING**

Notice is hereby given that the City of Rochester is currently preparing its Annual Action Plan for FY 2014-15. The City will apply for funding from the U.S. Department of Housing and Urban Development (HUD), including Community Development Block Grant (CDBG) funds, Home Investment Partnership (HOME) funds, Emergency Solutions Grant (ESG) funds and Housing Opportunities for Persons with AIDS (HOPWA) funds.

In accordance with the Final Rule for revised program regulations, 24 CFR, Parts 91, et. al. and the City's citizen participation plan, the City intends to conduct a community-wide public hearing to address housing and community development needs. The purpose of the hearing is to obtain the views and comments of individuals and organizations relative to housing and community development needs that may be addressed by CDBG, HOME, ESG AND HOPWA funds. Individuals may also comment on the City's performance in carrying out CDBG, HOME, ESG and HOPWA activities. Individuals and organizations will be given the opportunity to recommend specific activities to be carried out with FY 2014-15 CDBG, HOME, ESG and HOPWA funds.

PUBLIC HEARING

Date: **March 24, 2014**

Time: **6:00-7:30 PM**

Location: **Council Chambers
City Hall
30 Church Street
Rochester, NY 14614**

The City expects to publish a summary of its proposed Annual Action Plan for FY 2014-15 on or about April 19, 2014. A copy of these documents will be placed on public display for a thirty day review period beginning on or about April 19, 2014. A second public hearing will be duly advertised and conducted to present the proposed plan to the public. The City will consider comments on the proposed plan prior to adoption by the governing body of the City of Rochester on May 20, 2014. The City intends to submit its Annual Action Plan to HUD on or before June 30, 2014. The program year is July 1, 2014-June 30, 2015.

Information relative to the FY 2014-15 Annual Action Plan is available during normal business hours of 9 A.M. to 5 P.M., Monday through Friday in the Department of Neighborhood and Business Development, 30 Church Street, Room 224B, Rochester, NY 14614.

Assisted listening devices and/or alternative formats are available upon request: American Sign Language (ASL) interpreters; other auxiliary aids and services, or reasonable modifications to City meeting policies and/or procedures such as to assist members of the disabled community who would like to request a disability-related accommodation in addressing the City, are available if requested at least 3 business days prior to the meeting. Later requests will be accommodated to the extent feasible. Any person with a disability requiring special accommodations to attend the public meeting should notify Lissette Soto at (585) 428-8662. Every effort will be made to provide reasonable accommodations. The telephone number for the hearing impaired is TTY (800) 662-1220.

The City provides bilingual services to the Hispanic community. For assistance, please telephone (585) 428-8662 from 9 AM to 5 PM Monday through Friday at least three (3) business days prior to the City Council meeting to request these services.

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PUBLIC SAFETY

Community Development Block Grant Public Hearing

3/24/2014 at 6:00 PM to 3/24/2014 at 7:30 PM

All Events

Description:

Public Hearing regarding the Annual Action Plan for the 2014-15 Fiscal Year for the Community Development Block Grant program.

Location:

30 Church Street
Council Chambers - Third Floor
Rochester, NY 14614

Contact:

For questions or additional information, please call (585)423-3552

Cost:

There is no cost. This is a public meeting.

SEE ALSO

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MAY WE SERVE?

Mr. Barber's Kitchen continues to help with food bank.

Youth Center, Rochester, NY, and the Middleburgh, NY, are open.

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TEAM Rochester is back in action.

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4900
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NOTICE OF PUBLIC HEARING

FOR THE CITY OF ROCHESTER FY 2014-15 ANNUAL ACTION PLAN FOR COMMUNITY DEVELOPMENT BLOCK GRANT, HOME, ESG AND HOPWA FUNDING

Notice is hereby given that the City of Rochester is currently preparing its Annual Action Plan for FY 2014-15. The City will apply for funding from the U.S. Department of Housing and Urban Development (HUD), including Community Development Block Grant (CDBG) funds, Home Investment Partnership (HOME) funds, Emergency Solutions Grant (ESG) funds and Housing Opportunities for Persons with AIDS (HOPWA) funds.

In accordance with the Final Rule for revised program regulations, 24 CFR, Parts 91, et. al. and the City's citizen participation plan, the City intends to conduct a community-wide public hearing to address housing and community development needs. The purpose of the hearing is to obtain the views and recommendations of individuals and organizations relative to housing and community development needs that may be addressed by CDBG, HOME, ESG AND HOPWA funds. Individuals may also comment on the City's performance in carrying out CDBG, HOME, ESG and HOPWA activities. Individuals and organizations will be given the opportunity to recommend specific activities to be carried out with FY 2014-15 CDBG, HOME, ESG and HOPWA funds.

DATE: March 24, 2014
TIME: 6:30-7:30 PM

Location:
1. Council Chambers
2. City Hall
3. 250 Church Street
4. 107 Rochester, NY 14614

The City expects to publish a summary of its proposed Annual Action Plan for FY 2014-15 on or about April 19, 2014. A copy of these documents will be placed on a public display for a thirty day review period beginning on or about April 19, 2014. A second public hearing will be held, advertised and conducted in accordance with the proposed plan to the public. The City will consider comments on the proposed plan prior to adoption by the governing body of the City of Rochester on May 20, 2014. The City intends to submit its Annual Action Plan to HUD on or before June 30, 2014. The program year is July 1, 2014-June 30, 2015.

Information relative to the FY 2014-15 Annual Action Plan is available during normal business hours of 9 A.M. to 5 P.M., Monday through Friday in the Department of Neighborhood and Business Development, 30 Church Street, Room 224B, Rochester, NY 14614.

Assisted listening devices and/or alternative formats are available upon request. American Sign Language (ASL) interpreters, other auxiliary aids and services, or reasonable modifications to City meeting policies and/or procedures such as to assist members of the disabled community who would like to request a disability-related accommodation in attending the City, are available if requested at least 3 business days prior to the meeting. Later requests will be accommodated to the extent feasible. Any person with a disability requesting special accommodations to attend the public meeting should notify Lisette Solo at (585) 428-8562. Every effort will be made to provide reasonable accommodations. The telephone number for the hearing impaired is TTY (800) 662-1220.

The City provides bilingual services to the Hispanic community. For assistance, please telephone (585) 428-8562 from 9 AM to 5 PM Monday through Friday prior to the meeting to request these services. IKU-1x

Notice of Public Hearing and Notice of Availability of Draft Environmental Impact Statement New York State Department of Transportation HIGH SPEED RAIL EMPIRE CORRIDOR PROGRAM Pennsylvania Station in New York City to Niagara Falls Station in Niagara Falls, NY

The New York State Department of Transportation (NYSDOT) and the Federal Railroad Administration (FRA) are evaluating improvements to intercity passenger rail services along the 463-mile Empire Corridor, connecting Pennsylvania Station in New York City with Niagara Falls in Niagara Falls, New York. Alternatives considered include the Base, or No Build, Alternative, and alternatives to introduce higher passenger train speeds on the Empire Corridor and to improve reliability, travel times, service frequency, and passenger amenities.

Notice of Public Hearing

In accordance with the provision of Title 40, Code of Federal Regulations, Parts 1500 to 1508, six public hearings will be held to present the Tier 1 Draft EIS and receive public comments. Each meeting will consist of an open house that will begin at 4 p.m., followed by the public hearing with presentations that will commence at 6 p.m. Both will end at 8 p.m.

- Albany, NY, Tuesday, March 4, 2014, Nanofab South Building at the SUNY College of Nanoscale Science and Engineering, 255 Fuller Road, Albany, NY.
- Syracuse, NY, Wednesday, March 5, 2014, NBT Bank Stadium, 1 Tex Simone Drive, Syracuse, NY.
- Buffalo, NY, Thursday, March 6, 2014, The Buffalo Transportation Pierce Arrow Museum, 263 Michigan Avenue, Buffalo, NY.
- Rochester, NY, Tuesday, Friday, March 7, 2014, The Strong, One Manhattan Square, Rochester, NY.
- Utica, NY, Tuesday, March 11, 2014, Utica Train Station, 321 Main Street, Utica, NY.
- Poughkeepsie, NY, Wednesday, March 12, 2014, Cunneen-Hackett Arts Center, 12 Vassar Street, Poughkeepsie, NY.

Comments on the Draft EIS must either be in writing or can be submitted in oral testimony at statewide public hearings. Written comments should be sent to David Chan, Project Manager, High Speed Rail Empire Corridor Program, New York State Department of Transportation, 50 Wolf Road, POD #61, Albany, NY 12232. Further information on this program may be obtained from, and copies can be requested from, David Chan, Project Manager (E-mail: david.chan@dot.ny.gov, Tel.: (518) 485-1918). Written comments should be submitted by March 24, 2014 via mail, e-mail to empirecorridor@dot.ny.gov, or online at the project website at www.dot.ny.gov/empire-corridor. All oral and written comments received during the comment period will be addressed in the Tier 1 Final EIS, which will identify the preferred alternative for the program.

Please contact David Chan at least one (1) week before the public hearing you plan to attend if you require a sign language interpreter, assistive listening system, translator, or any other accommodation(s) to facilitate your participation in the public hearing.

TDD (Telecommunications Device for the Deaf) Relay Service:
New York Relay Operator-Translates calls between TDD and non-TDD users.
For In-State Calls Only.

Non-TDD User to TDD User: 1-800-421-1220
TDD to Non-TDD User: 1-800-662-1220

Availability of Tier 1 Draft EIS

NYSDOT and FRA have completed the first step in a tiered environmental review process for the High Speed Rail Empire Corridor Program and have released the Tier 1 Draft Environmental Impact Statement (EIS). A Tier 1 Draft EIS was prepared which assesses the program alternatives' effects on the quality of the human, natural, and cultural environment, in accordance with the provision of Section 102(2)(c) of the National Environmental Policy Act of 1969 P.L. 91-190 (NEPA). The Tier 1 Draft EIS can be viewed online at the project website at: www.dot.ny.gov/empire-corridor, and copies of the Tier 1 Draft EIS are also available for review at the following locations (please contact the libraries for their locations and business hours):

| County | Library | Phone/e-mail |
|-------------|---|---|
| New York | Mid-Manhattan Library | (212) 340-0863 |
| Westchester | White Plains Public Library | (914) 422-1400; cybrarian@whiteplainslibrary.org |
| Rockland | New City Library | (845) 634-4997; bdubois@rcrls.org |
| Putnam | Kent Public Library | (845) 225-8585; library@kentlibrary.org |
| Orange | Newburgh Free Library | (845) 563-3600; nfrf@rcrls.org |
| Dutchess | Adriane Memorial Library | (845) 485-3445; lmuffs@poklib.org |
| Ulster | Kingston Library | (845) 331-0507; reference@kingstonlibrary.org |
| Columbia | Hudson Area Library | (518) 828-1792 |
| Greene | Catskill Public Library | (518) 943-4230; Librarian@catskillpubliclibrary.org |
| Rensselaer | Rensselaer Public Library | (518) 462-1193 |
| Albany | Albany Public Library - Main Library | (518) 427-4300; aptweb@albanypubliclibrary.org |
| Schenectady | Schenectady County Public Library - Central Library | (518) 388-4500 |

2610 Dogs and Puppies

BICHON FRISE PUPPIES
ACA Home raised, socialized, shots, wormed, sweet natured, \$575. (907) 330-2522

BICHON HAVANESE: Male pup, Reg hypoallergenic, non-shedding, white & apricot, shots wormed, vet checked, \$600. (585) 526-5235

BLUE MEELER/BORDER COLLIE MIX puppies, shots, wormed, born 12/25/13, Ready now! \$140. (585) 526-4759

BOSTON TERRIER PUPS
ACA, vet checked, shots & wormed, 3 friendly males, ready! \$450 (315) 536-7978

BULL MASTIFF/DOGUE DE BORLEAUX mix pups, big hearts, big boned, 7 wks, shots, wormed, MF, \$500. (585) 471-9126

COCALIER PUPPIES Cute & cuddly, shots, wormed, \$375 (807) 243-5465

Dachshund: PUPPIES
purebred dachshund pups for sale. Have first shots and worming. Long & short hair pups. E-mail us for pictures! \$500.00. 585-749-7791 alwuzer@yahoo.com

DOBERMAN PINSCHER
purebred puppies, Born 11/28. Tails docked, dew claws removed \$500 Call/text for details, pics 585-303-1402



GERMAN SHEPHERD PUPPIES
Beautiful Purebreds, white, black, sable, parents on premises, vet checked, shots, \$500. 585-530-0093

German Shepherds: AKC
Black, German working lines, \$500-1000. 585-953-0131 tammyromaine@gmail.com

German Shepherd: Top quality guaranteed pups avail. Now. 26 years exp. www.wvgermanshepherds.com 51006- 585-736-2457

2110 Bargain Stuff

WINE GLASSES, Lady Ann Gorham, Decanter \$150, w/ separator. 585-671-7747

2160 Furnishings

LOOK! AFFORDABLE FURNITURE MATTRESSES
SUN in wrapper Twin - \$59 Full - \$89 Queen - \$129 King - \$189 Also, Pillows (\$99), Bunk Beds (\$99), Headboards (\$49), frames, dining sets, dressers & sofas. 585-227-0176

CHERRY JAMESTOWN Desk \$350, Bucks City Grandmother clock, \$400, 2 antique Pine Cupboards \$300 ea, beautiful

2610 Dogs and Puppies

Golden Doodle: Patrie-Mil and Micro-Patie Mini gold doodle puppies. Gorgeous rare red color, 12-20 lbs. Great temperament! Family raised, non-shedding hypoallergenic! www.bellamindoodles.com \$2000-\$2500. 585-755-252

Golden Retriever Puppies
ACA 1st shots, wormed, family raised, \$750 ea. No Sunday Calls. 315-851-8807

HOT GOLDENDOODLES MI \$400-\$475 Hypoallergenic

nonshedding, 1st shots 15-20 lbs. full grown. Crate trained, friendly. (585) 953-7828

GOLDEN RETRIEVER PUPPIES
AKC, happy, healthy, fat raised, shots, vet checked parents on site. \$500 (585) 591-0286

Golden Retriever puppy
Registered, socialized, each, Local Penn Yan biter. To see them, go www.LancasterPuppies.com on Golden Retrievers and filter by state, or call 536-3116

GOLDEN RETRIEVER PUPS, AKC/NOF
AKC/NOF bred for trainability, vet checked, \$650 P0329 (585) 384-5962 - Coho

GOLDEN RETRIEVER PUPS, AKC Reg.
checked, shots & worm family raised, \$550 ea. (585) 526-5466

Great Dane puppies:
Fawn colored female Great Dane puppies left weeks old and ready to their forever homes. He shots and de-worming. 1 text for pics and more \$1000 each, 315-224-91

HAVANESE PUPS
Shots, wormed, vet ch \$800 (716) 772-2953

HAWAPOO PUPPIE!
shedding, beautiful ready 3/25, vet checked shots, deposit will hold \$50 (585) 305-0541

IRISH SETTERS 4.5 m
1st shots AKC reg. \$5 (585) 669-9647

LAB: AKC LAB PUPP!
low, males and female english, excellent w/ 716-480-1821

LABRADOR RETRIEVER
Chocolates & blacks, pion Anes, health gur \$700. (518) 415-1424 jamiesmother@yahoo.com

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Mar 23, Apr May 18, Jun 6, A Webster Columbus C 70 Barret Dr. 10a Free Adm. & Gift \$1. 585-414-6722 www.CollectorFestMcr

2230 Sporting

GUN SHOW
Knights of Color Hall, 2736 Union Chestnut St., Sat., Mar. 8, 9-Sun., Mar. 9, 9-10 Tables. www.nfsgshow

LOOK! UltraFurniture

City of Rochester

Areas of Racial Concentration (2010) and LMI (2000) Census Block Groups

